

MINIMUM STANDARDS TO PREVENT SEXUAL ABUSE AND HARASSMENT

SEMI ANNUAL PUBLIC UPDATE OCTOBER, 9 2018

OCTOBER 2018 UPDATE

- I. PREA Reporting Dashboard
- II. BOC Oversight and Next Areas of Focus
- III. Audit of Closing Reports

PUBLIC PREA REPORTING DASHBOARD

		DOC PREA	REPORT	ING STATUS FOR 2017 &	2018			
			UPD	ATED 10/2/2018				
			2017					2018
5-04 Supervision and Monitoring	Status	Due	Received	Comments	Status	Due	Received	Comments
(d) The Department shall provide a written report to the Board of								
the progress toward developing and implementing facility staffing		7/15/2017	10/16/2017					
plans by July 15, 2017. Ic) The Department shall provide the Board with each facility's				A				BOC reviewed and identified gaps that
c) the Department shall provide the Board with each facility's staffing plan by Feb 1, 2018.		-	-	Reporting period begins 02/01/2018.	Received	2/1/2018	3/27/2018	will be discussed with DOC.
(g) The Department shall institute a one-year pilot program to				Reporting period begins				DOC will request a variance at the
install video surveillance cameras in Department vehicles used		_	_	09/01/2018.	Missing	9/1/2018		09/14/2018 meeting.
to transport inmates by July 31, 2017. They will provide a written						3/1/2010		
report evaluating the results by Sept 1, 2018.			2017					2018
5-12 Employee Training				Comments				Z018 Comments
g) The Department shall report in writing and on a quarterly	Status	Due	Received	DOC began employee	Status	Due	Received	The Board has been receiving quarterly
basis, the number of their employees who have been trained				training in 03/2016.				reports as required as of 05/01/2018.
during that quarter.				training in 03/2020.				
- Q1 Jan-March	-	-	-		Received	5/1/2018	5/2/2018	1,007 DOC staff trained.
- Q2 April - June	Received	7/1/2017	8/14/2017	452 DOC staff trained.	Received	8/1/2018		872 DOC staff trained.
Q2 April - June Q3 July - Sept	Received	10/1/2017	9/7/2018	792 DOC staff trained		11/1/2018	0/1/2018	
				716 DOC staff trained.			-	
Q4 Oct - Dec	Received	1/1/2018	9/7/2018	716 DOC staff trained. Training materials were	Upcoming	2/1/2019	-	W. D
(i) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for				Training materials were received for the 2016 year.				The Board was notified by DOC that training curriculum and trainer
employees training curriculum and credentials of the trainers for employees trained in the previous year.				received for the 2016 year.				credentials have remained the same
- Training schedule	Received	3/1/2017	4/3/2017		Received	3/1/2018	4/3/2017	
	Received		4/3/2017		Received	3/1/2018	9/7/2018	
Training curriculum		3/1/2017						
- Trainer credentials	Received	3/1/2017	4/3/2017		Received	3/1/2018	9/7/2018	
5-13 Volunteer and Contractor Training			2017					2018
	Status	Due	Received	Comments	Status	Due	Received	Comments
f) The Department shall provide on an <u>annual</u> basis the training				DOC reported that no				DOC reported that in 2017, one 2hr
schedules, training curriculum and credentials of the trainers for				trainings were conducted for volunteers in 2016				volunteer training was conducted by
volunteers and contractors trained in the <u>previous year.</u>				volunteers in 2016. Contractros were trained				PCMs. DOC also reported that contractors are trained together with
- Training schedule		3/1/2017	4/3/2017	together with staff.	Received	3/1/2018	9/7/2018	DOC staff and that training curriculum
Training curriculum		3/1/2017	4/3/2017	together with stant.	Received	3/1/2018	3/5/2018	and trainer credentials have remained
Trainer credentials		3/1/2017	4/3/2017		Received	3/1/2018	9/7/2018	the same since 2016.
			2017					2018
5-14 Inmate Education	Status	Due	Received	Comments	Status	Due	Received	Comments
(g) The Department shall provide on an annual basis the inmate				Reporting period begins				
education schedules, education curriculum and the credentials of				03/01/2018.				
the persons providing such education to inmates for the								
preceding year.								
Education schedules					Received	3/1/2018	9/12/2018	2-3/week in new admission facilities
- Education curriculum		-	-		Missing	3/1/2018		The Board has received an inmate vide
Education provider credentials	_		_		Received	3/1/2018		orientation script but not a copy of the PREA 4 hr/DCJS Certified
Education provider credentials		-	2017		Received	3/1/2018		2018
5-15 Specialized Training: Investigations								
	Status	Due	Received	Comments	Status	Due	Received	Comments
(e) The Department shall provide on an <u>annual basis</u> the training schedules, training curriculum and credentials of the trainers of								
scredules, training curriculum and credentials of the trainers of Investigators for the <u>previous year</u> .								
	Received	3/1/2017	9/12/2018	NIC Online Training - at will	Received	3/1/2018	9/12/2018	NIC Online Training - at will
– Training schedule		3/1/2017		NIC Online Training	Received	3/1/2018		NIC Online Training
Training schedule				NIC - Moss Group & National				NIC - Moss Group & National PREA
- Training curriculum					Received	3/1/2018	9/12/2018	Resource Center
		3/1/2017	9/12/2018	PREA Resource Center	_			2018
Training curriculum Trainer credentials		3/1/2017	9/12/2018 2017	PREA Resource Center				2018
– Training curriculum – Trainer credentials		3/1/2017 Due	2017	PREA Resource Center Comments	Status	Due		Z018 Comments
-Training curriculum -Trainer credentials 5-18 Use of Screening Information	Received		., ,		Status	Due		Comments
- Training curriculum - Trainer credentials 5-18 Use of Screening Information I) The Operatment shall provide in writing, placement of <u>each</u> , rangegoder or interest inmate, all information considered in	Received		2017	Comments Following the Board's resoution issued in Oct.	Status	Due		Comments
- Training corriculum - Trainer credentials 5-18 Use of Screening Information 1) The Department shall provide in writing, placement of <u>each</u> , rangender or interses inmate, all information considered in making the determination and the reasons for the housing	Received		2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an	Status	Due		Comments The Board has identified gaps in the da
- Training curriculum - Trainer credentials 5-18 Use of Screening Information In) The Department shall provide in writing, placement of <u>each</u> , transgender or interest insmite, all information considered in making the determination and the reasons for the bousing determination. The Organizment shall provide such information or the contract of th	Received		2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an electronic screening tool	Status	Due		Comments The Board has identified gaps in the da
- Training curriculum - Training curriculum 5-18 USe of Screening Information 5-18 USe of Screening Information 7) The Department shall provide in writing, glacement of each canagement or internet inmate, all information considered in making the determination and the reasons for the housing determination. The Department shall provide such information with the OUTE play following the ord force his quarket grant of the control of the C	Received		2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an electronic screening tool that enabled more accurate	Status	Due		Comments The Board has identified gaps in the da
- Training curriculum - Training rearriculum - Training rearriculum S-1.8 Use of Screening Information I) The Department shall provide in writing, piacement of <u>each</u> . Training rearricular instead in the state of the piace of the piac	Status	Due	2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an electronic screening tool that enabled more accurate tracking of screening			Received	Comments The Board has identified gaps in the da
- Training curriculum - Training curriculum 5-18 USe of Screening Information 5-18 USe of Screening Information 7) The Department shall provide in writing, discremed of each. - Languagement or internet inmate, all information considered in - Management or internet inmate, all information considered in - Management or internet inmate, all information considered in - Management or internet inmate, all information - Management or internet inte	Received	Due 1/5/2017	2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an electronic screening that enabled more accurate tracking of screening information. The Board	Received	Biweekly	Received Biweekly	Comments The Board has identified gaps in the da
- Training curriculum - Trainer credentials 5-18. Use of Screening Information 10) The Department shall provide in writing, placement of <u>patch</u> , transgender or intersis inmate, all information considered in making the determination and the reasons for the bousing extermination. The partment shall provide such information within two U() Payly following the end of each <u>transversis period</u> , theretime.	Status Missing Missing	1/5/2017 Biweekly	2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an electronic screening tool that enabled more accurate tracking of screening	Received Received	Biweekly Biweekly	Received Biweekly Biweekly	Comments The Board has identified gaps in the da
- Trainer corriculum - Trainer credentials 5-18 Use of Screening Information Jih Department shall groude in writing placement of gazh, arrangender or interes inmate, all information considered in making the determination and the reasons for the housing determination. The Department shall groude such information within two (2)-sky following the end of each type week person. Incredential or the control of	Status	Due 1/5/2017	2017	Comments Following the Board's resoution issued in Oct. 2018, DOC implemented an electronic screening tool that enabled more accurate tracking of screening information. The Board began receiving biweekly	Received	Biweekly Biweekly	Received Biweekly	Comments The Board has identified gaps in the da

		DOC PREA	REPORT	ING STATUS FOR 2017 &	2018			
			UPD	ATED 10/2/2018				
5-19 Protective Custody			2017					2018
	Status	Due	Received	Comments	Status	Due	Received	Comments
(h) The Department shall provide on a <u>quarterly</u> basis to the Board the number of inmates at a high risk of sexual victimization placed				Reporting period begins 11/01/2017				
in involuntary PC within 30 days of the end of that quarter. As per				11/01/2017				
Standard 5-19 (g) the report should detail the basis for placement,								
the reason no alternative means was arranged, and the number								
of such inmates who remained for more than 30 days.								
Q1 Jan-March	-		-		Received			0 placements.
Q2 April - June	-	-	-		Received	7/31/2018	7/30/2018	1 placement due to media coverage.
Q3 July - Sept	Received	11/1/2017	11/9/2017	1 placement (48 hrs) due to court order	Upcoming	10/31/2018	-	
Q4 Oct - Dec	Received	2/1/2018	2/2/2018	0 placements	Upcoming	1/31/2019	-	
			2017					2018
5-29 Post-Allegation Protective Custody	Status	Due	Received	Comments	Status	Due	Received	Comments
The Department shall provide on a <u>quarterly</u> basis the number of				Reporting period begins				The Board received no reports to date fi
inmates who allegedly suffered sexual abuse and were placed in				11/1/2017. No reports				2018. BOC recommended that DOC
involuntary PC within 30 days of the end of that quarter.				received.				combine 5-19 and 5-29 reports to
Q1 Jan-March					Missing	5/1/2018		include when 0 inmates were placed in
- Q2 April - June					Missing	7/31/2018		PC. DOC has agreed to implement these
Q3 July - Sept	Missing	11/1/2017			Upcomine	10/31/2018		recommendations in all future reporting
O4 Oct - Dec	Missing	1/31/2018				1/31/2019		
		-,51/1010	2017		opconling	-,31/1013		2018
5-30 Criminal/Administrative Investigations	Status	Due		Comments	Status	Due		Comments
	Status	Due	Received	The Board received a batch of	Status	Due	Received	
				The Board received a batch of 64 closing memo files on				The Board received a batch of 52 closing memo files on 4/24/2018 and started
(r) At the conclusion of an investigation, the Department shall prepare a closing memorandum summarizing the findings. Within				9/11/2017.				receving them on an ongoing basis in
five (5) business days the Department shall provide a copy of it to				3/11/1017.				June 2018. To date the Board received
the Board.								total of 309 closing memo files in 2018.
- Jan-March		Ongoing			Missing	Ongoing		
April - June		Ongoing			Received	Ongoing		
July - Sept		Ongoing			Received	Ongoing		
Oct - Dec		Ongoing			Received	Ongoing		
			2017	*				2018
5-39 Sexual Abuse Incident Reviews	Status	Due	Received	Comments	Status	Due	Received	Comments
(f) The Department shall provide the Board with all sexual abuse				1				SAIRs for nearly all closed substantiated
incident review reports on a quarterly basis.								and unsubstantiated investigations have
								not been received by the Board.
				The Board received 1 SAIR for				The Board received 3 SAIRS for reviews
				a review dated 2/1/2017				occuring on 1/11/18, 1/17/18 and
Q1 Jan-March	Received	5/1/2017	4/3/2017	regarding an investigation	Received	5/1/2018	4/19/2018	03/06/18 for allegations reported in
				that was closed in 2016 (date				2014, 2016, and 2016, respectively.
				of allegation not specified).				
				The Board received 1 SAIR for				
Q2 April - June	Received	7/31/2017	7/14/2017	a review dated		7/31/2018		
* * * * * * * * * * * * * * * * * * * *					Missing			
				6/13/2017regarding an	Missing			
Milyly fort	Maria	10/11/20		6/13/2017 regarding an allegation made in 2015.		10/21/20		
Q3 July - Sept	Missing	10/31/2017			Upcoming	10/31/2018		
Q3 July - Sept Q4 Oct - Dec	Missing Missing	10/31/2017 1/31/2018			Upcoming	10/31/2018 1/31/2019		
Q4 Oct - Dec	Missing	1/31/2018	2017	allegation made in 2015.	Upcoming Upcoming	1/31/2019		2018
Q4 Oct - Dec 5-40 Data Collection and Review	Missing Missing Status				Upcoming			2018 Comments
Q4 Oct - Dec 5-40 Data Collection and Review (a) The Department shall provide a standardized instrument for	Missing	1/31/2018	2017	allegation made in 2015.	Upcoming Upcoming	1/31/2019		
- Q8 Oct - Dec 5-40 Data Collection and Review (a) The Department shall provide a standardized instrument for review at least 30 days before first providing incident data.	Missing	1/31/2018 Due	2017 Received	allegation made in 2015.	Upcoming Upcoming Status	1/31/2019 Due	Received	
—Q4 Oct - Dec 5-40 Data Collection and Review (a) The Department shall provide a standardized instrument for	Missing	1/31/2018	2017	allegation made in 2015. Comments	Upcoming Upcoming	1/31/2019		Comments
—Q4 Oct - Dec 5-40 Data Collection and Review b) The Department shall provide a standardized instrument for review at least 30 days before first providing incident data. - Sandardized instrument ii) The Department shall provide incident level data	Missing	1/31/2018 Due	2017 Received	Comments The Board received a pdf file	Upcoming Upcoming Status	1/31/2019 Due	Received	Comments The Board received data for the Jan - Ju
—Q6 Oct-Dec 5-40 Data Collection and Review 1) The Department shall provide a standardized instrument for review at least 10 days before first providing includent data. - Standardized instrument 1) The Department shall provide incident level data (The Department shall provide incident level data in the data of the data instrument).	Missing	1/31/2018 Due	2017 Received	Comments The Board received a pdf file on 199/12/2013 with Jan 1999 and an Excel file on 199/12/2017 and an Excel	Upcoming Upcoming Status	1/31/2019 Due	Received	Comments The Board received data for the Jan - Ju 2018 reporting period on 9/7/2018 and
—Q6 Oct-Dec 5-40 Data Collection and Review 1) The Department shall provide a standardized instrument for review at least 10 days before first providing includent data. - Standardized instrument 1) The Department shall provide incident level data (The Department shall provide incident level data in the data of the data instrument).	Missing	1/31/2018 Due	2017 Received	Comments The Board received a pdf file on 09/11/2017 and an Excel file on 19/11/2017 and an Excel file on 19/11/2017 has an Excel file on 19/11/2017 by 10/10/2018 with Jan-Jun 2017 data. The Board	Upcoming Upcoming Status	1/31/2019 Due	Received	Comments The Board received data for the Jan - Ju 2018 reporting period on 9/7/2018 and will conduct a thorough review to assis DOC in improving data collection and
—Q6 Oct - Dec 5-40 Data Collection and Review (a) The Opartment shall provide a standardard instrument for review at least 30 days before first providing incident data. —Standardard instrument (b) The Opartment shall provide incident level data temperature of the control	Missing Status Received	1/31/2018 Due 7/2/2017	2017 Received 7/14/2017	Comments The Board received a pdf file on 09/11/2017 and an Excel file on 1/90/12/18 with Jan Jun 2017 data. The Board has identified significant	Upcoming Upcoming Status	1/31/2019 Due	Received	Comments The Board received data for the Jan - Ju 2018 reporting period on 9/7/2018 and will conduct a thorough review to assis DOC in improving data collection and reporting. The Board received Jan-Dec
—Q6 Oct - Dec 5-40 Data Collection and Review (a) The Opartment shall provide a standardard instrument for review at least 30 days before first providing incident data. —Standardard instrument (b) The Opartment shall provide incident level data temperature of the control	Missing	1/31/2018 Due	2017 Received 7/14/2017	Comments The Board received a pdf file on 09/11/2017 and an Excel file on 19/11/2017 and an Excel file on 19/11/2017 has an Excel file on 19/11/2017 by 10/10/2018 with Jan-Jun 2017 data. The Board	Upcoming Upcoming Status	1/31/2019 Due	Received	Comments The Board received data for the Jan - Ju 2018 reporting period on 9/7/2018 and will conduct a thorough review to assis DOCI in Improving data collections reporting. The Board received Jan-Dec 2017 data on 2/7/18 and identifies.
—Q4 Oct -Dec 5-40 Data Collection and Review (3) The Department shall provide a standardized instrument for reviewe at Ice at 30 days before first providing nodered data. 5-standardized instrument (6) The Department shall provide incident level data comissionally, within 45 days of the end of each standards period hereafter. This data should cover the previous 6 months. -Jan -June	Missing Status Received	1/31/2018 Due 7/2/2017	2017 Received 7/14/2017	Comments The Board received a pdf file on 09/11/2017 and an Excel file on 1/90/12/18 with Jan Jun 2017 data. The Board has identified significant	Upcoming Upcoming Status Received	1/31/2019 Due - 8/14/2018	Received	Comments The Board received data for the Jan - Ju 2018 reporting period on 9/7/2018 and will conduct a thorough review to assis DOC in improving data collection and reporting. The Board received Jan-Dec
-04 Oct -0cc 5-40 Data Collection and Review (b) The Department shall provide a standardized instrument for review at least 10 days before first providing incleded data. -Sandardized instrument (f) The Department shall provide incident level data containmably, within 65 days of the end of data twinning heriod hereafter. This data should cover the previous 6 months. -1an - June -1ay -1y- Dec	Missing Status Received	1/31/2018 Due 7/2/2017 8/1/2017	2017 Received 7/14/2017	Comments The Board received a pdf file on 09/11/2017 and an Excel file on 1/90/12/18 with Jan Jun 2017 data. The Board has identified significant	Upcoming Upcoming Status Received	1/31/2019 Due	9/7/2018	Comments The Board received data for the Jan - Ju 2018 reporting period on 9/7/2018 and will conduct a thorough review to assis DOCI in Improving data collections reporting. The Board received Jan-Dec 2017 data on 2/7/18 and identifies.
—06 Oct. Dec 5-40 Data Collection and Review 5)-10 Department shall provide a standard sed instrument for review at least 10 days before first providing incident data. 5) The Department shall provide incident level data 10 The Department shall provide incident level data 10 The Department shall provide incident level data 10 The Department shall provide incident level data 11 The Department shall provide incident level data 12 The Department shall provide incident level data 13 The Department shall provide a sattleaned assessment 14 The Department shall provide a sattleaned assessment 15 The Department shall provide a sattleaned assessment	Missing Status Received	1/31/2018 Due 7/2/2017 8/1/2017	2017 Received 7/14/2017	allegation made in 2015. Comments The Board received a pdf file on 09/11/2017 and an Excel file on 19/11/2017 and an Excel file on 19/10/2017 and an Excel f	Upcoming Upcoming Status Received	1/31/2019 Due - 8/14/2018	9/7/2018	Comments The Board received data for the Jan - Ju 2018 reporting period on 91/7/2018 and will conduct a thorough review to assis DOC in improving plats collection and reporting. The Board received Jan-Dec 2017 data on 27/38 and identified significant gaps in the data reported.
Od Oct - Dec 5-40 Data Collection and Review 1) The Department shall provide a standardized instrument for eview at least 30 days before first providing incident data. - Sandardized instrument 1) The Department shall provide incident level data emisimality, within 45 days of the end of each six emonth period thereafter. This data should cover the <u>providing</u> 6 months. - Jan - June - Jan - June - Jay - Dec 1) The Department shall provide a <u>seminamental</u> assessment report within 45 days of the end of <u>each six month</u> period thereafter.	Missing Status Received Received	1/31/2018 Due 7/2/2017 8/1/2017	2017 Received 7/14/2017 1/30/2018	allegation made in 2015. Comments The Board received a pdf bin on 09/11/2017 and an fixed file on 10/12/2017 and an fixed file on 10/12/2017 and the fixed file on 10/12/2017 and the fixed file on 10/12/2017 and the fixed page in the data reported. The first report received 3/15/2018 compared two file years of after 10/12/2017 and 1	Upcoming Upcoming Status Status	1/31/2019 Due - 8/14/2018 2/14/2018	9/7/2018	Comments The Board received data for the Jan. In 1020 September 2018 reporting period on 91/2018 and will conduct a thorough review to tasks to 1000 Lin improving data collection and reporting. The Board received Jan Dec 2017 data on 21/218 and identified significant gaps in the data reported. The first report received JSJ3/2018 compared two full years of data Uan 2016 - Dec 2017 JI Instead of Finements - 2016 - Dec 2017 JI Instead - 2016 - Dec 2017 JI Instead - 2016 - Dec 2017 JI Inste
—Q4 Oct - Dec 5-40 Data Collection and Review (a) The Department shall provide a standardized instrument for review at least 30 days before first providing incident data.	Missing Status Received	1/31/2018 Due 7/2/2017 8/1/2017	2017 Received 7/14/2017 1/30/2018	allegation made in 2015. Comments The Board received a pdf file on 09/31/2017 and an face! file on 1/20/2018 with Jan 1/2018 for 1/2018 file on 1/20/2018 with Jan 1/2018 for 1/2018 file on 1/201	Upcoming Upcoming Status Received	1/31/2019 Due - 8/14/2018 2/14/2018	9/7/2018	Comments The Board received data for the Jan - Jun 2018 reporting period on 9/7/2018 and will conduct a thorough review to assist DOC in improving data collection and reporting. The Board received Jan Dec 2017 data on 2/7/18 and identified displacemant of the data reported. The first report received Jis/2/2018 compared two fully aren of data [Jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required. The second report covered jan 2016 - Dec 2017] instead of 6 months a required.
—04 Oct - Dec 5-40 Data Collection and Review (5) The Department shall provide a standardized instrument for review at 1 seat 3 do be before first providing incident data. - Sandardized instrument (7) The Department shall provide incident level data semiannusly, within 45 days of the end of gach six month period thereafter. This data should cover the <u>providing</u> 6 months. - Jan - June - July Dec (8) The Department shall provide a <u>semiannual</u> assessment report within 45 days of the end of <u>gach six month</u> period thereafter.	Missing Status Received Received	1/31/2018 Due 7/2/2017 8/1/2017	2017 Received 7/14/2017 1/30/2018	allegation made in 2015. Comments The Board received a pdf bin on 09/11/2017 and an fixed file on 10/12/2017 and an fixed file on 10/12/2017 and the fixed file on 10/12/2017 and the fixed file on 10/12/2017 and the fixed page in the data reported. The first report received 3/15/2018 compared two file years of after 10/12/2017 and 1	Upcoming Upcoming Status Received Received Received	1/31/2019 Due - 8/14/2018 2/14/2018 8/14/2018	9/7/2018 2/7/2018	Comments The Board received data for the Jan. In 1020 September 2018 reporting period on 91/2018 and will conduct a thorough review to tasks to 1000 Lin improving data collection and reporting. The Board received Jan Dec 2017 data on 21/218 and identified significant gaps in the data reported. The first report received JSJ3/2018 compared two full years of data Uan 2016 - Dec 2017 JI Instead of Finements - 2016 - Dec 2017 JI Instead - 2016 - Dec 2017 JI Instead - 2016 - Dec 2017 JI Inste

CHS PREA R	EPORTII	NG STAT	US FOR	2018
UF	DATED	10/02/20	18	
5-10 Evident Protocol and Forensic Medical Examinations	Status	Due	Received	Comments
(i) CHA Shall Provide the Board with <u>quarterly</u> reports of the steps taken toward implementation of their rape crisis intervention and counseling services program				CHS reported to the Board on 9/4/2018 that the Sexual Abuse Advocacy (SAA) program has been fully implemented in all facilities as of 1/16/2018.
Q1 Jan-March	Received	4/4/2018	8/30/2018	143 initial sessions; 49 follow up
Q2 April - June	Received	7/4/2018	8/30/2018	169 initial sessions; 226 follow up
— Q3 July - Sept	Upcoming	10/3/2018	-	
5-12 Employee Training	Status	Due	Received	Comments
(g) CHA shall provide to the Board on a <u>quarterly</u> basis the number of employees who have been trained during that time period				
Q1 Jan-March	Received	5/1/2018	8/30/2018	87 individuals trained including volunteers/contractors
Q2 April - June	Received	7/31/2018	8/30/2018	80 individuals trained including volunteers/contractors
(i) CHA shall provide to the Board on an annual basis the training schedules, training curriculum, and trainer credentials for the previous year				
Training schedule	Received	3/1/2018	8/30/2018	Monthly training
Training curriculum	Received	9/5/2018		
Trainer credentials	Received	3/1/2018	9/4/2018	DOJ Certified PREA Auditor
5-13 Volunteer and Contractor Training	Status	Due	Received	Comments
(f) CHAs hall provide to the Board on an <u>annual</u> basis the training schedules, training curriculum, and trainer credentials for the previous year				
Training schedule		3/1/2018	9/4/2018	Same as employee training
Training curriculum	Received	3/1/2018	9/4/2018	_
Trainer credentials	Received	3/1/2018	9/4/2018	
5-16 Specialized Training: Medical and Mental Health Care	Status	Due	Received	Comments
(e) CHA shall provide to the Board on an <u>annual</u> basis the training schedules, training curriculum, and trainer credentials for the previous year				_
Training schedules	Received	3/1/2018	9/4/2018	One time training on demand
Training curriculum	Received	3/1/2018	9/4/2018	NIC Online Training
Trainer credentials	Received	3/1/2018	9/4/2018	NIC Online Training

BOARD RESOLUTIONS & DOC CORRECTIVE ACTION

- 5-17 Implementation & 5-18 Use of Screening
- 5-30 Investigations
- 5-39 SAIRS

NEXT AREAS OF FOCUS FOR BOC OVERSIGHT

- 512; 5-13; 5-14 Training
- 5-04 Supervision and Monitoring—Staffing Plans
- 5-40 DOC Public Self-Assessment and Data

AUDIT OF CLOSING REPORTS

- Purpose: The Board sought to review the timeliness and quality of investigations into sexual abuse and sexual harassment in DOC facilities and compliance with 5-30 Standards on Investigations.
- Impact: DOC has agreed to implement most recommendations.
- Audit Sample: 42 closing memos that reflect the categories of allegations received by DOC

TIMELINESS

 Timely interviews were conducted with the majority 86% (n=36,) of alleged victims.

 Only one (2%) of the 42 Closing Reports audited was completed within 90 days.

INTERVIEWS

• Alleged perpetrators were interviewed in 55% (n=23) of the investigations audited.

Witnesses were interviewed in 45% (n=19) of the investigations audited.

CONFIDENTIALITY

It was impossible to conclude whether interviews were conducted in private and confidential locations.

POST-ALLEGATION SERVICES

In almost all cases 93% (n=39), alleged victims were reported as being offered some post-incident services after DOC received their allegation.

ESTABLISHING A CRIME SCENE & REVIEWING EVIDENCE

- In 41% of sexual abuse allegations (n= 9), there was insufficient information recorded regarding the establishment of a crime scene.
- Just over half of the investigations 55% (n=23) included a review of physical evidence.
- In about a quarter of cases 26% (n=11), the investigations included a review of all available testimonial evidence.

REVIEW OF PRIOR COMPLAINTS & CREDIBILITY ASSESSMENTS

 Investigators generally failed to review prior allegations against the alleged perpetrator and did so in only 4 cases.

In half of the cases, the investigator adequately performed credibility assessments.

SUBSTANTIATION

■ In half of the cases 50% (n=21), the investigator adequately described their reasoning of what is substantiated, meaning there was a specific explanation of what elements of the allegation were verified or disproved.

DETERMINATIONS

 One of the 42 investigations concluded that staff actions or failures contributed to the incident.

95% (n=41) of the 42 allegations were unsubstantiated or unfounded.

 Victims were informed of the investigation results in a quarter 26% (n=11) of cases audited.

AUDIT OF CLOSING MEMOS – RECOMMENDATIONS

DOC:

- Retrain Investigators & Supervisory Staff
- Revise and Improve Closing Report Format
- Audit Investigations to Identify and Address Reasons for Delays
- Ensure Interviews are Conducted in Private and Confidential Spaces
- Notify Alleged Victims of the Outcome of Investigations, Even if no Longer in DOC Custody.

BOC:

Complete an Annual Audit of Closing Reports

