

DOC PREA REPORTING STATUS FOR 2018 and 2019

UPDATED 4/10/2019

5-04 Supervision and Monitoring	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(f) The Department shall provide annually to the Board, in writing, all deviations or adjustments to such plans that the Department is required to document pursuant to subdivisions (b) and (e) of this section.	Received	2/1/2018	3/27/2018	DOC submitted facility-specific staffing plans to the Board on 3/27/18. BOC has reviewed and will provide written feedback to DOC in conjunction with the 2019 staffing plans.	Received	3/1/2019	2/25/2019	DOC submitted facility-specific staffing plans to the Board on 2/25/19. BOC and DOC staff discussed these staffing plans at the April Quarterly PREA meeting.
(g) The Department shall institute a one-year pilot program to install video surveillance cameras in Department vehicles used to transport inmates by July 31, 2017. They will provide a written report evaluating the results by Sept 1, 2018.	Missing	9/1/2018	--	On 8/17/18, DOC submitted a letter requesting a 6-month limited variance to extend the deadline for submitting their evaluation. At the Board's 10/9/18 public meeting, DOC publically voiced this request. The variance request was not approved. The Department reported that it will submit its pilot evaluation report to the Board by 3/1/19.	Received	3/1/2019	3/1/2019	The Department submitted its "Video Surveillance Cameras on Transport Vehicles Pilot Report" on 3/1/19. This report is available on the Board's website.
5-12 Employee Training	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(g) The Department shall report in writing and on a <u>quarterly</u> basis, the number of their employees who have been trained <u>during that quarter</u> .								The Board has been receiving quarterly reports as required as of 05/01/2018.
--- Q1 Jan-March	Received	5/1/2018	5/2/2018	1,007 DOC staff trained.	Upcoming	5/1/2019	--	
--- Q2 April - June	Received	8/1/2018	8/1/2018	872 DOC staff trained.	Upcoming	8/1/2019	--	
--- Q3 July - Sept	Received	11/1/2018	10/26/2018	1,034 DOC staff trained.	Upcoming	11/1/2019	--	
--- Q4 Oct - Dec	Received	2/1/2019	1/29/2019	1,390 staff trained.	Upcoming	2/1/2020	--	
(i) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for employees trained in the previous year.				The Board was notified by DOC that its training curriculum and trainer credentials have remained the same since 2016.				The Board was notified by DOC that its training curriculum and trainer credentials have remained the same since 2016.
--- Training schedule	Received	3/1/2018	4/3/2017		Received	3/1/2019	3/1/2019	
--- Training curriculum	Received	3/1/2018	9/7/2018		Received	3/1/2019	3/1/2019	
--- Trainer credentials	Received	3/1/2018	9/7/2018		Received	3/1/2019	3/1/2019	
5-13 Volunteer and Contractor Training	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(f) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for volunteers and contractors trained in the <u>previous year</u> .				DOC reported that in 2017, one 2hr volunteer training was conducted by facility PREA Compliance Managers (PCMs). DOC also reported that contractors are trained together with DOC staff and that its training curriculum and trainer credentials have remained the same since 2016.				DOC has reported that contractors are trained together with DOC staff and that its training curriculum and trainer credentials have remained the same since 2016.
--- Training schedule	Received	3/1/2018	9/7/2018		Received	3/1/2019	2/28/2019	
--- Training curriculum	Received	3/1/2018	3/5/2018		Received	3/1/2019	2/28/2019	
--- Trainer credentials	Received	3/1/2018	9/7/2018		Received	3/1/2019	2/28/2019	

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5-14 Inmate Education	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(g) The Department shall provide on an <u>annual basis</u> the inmate education schedules, education curriculum and the credentials of the persons providing such education to inmates for the <u>preceding year</u> .								
--- Education schedules	Received	3/1/2018	9/12/2018		Received	3/1/2019	2/28/2019	The Dept.'s zero-tolerance policy and comprehensive PREA education are provided at intake and new admission orientation. DOC reports that orientations for people in custody are conducted at least twice a week and that scheduling varies by facility.
--- Education curriculum	Received	3/1/2018	9/13/2018	The Board has received an Inmate orientation video script.	Received	3/1/2019	2/28/2019	The Board received an inmate video orientation script and facilitator guide in the previous reporting period. The Dept. reported there have been no changes to this curriculum.
--- Education provider credentials	Received	3/1/2018	9/14/2018	PREA 4hr training and Division of Criminal Justice Services (DCJS) Certification.	Received	3/1/2019	2/28/2019	PREA 4 hr/DCJS Certified. The Dept. reported there have been no changes to these credentials.
5-15 Specialized Training: Investigations	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(e) The Department shall provide on an <u>annual basis</u> the training schedules, training curriculum and credentials of the trainers of Investigators for the <u>previous year</u> .								
--- Training schedule	Received	3/1/2018	9/12/2018	National Institute of Corrections (NIC) Online Training.	Received	3/1/2019	2/28/2019	National Institute of Corrections (NIC) Online Training.
--- Training curriculum	Received	3/1/2018	9/12/2018	NIC Online Training	Received	3/1/2019	2/28/2019	NIC Online Training
--- Trainer credentials	Received	3/1/2018	9/12/2018	NIC	Received	3/1/2019	2/28/2019	NIC
5-18 Use of Screening Information	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(h) The Department shall provide in writing, placement of <u>each</u> transgender or intersex inmate, all information considered in making the determination and the reasons for the housing determination. The Department shall provide such information within two (2) days following the end of each <u>two-week period</u> thereafter.								
--- Jan-March	Received	Biweekly	Biweekly	The Board has identified gaps in the data it is receiving and is working with the Department to improve these reports.	Received	Biweekly	Biweekly	The Board has identified gaps in the data it is receiving and is working with the Department to improve these reports.
--- April - June	Received	Biweekly	Biweekly		Upcoming	Biweekly	Biweekly	
--- July - Sept	Received	Biweekly	Biweekly		Upcoming	Biweekly	Biweekly	
--- Oct - Dec	Receiving	Biweekly	Biweekly		Upcoming	Biweekly	Biweekly	

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2018					2019									
Status	Due	Received	Comments		Status	Due	Received	Comments						
5-19 Protective Custody					5-19 Protective Custody									
(h) The Department shall provide on a <u>quarterly</u> basis to the Board the number of inmates at a high risk of sexual victimization placed in involuntary PC within 30 days of the end of <u>that quarter</u> . As per Standard 5-19 (g) the report should detail the basis for placement, the reason no alternative means was arranged, and the number of such inmates who remained for more than 30 days.					Based on BOC recommendations, DOC is now submitting 5-19 and 5-29 reports together and reporting when no individuals in custody were placed in PC.									
--- Q1 Jan-March	Received	5/1/2018	4/30/2018	0 placements.	Upcoming	5/1/2019	--							
--- Q2 April - June	Received	7/31/2018	7/30/2018	1 placement due to media coverage.	Upcoming	8/1/2019	--							
--- Q3 July - Sept	Received	10/31/2018	10/30/2018	0 placements.	Upcoming	11/1/2019	--							
--- Q4 Oct - Dec	Received	1/31/2019	1/29/2019	0 placements.	Upcoming	2/1/2020	--							
5-29 Post-Allegation Protective Custody					5-29 Post-Allegation Protective Custody									
The Department shall provide on a <u>quarterly</u> basis the number of inmates who allegedly suffered sexual abuse and were placed in involuntary PC within 30 days of the end of <u>that quarter</u> .					Based on BOC recommendations, DOC is now submitting 5-19 and 5-29 reports together and reporting when no individuals in custody were placed in PC.					Based on BOC recommendations, DOC is now submitting 5-19 and 5-29 reports together and reporting when no individuals in custody were placed in PC.				
--- Q1 Jan-March	Received	5/1/2018	N/A		Upcoming	5/1/2019	--							
--- Q2 April - June	Received	7/31/2018	N/A		Upcoming	8/1/2019	--							
--- Q3 July - Sept	Received	10/31/2018	10/30/2018	0 placements.	Upcoming	11/1/2019	--							
--- Q4 Oct - Dec	Received	1/31/2019	1/29/2019	0 placements.	Upcoming	2/1/2020	--							
5-30 Criminal/Administrative Investigations					5-30 Criminal/Administrative Investigations									
(r) At the conclusion of an investigation, the Department shall prepare a closing memorandum summarizing the findings. Within five (5) business days the Department shall provide a copy of it to the Board.A48J66J66A50J66					The Department first started providing the Board with closing memos on 4/24/18.					The Department first started providing the Board with closing memos on 4/24/18.				
	Received	Ongoing		Since June 2018, the Board has been receiving closing memos as cases are closed.	Received	Ongoing		Since June 2018, the Board has been regularly receiving closing memos as cases are closed.						
				On 12/10/18, the Department reported to the Board that they had closed a total of 588 investigations to date. As of 12/17/18 (5 business days after the above report), the Board had received a total of 577 closing memo reports. The Board is actively working with the Department to reconcile this discrepancy.				The Department reported that as of February 2019, they have successfully eliminated 1,216 backlog cases pursuant to the Department's Corrective Action Plan.						
								As of 4/10/19, the Board has received a total of 1,339 closing memo documents. The Board is working with the Department to ensure it has received all memos for cases closed in 2017 and 2018.						

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5-39 Sexual Abuse Incident Reviews	2018				2019			
	Status	Due	Received	Comments	Status	Due	Received	Comments
(f) The Department shall provide the Board with all sexual abuse incident review reports on a <u>quarterly</u> basis.								
--- Q1 Jan-March	Received	5/1/2018	4/19/2018	The Board received 3 SAIRS for reviews occurring on 1/11/18, 1/17/18, and 3/6/18 for allegations reported in 2014, 2016, and 2016, respectively.	Upcoming	5/1/2019	--	To date, the Board has received 22 SAIRS from the Department. Per the 2017 and 2018 5-40 allegations data, the Board believes it should be in receipt of at least 311 SAIRS, as that is the number of cases that have been closed for allegations requiring SAIRS in those years. The Board has requested that the Department provide all SAIRS for 2017 and 2018 to address this discrepancy.
--- Q2 April - June	Missing	7/31/2018	--	The Board did not receive any SAIRS in Q2. On 9/7/18, the Board received a response from the Department indicating they were working to provide this information to the Board.	Upcoming	8/1/2019	--	
--- Q3 July - Sept	Missing	10/31/2018	--	The Board did not receive any SAIRS in Q3. On 12/11/18, the Dept. reported to the Board that the SAIRS backlog will be addressed by 3/1/19.	Upcoming	11/1/2019	--	
--- Q4 Oct - Dec	Received	1/31/2019	2/1/2019	The Board received a cover letter with accompanying 17 SAIRS.	Upcoming	2/1/2020	--	
5-40 Data Collection and Review	2018				2019			
Status	Due	Received	Comments	Status	Due	Received	Comments	
(f) The Department shall provide incident level data <u>semiannually</u> , within 45 days of the end of <u>each six-month</u> period thereafter. This data should cover the <u>previous</u> 6 months.								
--- July - Dec	Received	2/14/2018	2/7/2018	There continue to be significant gaps in the incident-level data reported to the Board. Incident-level data files provided by DOC are missing many of the data elements outlined in 5-40(d). Board staff remain unable to reconcile DOC's incident-level data with DOC's public self-assessment reports.	Received	2/14/2019	2/14/2019	There continue to be significant gaps in the 5-40 data reported to the Board. The Board is working to ensure the Department addresses missing data elements and improves public reports. The Department reports that moving forward all required 5-40 data elements will be tracked for all allegations made and for all cases closed from January 2019 onward.
--- Jan - June	Received	8/14/2018	9/7/2018	The Board recognized these deficiencies in its October 2018 Resolution. Board staff have requested a meeting with the Department to discuss this issue further.	Upcoming	8/14/2019	--	
(k) The Department shall provide a <u>semiannual</u> assessment report within 45 days of the end of <u>each six-month</u> period thereafter.								
--- July - Dec	Received	2/14/2018	3/15/2018	DOC's semi-annual assessment reports have not followed a consistent format or included all information required by the Standards. DOC's self-assessment reports do not consistently or adequately: define terms, distinguish between categories and subcategories of allegations and outcomes, include time periods and totals that can clearly be tracked within and across data reporting tables and across reports, or include findings and related corrective action for each facility.	Received	2/14/2019	2/14/2019	
--- Jan - June	Received	8/14/2018	8/14/2018	The Board recognized these deficiencies in its October 2018 Resolution. Board staff have requested a meeting with the Department to discuss the format of this public report to ensure clarity in future reporting.	Upcoming	8/14/2019	--	

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5-41 Audits	2018	2019
The Department shall provide a copy of all audit documents within two (2) business days after its submission to the auditors.	The Moss Group conducted a mock audit at RMSC from Nov 13 - 15 2018. The report will be shared once received by the Department.	The Moss Group conducted a mock audit at RMSC from Nov 13 - 15 2018. The Board has requested, but has not yet received, a copy of the mock audit.
5-42 Variances	2018	2019
The Department may apply for a variance from a specific subdivision or section of these Chapter 5 rules in accordance with the procedures and criteria set forth in § 1-15 of Chapter 1 of these Minimum Standards.	On Oct 9th the Department issued a variance request pertaining to 5-04(g). The variance was denied.	N/A