

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

March Monthly Report (Covering the period of February 1 – February 28, 2021)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
02/22/2021	Assault on Staff	02/16/2021- Assault on Staff	Level 2	TRU	Level 2	ESH, TRU
02/24/2021	Assault on Staff	02/23/2021- Assault on Staff	Level 1	GP	Level 1	TRU
02/24/2021	Assault on Staff	02/23/2021- Assault on Staff	Level 1	GP	Level 1	TRU, SCHU, ESH
02/24/2021	Assault on Staff	02/23/2021- Assault on Staff	Level 1	GP	Level 1	TRU
02/22/2021	Assault on Inmate	02/16/2021- Assault on Inmate	Level 1	GP	Level 1	TRU
02/05/2021	Assault on Staff, serious or persistent violence	02/02/2021- Assault on Staff 01/31/2021- Assault on Staff	Level 2	GP	Level 2	SCHU, TRU
02/22/2021	Assault on Inmate	02/16/2021- Assault on Inmate	Level 1	GP	Level 1	Secure, TRU
02/24/2021	Assault on Staff	02/23/2021- Assault on Staff	Level 1	GP	Level 1	Secure, TRU

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02/23/2021	Assault on Staff, serious or persistent violence	02/16/2021- Assault on Staff 08/24/2020- Assault on Staff	Level 2	TRU	Level 2	TRU, ESH
02/26/2021	Assault on Staff, serious or persistent violence	02/12/21- Assault on Staff 02/10/2021- Assault on Staff	Level 2	Secure	Level 2	TRU, ESH, Secure
02/24/2021	Assault on Staff	02/23/2021- Assault on Staff	Level 1	GP	Level 1	Secure, TRU, ESH
02/22/2021	Assault on Inmate	02/15/2021- Assault on Inmate	Level 1	GP	Level 1	Secure, TRU, ESH
02/02/2021	Assault on Staff, serious or persistent violence	02/02/2021- Assault on Staff 02/02/2021- Assault on Staff	Level 1	GP	Level 1	SCHU, TRU, ESH

Secure

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
02/23/2021	Assault on Inmate	02/23/2021- Fight 02/22/2021- Fight	Phase 2	ESH	Phase 2	ESH, TRU
02/24/2021	Assault on Staff, setting a fire	02/20/2021- Assault on Staff 02/18/2021- Fire	Phase 2	ESH	Not recommended for placement	ESH

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02/20/2021	Assault on Inmate, slashing	02/19/2021- Assault on inmate, slashing	Phase 1	GP	Phase 1	N/A
02/24/2021	Assault on Staff	02/22/2021- Assault on Staff	Phase 2	ESH	Not recommended for placement	ESH, TRU
02/14/2021	Assault on Inmate, slashing	02/12/2021- Assault on Inmate, slashing	Phase 1	GP	Phase 1	TRU, Secure

- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release**

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
02/23/2021	Moved for security/health reasons	48	Level 3	Secure
02/09/2021	Satisfied the requirements of ESH	50	Level 3	GP
02/09/2021	Satisfied the requirements of ESH	49	Level 3	GP
02/24/2021	Moved for security/health reasons	302	Level 2	Secure
02/25/2021	Satisfied the requirements of ESH	23	Level 3	GP
02/24/2021	Moved for security/health reasons	65	Level 3	Secure
02/25/2021	Satisfied the requirements of ESH	95	Level 3	GP
02/09/2021	Satisfied the requirements of ESH	50	Level 3	GP

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Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
02/12/2021	Satisfied the requirements of ESH	43	Level 3	GP
02/08/2021	Discharge or transferred from DOC custody	59	Level 2	N/A

Secure

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
02/11/2021	Completed All Three Phases	65	Phase 3	GP
02/19/2021	Completed All Three Phases	64	Phase 3	GP
02/09/2021	Completed All Three Phases	59	Phase 3	GP
02/11/2021	Completed All Three Phases	66	Phase 3	GP
02/19/2021	Completed All Three Phases	143	Phase 3	GP
02/26/2021	Transferred for security/health reasons	152	Phase 1	ESH
02/19/2021	Completed All Three Phases	158	Phase 3	GP

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
02/08/2021	3	2	Assault on Staff
02/08/2021	2	3	Review Recommendation

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Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
02/08/2021	2	3	Review Recommendation
02/08/2021	3	2	Assault on Staff
02/24/2021	1	2	Undetermined

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
02/27/2021	Phase 1	Phase 2	Review Recommendation
02/18/2021	Phase 1	Phase 2	Review Recommendation
02/10/2021	Phase 3	Phase 1	Assault on Staff
02/10/2021	Phase 2	Phase 1	Assault on Staff
02/04/2021	Phase 2	Phase 1	Review Recommendation
02/10/2021	Phase 2	Phase 1	Assault on Staff
02/27/2021	Phase 1	Phase 2	Review Recommendation

d. Average Daily Population in each phase/level and overall.

ESH

Level 1	Level 2	Level 3	Overall
1.9	11.1	1.0	14.0

SECURE

Phase 1	Phase 2	Phase 3	Overall
10.86	1.86	4.14	16.86

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- e. The number of young adults in each phase/level as of the 1st day of the month following the reporting period.

ESH

Level 1	Level 2	Level 3
10	13	0

SECURE

Phase 1	Phase 2	Phase 3
10	6	0

- f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	368	436
Secure	219	289

- g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level;

Length of Stay in ESH ¹ (days; N=403)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH ²	Overall
Average	33	53	55	26	35	85
Median	30	29	40	22	32	58
Minimum	2	0	0	0	3	2
Maximum	135	326	408	111	105	472

¹ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

² This category refers to ESH before the level system was implemented.

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Overall Length of Stay in ESH by Release Reason ³ (days; N=139)						
	Not recommended by adjudication	Discharged or transferred from DOC custody	Moved for security/health reasons	Satisfied the requirements of ESH	Regressed to Phase 1, Re-adjudicated	Moved to Less Restrictive Unit
Average	14.00	60.67	86.67	73.23	34.67	39.53
Median	14.00	40.00	78.00	63.00	27.00	31.00
Minimum	14.00	3.00	6.00	37.00	16.00	3.00
Maximum	14.00	472.00	302.00	247.00	61.00	139.00

ESH Time in Program ⁴ (days) (N=23)				
	Level 1	Level 2	Level 3	Overall
Average	12.89	42.71	9.00	37.26
Median	7.00	49.00	3.00	24.00
Minimum	5.00	2.00	2.00	2.00
Maximum	53.00	79.00	22.00	83.00

Length of Stay in Secure (days; N=260) ⁵				
	Phase 1	Phase 2	Phase 3	Overall
Average	35.31	21.50	30.02	67.15
Median	28.00	14.00	23.00	62.00
Minimum	2.00	1.00	2.00	2.00
Maximum	229.00	112.00	144.00	301.00

³ Length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. Those included in the calculation are individuals who were age 18-21 upon entry into ESH.

⁴ Time in Program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 03/01/2021.

⁵ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

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Overall Length of Stay in Secure by Release Reason ⁶ days; N=260						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program –Step down from ESH	Other ⁷	Adjudication Determination
Average	88.62	40.38	59.00	30.19	43.80	22.67
Median	78.00	22.50	50.00	26.00	29.00	9.00
Minimum	19.00	2.00	2.00	9.00	2.00	6.00
Maximum	234.00	183.00	301.00	75.00	139.00	94.00

Secure Time in Program ⁸ (days) (N=16)				
	Phase 1	Phase 2	Phase 3	Overall
Average	61.62	16.09	9.00	62.25
Median	59.00	16.00	9.00	62.50
Minimum	4.00	4.00	7.00	4.00
Maximum	139.00	35.00	11.00	139.00

h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 7-day (ESH) and 21-day review (ESH).

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
18	3	20

i. The number of young adults who received such a review.

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
18	2	19

28-Day Reviews: All qualifying young adults received their scheduled reviews during the month of February.

7-Day Reviews: One (1) young adults was eligible for a review but the facility was unable to produce his review paperwork for this reporting period. He was however advanced to level 2 on 02/24/2021.

21-Day Reviews: One (1) young adult was eligible for a review but was discharged from DOC custody prior to his scheduled review.

⁶ Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

⁷ This column represents all those who were released from Secure who do not fall into the other categories (i.e. temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

⁸ Time in Program is calculated for all individuals who were still in Secure on 03/01/2021.

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- j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

7-DAY REVIEW RECOMMENDATIONS:

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
2/11/2021	Level 1	GP	Level 1	02/11/2021	0	Undetermined
02/05/2021	Level 1	Level 2	Level 1	02/05/2021	0	Credible Intelligence

21-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
02/21/2021	Level 2	Level 2	Level 2	02/21/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/18/2021	Level 2	Level 2	Level 2	02/18/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/09/2021	Level 3	GP	GP	02/09/2021	0	N/A
02/03/2021	Level 3	GP	GP	02/09/2021	6	N/A

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02/07/2021	Level 2	Level 2	Level 2	02/07/2021	0	Willfully disregarded rules and regulations
02/28/2021	Level 2	Level 3	Level 2	02/28/2021	0	Undetermined
02/17/2021	Level 2	Level 2	Level 2	02/17/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/04/2021	Level 2	Level 2	Level 2	02/04/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/25/2021	Level 2	Level 2	Level 2	02/25/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/26/2021	Level 2	Level 2	Level 2	02/26/2021	0	Disregarded rules and regulations
02/16/2021	Level 2	Level 3	Level 2	02/16/2021	0	Undetermined
02/04/2021	Level 2	Level 3	Level 2	02/04/2021	0	Undetermined
02/25/2021	Level 2	Level 2	Level 2	02/25/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/03/2021	Level 2	Level 3	Level 3	02/08/2021	5	N/A
02/24/2021	Level 3	GP	GP	02/25/2021	1	N/A

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02/08/2021	Level 2	Level 2	Level 2	02/08/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/17/2021	Level 2	Level 2	Level 2	02/17/2021	0	Disregarded rules and regulations
02/04/2021	Level 2	Level 3	Level 2	02/04/2021	0	Undetermined
2/25/2021	Level 2	Level 2	Level 2	2/25/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/04/2021	Level 2	Level 3	Level 3	02/08/2021	4	N/A
02/25/2021	Level 3	GP	GP	02/25/2021	0	N/A
02/09/2021	Level 3	GP	GP	02/09/2021	0	N/A
02/11/2021	Level 3	GP	GP	02/12/2021	1	N/A
02/18/2021	Level 2	Level 2	Level 2	02/18/2021	0	Violent, aggressive behavior, disregarded rules and regulations
02/19/2021	Level 2	Level 2	Level 2	02/19/2021	0	Violent, aggressive behavior, disregarded rules and regulations

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28-DAY REVIEW RECOMMENDATIONS

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
2/11/2021	Phase 1	Phase 1	Phase 1	02/11/2021	0	Violent, aggressive behavior
02/04/2021	Phase 1	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior
02/21/2021	Phase 1	Phase 1	Phase 1	02/21/2021	0	Violent, aggressive behavior
02/11/2021	Phase 3	GP	GP	02/11/2021	0	N/A
02/04/2021	Phase 1	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior, disregarding rules and regulations
02/27/2021	Phase 1	Phase 2	Phase 2	02/27/2021	0	N/A
02/19/2021	Phase 3	GP	GP	02/19/2021	0	N/A
02/09/2021	Phase 3	GP	GP	02/09/2021	0	N/A
02/11/2021	Phase 3	GP	GP	02/11/2021	0	N/A
02/04/2021	Phase 1	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior
02/18/2021	Phase 1	Phase 2	Phase 2	02/18/2021	0	N/A
02/19/2021	Phase 3	GP	GP	02/19/2021	0	N/A
02/04/2021	Phase 1	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior
02/11/2021	Phase 1	Phase 1	Phase 1	02/11/2021	0	Violent, aggressive behavior

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02/19/2021	Phase 3	GP	GP	02/19/2021	0	N/A
02/11/2021	Phase 1	Phase 1	Phase 1	02/11/2021	0	Violent, aggressive behavior
02/04/2021	Phase 2	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior
02/11/2021	Phase 1	Phase 1	Phase 1	02/11/2021	0	Violent, aggressive behavior
02/11/2021	Phase 1	Phase 1	Phase 1	02/11/2021	0	Violent, aggressive behavior
02/04/2021	Phase 1	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior
02/11/2021	Phase 1	Phase 1	Phase 1	02/11/2021	0	Violent, aggressive behavior
02/04/2021	Phase 1	Phase 1	Phase 1	02/04/2021	0	Violent, aggressive behavior
02/27/2021	Phase 1	Phase 2	Phase 2	02/27/2021	0	N/A

- k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.

Housing Area	Progressions			
	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (7-day reviews)	2	0	N/A	N/A
ESH (21-day reviews)	12	8	2.1	1
Secure (28-day reviews)	9	9	0	0

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I. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
100 Suits Community Partner	16	64	4	22
Anger Management	7	26	4	16
Creative Writing	4	16	4	16
Dialectical Behavioral Therapy	10	41	4	17
Elite Learners Community Partners	16	64	4	22
Goal Setting	4	15	4	15
Interactive Journaling	11	43	4	19
The KITE Community Partners	16	64	4	22
Young Men’s Work	8	31	4	19
Secure – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Conflict Resolution	2	6	3	6
Dialectical Behavioral Therapy	4	12	3	12
Goal Setting	1	2	2	2
Individual Counseling	14	41	3	16
Young Men’s Work	3	7	2	6

ESH – Self- Guided Components ⁹	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Distribution of Self-Guided Materials	68	201	3.0	31
ESH – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Anger Management	8	23	2.9	15
Dialectical Behavioral Therapy	7	17	0.0	13
Goal Setting	2	7	3.5	7
Healthy Relationships	6	19	3.2	15
Individual Counseling	13	14	1.1	8
Youth Communication	4	13	3.3	13
Community Meeting	1	5	5.0	5

⁹ During the month of February, self-guided packets distributed to young adults in ESH consisted of a variety of materials on a given week. Materials distributed throughout the month included activity packets such as coloring pages and brainteasers, evidence-based worksheets on a variety of topics including, but not limited to, relapse prevention, anger management, and young adult specific curricula.

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- m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	7	7	69
	Monthly Rate	.50	.42	.31
	Cumulative Count	149	87	4347
	Cumulative Rate	7.47	8.05	11.82

- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).

Slashing/Stabbings		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	0	0	3
	Monthly Rate	.00	.00	.01
	Cumulative Count	39	8	147
	Cumulative Rate	2.09	.74	.40

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- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	5	3	3
	Monthly Rate	.36	.18	.01
	Cumulative Count	90	63	394
	Cumulative Rate	4.81	5.83	1.07

- q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	330	232	2980
	Cumulative Rate	16.56	21.45	8.10

- r. The implementation of the Department’s plan to increase young adults’ participation in recreation, including the number, rate, and time of young adults’ recreation participation.

Implementation Update: The Department has implemented the recreation plan as previously submitted to the Board. The facility continues to afford young adults the daily opportunity to engage in outdoor recreation, as well as additional supplementary activities; however, each individual may opt to decline as they see fit. Young adults continue to state that they would rather opt for inside programming than outdoor recreation. In order to continue to follow social distancing guidelines programming staff were limited in their ability to facilitate regular structured activities in ESH in the month of February. The Department continues to provide books, as well as cognitive skills therapeutic packets within the unit. Further, in the month of February ESH security staff continued to offer individuals in Level 3 the supplemental opportunity to go to the gym area. This is a progression incentive offered specifically to those in Level 3.

	Total Count	Average Participation Per Day	YA ESH Average Daily Population	Average Duration
ESH1	3.0	0.11	1.9	0:58:20
ESH2	8.0	0.29	11.1	0:44:30
ESH3	15.0	0.54	1.0	0:34:04
Total	26.0	0.93	14.0	0:40:05