

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

January Monthly Report (Covering the period of December 1 – December 31, 2020)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
12/22/2020	Assault on Inmate, serious persistent violence, SRG activity	12/22/2020- Assault on Staff	Level 2	GP	Level 2	TRU
12/21/2020	Assault on Inmate	10/14/2020- Assault on Inmate	Level 2	TRU	Level 2	TRU
12/22/2020	Assault on Inmate	12/17/2020- Assault on Inmate	Level 2	GP	Not recommended by adjudication	ESH, TRU
12/22/2020	Assault on Staff, SRG activity, slashing	12/22/2020- Assault on Staff, SRG activity, slashing	Level 1	GP	Level 1	SCH, TRU, ESH, Secure
12/22/2020	Assault on Staff	12/04/2020- Assault on Staff	Level 2	GP	Level 2	Secure
12/22/2020	Assault on Inmate, SRG activity, slashing	12/22/2020- Assault on Inmate, SRG activity, slashing	Level 1	GP	Level 1	TRU
12/10/2020	Assault on Inmate, slashing, serious or persistent violence	12/07/2020- Assault on Inmate 08/11/2020- Fight 06/13/2020- Assault on Inmate	Level 1	TRU	Level 1	TRU, SCH

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12/08/2020	Assault on Inmate, slashing	12/04/2020- Assault on Inmate, slashing	Level 1	TRU	Level 1	TRU
12/10/2020	Assault on Inmate, slashing, serious or persistent violence	12/07/2020- Assault on Inmate, slashing 09/25/2020- Assault on Inmate 09/23/2020- Disruptive behavior 08/20/2020- Fight	Level 1	TRU	Level 1	TRU
12/22/2020	Assault on Inmate, assault on Staff	12/22/2020- Assault on Inmate, assault on Staff	Level 2	GP	Level 2	ESH, Secure, TRU
12/21/2020	Assault on Inmate, slashing	12/20/2020- Assault on Inmate, slashing	Level 1	TRU	Level 1	TRU
12/10/2020	Assault on Inmate, assault on Staff slashing, serious or persistent violence	12/07/2020- Assault on Inmate, slashing 10/26/2020- Assault on Staff 10/20/2020- Assault on Staff	Level 1	TRU	Level 1	TRU
12/08/2020	Assault on Inmate, slashing	12/04/2020- Assault on Inmate, slashing	Level 1	TRU	Level 1	Secure, TRU
12/10/2020	Assault on Inmate, slashing,	12/07/2020- Assault on Inmate, slashing	Level 1	GP	Level 1	SCH, TRU

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12/22/2020	Assault on Inmate, SRG Active, slashing	12/22/2020- Assault on Inmate, SRG Active, slashing	Level 1	GP	Level 1	TRU
12/31/2020	Assault on Inmate, assault on Staff, serious or persistent violence	12/25/2020- Assault on Staff 12/22/2020- Assault on Staff 09/17/2020- Assault on Inmate 05/29/2020- Fight	Level 2	TRU	Level 2	ESH, TRU, Secure
12/08/2020	Assault on Inmate, slashing	12/04/2020- Assault on Inmate, slashing	Level 1	TRU	Level 1	TRU

Secure

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
12/09/2020	Possession of a dangerous article	11/25/2020- Possession of a dangerous article	Phase 1	GP	Phase 1	TRU, ESH, Secure
12/29/2020	Assault on Staff	12/22/2020- Assault on Staff	Phase 1	TRU	Phase 1	SCH, TRU, Secure
12/18/2020	Possession of a dangerous article	12/18/2020- Possession of a dangerous article	Phase 1	TRU	Phase 1	TRU
12/13/2020	Assault on Inmate, slashing	12/12/2020- Assault on Inmate, slashing	Phase 1	TRU	Phase 1	TRU
12/13/2020	Assault on Inmate, slashing	12/12/2020- Assault on	Phase 1	TRU	Phase 1	TRU

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		Inmate, slashing				
12/08/2020	Assault on Inmate, slashing	12/07/2020- Assault on Inmate, slashing	Phase 1	GP	Phase 1	N/A
12/11/2020	Assault on Inmate	12/10/2020- Assault on Inmate	Phase 1	GP	Phase 1	TRU
12/28/2020	Assault on Staff	12/18/20- Assault on Staff	Phase 1	CDU	Phase 1	Secure

- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release**

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
12/21/2020	Satisfied the requirements of ESH	42	Level 3	GP
12/21/2020	Satisfied the requirements of ESH	42	Level 3	GP
12/20/2020	Satisfied the requirements of ESH	216	Level 3	GP
12/21/2020	Satisfied the requirements of ESH	43	Level 3	GP

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Secure

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
12/04/2020	Completed All Three Phases	102	Phase 3	GP
12/09/2020	Completed All Three Phases	69	Phase 3	GP
12/15/2020	Completed All Three Phases	53	Phase 3	GP

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
12/31/2020	Level 1	Level 2	Review Recommendation
12/22/2020	Level 2	Level 3	Rehoused
12/31/2020	Level 1	Level 2	Review Recommendation
12/25/2020	Level 1	Level 2	Review Recommendation
12/15/2020	Level 1	Level 2	Review Recommendation
12/25/2020	Level 1	Level 2	Review Recommendation
12/31/2020	Level 1	Level 2	Review Recommendation
12/25/2020	Level 1	Level 2	Review Recommendation
12/15/2020	Level 1	Level 2	Review Recommendation

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Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
12/25/2020	Level 1	Level 2	Review Recommendation
12/31/2020	Level 3	Level 2	Fight
12/23/2020	Level 2	Level 3	Review Recommendation
12/31/2020	Level 1	Level 2	Review Recommendation
12/08/2020	Level 2	Level 3	Review Recommendation
12/15/2020	Level 1	Level 2	Review Recommendation

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
12/03/2020	Phase 2	Phase 3	Review Recommendation
12/18/2020	Phase 3	Phase 2	Assault on Inmate
12/30/2020	Phase 2	Phase 3	Review Recommendation
12/03/2020	Phase 2	Phase 3	Review Recommendation
12/03/2020	Phase 2	Phase 3	Review Recommendation
12/10/2020	Phase 3	Phase 1	Assault on Staff
12/10/2020	Phase 2	Phase 3	Review Recommendation
12/10/2020	Phase 1	Phase 2	Review Recommendation
12/18/2020	Phase 2	Phase 3	Review Recommendation

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Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
12/30/2020	Phase 3	Phase 2	Assault on Staff
12/21/2020	Phase 1	Phase 2	Review Recommendation
12/30/2020	Phase 2	Phase 1	Assault on Staff
12/18/2020	Phase 1	Phase 2	Review Recommendation

d. Average Daily Population in each phase/level and overall.

ESH

Level 1	Level 2	Level 3	Overall
3.6	5.1	3.1	11.9

SECURE

Phase 1	Phase 2	Phase 3	Overall
9.35	1.94	5.77	17.06

e. The number of young adults in each phase/level as of the 1st day of the month following the reporting period.

ESH

Level 1	Level 2	Level 3
0	15	5

SECURE

Phase 1	Phase 2	Phase 3
12	2	6

f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	356	417
Secure	209	278

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- g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level;**

Length of Stay in ESH ¹ (days; N=387)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH ²	Overall
Average	33	55	56	25	34	86
Median	30	29	41	22	30	59
Minimum	2	0	0	0	1	2
Maximum	135	326	408	111	105	472

Overall Length of Stay in ESH by Release Reason ³ (days; N=123)						
	Not recommended by adjudication	Discharged or transferred from DOC custody	Moved for security/health reasons	Satisfied the requirements of ESH	Regressed to Phase 1, Re-adjudicated	Moved to Less Restrictive Unit
Average	-	59.32	83.00	77.88	154.00	39.18
Median	-	30.00	68.00	64.00	60.00	31.00
Minimum	-	3.00	6.00	42.00	27.00	5.00
Maximum	-	472.00	250.00	247.00	375.00	131.00

ESH Time in Program ⁴ (days) (N=20)				
	Level 1	Level 2	Level 3	Overall
Average	14.00	16.59	32.60	29.25
Median	13.00	8.00	25.00	14.00
Minimum	9.00	1.00	18.00	3.00
Maximum	30.00	150.00	77.00	257.00

¹ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

² This category refers to ESH before the level system was implemented.

³ Length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. Those included in the calculation are individuals who were age 18-21 upon entry into ESH.

⁴ Time in Program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 01/01/2021.

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Length of Stay in Secure (days; N=250) ⁵				
	Phase 1	Phase 2	Phase 3	Overall
Average	35.43	21.39	28.68	65.59
Median	28.00	14.00	23.00	60.00
Minimum	2.00	1.00	2.00	2.00
Maximum	229.00	112.00	134.00	301.00

Overall Length of Stay in Secure by Release Reason ⁶ days; N=250						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program –Step down from ESH	Other ⁷	Adjudication Determination
Average	87.43	38.10	59.00	30.19	46.00	22.67
Median	78.00	22.00	50.00	26.00	30.00	9.00
Minimum	19.00	2.00	2.00	9.00	2.00	6.00
Maximum	234.00	183.00	301.00	75.00	139.00	94.00

Secure Time in Program ⁸ (days) (N=20)				
	Phase 1	Phase 2	Phase 3	Overall
Average	32.70	23.60	36.13	62.15
Median	28.00	21.50	36.00	42.50
Minimum	3.00	8.00	7.00	3.00
Maximum	97.00	43.00	70.00	185.00

h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 7-day (ESH) and 21-day review (ESH).

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
16	11	7

i. The number of young adults who received such a review.

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
16	11	6

⁵ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

⁶ Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

⁷ This column represents all those who were released from Secure who do not fall into the other categories (i.e. temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

⁸ Time in Program is calculated for all individuals who were still in Secure on 01/01/2021.

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28-Day Reviews: All young adults received their scheduled reviews during the month of December.

7-Day Reviews: All young adults received their scheduled review during the month of December.

21-Day Reviews: One (1) young adult temporarily transferred out of the facility during their scheduled review for the month of December.

- j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

7-DAY REVIEW RECOMMENDATIONS:

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
12/29/2020	Level 1	Level 2	Level 2	12/31/2020	2	N/A
12/29/2020	Level 1	Level 2	Level 2	12/31/2020	2	N/A
12/17/2020	Level 1	Level 1	Level 1	12/17/2020	0	Disregarded rules and regulations, violent, aggressive behavior
12/24/2020	Level 1	Level 2	Level 2	12/25/2020	1	N/A
12/15/2020	Level 1	Level 2	Level 2	12/15/2020	0	N/A
12/17/2020	Level 1	Level 1	Level 1	12/17/2020	0	Disregarded rules and regulations, violent, aggressive behavior
12/24/2020	Level 1	Level 2	Level 2	12/25/2020	1	N/A

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12/28/2020	Level 1	Level 2	Level 2	12/31/2020	3 ⁹	N/A
12/17/2020	Level 1	Level 1	Level 1	12/17/2020	0	Disregarded rules and regulations, violent, aggressive behavior
12/24/2020	Level 1	Level 2	Level 2	12/25/2020	1	N/A
12/15/2020	Level 1	Level 2	Level 2	12/15/2020	0	N/A
12/17/2020	Level 1	Level 1	Level 1	12/17/2020	0	Disregarded rules and regulations
12/24/2020	Level 1	Level 2	Level 2	12/25/2020	1	N/A
12/29/2020	Level 1	Level 2	Level 2	12/31/2020	2	N/A
12/15/2020	Level 1	Level 2	Level 2	12/15/2020	0	N/A

⁹ The young adult's housing unit was closed on 12/31. The operational impacts of the closure resulted in a slight delay in the rehousing of the young adult. The facility has been reminded of the movement requirements and the requirement for notification when delays are necessary in exceptional circumstances to ensure the safety and security of the facility.

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21-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
12/21/2020	Level 3	GP	GP	12/21/2020	0	N/A
12/21/2020	Level 3	GP	GP	12/21/2020	0	N/A
12/16/2020	Level 2	Level 2	Level 2	12/16/2020	0	Violent, aggressive behavior, disregarded rules and regulations
12/24/2020	Level 2	Level 3	Level 3	12/23/2020	0	N/A
12/21/2020	Level 3	GP	GP	12/20/2020	0	N/A
12/21/2020	Level 3	GP	GP	12/21/2020	0	N/A

28-DAY REVIEW RECOMMENDATIONS

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
12/03/2020	Phase 2	Phase 3	Phase 3	12/03/2020	0	N/A
12/14/2020	Phase 3	GP	GP	12/15/2020	1	N/A
12/24/2020	Phase 1	Phase 1	Phase 1	12/24/2020	0	Violent, aggressive behavior, disregarding rules and regulations

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12/18/2020	Phase 3	Phase 2	Phase 2	12/18/2020	0	Violent, aggressive behavior, disregarding rules and regulations
12/30/2020	Phase 2	Phase 3	Phase 3	12/30/2020	0	N/A
12/03/2020	Phase 2	Phase 3	Phase 3	12/03/2020	0	N/A
12/03/2020	Phase 3	GP	GP	12/04/2020	1	N/A
12/10/2020	Phase 1	Phase 1	Phase 1	12/10/2020	0	Violent, aggressive behavior
12/03/2020	Phase 2	Phase 3	Phase 3	12/03/2020	0	N/A
12/10/2020	Phase 3	Phase 1	Phase 1	12/10/2020	0	Violent, aggressive behavior
12/10/2020	Phase 2	Phase 3	Phase 3	12/10/2020	0	N/A
12/18/2020	Phase 1	Phase 1	Phase 1	12/18/2020	0	Disregarded rules and regulations
12/24/2020	Phase 3	Phase 3	Phase 3	12/24/2020	0	Disregarded rules and regulations
12/10/2020	Phase 1	Phase 2	Phase 2	12/10/2020	0	N/A
12/18/2020	Phase 2	Phase 3	Phase 3	12/18/2020	0	N/A
12/30/2020	Phase 3	Phase 2	Phase 2	12/30/2020	0	Violent, aggressive behavior, disregarded rules and regulations

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Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
12/09/2020	Phase 3	GP	GP	12/09/2020	0	N/A
12/10/2020	Phase 2 ¹⁰	Phase 3	Phase 3	12/10/2020	0	N/A
12/21/2020	Phase 1	Phase 2	Phase 2	12/21/2020	0	N/A
12/30/2020	Phase 2	Phase 1	Phase 1	12/30/2020	0	Violent, aggressive behavior
12/18/2020	Phase 1	Phase 2	Phase 2	12/18/2020	0	N/A

- k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.

Progressions				
Housing Area	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (7-day reviews)	11	11	1.18	1
ESH (21-day reviews)	5	5	0	0
Secure (28-day reviews)	13	13	0.15	0

- l. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
100 Suits Community Partner	16	65	4	19
Coping Skills/Adversity	2	4	2	4
FARM Community Partner	16	65	4	19
Goal Setting	4	18	5	18

¹⁰ This Young Adult was regressed from phase 3 to phase 2 on 11/25 due to possession of contraband. This regression was not included in the previous report due to an administrative tracking error. Relevant members of staff have been reminded that all phase progression and regression must be clearly tracked and reported.

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Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Healthy Relationships	1	3	3	3
Structured Recreation	8	33	4	18
Youth Communication	4	15	4	15
Secure – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Anger Management	5	15	3	12
Community Meeting	9	21	2	13
Dialectical Behavioral Therapy	15	34	2	17
Goal Setting	1	3	3	3
Healthy Relationships	1	3	3	3
Individual Counseling	22	46	2	19
Interactive Journaling	5	11	2	11
Young Men’s Work	7	19	3	13
Youth Communication	9	23	3	13

ESH – Self- Guided Components ¹¹	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Distribution of Self-Guided Materials	117	480	4.1	32
ESH – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Anger Management	1	3	3.0	3
Community Meeting	1	7	7.0	7
Dialectical Behavioral Therapy	1	4	4.0	4
Goal Setting	3	8	2.7	6
Healthy Relationships	1	4	4.0	4
Individual Counseling	23	46	2.0	25
Young Men’s Work	1	2	2.0	2

¹¹ During the month of December, self-guided packets distributed to young adults in ESH consisted of a variety of materials on a given week. Materials distributed throughout the month included activity packets such as coloring pages and brainteasers, a CBT-based journaling series, and other evidence-based worksheets on a variety of topics including, but not limited to, relapse prevention, anger management, and young adult specific curricula.

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- m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	1	2	93
Monthly Rate	.13	.11	.58
Cumulative Count	138	80	4206
Cumulative Rate	6.82	7.56	11.24

- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).

Slashing/Stabbings	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	0	0	5
Monthly Rate	.00	.00	.03
Cumulative Count	37	11	142
Cumulative Rate	1.95	1.04	.38

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

January Monthly Report (Covering the period of December 1 – December 31, 2020)

- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	0	4	5
	Monthly Rate	.00	.23	.03
	Cumulative Count	85	55	384
	Cumulative Rate	4.48	5.20	1.03

- q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	305	217	2868
	Cumulative Rate	15.08	20.51	7.66

- r. The implementation of the Department’s plan to increase young adults’ participation in recreation, including the number, rate, and time of young adults’ recreation participation.

Implementation Update: The Department has implemented the recreation plan as previously submitted to the Board. The facility continues to afford young adults the daily opportunity to engage in outdoor recreation, as well as additional supplementary activities; however, each individual may opt to decline as they see fit. Young adults continue to state that they would rather opt for inside programming than outdoor recreation. In order to continue to follow social distancing guidelines programming staff were unable to facilitate structured activities in ESH in the month of December. The Department continues to provide books, as well as cognitive skills therapeutic packets within the unit. Further, in the month of December ESH security staff continued to offer individuals in Level 3 the supplemental opportunity to go to the gym area. This is a progression incentive offered specifically to those in Level 3.

	Total Count	Average Participation Per Day	YA ESH Average Daily Population
ESH1	2	0.07	3.6
ESH2	64	2.13	5.1
ESH3	16	0.53	3.1
Total	82	2.73	11.9