

**DOC PREA REPORTING STATUS FOR 2019
UPDATED 10/4/2019**

5-04 Supervision and Monitoring

2019

	Status	Due	Received	Comments
(f) The Department shall provide annually to the Board, in writing, all deviations or adjustments to such plans that the Department is required to document pursuant to subdivisions (b) and (e) of this section.	Received	3/1/2019	2/25/2019	
(g) The Department shall institute a one-year pilot program to install video surveillance cameras in Department vehicles used to transport inmates by July 31, 2017. They will provide a written report evaluating the results by Sept 1, 2018.	Received	3/1/2019	3/1/2019	This report is available on the Board's website.

5-12 Employee Training

2019

	Status	Due	Received	Comments
(g) The Department shall report in writing and on a <u>quarterly</u> basis, the number of their employees who have been trained <u>during that quarter</u> .				The Board has been receiving quarterly reports as required as of 05/01/2018.
--- Q1 Jan-March	Received	5/1/2019	5/1/2019	
--- Q2 April - June	Received	8/1/2019	7/30/2019	
--- Q3 July - Sept	Upcoming	11/1/2019	--	
--- Q4 Oct - Dec	Upcoming	2/1/2020	--	
(i) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for employees trained in the <u>previous year</u> .				The Board was notified by DOC that its training curriculum and trainer credentials have remained the same since 2016.
--- Training schedule	Received	3/1/2019	3/1/2019	
--- Training curriculum	Received	3/1/2019	3/1/2019	
--- Trainer credentials	Received	3/1/2019	3/1/2019	

5-13 Volunteer and Contractor Training

2019

	Status	Due	Received	Comments
(f) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for volunteers and contractors trained in the <u>previous year</u> .				DOC has reported that contractors are trained together with DOC staff and that its training curriculum and trainer credentials have remained the same since 2016.
--- Training schedule	Received	3/1/2019	2/28/2019	
--- Training curriculum	Received	3/1/2019	2/28/2019	
--- Trainer credentials	Received	3/1/2019	2/28/2019	

DOC PREA REPORTING STATUS FOR 2019

UPDATED 10/4/2019

		2019		
5-14 Inmate Education				
	Status	Due	Received	Comments
(g) The Department shall provide on an <u>annual basis</u> the inmate education schedules, education curriculum and the credentials of the persons providing such education to inmates for the <u>preceding year</u> .				
--- Education schedules	Received	3/1/2019	2/28/2019	The Dept.'s zero-tolerance policy and comprehensive PREA education are provided at intake and new admission orientation. DOC reports that orientations for people in custody are conducted at least twice a week and that scheduling varies by facility.
--- Education curriculum	Received	3/1/2019	2/28/2019	The Board received an inmate video orientation script and facilitator guide. The Dept. reports there have been no changes to this curriculum.
--- Education provider credentials	Received	3/1/2019	2/28/2019	PREA 4 hr/DCJS Certified. The Dept. reported there have been no changes to these credentials.
5-15 Specialized Training: Investigations				
	Status	Due	Received	Comments
(e) The Department shall provide on an <u>annual basis</u> the training schedules, training curriculum and credentials of the trainers of Investigators for the <u>previous year</u> .				
--- Training schedule	Received	3/1/2019	2/28/2019	In addition to the NIC training, two current DOC investigators have received Forensic Experiential Trauma Interview (FETI) training, and 11 DOC investigators have received Trauma-Informed Interview training. Lastly, the Department's PREA Attorney attended a CLE on Trauma Informed Interviewing.
--- Training curriculum	Received	3/1/2019	2/28/2019	National Institute of Corrections (NIC) Online Training.
--- Trainer credentials	Received	3/1/2019	2/28/2019	NIC Online Training NIC
5-18 Use of Screening Information				
	Status	Due	Received	Comments
(h) The Department shall provide in writing, placement of <u>each</u> transgender or intersex inmate, all information considered in making the determination and the reasons for the housing determination. The Department shall provide such information within two (2) days following the end of each <u>two-week period</u> thereafter.				
--- Jan-March	Received	Biweekly	Biweekly	The Board has identified gaps in the data it is receiving and is working with the Department to improve these reports.
--- April - June	Received	Biweekly	Biweekly	
--- July - Sept	Upcoming	Biweekly	Biweekly	
--- Oct - Dec	Upcoming	Biweekly	Biweekly	

DOC PREA REPORTING STATUS FOR 2019

UPDATED 10/4/2019

2019				
5-19 Protective Custody	Status	Due	Received	Comments
<p>(h) The Department shall provide on a <u>quarterly</u> basis to the Board the number of inmates at a high risk of sexual victimization placed in involuntary PC within 30 days of the end of <u>that quarter</u>. As per Standard 5-19 (g) the report should detail the basis for placement, the reason no alternative means was arranged, and the number of such inmates who remained for more than 30 days.</p>				
--- Q1 Jan-March	Received	5/1/2019	5/1/2019	0 placements.
--- Q2 April - June	Received	8/1/2019	7/30/2019	0 placements.
--- Q3 July - Sept	Upcoming	11/1/2019	--	
--- Q4 Oct - Dec	Upcoming	2/1/2020	--	
2019				
5-29 Post-Allegation Protective Custody	Status	Due	Received	Comments
<p>The Department shall provide on a <u>quarterly</u> basis the number of inmates who allegedly suffered sexual abuse and were placed in involuntary PC within 30 days of the end of <u>that quarter</u>.</p>				
The Department reports that no individuals in custody have been placed in involuntary PC.				
--- Q1 Jan-March	Received	5/1/2019	5/1/2019	
--- Q2 April - June	Received	8/1/2019	7/30/2019	
--- Q3 July - Sept	Upcoming	11/1/2019	--	
--- Q4 Oct - Dec	Upcoming	2/1/2020	--	
2019				
5-30 Criminal/Administrative Investigations	Status	Due	Received	Comments
<p>(r) At the conclusion of an investigation, the Department shall prepare a closing memorandum summarizing the findings. Within five (5) business days the Department shall provide a copy of it to the Board.</p>				
The Board will be completing its annual report of closing memos before the end of the year.				
	Received	Ongoing		

DOC PREA REPORTING STATUS FOR 2019
UPDATED 10/4/2019

5-39 Sexual Abuse Incident Reviews

2019

	Status	Due	Received	Comments
(f) The Department shall provide the Board with all sexual abuse incident review reports on a <u>quarterly</u> basis.				Per the 2019 5-40 allegations data, the Board believes it should be in receipt of at least 14 SAIRs from cases opened in 2019, as that is the number of substantiated or unsubstantiated sexual abuse cases that have been closed requiring SAIRs in the first 6 months of 2019. The Board is in receipt of one SAIR from a case opened in 2019. The Board has requested that the Department provide all SAIRs for 2019 to address this discrepancy.
--- Q1 Jan-March	Received	5/1/2019	5/1/2019	
--- Q2 April - June	Received	8/1/2019	7/30/2019	
--- Q3 July - Sept	Upcoming	11/1/2019	--	
--- Q4 Oct - Dec	Upcoming	2/1/2020	--	

5-40 Data Collection and Review

2019

	Status	Due	Received	Comments
(f) The Department shall provide incident level data <u>semiannually</u> , within 45 days of the end of <u>each six-month</u> period thereafter. This data should cover the <u>previous</u> 6 months.				The Board received the Department's initial 5-40 submission on August 15, 2019; however, this submission lacked some of the required data elements to the Board. On September 19, 2019, the Department provided all of the required data elements to the Board.
--- July - Dec	Received	2/14/2019	2/14/2019	
--- Jan - June	Received	8/14/2019	9/19/2019	
(k) The Department shall provide a <u>semiannual</u> assessment report within 45 days of the end of <u>each six-month</u> period thereafter.				
--- July - Dec	Received	2/14/2019	2/14/2019	
--- Jan - June	Received	8/14/2019	8/9/2019	

DOC PREA REPORTING STATUS FOR 2019

Updated 10/4/2019

5-41 Audits

2019

The Department shall provide a copy of all audit documents within two (2) business days after its submission to the auditors.

Lahcari Consulting, LLC conducted an audit at RMSC from May 29 - May 31, 2019. The report was finalized on July 14, 2019, and the Board received a copy of the audit September 10, 2019.

5-42 Variances

2019

The Department may apply for a variance from a specific subdivision or section of these Chapter 5 rules in accordance with the procedures and criteria set forth in § 1-15 of Chapter 1 of these Minimum Standards.