

**DOC PREA REPORTING STATUS FOR 2018
UPDATED 1/7/2019**

5-04 Supervision and Monitoring

2018

	Status	Due	Received	Comments
(d) The Department shall provide a written report to the Board of the progress toward developing and implementing facility staffing plans by July 15, 2017.				
(c) The Department shall provide the Board with each facility's staffing plan by Feb 1, 2018.	Received	2/1/2018	3/27/2018	DOC submitted facility-specific staffing plans to the Board on 3/27/18. BOC has reviewed and will provide written feedback to DOC before March 2019.
(g) The Department shall institute a one-year pilot program to install video surveillance cameras in Department vehicles used to transport inmates by July 31, 2017. They will provide a written report evaluating the results by Sept 1, 2018.	Missing	9/1/2018		On 9/1/18, DOC requested a variance to extend the deadline for submitting their report. The variance was denied at the 10/9/18 public meeting. The Department reports that it will submit its pilot evaluation report to the Board by 3/1/19.

5-12 Employee Training

2018

	Status	Due	Received	Comments
(g) The Department shall report in writing and on a <u>quarterly</u> basis, the number of their employees who have been trained during that quarter.				The Board has been receiving quarterly reports as required as of 05/01/2018.
--- Q1 Jan-March	Received	5/1/2018	5/2/2018	1,007 DOC staff trained.
--- Q2 April - June	Received	8/1/2018	8/1/2018	872 DOC staff trained.
--- Q3 July - Sept	Received	11/1/2018	10/26/2018	1,034 DOC staff trained.
--- Q4 Oct - Dec	Upcoming	2/1/2019	--	
(i) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for employees trained in the <u>previous year</u> .				The Board was notified by DOC that its training curriculum and trainer credentials have remained the same since 2016.
--- Training schedule	Received	3/1/2018	4/3/2017	
--- Training curriculum	Received	3/1/2018	9/7/2018	
--- Trainer credentials	Received	3/1/2018	9/7/2018	

5-13 Volunteer and Contractor Training

2018

	Status	Due	Received	Comments
(f) The Department shall provide on an <u>annual</u> basis the training schedules, training curriculum and credentials of the trainers for volunteers and contractors trained in the <u>previous year</u> .				DOC reported that in 2017 one 2hr volunteer training was conducted by PCMs. DOC also reported that contractors are trained together with DOC staff and that its training curriculum and trainer credentials have remained the same since 2016.
--- Training schedule	Received	3/1/2018	9/7/2018	
--- Training curriculum	Received	3/1/2018	3/5/2018	
--- Trainer credentials	Received	3/1/2018	9/7/2018	

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5-14 Inmate Education

2018

	Status	Due	Received	Comments
<p>(g) The Department shall provide on an <u>annual basis</u> the inmate education schedules, education curriculum and the credentials of the persons providing such education to inmates for the <u>preceding year</u>.</p>				
--- Education schedules	Received	3/1/2018	9/12/2018	
--- Education curriculum	Received	3/1/2018	9/12/2018	The Board has received an inmate video orientation script.
--- Education provider credentials	Received	3/1/2018	9/12/2018	PREA 4 hr/DCJS Certified

5-15 Specialized Training: Investigations

2018

	Status	Due	Received	Comments
<p>(e) The Department shall provide on an <u>annual basis</u> the training schedules, training curriculum and credentials of the trainers of Investigators for the <u>previous year</u>.</p>				
--- Training schedule	Received	3/1/2018	9/12/2018	National Institute of Corrections (NIC) Online Training - at will
--- Training curriculum	Received	3/1/2018	9/12/2018	NIC Online Training
--- Trainer credentials	Received	3/1/2018	9/12/2018	NIC

5-18 Use of Screening Information

2018

	Status	Due	Received	Comments
<p>(h) The Department shall provide in writing, placement of <u>each</u> transgender or intersex inmate, all information considered in making the determination and the reasons for the housing determination. The Department shall provide such information within two (2) days following the end of each <u>two-week period</u> thereafter.</p>				
--- Jan-March	Received	Biweekly	Biweekly	The Board has identified gaps in the data it is receiving and is working with the Department to improve these reports.
--- April - June	Received	Biweekly	Biweekly	
--- July - Sept	Received	Biweekly	Biweekly	
--- Oct - Dec	Receiving	Biweekly	Biweekly	

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5-19 Protective Custody

2018

	Status	Due	Received	Comments
<p>(h) The Department shall provide on a <u>quarterly</u> basis to the Board the number of inmates at a high risk of sexual victimization placed in involuntary PC within 30 days of the end of <u>that quarter</u>. As per Standard 5-19 (g) the report should detail the basis for placement, the reason no alternative means was arranged, and the number of such inmates who remained for more than 30 days.</p>				
--- Q1 Jan-March	Received	5/1/2018	4/30/2018	0 placements.
--- Q2 April - June	Received	7/31/2018	7/30/2018	1 placement due to media coverage.
--- Q3 July - Sept	Received	10/31/2018	10/30/2018	0 placements.
--- Q4 Oct - Dec	Upcoming	1/31/2019	--	

5-29 Post-Allegation Protective Custody

2018

	Status	Due	Received	Comments
<p>The Department shall provide on a <u>quarterly</u> basis the number of inmates who allegedly suffered sexual abuse and were placed in involuntary PC within 30 days of the end of <u>that quarter</u>.</p>				
<p align="right">Based on BOC recommendations, DOC is now submitting 5-19 and 5-29 reports together and reporting when no individuals in custody were placed in PC.</p>				
--- Q1 Jan-March	Received	5/1/2018	N/A	
--- Q2 April - June	Received	7/31/2018	N/A	
--- Q3 July - Sept	Received	10/31/2018	10/30/2018	
--- Q4 Oct - Dec	Upcoming	1/31/2019	--	

5-30 Criminal/Administrative Investigations

2018

	Status	Due	Received	Comments
<p>(r) At the conclusion of an investigation, the Department shall prepare a closing memorandum summarizing the findings. <u>Within five (5) business days</u> the Department shall provide a copy of it to the Board.</p>				
	Received	Ongoing		<p>The Department first started providing the Board with closing memos on 4/24/18.</p> <p>Since June 2018, the Board has been receiving closing memos as cases are closed.</p> <p>On 12/10/18, the Department reported to the Board that they had closed a total of 588 investigations to date. As of 12/17/18 (5 business days after the above report), the Board had received a total of 577 closing memo reports. The Board is actively working with the Department to reconcile this discrepancy.</p>

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5-39 Sexual Abuse Incident Reviews		2018		
	Status	Due	Received	Comments
(f) The Department shall provide the Board with all sexual abuse incident review reports on a <u>quarterly</u> basis.				
--- Q1 Jan-March	Received	5/1/2018	4/19/2018	The Board received 3 SAIRS for reviews occurring on 1/11/18, 1/17/18, and 3/6/18 for allegations reported in 2014, 2016, and 2016, respectively.
--- Q2 April - June	Missing	7/31/2018		The Board did not receive any SAIRS in Q2. On 9/7/18, the Board received a response from the Department indicating they were working to provide this information to the Board.
--- Q3 July - Sept	Missing	10/31/2018		The Board did not receive any SAIRS in Q3. On 12/11/18, the Department reported to the Board that the SAIRS backlog will be addressed by 3/1/19.
--- Q4 Oct - Dec	Upcoming	1/31/2019	--	
5-40 Data Collection and Review		2018		
	Status	Due	Received	Comments
(f) The Department shall provide incident level data <u>semiannually</u> , within 45 days of the end of <u>each six-month</u> period thereafter. This data should cover the <u>previous 6 months</u> .				
--- July - Dec (2017)	Received	2/14/2018	2/7/2018	There continue to be significant gaps in the incident-level data reported to the Board. Incident-level data files provided by DOC are missing many of the data elements outlined in 5-40(d). Board staff remain unable to reconcile DOC's incident-level data with DOC's public self-assessment reports. The Board recognized these deficiencies in its October 2018 Resolution. Board staff have requested a meeting with the Department to discuss this issue further.
--- Jan - June (2018)	Received	8/14/2018	9/7/2018	
(k) The Department shall provide a <u>semiannual</u> assessment report within 45 days of the end of <u>each six-month</u> period thereafter.				
--- July - Dec (2017)	Received	2/14/2018	3/15/2018	DOC's semi-annual assessment reports have not followed a consistent format or included all information required by the Standards. DOC's self-assessment reports do not consistently or adequately: define terms, distinguish between categories and subcategories of allegations and outcomes, include time periods and totals that can clearly be tracked within and across data reporting tables and across reports, or include findings and related corrective action for each facility.
--- Jan - June (2018)	Received	8/14/2018	8/14/2018	The Board recognized these deficiencies in its October 2018 Resolution. Board staff have requested a meeting with the Department to discuss the format of this public report to ensure clarity in future reporting.

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5-41 Audits

2018

The Department shall provide a copy of all audit documents within two (2) business days after its submission to the auditors.

The Moss Group conducted a mock audit at RMSC from Nov 13 - 15 2018. The report will be shared once received by the Department.

5-42 Variances

2018

The Department may apply for a variance from a specific subdivision or section of these Chapter 5 rules in accordance with the procedures and criteria set forth in § 1-15 of Chapter 1 of these Minimum Standards.

On Oct 9th the Department issued a variance request pertaining to 5-04(g). The variance was denied.