



The City of New York
BUSINESS INTEGRITY COMMISSION
100 Church Street · 20th Floor
New York · New York 10007
Tel. (212) 437-0500 · Fax (646) 500-7096

LABOR UNION OR LABOR ORGANIZATION OFFICER DISCLOSURE FORM

Pursuant to Local Law 55 of 2019, codified in Title 16-A, Chapter 1 of the Administrative Code of the City of New York (the “Admin. Code”), and rules promulgated thereunder, labor unions and labor organizations that represent or seek to represent employees in the collection, removal, transportation or disposal of putrescible waste must register with the New York City Business Integrity Commission (the “Commission”). In addition, each officer of such a labor union or labor organization is required to submit information to the Commission. Attached to this coversheet is the Labor Union/Labor Organization Officer Disclosure Form (the “Officer Disclosure Form”), which is to be completed by officers of trade waste labor unions and labor organizations in order to comply with these requirements.

Definitions of terms used in the Officer Disclosure Form are set forth in Title 16-A of the Admin. Code and in Title 17 of the Rules of the City of New York (“RCNY”). The term “racketeering activity” refers to offenses listed in the Racketeer Influenced and Corrupt Organizations Act (18 U.S.C. § 1961 *et seq.*), the New York Penal Law § 460.10(1), and related crimes.

Please read the attached Officer Disclosure Form thoroughly. Each officer is required to submit a separate disclosure form, providing truthful and complete information in response to each question. An officer is not required to disclose information related to a labor union or labor organization that is not required to be registered with the Commission. If a question is not

applicable, write “not applicable” or “N/A.” Any change in information disclosed on this form must be reported to the Commission in a notarized writing within ten (10) business days of the change. Information relating to the following matters should be reported only at the time of registration and at each subsequent registration renewal: matrimonial and family law matters, personal injury matters, landlord/tenant disputes, and small claims matters.

Any material false statement or omission made in connection with a submitted Officer Disclosure Form may be sufficient cause for the Commission to disqualify an officer pursuant to Admin. Code § 16-509(g) and § 16-504(i). The Commission will not seek disqualification pursuant to Admin Code § 16-509(g) based solely on an officer’s associations with members of a labor union or labor organization occurring in the normal course of the officer’s duties at the labor union or labor organization.

This coversheet is intended to assist officers of trade waste labor unions and labor organizations comply with the Commission’s registration and disclosure requirements, and it does not supersede or serve as a substitute for any law or rule. Copies of applicable laws and rules are available at the office of the Commission, and on the Commission’s website, www.nyc.gov/bic.



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**LABOR UNION/LABOR ORGANIZATION OFFICER
 DISCLOSURE**

----- OFFICE USE ONLY -----

APPLICATION #: _____ DATE RECEIVED: _____

RECEIVED BY: _____

***(Asterisk) denotes material information. Any change in this information must be reported to the Business Integrity Commission in a notarized writing within ten (10) business days of the change. See Chapter 17, Rules of the City of New York §1-01.**

*1. Name of the officer (first, middle, and last), include maiden name where applicable:

2. List all aliases, nicknames, maiden name, or any other name(s) or name changes, legal or otherwise.

*3. Home Address:

4. Date of Birth: _____

5. Social Security Number/ITIN _____

*6. Home Telephone Number(s): _____

*7. Cellular Telephone Number(s): _____

*8. E-mail Address(es): _____

*9. Name of the officer's labor union or labor organization and local that has members involved in the collection, removal, or transport of trade waste in New York City:

Name: _____

*10. Position with the officer's labor union or labor organization and local that has members involved in the collection, removal, or transport of trade waste in New York City:

CRIMINAL, ADMINISTRATIVE, AND INVESTIGATIVE HISTORY

*11. Have you ever been convicted of any criminal offense in any jurisdiction?

NOTE: In answering this question, **DO NOT** include information regarding any criminal action or proceeding that was followed by a termination in your favor pursuant to New York Criminal Procedure Law § 160.50, by a youthful offender adjudication pursuant to New York Criminal Procedure Law § 720.35, by a conviction for a violation sealed pursuant to New York Criminal Procedure Law § 160.55, or by a conviction sealed pursuant to New York Criminal Procedure Law § 160.58 (conditional sealing of certain controlled substance, marihuana, or specified offenses). **It is not necessary to provide information relating exclusively to traffic violations.**

_____ Yes _____ No

If "Yes," provide the following information:

Date of Arrest	Date of Conviction	Indictment or Index No.	Charge(s) and Sentence	Court and Jurisdiction

*12. Are there any criminal charges pending against you in any jurisdiction? **It is not necessary to provide information relating exclusively to traffic violations.**

_____ Yes _____ No

If "Yes," provide the following information:

Date of Arrest	Indictment or Index No.	Charges	Court and Jurisdiction

*13. Are there any civil actions pending against you in any jurisdiction. **It is not necessary to provide information relating exclusively to traffic violations or to administrative proceedings before the National Labor Relations Board, the Equal Employment Opportunity Commission, the Department of Labor, the Occupational Safety and Health Administration, and their state counterparts.**

_____ Yes _____ No

If "Yes," provide the following information:

Docket or Case No. and Date	Charge	Status	Court and Jurisdiction

*14. During the past five (5) years have you¹:

a. received a subpoena to testify before any court or administrative body in a proceeding that you know involved potential criminal violations, or racketeering activity as defined in 18 U.S.C. § 1961 and/or the offenses listed in New York Penal Law § 460.10(1)?

_____ Yes _____ No

b. received a subpoena requiring the production of documents or information in connection with a civil investigation by a federal, state or local prosecutorial, investigative or regulatory agency?

_____ Yes _____ No

c. been informed that you have been the target or subject of any civil investigation by a federal, state or local prosecutorial, investigative or regulatory agency?

_____ Yes _____ No

d. been informed that you have been the target or subject of any criminal investigation by a federal, state or local prosecutorial, investigative or regulatory agency?

_____ Yes _____ No

¹ It is not necessary to provide information related exclusively to traffic violations or to administrative proceedings before the National Labor Relations Board, the Equal Employment Opportunity Commission, the Department of Labor, the Occupational Safety and Health Administration, and their state counterparts.

If you answered "Yes" to any of questions 14(a) - (d) above, provide the following information:

Name of Court or Agency	Nature of Investigation or Action	Did you give testimony? If yes, provide date of testimony	Date of Investigation and/or Date Charges Were Brought	Status or Outcome

*15. Have you knowingly associated in any manner with any member or associate of organized crime?

_____ Yes _____ No

If you answered "Yes," provide an explanation below:

*16. Have you knowingly associated in any manner with a person who has been charged or convicted of racketeering activity?

_____ Yes _____ No

If you answered "Yes," provide an explanation below:

CERTIFICATION

This certification must be completed by the current officer before a notary public.

ANY MATERIAL FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS DISCLOSURE FORM MAY BE SUFFICIENT CAUSE FOR THE COMMISSION TO DISQUALIFY AN OFFICER OF A LABOR UNION OR LABOR ORGANIZATION PURSUANT TO SECTIONS 16-509(g) AND §16-504(i) OF THE NEW YORK CITY ADMINISTRATIVE CODE. IN ADDITION, SUCH FALSE SUBMISSION MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, _____, being duly sworn, state: that I am
(Full Name)

_____ of _____; and
(Title/Position) (Applicant Name)

I have read and understood the questions contained in the attached application and its attachments, which consist of a total of _____ pages. To the best of my knowledge, the information given in response to each question and in the attachment is full, complete and truthful. I understand that the New York City Business Integrity Commission may independently determine the accuracy and truth of the statements made in this application.

(Signature of Applicant)

By: _____

Sworn to before me

this _____ day of _____, 20 _____

Notary Public