

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nyc.gov/html/tsasc/pdf/tsasc_procurement_guidelines.pdf">http://www.nyc.gov/html/tsasc/pdf/tsasc_procurement_guidelines.pdf</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Fitch Inc

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 08/27/2013  
End Date:  
Amount: \$10,000  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1 State Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Rating Agency

2. Vendor Name: Marks Paneth & Shron LLP

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 06/30/2012  
End Date: 06/30/2015  
Amount: \$23,000  
Amount Expended for Fiscal Year: \$23,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 622 Third Ave.  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Auditor

Procurement Transactions Listing:

3. Vendor Name: The Bank Of New York

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 08/27/2013  
 End Date: 08/27/2015  
 Amount: \$5,026  
 Amount Expended for Fiscal Year: \$5,026  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Wall Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Financial Services

4. Vendor Name: Wells Fargo

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/25/2014  
 End Date: 05/24/2015  
 Amount: \$185,399  
 Amount Expended for Fiscal Year: \$185,399  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: D & O Insurance

Procurement Report for TSASC, Inc.

Run Date: 09/30/2015

Fiscal Year Ending: 06/30/2015

Status: CERTIFIED

---

Procurement Transactions Listing:

Additional Comments: