Procurement Report for New York City Transitional Finance Authority **Run Date: 09/30/2014**

Fiscal Year Ending: 06/30/2014 **Status: CERTIFIED**

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/tfa/html/procurement_information.html
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Report for New York City Transitional Finance Authority **Run Date: 09/30/2014**

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Procurement Transactions Listing:

1. Vendor Name: BB&T Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: $20,000
Amount Expended for Fiscal Year: $20,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1133 Avenue of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

2. Vendor Name: Bank of New York Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: $53,997
Amount Expended for Fiscal Year: $53,997
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 101 Barclay
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10286
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

3.

Vendor Name:	Bank of Nova Scotia
Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008
End Date:	
Amount:	$485,027
Amount Expended for Fiscal Year:	$485,027
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	101 Barclay
Address Line2:	
City:	NEW YORK
State:	NY
Postal Code:	10286
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Liquidity Provider

4.

Vendor Name:	Barclay's Capital Inc.
Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	09/16/2008
End Date:	
Amount:	$943,380
Amount Expended for Fiscal Year:	$943,380
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	745 7th Ave
Address Line2:	
City:	NEW YORK
State:	NY
Postal Code:	10019
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Underwriters

Procurement Transactions Listing:

5. Vendor Name: Barclays Bank PLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/16/2008
End Date:
Amount: $1,179,161
Amount Expended for Fiscal Year: $1,179,161
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

6. Vendor Name: Bayern LB

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $96,791
Amount Expended for Fiscal Year: $96,791
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

7. Vendor Name: Citigroup Global Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $559,751
Amount Expended for Fiscal Year: $559,751
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 388 Greenwich Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

8. Vendor Name: Command Financial Press

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/02/2008
End Date:
Amount: $14,141
Amount Expended for Fiscal Year: $14,141
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 75 Varick Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Printing

Procurement Transactions Listing:

9. Vendor Name: Creative Source Inc.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/26/2013
End Date: 02/28/2014
Amount: $10,126
Amount Expended for Fiscal Year: $10,126
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 27 West 24th Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10010
Plus 4:
Province Region:
Country: USA
Procurement Description: Graphic Designer

10. Vendor Name: Deloitte & Touche LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/30/2012
End Date:
Amount: $16,800
Amount Expended for Fiscal Year: $16,800
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 2 World Financial Center
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10281
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditors

Procurement Transactions Listing:

11. Vendor Name: Dexia Credit Local

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $603,396
Amount Expended for Fiscal Year: $603,396
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 445 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

12. Vendor Name: Fidelity Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: $138,331
Amount Expended for Fiscal Year: $138,331
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 401 E 60th St
Address Line2: #36B
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

13. Vendor Name: Fulbright & Jaworski

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2009
End Date:
Amount: $180,000
Amount Expended for Fiscal Year: $180,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 666 5th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10103
Plus 4:
Province Region:
Country: USA
Procurement Description: Bond Counsel

14. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $1,822,533
Amount Expended for Fiscal Year: $1,822,533
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 200 West Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10282
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

15. Vendor Name: J.P. Morgan Securities Inc.

Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008
End Date:	
Amount:	$3,404,071
Amount Expended for Fiscal Year:	$3,404,071
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	23928 Network Pl
Address Line2:	
City:	CHICAGO
State:	IL
Postal Code:	60673
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Underwriters

16. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008
End Date:	
Amount:	$2,688,365
Amount Expended for Fiscal Year:	$2,688,365
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	1111 Fannin
Address Line2:	
City:	HOUSTON
State:	TX
Postal Code:	77002
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Liquidity Provider

Procurement Transactions Listing:

17. Vendor Name: Jefferies & Co.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/01/2009
End Date:
Amount: $181,874
Amount Expended for Fiscal Year: $181,874
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10002
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

18. Vendor Name: Landesbank Baden-Wurttemburg

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $923,810
Amount Expended for Fiscal Year: $923,810
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 280 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

19. Vendor Name: Landesbank Hessen-Thuringen

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $1,491,604
Amount Expended for Fiscal Year: $1,491,604
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 420 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10018
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

20. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $148,068
Amount Expended for Fiscal Year: $148,068
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

21. Vendor Name: M.R. Beal & Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $32,754
Amount Expended for Fiscal Year: $32,754
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 110 Wall Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

22. Vendor Name: Merrill Lynch PF&S Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $2,790,640
Amount Expended for Fiscal Year: $2,790,640
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 250 Vesey St
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10080
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

23. Vendor Name: Mohanty Gargiulo LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/04/2011
End Date: 06/30/2016
Amount: $9,215
Amount Expended for Fiscal Year: $9,215
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 100 Park Avenue
Address Line2: Suite 1600
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA

Procurement Description: SWAP Advisor

24. Vendor Name: Morgan Stanley & Co. Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $2,212,172
Amount Expended for Fiscal Year: $2,212,172
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One NY Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

25. Vendor Name: Oppenheimer & Co. Inc.

Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	09/17/2009
End Date:	
Amount:	$63,447
Amount Expended for Fiscal Year:	$63,447
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	85 Broad Street
Address Line2:	
City:	NEW YORK
State:	NY
Postal Code:	10004
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Underwriters

26. Vendor Name: PFM Asset Management LLC

Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	07/11/2011
End Date:	06/30/2016
Amount:	$101,143
Amount Expended for Fiscal Year:	$101,143
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	2 Logan Square
Address Line2:	Suite 1600
City:	PHILADELPHIA
State:	PA
Postal Code:	19103
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Financial Advisor

Procurement Transactions Listing:

27. Vendor Name: Prager & Co. LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: $10,875
Amount Expended for Fiscal Year: $10,875
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1140 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10001
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

28. Vendor Name: Public Financial Management Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/04/2011
End Date: 06/30/2016
Amount: $300,907
Amount Expended for Fiscal Year: $300,907
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 2 Logan Square
Address Line2: Suite 1600
City: PHILADELPHIA
State: PA
Postal Code: 19103
Plus 4:
Province Region:
Country: USA
Procurement Description: Financial Advisor

Procurement Transactions Listing:

29. Vendor Name: Public Resources Advisory Group

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/04/2011
End Date: 06/30/2016
Amount: $338,757
Amount Expended for Fiscal Year: $338,757
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 40 Rector Street
Address Line2: suite 1600
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Financial Advisor

30. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $192,405
Amount Expended for Fiscal Year: $192,405
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

31. Vendor Name: Ramirez & Co. Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $118,861
Amount Expended for Fiscal Year: $118,861
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York NY ?
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

32. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $118,209
Amount Expended for Fiscal Year: $118,209
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Pennsylvania Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

33. Vendor Name: Rice Financial Products

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: $14,800
Amount Expended for Fiscal Year: $14,800
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

34. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $60,327
Amount Expended for Fiscal Year: $60,327
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Exchange Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

35. Vendor Name: Royal Bank of Canada

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $849,718
Amount Expended for Fiscal Year: $849,718
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1:
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

36. Vendor Name: Sidley Austin LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $655,000
Amount Expended for Fiscal Year: $655,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 787 Seventh Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Bond Counsel

Procurement Transactions Listing:

37. Vendor Name: Siebert Brandford Shank & Co. LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $77,555
Amount Expended for Fiscal Year: $77,555
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

38. Vendor Name: Southwest Securities Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: $53,550
Amount Expended for Fiscal Year: $53,550
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 90 Matawan Road
Address Line2:
City: MATAWAN
State: NJ
Postal Code: 07747
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

39. Vendor Name: State Street Bank & Trust Company N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/28/2012
End Date:
Amount: $1,917,448
Amount Expended for Fiscal Year: $1,917,448
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 33 Maiden Lane
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10038
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

40. Vendor Name: State Street Bank & Trust Company N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/01/2007
End Date:
Amount: $34,975
Amount Expended for Fiscal Year: $34,975
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 33 Maiden Lane
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10038
Plus 4:
Province Region:
Country: USA

Procurement Description: Investments

Procurement Transactions Listing:

41. Vendor Name: Sterne Agee & Leach Inc.

Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	12/20/2012
End Date:	
Amount:	$7,758
Amount Expended for Fiscal Year:	$7,758
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	277 Park Ave
Address Line2:	
City:	NEW YORK
State:	NY
Postal Code:	10172
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Underwriters

42. Vendor Name: Stifel Nicolaus & Co. Inc.

Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008
End Date:	
Amount:	$81,906
Amount Expended for Fiscal Year:	$81,906
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	237 Park Avenue
Address Line2:	
City:	NEW YORK
State:	NY
Postal Code:	10017
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Underwriters

Procurement Transactions Listing:

43. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/03/2010
End Date:
Amount: $2,198,419
Amount Expended for Fiscal Year: $2,198,419
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 277 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10172
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

44. Vendor Name: TD Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: $1,255,596
Amount Expended for Fiscal Year: $1,255,596
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 469 7th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10018
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

45. Vendor Name: TD Securities (USA) LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: $238,400
Amount Expended for Fiscal Year: $238,400
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

46. Vendor Name: The Bank of Tokyo-Mitsubishi UFJ Ltd.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/01/2011
End Date:
Amount: $1,943,014
Amount Expended for Fiscal Year: $1,943,014
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

47. Vendor Name: The Northern Trust Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/28/2012
End Date:
Amount: $350,253
Amount Expended for Fiscal Year: $350,253
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 65 E 55th S
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

48. Vendor Name: US BANK

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/28/2012
End Date:
Amount: $383,488
Amount Expended for Fiscal Year: $383,488
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

49. Vendor Name: US Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: $299,342
Amount Expended for Fiscal Year: $299,342
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

50. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: $470,462
Amount Expended for Fiscal Year: $470,462
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 463 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Report for New York City Transitional Finance Authority **Run Date: 09/30/2014**

Fiscal Year Ending: 06/30/2014 **Status: CERTIFIED**

Procurement Transactions Listing:

51. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/04/2010
End Date:
Amount: $266,342
Amount Expended for Fiscal Year: $266,342
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 463 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

Additional Comments: