

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/tfa/pdf/tfa_procurement_policy_09_27_12.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: BB&T Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date: 12/20/2012
Amount: \$21,972
Amount Expended for Fiscal Year: \$21,972
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1133 6 Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

2. Vendor Name: Bank of New York Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$18,588
Amount Expended for Fiscal Year: \$18,588
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 101 Barclay
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10286
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

3. Vendor Name: Bank of Nova Scotia

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$905,251
 Amount Expended for Fiscal Year: \$905,251
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 101 Barclay
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10286
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

4. Vendor Name: Barclay's Capital Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/16/2008
 End Date:
 Amount: \$2,269,077
 Amount Expended for Fiscal Year: \$2,269,077
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

5. Vendor Name: Barclay's Capital Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/04/2010
End Date:
Amount: \$2,013
Amount Expended for Fiscal Year: \$2,013
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

6. Vendor Name: Barclays Bank PLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/16/2008
End Date:
Amount: \$1,232,678
Amount Expended for Fiscal Year: \$1,232,678
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>7. Vendor Name: Bayern LB</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$121,339 Amount Expended for Fiscal Year: \$121,339 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 560 Lexington Ave Address Line2: City: NEW YORK State: NY Postal Code: 10022 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>	<p>8. Vendor Name: Cabrera Capital Markets Inc.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: 12/20/2012 Amount: \$13,447 Amount Expended for Fiscal Year: \$13,447 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 650 Fifth Avenue Address Line2: City: NEW YORK State: NY Postal Code: 10018 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
--	---

Procurement Transactions Listing:

9. Vendor Name: Citibank N.A.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,012
 Amount Expended for Fiscal Year: \$2,012
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 80 Broad Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

10. Vendor Name: Citigroup Global Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$1,095,969
 Amount Expended for Fiscal Year: \$1,095,969
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 388 Greenwich Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

11. Vendor Name: Command Financial Press

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/02/2008
End Date:
Amount: \$26,035
Amount Expended for Fiscal Year: \$26,035
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 75 Varick Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Printing

12. Vendor Name: Deloitte & Touche LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/30/2012
End Date:
Amount: \$47,013
Amount Expended for Fiscal Year: \$47,013
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 2 World Financial Center
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10281
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditors

Procurement Transactions Listing:

13. Vendor Name: Dexia Credit Local

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$704,717
 Amount Expended for Fiscal Year: \$704,717
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 445 Park Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

14. Vendor Name: Ellen Wolliner Inc. d/b/a CorchiaWollinerRhoda

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/27/2012
 End Date: 02/28/2013
 Amount: \$16,713
 Amount Expended for Fiscal Year: \$16,713
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 130 W 56th St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: CAFR Designer

Procurement Transactions Listing:

15. Vendor Name: Estrada Hinojosa & Company Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$13,638
Amount Expended for Fiscal Year: \$13,638
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 230 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10169
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

16. Vendor Name: Fidelity Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$192,605
Amount Expended for Fiscal Year: \$192,605
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 401 E 60th St
Address Line2: #36B
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

17. Vendor Name: Fulbright & Jaworski

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2009
 End Date:
 Amount: \$407,013
 Amount Expended for Fiscal Year: \$407,013
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 5th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10103
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Bond Counsel

18. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$682,722
 Amount Expended for Fiscal Year: \$682,722
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 200 West Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10282
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

19. Vendor Name: J.P. Morgan Securities Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$3,215,343
Amount Expended for Fiscal Year: \$3,215,343
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 23928 Network Pl
Address Line2:
City: CHICAGO
State: IL
Postal Code: 60673
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

20. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$2,923,227
Amount Expended for Fiscal Year: \$2,923,227
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1111 Fannin
Address Line2:
City: HOUSTON
State: TX
Postal Code: 77002
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

21. Vendor Name: Jackson Securities

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date: 12/20/2012
 Amount: \$2,012
 Amount Expended for Fiscal Year: \$2,012
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 11 Penn Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10001
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

22. Vendor Name: Jefferies & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 04/01/2009
 End Date:
 Amount: \$186,002
 Amount Expended for Fiscal Year: \$186,002
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10002
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

23. Vendor Name: Landesbank Baden-Wurttemberg

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$1,118,334
Amount Expended for Fiscal Year: \$1,118,334
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 280 Park Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

24. Vendor Name: Landesbank Hessen-Thuringen

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$1,633,062
Amount Expended for Fiscal Year: \$1,633,062
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 420 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10018
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

25. Vendor Name: Lloyds TSB Bank

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$855,759
 Amount Expended for Fiscal Year: \$855,759
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1095 Ave of Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

26. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,054,273
 Amount Expended for Fiscal Year: \$2,054,273
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

27. Vendor Name:	M.R. Beal & Company	28. Vendor Name:	Marks Paneth & Shron
Type of Procurement:	Financial Services	Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008	Award Date:	06/01/2008
End Date:		End Date:	12/31/2011
Amount:	\$118,078	Amount:	\$2,012
Amount Expended for Fiscal Year:	\$118,078	Amount Expended for Fiscal Year:	\$2,012
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	110 Wall Street	Address Line1:	622 Third Ave
Address Line2:		Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10005	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Auditors

Procurement Transactions Listing:

<p>29. Vendor Name: Merrill Lynch PF&S Inc.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$6,437,825 Amount Expended for Fiscal Year: \$6,437,825 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 250 Vesey St Address Line2: City: NEW YORK State: NY Postal Code: 10080 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>30. Vendor Name: Mohanty Gargiulo LLC</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 11/04/2011 End Date: 06/30/2016 Amount: \$2,213 Amount Expended for Fiscal Year: \$2,213 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 100 Park Ave Address Line2: 16th Floor City: NEW YORK State: NY Postal Code: 10017 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: SWAP Advisor</p>
---	--

Procurement Transactions Listing:

31. Vendor Name: Morgan Keegan & Company Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date: 12/20/2012
Amount: \$147,013
Amount Expended for Fiscal Year: \$147,013
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 535 Madison Avenue
Address Line2: #9
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

32. Vendor Name: Morgan Stanley & Co. Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$2,345,431
Amount Expended for Fiscal Year: \$2,345,431
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One NY Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

<p>33. Vendor Name: Oppenheimer & Co. Inc.</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 09/17/2009 End Date: Amount: \$89,641 Amount Expended for Fiscal Year: \$89,641 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 85 Broad Street Address Line2: City: NEW YORK State: NY Postal Code: 10004 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>34. Vendor Name: Orrick Herrington & Sutcliffe LLP</p> <p>Type of Procurement: Legal Services Award Process: Authority Contract - Competitive Bid Award Date: 07/01/2009 End Date: Amount: \$2,851 Amount Expended for Fiscal Year: \$2,851 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 51 W 52nd St Address Line2: City: NEW YORK State: NY Postal Code: 10019 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Bond Counsel</p>
---	---

Procurement Transactions Listing:

35. Vendor Name: PFM Asset Management LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2006
End Date: 06/30/2016
Amount: \$154,825
Amount Expended for Fiscal Year: \$154,825
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Arbitrage Consultant

36. Vendor Name: Portigon AG (formerly West LB)

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/30/2012
End Date:
Amount: \$28,836
Amount Expended for Fiscal Year: \$28,836
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 7 World Trade Center 250 Greenwich S
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10007
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

37. Vendor Name: Prager & Co. LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$9,153
Amount Expended for Fiscal Year: \$9,153
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1140 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10001
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriters

38. Vendor Name: Public Financial Management Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/04/2011
End Date: 06/30/2016
Amount: \$262,211
Amount Expended for Fiscal Year: \$262,211
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA

Procurement Description: Financial Advisor

Procurement Transactions Listing:

39. Vendor Name: Public Resources Advisory Group

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/04/2011
 End Date: 06/30/2016
 Amount: \$430,513
 Amount Expended for Fiscal Year: \$430,513
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Rector St.
 Address Line2: Suite 1600
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Financial Advisor

40. Vendor Name: Public Resources Advisory Group

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/01/2006
 End Date:
 Amount: \$2,013
 Amount Expended for Fiscal Year: \$2,013
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Rector St.
 Address Line2: Suite 1600
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Financial Advisor

Procurement Transactions Listing:

41. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$770,196
 Amount Expended for Fiscal Year: \$770,196
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

42. Vendor Name: RSM Mc Gladrey Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/01/2010
 End Date: 04/30/2015
 Amount: \$6,137
 Amount Expended for Fiscal Year: \$6,137
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1185 6th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: (Computer Consultant?)

Procurement Transactions Listing:

43. Vendor Name: Ramirez & Co. Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$200,745
Amount Expended for Fiscal Year: \$200,745
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York NY ?
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

44. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$2,012
Amount Expended for Fiscal Year: \$2,012
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Pennsylvania Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

45. Vendor Name: Rice Financial Products

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$74,696
Amount Expended for Fiscal Year: \$74,696
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriters

46. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$140,215
Amount Expended for Fiscal Year: \$140,215
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Exchange Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

47. Vendor Name: Royal Bank of Canada

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$876,528
 Amount Expended for Fiscal Year: \$876,528
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

48. Vendor Name: Sidley Austin LLP

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$1,009,014
 Amount Expended for Fiscal Year: \$1,009,014
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 787 Seventh Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bond Counsel

Procurement Transactions Listing:

49. Vendor Name: Siebert Brandford Shank & Co. LLC

50. Vendor Name: Societe Generale

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$244,632
 Amount Expended for Fiscal Year: \$244,632
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$2,012
 Amount Expended for Fiscal Year: \$2,012
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1221 6th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

51. Vendor Name: Southwest Securities Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$297,618
Amount Expended for Fiscal Year: \$297,618
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 90 Matawan Road
Address Line2:
City: MATAWAN
State: NJ
Postal Code: 07747
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

52. Vendor Name: State Street Bank & Trust Company N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/28/2012
End Date:
Amount: \$952,857
Amount Expended for Fiscal Year: \$952,857
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 33 Maiden Lane
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10038
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

53. Vendor Name: State Street Bank & Trust Company N.A.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2007
 End Date:
 Amount: \$356,573
 Amount Expended for Fiscal Year: \$356,573
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 33 Maiden Lane
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10038
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Investments

54. Vendor Name: Sterne Agee & Leach Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$6,476
 Amount Expended for Fiscal Year: \$6,476
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 277 Park Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

55. Vendor Name: Stifel Nicolaus & Co. Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$204,834
Amount Expended for Fiscal Year: \$204,834
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 237 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

56. Vendor Name: Stone and Youngberg LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date: 10/01/2011
Amount: \$2,012
Amount Expended for Fiscal Year: \$2,012
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 390 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

57. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/03/2010
 End Date:
 Amount: \$2,139,732
 Amount Expended for Fiscal Year: \$2,139,732
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 277 Park Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

58. Vendor Name: TD Bank NA

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/17/2009
 End Date:
 Amount: \$967,660
 Amount Expended for Fiscal Year: \$967,660
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 469 7th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

59. Vendor Name: TD Securities (USA) LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/01/2011
 End Date: 12/20/2012
 Amount: \$133,575
 Amount Expended for Fiscal Year: \$133,575
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

60. Vendor Name: The Bank of Tokyo-Mitsubishi UFJ Ltd.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/01/2011
 End Date:
 Amount: \$1,945,026
 Amount Expended for Fiscal Year: \$1,945,026
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

61. Vendor Name: The Northern Trust Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/28/2012
End Date:
Amount: \$238,073
Amount Expended for Fiscal Year: \$238,073
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 65 E 55th S
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

62. Vendor Name: The Williams Capital Group LP

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$10,674
Amount Expended for Fiscal Year: \$10,674
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 650 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

63. Vendor Name: US BANK

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/28/2012
End Date:
Amount: \$260,473
Amount Expended for Fiscal Year: \$260,473
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

64. Vendor Name: US Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/20/2012
End Date:
Amount: \$200,464
Amount Expended for Fiscal Year: \$200,464
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

65. Vendor Name: Wachovia Bank National Association

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date: 12/31/2008
Amount: \$2,012
Amount Expended for Fiscal Year: \$2,012
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 740502
Address Line2:
City: ATLANTA
State: GA
Postal Code: 30374
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

66. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/17/2009
End Date:
Amount: \$4,209,714
Amount Expended for Fiscal Year: \$4,209,714
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 463 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

67. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/04/2010
 End Date:
 Amount: \$654,652
 Amount Expended for Fiscal Year: \$654,652
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 463 Broadway
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

68. Vendor Name: West LB

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$119,088
 Amount Expended for Fiscal Year: \$119,088
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 280 Greenwich St
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10007
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

Additional Comments: