

**NEW YORK CITY COMPTROLLER'S OFFICE
CALENDAR YEAR 2011 CHECKLIST
AGENCY EVALUATION OF INTERNAL CONTROLS
DIRECTIVE # 1**

RESULTS OF EVALUATION

	Yes	No	Partial Compliance	Not Applicable
Part A Effectiveness and Efficiency	23	2	6	11
Part B Cash Receipts	9	1	3	14
Part C Imprest Funds	0	0	0	14
Part D Billings and Receivables	0	0	0	17
Part E Expenditures and Payables	8	1	2	33
Part F Inventory	0	0	0	25
Part G Payroll and Personnel	0	0	0	31
Part H MIS - Mainframe and Midrange	0	0	0	95
Part I MIS - PCs and LANs	0	0	0	171
Part J Internet Connectivity	0	0	0	19
Part K Risk Assessment, Data Classification & Information Security	0	2	0	5
Part L Incident Response	0	1	0	14
Part M Single Audit	0	0	0	18
Part N Licenses and Permits	0	0	0	16
Part O Violations Certificates	0	0	0	9
Part P Leases, Concessions, Franchises	0	0	0	14
Part Q Internal Audit Function	11	2	2	31
GRAND TOTALS:	51	9	13	537