

DEPARTMENT OF PROBATION

Ana Bermúdez, Commissioner



WHAT WE DO

The Department of Probation (DOP) helps build stronger and safer communities by working with and supervising people on probation, fostering positive change in their decision-making and behavior and expanding opportunities for them to move out of the criminal and juvenile justice systems through meaningful education, employment, health services, family engagement and civic participation. The Department supplies information and recommendations to the courts on both adult and juvenile cases to help inform sentencing and disposition decisions. In Family Court reports are also provided in family offense, custody, child support, visitation, adoption, and guardianship cases. The Department also uses research-informed practices to work with its clients to foster positive change. In total, the Department of Probation provides intakes services, investigations and supervision for more than 55,000 adult and juvenile cases per year, and supervises approximately 24,000 adults and 1,800 juveniles on any given day.

FOCUS ON EQUITY

The Department of Probation is committed to minimizing the adverse collateral consequences of being involved in the criminal and juvenile justice systems. These consequences, which include the inability to obtain meaningful employment, pursue education and access stable housing, impact not just those on probation but also their families and communities. Through service practices grounded in research and partnerships with community-based organizations, DOP fosters personal change, increases opportunities to thrive and strengthens communities, thereby building a more equal, and safer, City.

OUR SERVICES AND GOALS

SERVICE 1 Improve public safety by motivating clients using evidence-based principles and practice.

- Goal 1a Minimize clients' misconduct and non-compliance with the conditions of probation, based on risk level, to improve their chances of completing a probation supervision term successfully.
- Goal 1b Reduce the percent of probationers who re-offend.

SERVICE 2 Assist all probationers in minimizing contact with the criminal and juvenile justice systems.

- Goal 2a Establish individual achievement plans and promote early discharge for successful completion of probation conditions and programmatic objectives.
- Goal 2b Maximize the use of adjustment services at intake and alternatives-to-placement at disposition for juveniles.

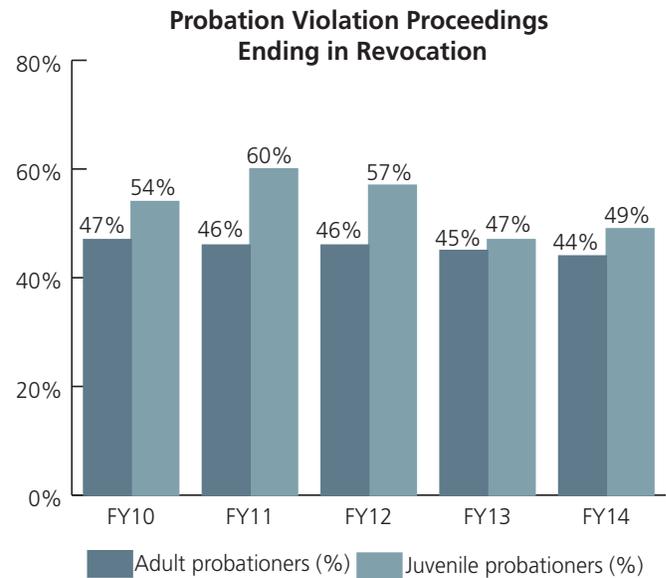
HOW WE PERFORMED IN FISCAL 2014

SERVICE 1 Improve public safety by motivating clients using evidence-based principles and practice.

Goal 1a Minimize clients' misconduct and non-compliance with the conditions of probation, based on risk level, to improve their chances of completing a probation supervision term successfully.

The rate of adult and juvenile probationers successfully completing their probation terms increased in Fiscal 2014; the adult success rate rose from 58 to 59 percent, and the juvenile rate increased two percentage points to 60 percent. The average monthly violation rate for adult probationers decreased to 0.8 percent, versus a rate of 0.9 percent in Fiscal 2013. Probation violation proceedings ending in revocation for adult clients decreased one percentage point to 44 percent.

The average monthly violation rate for juveniles was 3.0 percent, compared to 2.7 percent during the previous fiscal year, and revocations based on violation proceedings increased from 47 percent to 49 percent. The Department introduced a new risk and needs instrument for juveniles during Fiscal 2013 and began working with local judges to utilize a structured decision-making grid to guide sentencing of adjudicated juveniles. This standardization resulted in additional youth receiving a probation sentence in Fiscal 2014 via local, community-based alternative-to-placement programs, rather than being placed at a State Office of Children and Family Services facility, potentially driving increased violations in the short term. DOP continues to utilize a graduated response protocol in both adult and juvenile operations to intervene before misconduct is referred to court, and more proactively reaches out to probationers in jeopardy of a violation.



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Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
★Average monthly violation rate for adult probationers (%)	1.6%	1.0%	0.9%	0.9%	0.8%	*	*	Neutral	Down
★Average monthly violation rate for juvenile probationers (%)	2.7%	2.1%	2.8%	2.7%	3.0%	3.0%	3.0%	Down	Up
Successful completion rate for adult probationers (%)	NA	62.0%	64.0%	58.0%	59.0%	*	*	Up	NA
Successful completion rate for juvenile probationers (%)	NA	64.0%	62.0%	58.0%	60.0%	*	*	Up	NA
Probation violation proceedings ending in revocation for adult probationers (%)	47.0%	46.0%	46.0%	45.0%	44.0%	*	*	Down	Neutral
Probation violation proceedings ending in revocation for juvenile probationers (%)	54.0%	60.0%	57.0%	47.0%	49.0%	*	*	Down	Down

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

Goal 1b Reduce the percent of probationers who re-offend.

The average monthly rearrest rates for adult and juvenile probationers increased, consistent with a rise in overall arrests recorded in New York City in Fiscal 2014. The adult rate rose from 3.1 to 3.2 percent, while the juvenile rate increased from 2.7 to 3.5 percent. When viewed as a percentage of all NYPD arrests, adult rearrests increased to 2.9 percent, from 2.8 percent in Fiscal 2013, and the juvenile rate increased one tenth of a percent to 0.3 percent. The Department continues to focus its resources on individuals at highest risk of re-offending. DOP employs enhanced supervision requirements for these individuals and works with local stakeholders and community service providers to connect them to specialized programming aimed at increasing education, life skills and pro-social behavior. Targeted services are offered at the Department's borough supervision branches and its Neighborhood Opportunity Network (NeON) offices, which provide links to community resources in neighborhoods with the highest concentration of adult and juvenile probationers.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
★ Adult probationer rearrest rate (monthly average) (%)	3.0%	3.1%	3.0%	3.1%	3.2%	3.0%	3.0%	Down	Neutral
★ Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	2.7%	2.6%	2.6%	2.8%	2.9%	2.6%	2.6%	Down	Neutral
★ Juvenile probationer rearrest rate (monthly average) (%)	3.0%	3.0%	2.9%	2.7%	3.5%	2.6%	2.6%	Down	Neutral
★ Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	0.3%	0.3%	0.3%	0.2%	0.3%	*	*	Neutral	Down

★ Critical Indicator "NA" - means Not Available in this report ↕ shows desired direction

SERVICE 2 Assist all probationers in minimizing contact with the criminal and juvenile justice systems.

Goal 2a

Establish individual achievement plans and promote early discharge for successful completion of probation conditions and programmatic objectives.

During Fiscal 2014, early discharges represented 11 percent of all adult probation supervision case closings, compared to 12 percent the previous year. The decline was caused by a reduction in the number of eligible probationers due to an increased focus on discharging qualified candidates during Fiscal Years 2011 through 2013.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Adult probationer early discharge rate (%)	6%	19%	17%	12%	11%	*	*	Neutral	Neutral

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Goal 2b

Maximize the use of adjustment services at intake and alternatives-to-placement at disposition for juveniles.

The juvenile intake adjustment rate was 28 percent during the reporting period, a reduction of six percentage points from the previous fiscal year. This was primarily due to a substantial decrease in the number of juveniles most appropriate for adjustment services at intake, those with low-level misdemeanor arrest charges. The percentage of youth at intake with this risk and charge profile decreased from 28 percent in Fiscal 2013 to 15 percent in Fiscal 2014.

The rate of enrollments in alternative-to-placement (ATP) programs increased to 19 per 100 dispositions in Fiscal 2014, up from 17 ATP enrollments in Fiscal 2013. Total new enrollments of juveniles in ATP programs decreased 14 percent to 167, consistent with a reduction in the overall number of new supervision cases opened in Fiscal 2014 and increased enrollments in the Administration for Children's Services' Juvenile Justice Initiative program, another City-sponsored ATP program. DOP continues to work with local courts and service providers to identify youth appropriate for community supervision rather than placement.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
New enrollments in alternative-to-placement (ATP) programs	NA	NA	NA	194	167	*	*	Neutral	NA
Total probationers supervised in Enhanced Supervision Program (ESP)	1,290	1,287	1,158	977	738	*	*	Down	Down
★ Juvenile delinquency cases eligible for adjustment (%)	27.4%	40.0%	37.0%	34.0%	28.0%	32.0%	32.0%	Up	Neutral
- low-risk (%)	NA	NA	NA	47.0%	37.0%	*	*	Up	NA
- medium-risk (%)	NA	NA	NA	16.0%	12.0%	*	*	Neutral	NA
- high-risk (%)	NA	NA	NA	8.0%	7.0%	*	*	Neutral	NA

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AGENCY CUSTOMER SERVICE

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Customer Experience									
Completed requests for interpretation	20,835	19,393	18,764	16,505	16,520	*	*	Neutral	Down
Letters responded to in 14 days (%)	100%	100%	100%	97%	77%	*	*	Up	Down
E-mails responded to in 14 days (%)	92%	100%	100%	100%	100%	*	*	Up	Neutral

AGENCY RESOURCES

Resource Indicators	Actual					Plan ¹		5-year Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15	
Expenditures (\$000,000) ²	\$83.0	\$81.9	\$79.7	\$81.7	\$87.6	\$87.7	\$89.9	Neutral
Revenues (\$000)	\$3	\$198	\$266	\$268	\$282	\$302	\$302	Up
Personnel	1,169	1,024	976	952	960	1,051	1,015	Down
Overtime paid (\$000)	\$246	\$156	\$184	\$404	\$547	\$545	\$534	Up

¹Authorized Budget Level "NA" - Not Available in this report ²Expenditures include all funds.

NOTEWORTHY CHANGES, ADDITIONS OR DELETIONS

- A data reconciliation project associated with DOP's planned replacement of its existing automated case management system resulted in a revision of the following Mayor's Management Report performance indicator for Juvenile Operations: the 'Successful Completion Rate for Juvenile Probationers (%)' in Fiscal 2013 was 58 percent. The Preliminary Fiscal 2014 Mayor's Management Report published a successful completion rate of 63 percent.

ADDITIONAL RESOURCES

For more information on the agency, please visit: www.nyc.gov/dop.