

WHAT WE DO

The Department of Correction (DOC) provides for the care, custody and control of adults, persons 16 years of age and older, accused of crimes or convicted and sentenced to one year or less of incarceration. The Department operates 14 facilities, including 10 jails on Rikers Island, four borough houses of detention, one each in Brooklyn, the Bronx, Queens and Manhattan, court pens in each of the five boroughs, and two hospital prison wards, processes over 77,000 admissions and releases annually, and manages an average daily inmate population of approximately 11,400 individuals.

FOCUS ON EQUITY

A disproportionate number of people placed in Department of Correction (DOC) custody come from some of New York City's lowest income neighborhoods, including the South Bronx, central Brooklyn, northern Manhattan and eastern Queens. Efforts to improve jail conditions and inmate outcomes therefore inherently advance the city's concerns about equity. DOC currently operates two major re-entry initiatives designed to reduce recidivism—the Adolescent Behavioral Learning Experience (ABLE) for younger inmates and the Individualized Correction Achievement Network (I-CAN) for adults at the highest risk of coming back into custody—and collaborates with the Department of Health and Mental Hygiene to provide discharge planning for inmates with a mental health diagnosis. The Department also facilitates nearly 1,600 weekly visits to help inmates maintain contact with family and loved ones who can support them following their return to the community. DOC is part of Mayor Bill de Blasio's Task Force on Behavioral Health and the Criminal Justice System, which, as part of its efforts, is developing strategies to improve mental health care services for mentally ill people involved in the justice system, including those in custody. With an additional \$32 million in safety funding authorized for Fiscal 2015, the Department has begun implementing significant new measures, including specialized housing for mentally ill inmates, which are expected to reduce violence and improve long-term inmate outcomes.

OUR SERVICES AND GOALS

SERVICE 1 Provide a safe and secure environment for inmates, staff and host communities.

- Goal 1a Ensure the security and safety of inmates in DOC custody.
- Goal 1b Ensure that use of force is authorized and appropriate.
- Goal 1c Provide inmates with timely access to health services.
- Goal 1d Maximize bed capacity and address cell maintenance and repairs in a timely manner.
- Goal 1e Ensure timely transport of inmates to courts throughout the City.

SERVICE 2 Prepare inmates for return to their neighborhoods as civil and contributing members.

- Goal 2a Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.
- Goal 2b Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

SERVICE 3 Provide correction-related services and information to the public.

- Goal 3a Provide timely notifications to crime victims.

HOW WE PERFORMED IN FISCAL 2014

SERVICE 1 Provide a safe and secure environment for inmates, staff and host communities.

Goal 1a Ensure the security and safety of inmates in DOC custody.

During Fiscal 2014 the Department admitted 77,141 inmates, a 5.6 percent decrease from the 81,758 admitted during Fiscal 2013. The average daily population (ADP) was 11,408, down from 11,827 in Fiscal 2013. The average length of stay for inmates admitted during the fiscal year was 54 days, up from 53 days during the year-ago period. In Fiscal 2014 38 percent of the ADP had diagnosed mental illness, 39 percent were in-custody on a violent felony top charge and 20 percent were validated members of security risk groups. This compares with 36 percent, 39 percent and 17 percent, respectively, in Fiscal 2013. Ninety-three percent of the ADP was male and seven percent female. The median age for an inmate in custody in Fiscal 2014 was 34.2 years compared with 33.8 years in Fiscal 2013.

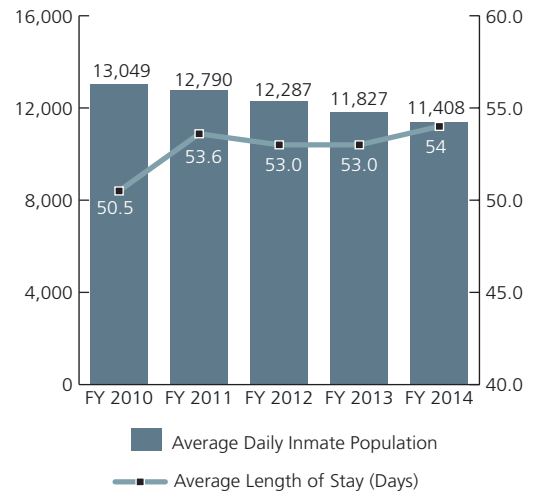
A rise of 16 percent in the number of inmate-on-inmate fights and a 29 percent increase in slashing/stabbing incidents contributed to the rise in the overall number of violent inmate-on-inmate incidents. The rate of serious injuries to inmates as a result of a violent inmate-on-inmate incident increased from 1.4 per 1,000 ADP to 1.8, a 29 percent increase. Overall incidents involving adolescent inmates decreased 15 percent in Fiscal 2014.

The Department disciplines inmates who assault staff and pursues their arrest and prosecution by the district attorney. Arrests of inmates for jail-based criminal misconduct increased 25 percent during the reporting period with 995 arrests in Fiscal 2014, up from 798 in Fiscal 2013. The most common reasons for jail-based arrests of inmates involved possession of contraband, assaults on staff and obstruction of government administration.

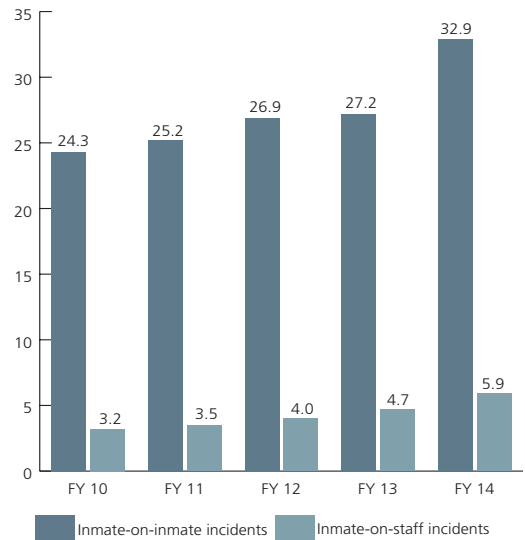
More searches of jail cells recovered more weapons during Fiscal 2014. The Department conducted 251,343 searches during Fiscal 2014, a one percent increase from Fiscal 2013. During the reporting period, the Department recovered 2,348 weapons, an increase of nine percent from Fiscal 2013.

Serious injuries to uniformed staff as a result of inmate assaults increased from 648 to 752. Assaults on staff encompass all physical contacts of any kind with a uniformed employee. Additionally, assaults on civilian staff increased from 17 to 54. Inmates with a mental illness diagnosis are involved in disproportionately more violent incidents between inmates and with staff, and many of these incidents occur in medical facilities in the jails. To reduce these incidents, DOC has been actively working with the Department of Health and Mental Hygiene (DOHMH), its correctional health provider and the unions representing healthcare staff to make physical plant changes in all facilities to improve safety. Security awareness seminars are conducted with health staff in all facilities. The Department will continue to work with all involved to ensure the safety of all staff and inmates in our facilities.

Inmate Population and Length of Stay



Violent Incidents (per 1,000 ADP)



Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Admissions	95,385	87,515	84,754	81,758	77,141	*	*	Neutral	Down
Average daily population	13,049	12,790	12,287	11,827	11,408	*	*	Neutral	Down
Fight/assault infractions	7,475	7,431	7,552	7,622	8,827	*	*	Down	Up
Jail-based arrests of inmates	526	642	650	798	995	*	*	Down	Up
Searches	203,403	215,038	225,501	247,868	251,343	*	*	Neutral	Up
Weapons recovered	1,213	1,901	2,324	2,162	2,348	*	*	Neutral	Up
★Violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	24.3	25.2	26.9	27.2	32.9	↓	↓	Down	Up
★Serious injury to inmate(s) as a result of violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	NA	1.2	1.3	1.4	1.8	↓	↓	Down	NA
★Inmate assault on staff (monthly rate per 1,000 ADP)	3.2	3.5	4.0	4.7	5.9	↓	↓	Down	Up
★Serious injury to staff as a result of inmate assault on staff (monthly rate per 1,000 ADP)	NA	0.27	0.27	0.20	0.39	↓	↓	Down	NA
★Escapes	1	0	0	1	0	↓	↓	Down	Down
★Non-natural deaths of inmates in custody	NA	NA	2	3	2	↓	↓	Down	NA

★ Critical Indicator "NA" - means Not Available in this report ↓ ↑ shows desired direction

Goal 1b Ensure that use of force is authorized and appropriate.

Incidents and allegations of use of force increased by nearly 24 percent during Fiscal 2014. More incidents of Department use of force resulted in injuries to those involved. The rate of serious injuries resulting from Department use of force increased from 0.92 in Fiscal 2013 to 1.18 in Fiscal 2014 due to a 25 percent increase in the number of these incidents in Fiscal 2014 from 129 incidents to 161 incidents. The number of uses of force resulting in no injury increased 40 percent, from 1,294 in Fiscal 2013 to 1,806 in Fiscal 2014. The number of uses of force on adolescent inmates declined significantly during the final quarter of the fiscal year, from 134 to 78. Where force is warranted, the Department uses the least restrictive means possible to achieve compliance; notably, the use of handheld chemical agents (OC spray) increased by 25 percent in Fiscal 2014.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
★Department use of force incidents with serious injury (rate per 1,000 ADP)	NA	NA	1.00	0.92	1.18	↓	↓	Down	NA
Department use of force incidents with minor injury (rate per 1,000 ADP)	NA	NA	8.15	10.95	13.23	*	*	Down	NA
Department use of force incidents with no injury (rate per 1,000 ADP)	NA	NA	7.20	9.11	13.19	*	*	Down	NA
Incidents and allegations of use of force	2,222	2,272	2,837	3,413	4,221	*	*	Down	Up

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Goal 1c Provide inmates with timely access to health services.

Inmates with a mental health diagnosis constitute a large and growing proportion of the population in custody. As of June 2014, 38 percent of DOC's average daily population had a mental health diagnosis, up from 37 percent in Fiscal 2013 and the highest rate since the Department began tracking this information in Fiscal 2004. To address the needs of this growing proportion of the jail population, DOC and DOHMH developed two new programs for mentally ill inmates: the Clinical Alternative to Punitive Segregation (CAPS) is for seriously mentally ill inmates who incur infractions and new Restricted Housing Units (RHU) are for those with non-serious mental health diagnoses who incur infractions.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Inmates with a mental health diagnosis (% ADP)	29.0%	32.0%	34.0%	37.0%	38.0%	*	*	Neutral	Up
Inmate health clinic visits	86,130	79,385	83,914	75,664	77,825	*	*	Neutral	Neutral
★ - Average clinic waiting time (minutes)	30	29	28	35	41	*	*	Neutral	Up

★ Critical Indicator "NA" - means Not Available in this report ↕ ↑ shows desired direction

Goal 1d Maximize bed capacity and address cell maintenance and repairs in a timely manner.

Population as a percent of capacity continued to decline during Fiscal 2014, to 86 percent, while fewer jail cells were unavailable due to repairs.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Jail-cells unavailable (short-term repair) (%)	0.9%	1.2%	1.8%	3.1%	2.8%	1.0%	1.0%	Down	Up
★ Population as percent of capacity (%)	93%	94%	92%	89%	86%	96%	96%	Neutral	Neutral

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Goal 1e Ensure timely transport of inmates to courts throughout the City.

Fewer on-trial inmates were delivered to court on-time for the fifth consecutive year in Fiscal 2014. On-time delivery of these inmates to court missed the target of 95 percent for the second consecutive year. DOC has enacted a management plan to ensure on-time court arrivals going forward. Inmates are staged earlier, buses depart on schedule and additional buses are deployed when necessary to transport inmates outside of the existing schedule. DOC notifies judges any time it is anticipated that an inmate will be late for a scheduled court appearance to allow for other business to proceed before the inmate arrives.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
★ On-trial inmates delivered to court on time (%)	99.7%	97.5%	96.9%	94.9%	94.2%	95.0%	95.0%	Up	Neutral

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SERVICE 2 Prepare inmates for return to their neighborhoods as civil and contributing members.

Goal 2a Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.

The Department continues its work to reduce recidivism. In February 2013, DOC introduced the Individualized Correction Achievement Network (I-CAN). I-CAN is a jail-based community reentry program for both pre-trial and sentenced inmates with the highest risk for readmission to the city jails. As of June 2014, there were 2,408 I-CAN enrollments in 1,580 workshops, which provide skill-building, cognitive behavioral therapy, job readiness training and family reunification support. The Department anticipates that this program will serve at least 2,270 inmates per year.

Adolescent inmates are all receiving cognitive behavioral therapy via the ABLE program. Funded by the first-in-the-nation Social Impact Bond, this program provides adolescent inmates (aged 16 and 17) with skills and tools to better manage their emotions and behavior. The program, which went to scale in January 2013, served 1,245 new inmates during Fiscal 2014. In addition, the Department has undertaken a comprehensive adolescent management strategy designed to better

manage the young people in its custody with improved custody management practices, smaller inmate-to-staff ratios and increased programming options.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
I-CAN Referrals	NA	NA	NA	1,420	4,117	*	*	Neutral	NA
★I-CAN Enrollments	NA	NA	NA	634	2,408	*	*	Neutral	NA
I-CAN Workshops	NA	NA	NA	333	1,580	*	*	Neutral	NA

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Goal 2b Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

More inmates participated in vocational skills training during Fiscal 2014 as the Department focuses on increasing inmate access to job training and workforce development services. Inmates are provided with training programs and certificate-bearing courses to help prepare them to enter the workforce upon release.

Average daily school attendance declined by 24 percent, primarily as a result of a 32 percent decrease in adolescent admissions that began in January 2013 and a change in New York State law in April 2014 that lowered the age of adulthood in a jail from the inmate's 19th birthday to his or her 18th birthday. The Department was required to separate 18 year olds from both adolescents and adults in order to comply with local standards and the result was a short-term decrease in school attendance as 18 year old inmates exercised their right to refuse school services. DOC and its partners at the Department of Education (DOE) expect school attendance among the young adult (aged 18 to 21) population to improve in Fiscal 2015.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Average daily number of inmates in vocational skills training programs	193	161	148	204	216	*	*	Up	Up
Average daily attendance in school programs	814	782	713	693	526	*	*	Neutral	Down
★Inmates participating in skills-building activities/discharge planning (%)	NA	10.0%	10.0%	10.9%	10.3%	10.0%	10.0%	Up	NA

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SERVICE 3 Provide correction-related services and information to the public.

Goal 3a Provide timely notifications to crime victims.

New Yorkers obtain information regarding incarcerated inmates through the Victim Identification and Notification Everyday (VINE) service. VINE registrations increased slightly from Fiscal 2013 to Fiscal 2014. VINE confirmed notifications increased by 6 percent to 18,445 from Fiscal 2012 to Fiscal 2013.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Victim Identification Notification Everyday (VINE) system registrations	32,308	20,558	16,111	14,929	15,291	*	*	Up	Down
VINE confirmed notifications	24,553	32,604	16,925	17,396	18,445	*	*	Up	Down

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AGENCY-WIDE MANAGEMENT

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Collisions involving city vehicles	NA	NA	69	60	104	*	*	Down	NA
Workplace injuries reported	NA	NA	NA	2,545	3,599	*	*	Down	NA
Accidents involving inmates	NA	NA	38	43	38	*	*	Down	NA

AGENCY CUSTOMER SERVICE

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15		
Customer Experience									
Letters responded to in 14 days (%)	85.0%	76.2%	91.4%	82.7%	99.6%	*	*	Up	Up
E-mails responded to in 14 days (%)	98.0%	93.5%	100.0%	99.9%	100.0%	*	*	Up	Neutral

AGENCY RESOURCES

Resource Indicators	Actual					Plan ¹		5-year Trend
	FY10	FY11	FY12	FY13	FY14	FY14	FY15	
Expenditures (\$000,000) ²	\$1,012.0	\$1,045.1	\$1,078.8	\$1,090.9	\$1,105.7	\$1,070.5	\$1,095.5	Neutral
Revenues (\$000,000)	\$23.1	\$22.8	\$24.4	\$22.5	\$21.7	\$21.8	\$21.7	Neutral
Personnel (uniformed)	8,772	8,456	8,540	8,991	8,922	8,882	9,109	Neutral
Personnel (civilian)	1,444	1,423	1,459	1,394	1,397	1,635	1,656	Neutral
Overtime paid (\$000,000)	\$97.4	\$113.8	\$144.7	\$154.6	\$110.3	\$74.6	\$93.4	Up
Capital commitments (\$000,000)	\$67.5	\$69.0	\$95.4	\$104.2	\$124.7	\$948.3	\$678.3	Up

¹Authorized Budget Level "NA" - Not Available in this report ²Expenditures include all funds.

NOTEWORTHY CHANGES, ADDITIONS OR DELETIONS

None.

ADDITIONAL RESOURCES

- Select annual indicators:
http://www.nyc.gov/html/doc/html/stats/doc_stats.shtml

For more information on the agency, please visit: www.nyc.gov/doc.