



What We Do

The Department of Probation (DOP) helps build stronger and safer communities by working with and supervising people on probation, fostering positive change in their decision-making and behavior, and expanding opportunities for them to move out of the criminal and juvenile justice systems through meaningful education, employment, health services, family engagement, and civic participation. The Department supplies information and recommendations to the courts in both adult and juvenile cases to help inform sentencing and disposition decisions. Presentence investigations are provided to the courts on convicted adults and adjudicated youthful offenders to aid in sentencing. Investigations and recommendations reports are prepared for the Family Court to aid in decision-making on delinquency, family offense, custody, child support, visitation, adoption, and guardianship cases. The Department provides intake services and investigations for more than 25,000 adults and 13,000 juveniles per year, and supervises approximately 24,000 adults and 1,800 juveniles on any given day.

Our Services and Goals

Service 1: Improve public safety by motivating clients using evidence-based principles and practice.

Goal 1a: Minimize clients' misconduct and non-compliance with the conditions of probation, based on risk level.

Goal 1b: Reduce the percent of probationers who re-offend.

Service 2: Assist all probationers in minimizing contact with the criminal and juvenile justice systems.

Goal 2a: Establish individual achievement plans and promote early discharge for successful completion of probation conditions and programmatic objectives.

Goal 2b: Maximize the use of adjustment services at intake and alternatives-to-placement for juveniles.

How We Performed in Fiscal 2013

Service 1: Improve public safety by motivating clients using evidence-based principles and practice.

Goal 1a: Minimize clients' misconduct and non-compliance with the conditions of probation, based on risk level.

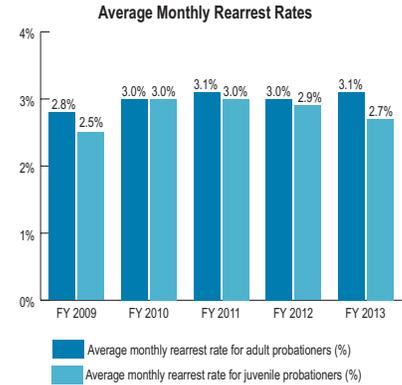
Since DOP began reporting probation violation rates in Fiscal 2010, the rate for adults has fallen 44 percent and is unchanged for juveniles. According to the New York State Division of Criminal Justice Services, in 2012 DOP's adult probationer annual violation rate was 72 percent lower than the combined rate for all other counties in the state. The average monthly violation rate for adult probationers remained unchanged at 0.9 percent in Fiscal 2013. The average monthly violation rate for juveniles was 2.7 percent in Fiscal 2013, compared to 2.8 percent in Fiscal 2012. DOP continues to utilize a graduated response protocol in both adult and juvenile operations to intervene before misconduct is referred to court, and more proactively reaches out to probationers in jeopardy of a violation.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY09	FY10	FY11	FY12	FY13	FY13	FY14		
★ Average monthly violation rate for adult probationers (%)	NA	1.6%	1.0%	0.9%	0.9%	*	*	Neutral	NA
★ Average monthly violation rate for juvenile probationers (%)	NA	2.7%	2.1%	2.8%	2.7%	2.4%	2.4%	Down	NA

★ Critical Indicator "NA" - means Not Available in this report ↓ ↑ shows desired direction

Goal 1b: Reduce the percent of probationers who re-offend.

DOP continues to focus its resources on individuals at highest risk of re-offending in both adult and juvenile operations. The average monthly re-arrest rate for adult probationers in Fiscal 2013 was 3.1 percent, compared to a rate of 3.0 percent in Fiscal 2012. When viewed as a percent of all NYPD arrests, adult re-arrests increased to 2.8 percent, compared to 2.6 percent in Fiscal 2012. The Department's policy of granting early discharges for qualified low-risk offenders resulted in a larger percent of high-risk clients on the adult supervision caseload in Fiscal 2013. The juvenile probationer re-arrest rate was 2.7 percent in Fiscal 2013, compared to 2.9 percent in Fiscal 2012. Juvenile re-arrests as a percent of NYPD arrests decreased to 0.2 percent, compared to 0.3 percent in Fiscal 2012. While adult and juvenile rearrests rates were above their Fiscal 2013 targets, the 5-year adult and juvenile trends are stable.



Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY09	FY10	FY11	FY12	FY13	FY13	FY14		
★ Adult probationer rearrest rate (monthly average) (%)	2.8%	3.0%	3.1%	3.0%	3.1%	3.0%	3.0%	Down	Neutral
★ Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	2.7%	2.7%	2.6%	2.6%	2.8%	2.6%	2.6%	Down	Neutral
★ Juvenile probationer rearrest rate (monthly average) (%)	2.5%	3.0%	3.0%	2.9%	2.7%	2.6%	2.6%	Down	Neutral
★ Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	0.2%	0.3%	0.3%	0.3%	0.2%	*	*	Neutral	Neutral

★ Critical Indicator "NA" - means Not Available in this report ↓ ↑ shows desired direction

Service 2: Assist all probationers in minimizing contact with the criminal and juvenile justice systems.

Goal 2a: Establish individual achievement plans and promote early discharge for successful completion of probation conditions and programmatic objectives.

Adult probationers who comply with both supervision and programmatic objectives and remain arrest-free can be recommended for early discharge. Individuals who are denied an early discharge must complete the full probation term imposed by a local court during sentencing. During Fiscal 2013, the early discharge rate for adult probationers was 12 percent, compared to 17 percent in Fiscal 2012. The decline was caused by a reduction in the number of eligible probationers due to an increased focus on discharging qualified candidates during Fiscal 2011 and 2012.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY09	FY10	FY11	FY12	FY13	FY13	FY14		
Adult probationer early discharge rate (%)	NA	6%	19%	17%	12%	*	*	Neutral	NA

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Goal 2b: Maximize the use of adjustment services at intake and alternatives-to-placement for juveniles.

DOP works with arrested youths, their families, crime victims, local courts and the police to avoid formal court proceedings whenever appropriate in a process called adjustment. Adjusting a case at intake provides youths with services and support, and prevents them from having a criminal record if the adjustment is completed successfully. The overall juvenile intake adjustment rate was 34 percent in Fiscal 2013, compared to 37 percent in Fiscal 2012. The Department introduced a new method of monitoring youths opened for adjustment in Fiscal 2013, which allows for more detailed tracking of adjustments based on risk level, so that DOP can focus diversion efforts on youths who are more likely to complete the

adjustment process successfully. In Fiscal 2013, the breakdown of youths enrolled in intake adjustment services by risk level was as follows: 47 percent for low-risk, 16 percent for medium-risk, and 8 percent for high-risk.

The Department continues to work with local courts and service providers to identify high risk youth who can be safely served in intensive community programming and supervision rather than being sent to state placement facilities, thereby keeping families and local support systems intact. The number of youths served by the Enhanced Supervision Program declined by 16 percent to 977, compared to 1,158 in Fiscal 2012. The overall number of juveniles supervised Citywide declined by 13 percent during the fiscal year. The Department began using a structured decision-making tool in Fiscal 2013 to aid probation officers in making sentencing recommendations based on a youth's risk level and needs, which could impact the number of recommendations to the Enhanced Supervision Program relative to past referral activity. The average daily enrollment in Esperanza, the City's first home-based alternative to placement program, was 90 youths, compared to 85 during Fiscal 2012.

Performance Indicators	Actual					Target		Desired Direction	5yr Trend
	FY09	FY10	FY11	FY12	FY13	FY13	FY14		
Youth participating in Esperanza	67	79	77	85	90	*	*	Neutral	Up
Total probationers supervised in Enhanced Supervision Program (ESP)	1,197	1,290	1,287	1,158	977	*	*	Down	Down
★ Juvenile delinquency cases eligible for adjustment (%)	NA	27.4%	40.0%	37.0%	34.0%	37.0%	37.0%	Up	NA
- low-risk (%)	NA	NA	NA	NA	47.0%	*	*	Up	NA
- medium-risk (%)	NA	NA	NA	NA	16.0%	*	*	Neutral	NA
- high-risk (%)	NA	NA	NA	NA	8.0%	*	*	Neutral	NA

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Agency Customer Service

The Department continued to respond to all correspondence in a timely fashion during Fiscal 2013.

Performance Indicators	Actual					Target		5yr Trend
	FY09	FY10	FY11	FY12	FY13	FY13	FY14	
Customer Experience								
E-mails responded to in 14 days (%)	82	92	100	100	100	100	100	Up
Letters responded to in 14 days (%)	100	100	100	100	97	100	100	Neutral
Completed customer requests for interpretation	5,900	20,835	19,393	18,764	16,505	NA	NA	Up

Agency Resources

Resource Indicators	Actual					Plan ¹		5yr Trend
	FY09	FY10	FY11	FY12	FY13	FY13	FY14	
Expenditures (\$000,000) ²	\$82.1	\$83.0	\$81.9	\$79.7	\$83.7	\$85.0	\$83.3	Neutral
Revenues (\$000)	\$4	\$3	\$198	\$266	\$268	\$1,021	\$1,021	NA
Personnel	1,149	1,169	1,024	976	952	1,019	1,002	Down
Overtime paid (\$000)	\$369	\$246	\$156	\$184	\$562	\$562	\$534	Up

¹Authorized Budget Level

²Expenditures include all funds.

"NA" - Not Available in this report

Noteworthy Changes, Additions or Deletions

- The Department introduced a new performance measure for juvenile intake adjustments in the Fiscal 2013 Mayor's Management Report. This indicator records youths enrolled in adjustment services by risk (low-, medium-, and high-risk). This new measure supplements the existing juvenile intake adjustment eligibility performance indicator Juvenile Delinquency Cases Eligible for Adjustment (%). The new indicator set will be reported as follows:

Juvenile Delinquency Cases Eligible for Adjustment (%)

Overall

Low-Risk

Medium-Risk

High-Risk

For more information on the agency, please visit: www.nyc.gov/dop.