



DEPARTMENT OF PROBATION

Vincent N. Schiraldi, Commissioner

Key Public Service Areas

- ✓ Monitor and enforce the conditions of probation.
- ✓ Maximize appropriate use of alternatives to Family Court and detention and out-of-home placement for juveniles.

Scope of Agency Operations

The Department of Probation (DOP) helps build stronger and safer communities by working with and supervising people on probation, fostering positive change in their decision-making and behavior and expanding opportunities for them to move out of the criminal and juvenile justice systems through meaningful education, employment, health services, family engagement and civic participation. The Department is required to supply information and recommendations to the courts on both adult and juvenile cases. Pre-sentence investigations are provided to the courts on convicted adults to aid in sentencing. Investigations and Recommendations Reports are prepared for the Family Court to aid in the decision-making on delinquency, custody, visitation, neglect and adoption cases. The Department provides intake services and investigations for more than 30,000 adults and 15,000 juveniles per year, and supervises approximately 24,000 adults and 2,000 juveniles on any given day.

Critical Objectives

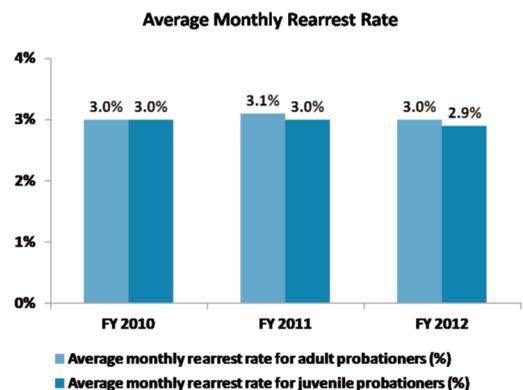
- Reduce re-offense rates by adult and juvenile probationers.
- Reduce detention and out-of-home placement of juvenile probationers.
- Reduce reliance on Family Court for intervention in juvenile delinquency cases.
- Increase early discharges and reduce unnecessary violations of probation.

Performance Report

✓ Monitor and enforce the conditions of probation.

- The Department continued to open Neighborhood Opportunity Network (NeON) offices, which are community-based centers designed to facilitate service delivery to clients. The NeON offices include educational, mentoring, and workforce development services funded by the Young Men's Initiative (YMI), a multi-agency effort to reduce disparities slowing the advancement of black and Latino males. More information on YMI is available on page xv of this report. The first NeON office opened in Brownsville in December 2011; NeONs also opened in Harlem in June 2012, Jamaica in July 2012, and the South Bronx in August 2012. NeONs will be opening on Staten Island and in East New York during Fiscal 2013.

- The average monthly rearrest rate for both adult and juvenile probationers fell during the reporting period by one tenth of one percent. When viewed as a percentage of all NYPD arrests, adult rearrests were unchanged, while juvenile rearrests decreased by .03 percent. DOP continues to focus its resources on individuals at highest risk of re-offending.



- The average monthly violation rate for adult probationers was 0.9 percent in Fiscal 2012, a 10 percent decrease from the Fiscal 2011 rate. DOP continues to utilize a graduated response protocol to intervene before misconduct is referred to court, and more proactively

reaches out to probationers in jeopardy of a violation. The average monthly violation rate for juveniles was 2.8 percent, compared to 2.1 percent for Fiscal 2011. The Department diverted many low-risk juveniles to adjustment services, resulting in a higher percentage of supervision cases for high-risk youth.

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
★ <i>Adult probationer rearrest rate (monthly average)(%)</i>	2.7%	2.8%	3.0%	3.1%	3.0%	*	*	Upward
★ <i>Juvenile probationer rearrest rate (monthly average)(%)</i>	2.1%	2.5%	3.0%	3.0%	2.9%	*	*	Upward
★ <i>Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)</i>	2.8%	2.7%	2.7%	2.6%	2.6%	*	*	Neutral
★ <i>Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)</i>	0.2%	0.2%	0.3%	0.3%	0.3%	*	*	Upward
<i>Average monthly violation rate for adult probationers (%)</i>	NA	NA	1.6%	1.0%	0.9%	*	*	NA
<i>Average monthly violation rate for juvenile probationers (%)</i>	NA	NA	2.7%	2.1%	2.8%	*	*	NA

★ Critical Indicator "NA" - means Not Available in this report

✓ **Maximize appropriate use of alternatives to Family Court and detention and out-of-home placement for juveniles.**

- The number of youth served by the Enhanced Supervision Program was 1,158 compared to 1,287 during Fiscal 2011. An 8 percent decrease in the overall number of juveniles supervised reduced the potential client population for this program. The average daily enrollment in Esperanza, the City’s first home-based alternative to placement program, was 85 up from 77 the previous fiscal year. The Department worked with local courts and service providers to identify youth appropriate for community supervision rather than placement.
- In Fiscal 2012 DOP participated in the Administration’s efforts to support the state’s Close to Home initiative, which would allow New York City youth housed in state non-secure and limited-secure facilities to be serviced locally by City-administered programs and facilities. This initiative is aimed at keeping youth involved in the criminal justice system closer to their families, neighborhoods, and local support services, rather than being housed in distant upstate communities.
- The juvenile intake adjustment rate was 37 percent during Fiscal 2012, a reduction of three percentage points from the previous fiscal year, based primarily on an increase in the number of cases that were not suitable for adjustment services and were referred to court.

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
<i>Total probationers supervised in Enhanced Supervision Program (ESP)</i>	1,083	1,197	1,290	1,287	1,158	*	*	Neutral
<i>Youth participating in Esperanza</i>	51	67	79	77	85	*	*	Upward
★ <i>Juvenile delinquency cases eligible for adjustment (%)</i>	NA	NA	27.4%	40.0%	37.0%	*	*	NA

★ Critical Indicator "NA" - means Not Available in this report

Agency Customer Service

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
Customer Experience								
Percent of e-mails responded to in 14 days	NA	82	92	100	100	NA	100	NA
Percent of letters responded to in 14 days	NA	100	100	100	100	NA	100	NA
Completed customer requests for interpretation	NA	5,900	20,835	19,393	18,764	NA	NA	NA

Agency Resources

Resource Statistics	Actual					Plan ¹		5-Yr.Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
Expenditures (\$ millions) ²	\$81.6	\$82.1	\$83.0	\$81.9	\$83.5	\$77.9	\$82.7	Neutral
Revenues (\$ thousands)	\$88	\$4	\$3	\$198	\$266	\$1,021	\$1,021	Upward
Personnel	1,224	1,149	1,169	1,024	976	1,064	1,034	Downward
Overtime paid (\$ millions)	\$0.3	\$0.4	\$0.2	\$0.2	\$0.5	\$0.5	\$0.5	Upward
¹ Authorized Budget Level "NA" - Not Available in this report ² Expenditures include all funds.								

Noteworthy Changes, Additions or Deletions

- Beginning in Fiscal 2013, the Mayor's Management Report will be restructured to focus on the goals that the agency intends to achieve during the fiscal year. Each goal will be accompanied by a performance measure or measures that will quantify the agency's progress toward achieving that goal. For Fiscal 2013, the Department's services and goals are:

Service 1: Monitor and enforce the conditions of probation using evidence-based principles and practice for adults and youth, to improve public safety.

Goal 1a: Work with clients to minimize misconduct and non-compliance with the conditions of probation, based on risk level.

Goal 1b: Reduce the percent of probationers who re-offend.

Service 2: Assist all probationers in minimizing contact with the criminal justice system.

Goal 2a: Establish individual achievement plans and promote early discharge for successful completion of probation conditions and programmatic objectives.

Goal 2b: Maximize the use of adjustment services at intake and alternatives-to-placement for juveniles.

For more information please visit the website at: www.nyc.gov/probation

