

DEPARTMENT OF CORRECTION

Dr. Dora B. Schriro, Commissioner

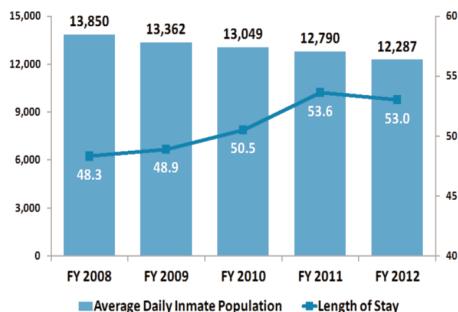
Key Public Service Areas

- ✓ Provide a safe and secure environment for inmates and staff.
- ✓ Provide access to services to prepare inmates for life after release.
- ✓ Provide correction-related services and information to the public.

Scope of Agency Operations

The Department of Correction (DOC) provides for the care, custody and control of persons 16 years of age and older, accused of crimes or convicted and sentenced to one year or less of incarceration. The Department operates 14 facilities, including 10 jails on Rikers Island, four borough houses of detention in Brooklyn, the Bronx, Queens and Manhattan, court pens in each of the five boroughs, and two prison hospital wards; processes over 84,500 admissions and releases annually; and manages an average daily inmate population of approximately 12,300 individuals.

Inmate Population and Length of Stay



Critical Objectives

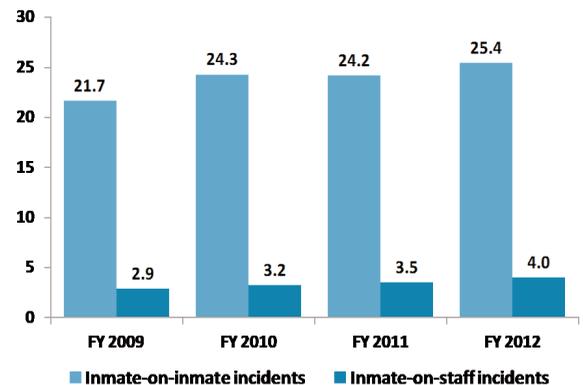
- Ensure the security and safety of inmates while in custody.
- Reduce inmate violence in correctional facilities.
- Provide inmates with access to health services.
- Efficiently manage bed capacity and cell maintenance and repair.
- Ensure timely transport of inmates to courts throughout the City.
- Ensure access to programs, including educational opportunities, drug abuse programs and vocational training.
- Notify crime victims when inmates are released and provide inmate information to the public.

Performance Report

✓ Provide a safe and secure environment for inmates and staff.

- The overall rate of inmate-on-inmate violent incidents increased in Fiscal 2012 from 24.2 to 25.4 per thousand, a 5 percent increase. The rate of inmate assaults on staff also went up from 3.5 to 4.0 per thousand, an increase of 9 percent. Both rates have

Violent Incidents (per 1,000 ADP)



increased over the four years that data has been available. The steady reduction in the prison population since Fiscal 2009 means that, while rates increased, the number of incidents and injuries remained relatively constant.

- In Fiscal 2012 the number of incidents of use of force increased by 444 or 20 percent. Ninety-four percent of the 2,410 uses of force resulted in no injury to either officer or inmate. In the remaining 6 percent of incidents, an officer and/or an inmate required medical assessment or treatment. Where force is warranted, the Department uses the least restrictive means possible to achieve compliance, notably, handheld chemical agents.
- The total number of inmate assaults on staff increased by 9 percent; the number of staff seriously injured as a result of an assault on staff decreased 5 percent. There was also an overall 2 percent decrease in the number of incidents resulting in a serious injury to either party in Fiscal 2012.

- The Department disciplines inmates who assault staff and vigorously pursues their arrest and prosecution by the district attorney. More inmates were disciplined and arrested during Fiscal 2012. Arrests for other acts against staff also increased by 3 percent. To ensure discipline is administered in a manner that is swift and certain, the Department increased its punitive segregation capacity, ensuring any inmate who seriously injures another inmate or assaults an employee is immediately separated and sanctioned.
- The Department increased its efforts to rid its facilities of weapons and dangerous contraband, increasing the number of searches conducted during the reporting period by 5 percent and acquiring state-of-art full body imaging equipment to find weapons secreted on and in inmates. The total number of weapons recoveries increased by 423, or 22 percent compared to Fiscal 2011.
- Non-natural inmate deaths remained unchanged during the period. Two non-natural deaths occurred in both Fiscal 2011 and Fiscal 2012. There were no escapes from custody during the reporting period.

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
Department use of force incidents with serious injury (rate per 1,000 ADP)	NA	NA	NA	NA	1.00	*	*	NA
Department use of force incidents with minor injury (rate per 1,000 ADP)	NA	NA	NA	NA	8.15	*	*	NA
Department use of force incidents with no injury (rate per 1,000 ADP)	NA	NA	NA	NA	7.20	*	*	NA
★ Violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	NA	21.7	24.3	24.2	25.4	*	*	NA
★ Serious injury to inmate(s) as a result of violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	NA	NA	NA	1.2	1.3	*	*	NA
★ Inmate assault on staff (monthly rate per 1,000 ADP)	NA	2.9	3.2	3.5	4.0	*	*	NA
★ Serious injury to staff as a result of inmate assault on staff (monthly rate per 1,000 ADP)	NA	NA	NA	0.3	0.3	*	*	NA
Jail-based arrests of inmates	751	567	526	642	650	*	*	Neutral
Fight/assault infractions	6,109	6,696	7,475	7,431	7,552	*	*	Upward
Searches	208,440	214,605	203,403	215,038	225,501	*	*	Neutral
Weapons recovered	1,439	1,295	1,213	1,901	2,324	*	*	Upward
★ Escapes (monthly rate per 1,000 ADP)	NA	0.01	0.01	0.00	0.00	*	*	NA
★ Non-natural inmate deaths in custody (monthly rate per 1,000 ADP)	NA	0.00	0.01	0.01	0.01	*	*	NA
Inmate health clinic visits	88,110	92,558	86,130	79,385	83,914	*	*	Neutral
- Average clinic waiting time (minutes)	27	23	30	29	28	*	*	Upward
Jail-cells unavailable (short-term repair)(%)	0.9%	0.8%	0.9%	1.2%	1.8%	1.0%	1.0%	Upward
★ Population as percent of capacity (%)	95%	93%	93%	94%	92%	96%	96%	Neutral
Average cost per inmate per year (\$)	\$68,263	\$73,974	\$75,737	\$80,354	\$84,627	*	*	Upward
Inmates delivered to court	317,612	307,149	285,366	269,526	261,158	*	*	Downward
On-trial inmates delivered to court on time (%)	99.4%	99.6%	99.7%	97.5%	96.9%	95.0%	95.0%	Neutral

★ Critical Indicator "NA" - means Not Available in this report

✓ **Provide access to services to prepare inmates for life after release.**

- Adolescents, ages 16 to 18, comprise just 7 percent of the average daily population but account for 28 percent of all incidents in the jails. To address their safety and security, the Department has reassigned youth who had been in large dormitories to smaller cell housing units and made staffing and policy changes. These changes include: increased security staff and supervisors, an earlier evening curfew and the adoption of an incentive system to encourage school attendance and participation in counseling and behavioral programs.
- The Department is engaged in activities geared toward the adolescent population as part of the Young Men's Initiative (YMI). The Department and its partners began rolling out the behavioral therapy programs for adolescent inmates in order to develop better jail-based behaviors and reduce recidivism. Other YMI initiatives

focus on education outcomes including Regents diplomas and dialectical behavioral therapy for those adolescent inmates in mental observation housing.

- Persons with a mental health diagnosis now comprise one-third of the average daily population and account for 45 percent of all incidents in the jails. Fewer assaults on staff and uses of force occur where behavioral programs are in place. Staff interact with adolescent inmates and mentally ill inmates assigned to mental health observation units. These programs are being expanded to all mental health observation units department-wide in Calendar 2012. Additionally, the Mayor’s Citywide Justice and Mental Health Initiative will release its report with recommendations for better serving inmates with mental health diagnoses this fall.

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
★ <i>Inmates with a mental health diagnosis (% ADP)</i>	NA	27.0%	29.0%	32.0%	34.0%	*	*	NA
<i>Average daily attendance in school programs</i>	865.0	833.0	814.0	782.0	713.0	*	*	Downward
<i>Average daily number of inmates in vocational skills training programs</i>	125	188	193	161	148	*	*	Neutral
★ <i>Inmates participating in skills-building activities/discharge planning (%)</i>	NA	NA	NA	10.0%	10.0%	*	*	NA

★ Critical Indicator "NA" - means Not Available in this report

✓ **Provide correction-related services and information to the public.**

- After peaking in Fiscal 2010, Victim Identification and Notification Everyday (VINE) registrations declined by more than 20 percent from Fiscal 2011 to Fiscal 2012. In part due to fewer registrations, VINE confirmed notifications declined by nearly 50 percent to 16,925 from Fiscal 2011 to Fiscal 2012.

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
<i>Victim Identification Notification Everyday (VINE) system registrations</i>	5,475	8,020	32,308	20,558	16,111	*	*	Upward
<i>VINE confirmed notifications</i>	4,982	7,007	24,553	32,604	16,925	*	*	Upward

★ Critical Indicator "NA" - means Not Available in this report

Agency Customer Service

Performance Statistics	Actual					Target		5-Yr. Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
Customer Experience								
Percent of e-mails responded to in 14 days	NA	NA	98	94	100	NA	100	NA
Percent of letters responded to in 14 days	NA	NA	85	76	91	NA	95	NA

Agency Resources

Resource Statistics	Actual					Plan ¹		5-Yr.Trend
	FY08	FY09	FY10	FY11	FY12	FY12	FY13	
Expenditures (\$ millions) ²	\$965.7	\$1,010.2	\$1,012.0	\$1,045.1	\$1,082.9	\$1,022.8	\$1,050.1	Upward
Revenues (\$ millions)	\$19.8	\$21.3	\$23.1	\$22.8	\$24.1	\$23.5	\$23.6	Upward
Personnel (uniformed)	9,149	9,068	8,772	8,456	8,540	8,404	8,854	Neutral
Personnel (civilian)	1,484	1,485	1,444	1,423	1,459	1,752	1,756	Neutral
Overtime paid (\$ millions)	\$107.4	\$98.8	\$97.4	\$113.8	\$112.9	\$75.9	\$69.9	Upward
Capital commitments (\$ millions) ³	\$5.7	\$40.3	\$67.5	\$69.0	\$95.4	\$304.8	\$271.3	Upward

¹Authorized Budget Level ²"NA" - Not Available in this report
³Expenditures include all funds. ³To view the FY 2013 September Capital Commitment Plan upon its release, see www.nyc.gov/omb.

Noteworthy Changes, Additions or Deletions

- Beginning in Fiscal 2013, the Mayor's Management Report will be restructured to focus on the goals that the agency intends to achieve during the fiscal year. Each goal will be accompanied by a performance measure or measures that will quantify the agency's progress toward achieving that goal. For Fiscal 2013, the department's services and goals are:

Service 1: Provide a safe and secure environment for inmates, staff and host communities.

Goal 1a: Ensure the security and safety of inmates in DOC custody.

Goal 1b: Ensure that use of force is authorized and appropriate.

Goal 1c: Provide inmates with timely access to health services.

Goal 1d: Maximize bed capacity and address cell maintenance and repairs in a timely manner.

Goal 1e: Ensure timely transport of inmates to courts throughout the City.

Goal 1f: Reduce idleness by increasing inmate participation in mandated and other programs, service and activities.

Service 2: Prepare inmates for return to their neighborhoods as civil and contributing members.

Goal 2a: Prepare as many inmates as possible for release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions, and health, mental health and substance abuse services provided by DOC, DOE and DOHMH.

Service 3: Provide correction-related services and information to the public.

Goal 3a: Provide timely notifications to crime victims.

- The Department has replaced the indicator 'Incidents and allegations of Department use of force' with three new indicators that describe the level of injury sustained by persons involved in incidents where officers use force. These include the rates of a) 'Department use of force incidents with serious injury,' b) 'Department use of force incidents with minor injury,' and c) 'Department use of force incidents with no injury.' These new indicators provide disaggregated information about use of force incidents, are reported as rates to reflect changes in the census, and describe the level of injury sustained by persons involved in incidents where force is used. Minor injury is any injury requiring over-the-counter analgesics or minor first aid only; serious injury is any injury requiring medical assessment or treatment of any kind.

For more information please visit the website at: www.nyc.gov/doc