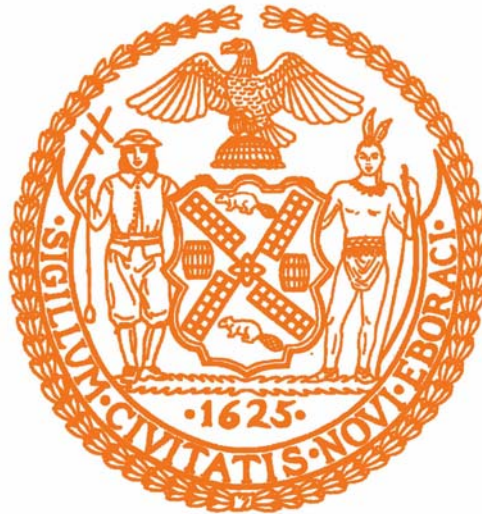


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**The City of New York
Mayor's
Management
Report**



Fiscal 2001

Volume II – Agency and Citywide Indicators

RUDOLPH W. GIULIANI

MAYOR

JOSEPH J. LHOTA

DEPUTY MAYOR FOR OPERATIONS

MICHAEL T. CARPINELLO

DIRECTOR, MAYOR'S OFFICE OF OPERATIONS

POLICE DEPARTMENT [056]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
GUN STRATEGY (a)				
o Reports of Shooting Victims	2,107	*	1,871	*
o Reports of Shooting Incidents	1,789	*	1,604	*
o Firearm Search Warrants (b)	124	*	326	*
o Arrests for Possession	2,726	*	3,141	*
o Weapons Confiscated	10,372	*	10,122	*
YOUTH STRATEGY (a)				
o Truants Returned to School	122,392	*	118,493	*
o Youth Referral Reports	119,943	*	109,022	*
o Juvenile Reports	17,821	*	18,033	*
DRUG STRATEGY (a)				
o Narcotics Search Warrants	3,525	*	3,321	*
o Drug Confiscations (Pounds) (b)				
- Heroin	1,155	*	1,495	*
- Cocaine	11,986	*	10,660	*
- Marijuana	19,269	*	11,815	*
o Narcotics Arrests	136,647	*	122,253	*
DOMESTIC VIOLENCE STRATEGY (a)				
o Domestic Incident Reports (DIRs)	252,902	*	233,617	*
o Family Dispute Radio Runs	134,117	*	142,608	*
o Family-Related Arrests	23,935	*	23,905	*
o Violations of Orders of Protection Arrests	4,012	*	4,013	*
QUALITY-OF-LIFE STRATEGY (a)				
o Prostitution Arrests	3,557	*	3,790	*
o Patronizing Prostitute Arrests	2,576	*	2,616	*
o Graffiti Arrests	1,657	*	1,480	*

POLICE DEPARTMENT [056]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Illegal Peddling Arrests	4,738	*	5,389	*
o Illegal Peddling Summonses	19,211	*	22,547	*
o Unreasonable Noise Summonses	4,866	*	4,254	*
COURTESY, PROFESSIONALISM, RESPECT STRATEGY				
o Total Tests Conducted (c)	6,363	6,000	7,297	6,000
o Test Results				
- Exceptionally Good	256	*	238	*
- Acceptable	6,043	*	7,059	*
- Below Standard	64	*	91 d	*
TRAFFIC ENFORCEMENT STRATEGY (a)				
o Total Violation Summonses (000) (e)	4,466	*	4,543	*
- Moving Violation Summonses (Officers) (000)	1,389	*	1,414	*
- Parking Violation Summonses (Officers) (000)	3,077	*	3,129	*
o Parking Violation Summonses (e) (Parking Enforcement Division) (000)	4,704	*	4,494	*
o Average Vehicle Speed – Midtown				
- Crosstown (MPH)	7.1	*	7.5	*
- Avenues (MPH)	8.1	*	10.2	*
o Violation and Target Tows	101,406	98,953	103,506	98,886
o Tows per Person-Day	3.8	4	3.7	3.9
Medallion Patrol				
o Summonses Issued	34,968	*	30,101	*
- Owners	23,933	*	18,554 f	*
- Drivers	11,035	*	11,347	*
- Refusals	589	*	78 g	*
o Summonses Issued per Person per Day (Parking Enforcement Division)				
- Foot Patrol	DNA	*	27	*
- Motorized	DNA	*	40	*

POLICE DEPARTMENT [056]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
UNIFORMED STAFFING				
o Average Uniform Headcount	40,078	*	40,045	*
o Operational Strength Pool	35,409	*	35,321	*
- Operational Strength (Avg. Daily)	18,369	*	18,273	*
o Tours of Duty Rescheduled	NI	NI	NI	NI
EMERGENCY RESPONSES (a)				
o 911 Calls (000) (e)(h)	11,064	*	11,720	*
o Total Radio Runs (000) (e)(h)	4,096	*	4,326	*
- By Patrol Borough				
- Manhattan North	499	*	538	*
- Manhattan South	456	*	441	*
- Brooklyn North	606	*	613	*
- Brooklyn South	670	*	700	*
- Queens North	499	*	495	*
- Queens South	425	*	506	*
- Bronx	781	*	862	*
- Staten Island	162	*	171	*
o NYPD Crime-in-Progress Radio Runs (000) (e)(h)				
- Total	413	*	394	*
- By Patrol Borough				
- Manhattan North	47	*	45	*
- Manhattan South	38	*	35	*
- Brooklyn North	74	*	69	*
- Brooklyn South	69	*	67	*
- Queens North	42	*	39	*
- Queens South	43	*	41	*
- Bronx	86	*	84	*
- Staten Island	14	*	14	*
o Avg. Response Time to Crime-in-Progress Calls (Minutes)				
- Citywide	10.7	*	10.1	*
- Critical	6.4	*	6	*
- Serious	11.3	*	9.8	*
- Non-Critical	19.2	*	17	*
- By Patrol Borough				
- Manhattan North	8.3	*	7.8	*
- Manhattan South	9	*	8.4	*
- Brooklyn North	11.9	*	10.7	*
- Brooklyn South	10.8	*	9.9	*
- Queens North	11	*	10.8	*
- Queens South	10.5	*	10.4	*

POLICE DEPARTMENT [056]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Annual Actual	Fiscal 2002 Plan
- Bronx	11.1	*	10.8	*
- Staten Island	11.5	*	10.7	*
SCHOOL SAFETY (a)(i)				
o Murder	1	*	0	*
o Rape	6	*	6	*
o Sex Offenses (j)	371	*	579	*
o Robbery	327	*	262	*
o Assault (k)	1,265	*	1,158	*
o Kidnapping	1	*	1	*
o Burglary	201	*	156	*
o Grand Larceny	317	*	279	*
o Arson	16	*	11	*
o Menacing	244	*	231	*
o Reckless Endangerment	70	*	66	*
o Suicide	0	*	0	*
o Attempted Suicide	12	*	33	*
o Criminal Mischief	493	*	472	*
o Petty Larceny	741	*	681	*
o Riot	3	*	2	*
o False Alarm	97	*	82	*
o Bomb Threats	412	*	505	*
o Weapons Possession	3,685	*	3,575	*
o Controlled Substance	37	*	28	*
o Marijuana	608	*	721	*
o Harassment	3,160	*	4,687 (l)	*
o Disorderly Conduct	1,078	*	2,053 (l)	*
o Trespassing	667	*	666	*
o Loitering	110	*	84	*
o Grand Total	13,922	*	16,338	*
o Reported Incidents by Location				
- High Schools	6,732	*	7,093	*
- Middle Schools	3,822	*	5,299	*
- Elementary Schools	2,695	*	3,209	*
- Special Education	673	*	737	*
CRIME (a)				
o Total Major Felony Crime	187,181	*	172,731	*
- Murder & Non-Negligent Manslaughter	671	*	626	*
- Forcible Rape	1,462	*	1,398	*
- Robbery	34,045	*	29,787	*
- Felonious Assault	25,656	*	24,799	*
- Burglary	39,176	*	34,968	*
- Grand Larceny	48,818	*	48,747	*
- Grand Larceny Motor Vehicle	37,353	*	32,406	*

POLICE DEPARTMENT [056]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Total Major Felony Crime	187,181	*	172,731	*
- By Patrol Borough				
- Manhattan North	18,965	*	17,925	*
- Manhattan South	30,281	*	29,034	*
- Brooklyn North	23,064	*	22,029	*
- Brooklyn South	33,048	*	30,015	*
- Queens North	24,422	*	22,134	*
- Queens South	21,049	*	18,262	*
- Bronx	31,743	*	29,029	*
- Staten Island	4,609	*	4,303	*
 ARRESTS (a)				
o Total Arrests	391,396	*	384,158	*
o Major Felony Arrests	52,959	*	50,532	*
- Murder & Non-Negligent Manslaughter	753	*	686	*
- Rape	1,416	*	1,361	*
- Robbery	14,877	*	13,049	*
- Felonious Assault	19,006	*	18,849	*
- Burglary	6,600	*	6,151	*
- Grand Larceny	6,553	*	6,702	*
- Grand Larceny Motor Vehicle	3,754	*	3,734	*
o Total Narcotics Arrests	136,647	*	122,253	*
- Felony Narcotics Arrests	39,414	*	36,289	*
- Misd. Narcotics Arrests	96,050	*	84,683	*
- Violations	1,183	*	1,281	*
o Driving-While-Intoxicated Arrests	4,748	*	5,030	*
 CRIME IN HOUSING DEVELOPMENTS (a)				
o Major Felony Crimes	6,856 m	*	6,394	*
- Murder & Non-Negligent Manslaughter	73 m	*	87	*
- Forcible Rape	166	*	143	*
- Robbery	1,978	*	1,808	*
- Felonious Assault	2,364	*	2,264	*
- Burglary	775	*	679	*
- Grand Larceny	709	*	667	*
- Grand Larceny Motor Vehicle	791	*	746	*
 CRIME IN TRANSIT SYSTEM (a)				
o Major Felony Crimes	4,344	*	4,076	*
- Murder & Non-Negligent Manslaughter	2	*	1	*
- Forcible Rape	4	*	4	*
- Robbery	1,523	*	1,329	*
- Felonious Assault	383	*	316	*

POLICE DEPARTMENT [056]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- Burglary	14	*	25 n	*
- Grand Larceny	2,418	*	2,401	*
ARREST-TO-ARRAIGNMENT				
o Avg. Arrest-to-Arraignment Time (Hours) (o)				
- Citywide	21.9	24	22	24
- Bronx	22.3	24	22.9	24
- Brooklyn	24	24	23.1	24
- Manhattan	21.5	24	22.3	24
- Queens	19.3	24	19.3	24
- Staten Island	19	24	19.6	24
o Avg. Arrest-to-Complaint Sworn Time (Hours)				
- Citywide	8.2	*	8.8	*
- Bronx	9.7	4	9.6 p	4
- Brooklyn	8.1	4	8.2 p	4
- Manhattan	9.5	6	10 p	6
- Queens	5.5	4	7.3 p	4
- Staten Island	10.6	4	10.4 p	4

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

POLICE DEPARTMENT

- (a) The indicator numbers report preliminary data.
- (b) The indicator is affected by shifts in the nature of criminal activity and enforcement activity and therefore is not subject to quantitative plans.
- (c) To ensure greater assessment of Department personnel in CPR testing, more tests are being conducted on a daily basis.
- (d) This increase is attributed to a revised rating system that reflects officer demeanor during public interaction.
- (e) Indicator numbers are rounded to the nearest thousand.
- (f) Summonses issued to owners decreased due to a new administrative procedure that eliminated the need for both traffic court and TLC summonses to be issued for the same offense.
- (g) The number of refusals decreased significantly due to increased penalties for refusal to provide service (including immediate suspension of hack license and vehicle seizures) and the well-publicized enforcement campaign.
- (h) The indicator reports public requests for emergency response and therefore is not subject to quantitative plans.
- (i) Beginning in Fiscal 2001 school safety indicators are reported by NYPD and no longer appear in the Board of Education section of Volume II of the Mayor's Management Report.
- (j) This indicator includes incidents of sodomy, sexual abuse and sexual misconduct. Better training of SSAs has resulted in more accurate reporting of these types of incidents.
- (k) This indicator includes both felonies and misdemeanors.
- (l) This increase is attributed to better reporting for disorderly conduct and harassment.
- (m) This number has been revised since its previous publication.
- (n) This increase is attributed to the use of an expanded definition for reporting burglaries at certain transit facilities.
- (o) This arrest-to-arraignment indicator excludes both narcotics and rescheduled arrests.
- (p) This variance from the plan is attributed to the implementation of the new Interactive Court Affidavit Preparation System (ICAPS).

FIRE DEPARTMENT [057]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
FIRE EXTINGUISHMENT				
[002,006]				
o Full-Time Employees	11,568	11,262	11,381	11,193
- Uniformed	11,256	10,924	11,072	10,819
- Civilian	312	338	309	374
o Active Firefighting Units	411	411	411	411
o Fire Emergency Incidents	449,296	*	442,277	*
- Structural Fires	29,281	29,636	28,459	29,198
- Nonstructural Fires	31,058	34,056	29,889	32,009
- Nonfire Emergencies	174,620	165,093	175,265	170,721
- Medical Emergencies (CFR-D)	155,531	*	154,458	*
- Malicious False Alarms	58,806	*	54,206	*
o Fire Malicious False Calls	193,021	*	186,291	*
o Fire Civilian Death Rate per 100,000 Population	1.8	*	1.3	*
o Serious Fires per 1,000 Structural Fires	113	*	117	*
RUNS				
o Fire Units Average Runs	2,644	2,726	2,624	2,674
- Per Engine Company	2,811	2,848	2,792	2,821
- Per Ladder Company	2,398	2,549	2,377	2,457
o Total Fire Unit Runs	933,295	*	926,262	*
- Structural Fires	141,686	154,782	137,296	144,588
- Nonstructural Fires	71,070	72,918	70,239	71,409
- Nonfire Emergencies	399,339	387,123	408,077	398,180
- Medical Emergencies (CFR-D)	165,372	*	164,184	*
- Malicious False Alarms	155,828	*	146,466	*
Average Fire Unit Response Time				
o Average Citywide Response Time to All Emergencies	4:49	4:48	4:41	4:49
- Manhattan	4:54	4:52	4:46	4:52
- Bronx	4:59	5:00	4:50	4:59
- Staten Island	5:10	5:09	4:59	5:08
- Brooklyn	4:22	4:22	4:16	4:25
- Queens	5:09	5:05	4:58	5:08
o Average Citywide Response Time to Structural Fires	4:20	4:15	4:16	4:18
- Manhattan	4:22	4:14	4:19	4:18

FIRE DEPARTMENT [057]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Bronx	4:23	4:21	4:22	4:22
- Staten Island	4:56	4:53	4:39	4:49
- Brooklyn	3:55	3:53	3:50	3:53
- Queens	4:50	4:46	4:47	4:50
o Average Citywide Response Time to Medical Emergencies	4:28	4:34	4:15	4:34
- Manhattan	4:24	4:31	4:11	4:27
- Bronx	4:33	4:46	4:20	4:41
- Staten Island	4:54	4:45	4:42	4:51
- Brooklyn	4:12	4:14	4:00	4:20
- Queens	4:50	4:53	4:34	4:54
o Average Citywide Response Time to Nonstructural Fires	4:53	4:49	4:44	4:51
- Manhattan	4:46	4:45	4:34	4:43
- Bronx	5:07	5:01	4:57	5:03
- Staten Island	5:41	5:39	5:24	5:33
- Brooklyn	4:22	4:18	4:19	4:22
- Queens	5:19	5:13	5:09	5:18
o Average Citywide Response Time to Nonfire Emergencies	5:21	5:19	5:13	5:19
- Manhattan	5:24	5:18	5:17	5:19
- Bronx	5:35	5:32	5:24	5:31
- Staten Island	5:40	5:41	5:26	5:36
- Brooklyn	4:49	4:48	4:44	4:49
- Queens	5:45	5:45	5:34	5:45
EMERGENCY MEDICAL SERVICE				
[009,010]				
o Full-Time Employees	3,022	2,921	2,852	2,881
- EMTs	2,024	1,894	1,907	1,880
- Paramedics	534	549	511	556
- Field Supervisors	362	353	339	344
- Administrative Supervisors	24	25	19 a	27
- Other	78	100	76 b	74
- Uniformed	0	0	0	0
o 911 Contacts				
- to EMS	1,180,076	1,131,784	1,213,533	1,200,000
o Medical Emergency Incidents				
- Segment 1- Cardiac Arrest	22,344	23,922	22,521	22,000
- Segments 1-3	388,760	390,000	386,680	389,000
- Segments 1-7	1,024,086	971,819	1,086,500	1,042,000
- Segments 1-8	1,064,591	1,022,022	1,091,343	1,085,000

FIRE DEPARTMENT [057]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
o Ambulance Runs				
- Segment 1	49,734	52,610	50,154	49,000
- Segments 1-3	521,142	550,000	499,720	520,000
- Segments 1-7	1,218,052	1,214,939	1,086,500	1,220,000
- Segments 1-8	1,262,599	1,270,478	1,265,461	1,260,000
EMS UNITS				
Response Time to Medical Emergencies				
o Average Citywide Response Time to Life-Threatening (Segment 1-3) Incidents				
	7:48	7:16	7:04	7:16
- Manhattan	7:20	7:05	6:42	7:05
- Bronx	8:08	7:23	7:15	7:23
- Staten Island	7:34	7:20	7:13	7:20
- Brooklyn	8:03	7:24	7:08	7:24
- Queens	7:37	7:07	7:09	7:07
COMBINED RESPONSE TIME TO MEDICAL EMERGENCIES (EMS/CFR-D)				
o Average Combined Citywide Response Time Including First Responder (CFR-D)				
	6:37	6:12	6:00	6:12
- Manhattan	6:12	6:02	5:41	6:02
- Bronx	7:01	6:27	6:20	6:27
- Staten Island	6:26	6:06	5:59	6:06
- Brooklyn	6:44	6:13	5:58	6:13
- Queens	6:32	6:08	6:05	6:08
o Segment 1				
- Incidents Responded to in Less Than 6 Minutes	63%	*	70%	*
- Including First Responder	82%	90%	87%	90%
o Segment 1-3				
- Incidents Responded to in Less Than 6 Minutes	38%	*	44%	*
- Including First Responder	55%	90%	60% c	90%
- Incidents Responded to in Less Than 10 Minutes	78%	*	84%	*
- Incidents Responded to in Less Than 10 minutes by Advanced Life Support Units	48%	90%	54% c	90%
o Advanced Life Support (ALS) Unit Response to ALS Incidents in Less Than 10 minutes				
	73%	90%	78%	90%
o Segment 4-8				
- Incidents Responded to in Less Than 10 Minutes	64%	90%	72% c	90%

FIRE DEPARTMENT [057]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Annual Actual	Fiscal 2002 Plan
o Segment 1-7				
- Incidents Responded to in Less Than 20 Minutes	95%	*	97%	*
AMBULANCE OPERATIONS				
o Tours per Day				
- Average Number of Municipal Tours per Day	575	585	580	583
- Average Number of Voluntary Tours per Day	233	282	313	322
- Total Average Tours per Day	807	795	893	905
FIRE INVESTIGATION [003,007]				
o Full-Time Employees	236	227	228	224
- Uniformed	222	213	217	213
- Civilian	14	14	11 d	11
o Total Investigations (Cases)	7,937	8,500	8,247	8,500
o Total Arson Fires	3,404	2,800	3,996 e	3,650
o Total Arrests by Marshals	394	375	484 e	450
FIRE PREVENTION [004,008]				
o Full-Time Employees	363	421	359	799
- Uniformed Other	9	24	23	23
- Civilian Inspectors	195	188	180	188
- Civilian Other	159	209	156	187
- Civilian - Dept. of Buildings	NI	NI	NI	401 f
o Inspections Performed by Fire Prevention Staff	216,681	203,400	210,904	213,000
- Inspections per Person-Day	5.7	6	6	6
o Completed Inspections	181,328	171,400	175,175	187,500
o Revenues Collected (000)	\$35,657	\$33,434	\$37,652	\$34,434
o Hazard Complaints Received	3,406	3,200	3,308	3,300
- Resolved Within 1 Day	90%	91%	88%	80%

FIRE DEPARTMENT [057]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Annual Actual	Fiscal 2002 Plan
o Violations Issued	81,520	72,600	76,769	74,198
- Violation Orders	26,072	21,400	22,700	18,998
- Notices of Violation Items	55,448	51,200	54,069	55,200
o Violations Corrected	69,537	66,100	65,090	67,228
- Violation Orders	22,869	18,500	17,434	17,702
- Notices of Violation Items	46,668	47,600	47,656	49,526
o Summonses Issued	8,724	10,150	7,102 g	6,578
o Field Force Inspections	58,729	62,000	68,021	62,000
- Public/Commercial Buildings	21,361	20,700	21,252	22,712
- Residential Buildings	37,368	41,300	46,769	39,288
o Violations Issued	8,763	10,200	8,403 h	8,400
- Violation Orders	6,400	6,200	6,132	6,200
- Notices of Violation Items	2,363	4,000	2,271 h	2,200
o Violations Corrected	8,064	8,600	7,907	6,700
- Violation Orders	6,509	6,400	6,342	5,100
- Notices of Violation Items	1,555	2,200	1,565 h	1,600
Social Club Task Force				
o Inspections Completed	357	*	150	*
o Violations Issued	139	*	98	*
o Summonses Issued	141	*	226	*
o Vacate Orders Issued	33	*	44	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

FIRE DEPARTMENT

- (a) Promotions planned for Fiscal 2001 have been delayed until Fiscal 2002.
- (b) Some non-ambulance personnel were reassigned to other bureaus.
- (c) The February 1996 Memorandum of Understanding between the Mayor and the City Council sets a goal of 90 percent for this indicator; attainment of these goals is long term and contingent on the construction of ambulance stations.
- (d) Civilians were transferred to executive and administrative positions.
- (e) This increase is because Fire Marshals now investigate automobile fires.
- (f) This indicator reflects personnel who will transfer from the Department of Buildings, pending approval by the City Council.
- (g) Projections for summonses issued anticipated the resumption of the Hydrant Summonses Unit. However, the Unit was not restored in Fiscal 2001.
- (h) The Department conducted 25 percent more inspections of residential buildings in Fiscal 2001. In addition, there were fewer violations in residential buildings than in public/commercial buildings.

DEPARTMENT OF CORRECTION [072]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
SECURITY [002, 003]				
o Admissions	124,501	*	120,157	*
o Average Daily Population	15,530	*	14,490	*
- Pretrial Detention	10,336	*	10,121	*
- Sentenced	2,507	*	2,538	*
- State: Total	2,692	*	1,832	*
- Parole Violators	1,253	*	1,217	*
- Newly Sentenced	1,162	*	365	*
- Court Order	277	*	249	*
o State-Ready Inmates				
- Overdue for Transfer (Exceeding 48 Hours)	296	*	283	*
o Average Male Population	13,957	*	13,101	*
o Average Female Population	1,574	*	1,389	*
o Average Length of Stay (Days)				
- Systemwide	45.8	*	44.1	*
- Sentenced	33	*	35	*
- Detainee	41	*	41	*
- Parole Violator	67	*	61	*
o Population as Percent of Capacity	97.7%	*	97.7%	*
o Average Cost per Inmate per Year	\$52,623 a	*	\$56,023 b	*
o Annual Readmission Rate	50%	*	48%	*
o Escapes	4	*	0	*
o Suicides	3	*	2	*
o Inmate-on-Inmate Violence				
- Stabbings and Slashings	70	*	54	*
- Fight/Assault Infractions	5,722	*	5,792	*
o Jail-Based Arrests of Inmates	1,026	*	853	*
o Arrests of Visitors for Criminal Charges	305	*	392	*

DEPARTMENT OF CORRECTION [072]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
o Department Use of Force				
- Total Number of Incidents of Use of Force	1,941	*	1,772	*
o Total Number of Use of Force Investigations				
- Total Number of Open Cases at End of Period	209	*	191	*
- Findings of Justified Use of Force	1,890	*	1,777	*
- Prior Years	170	*	206	*
- Findings of Unnecessary Use of Force	17	*	13	*
- Prior Years	5	*	3	*
o Number of Searches				
	276,571	*	312,671	*
o Number of Weapons Recovered				
	3,981	*	3,291	*
PROGRAM SERVICES [002, 003]				
o Average Daily Attendance in the School Programs				
	1,265	1,400	1,220	1,300
o Inmates Taking General Equivalency Diploma (GED) Exams				
	558	500	641 c	500
o Inmates Passing GED Exams				
	64%	65%	59%	65%
CORRECTIONAL OPTIONS UNIT PROGRAMS				
o Inmates Admitted to High Impact Incarceration Program (HIIP)				
	547	500	540	500
o Inmates Graduating from HIIP				
	74%	80%	91%	80%
o Inmates Admitted to the Self-Taught Empowerment and Pride Program (STEP)				
	404	400	408	400
o Inmates Graduating from STEP				
	74%	80%	73%	80%
o Inmates Participating in Substance Abuse Intervention Division (SAID) Programs				
	10,238	10,514	10,723	10,514
o Average Daily Number of Inmates in Vocational Skills Training Programs				
	217	*	290	*
HEALTH SERVICES				

DEPARTMENT OF CORRECTION [072]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
o Average Daily Number of Inmates in Medical/Surgical Hospital Beds	35	*	26	*
o Number of Hospital Runs	3,536	*	3,937	*
o Average Daily Number of Hospital Runs	10	*	11	*
o Inmates Entering Methadone Detoxification Program	18,680	17,900	18,178	17,900
o Inmates Discharged through Compassionate Release Program	19	*	19	*
o CDU Admissions	1,497	1,600	1,124 d	1,600
MENTAL HEALTH SERVICES				
o Average Daily Number of Inmates in Acute Care Psychiatric Hospital Beds	52	*	54	*
VICTIM SERVICES				
o VINE System Registrations	2,398	*	2,511	*
o VINE Confirmed Notifications	1,838	*	2,013	*
o VINE Unconfirmed Notifications	801	*	785	*
SUPPORT SERVICES [002, 003]				
o Number of Inmates Delivered to Court	365,678	*	349,189	*
o Inmates Delivered to Court On Time	89.7%	83%	89.5%	83%
o On-Trial Inmates Delivered to Court On Time	99.8%	95%	99%	95%

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation

DEPARTMENT OF CORRECTION

- (a) The revised Fiscal 2000 cost per inmate figure is based on revised final budget data from the Office of Management and Budget.
- (b) The Fiscal 2001 average cost per inmate figure is a projection based on DOC's estimates of its final expenditures and revenues for the reporting period.
- (c) The 28 percent increase in the number of Inmates Taking the General Equivalency Diploma (GED) is attributed to the Board of Education schools being more aggressive in their GED administration by providing more trained proctors, who in turn were able to administer GED exams more frequently. By administering the GED exam more frequently, teachers ensure that those incarcerated for short terms get the opportunity to sit for the exam before they are discharged.
- (d) The 30 percent decrease in the Communicable Disease Unit (CDU) admissions is because fewer inmates who were presented for clinical reasons needed isolation for tuberculosis in CDU.

DEPARTMENT OF PROBATION [781]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
ADULT COURT SERVICES				
o Full Time Employees	NI	NI	1,032	1,085
- Probation Officers	NI	NI	604	629
ADULT COURT INVESTIGATIONS [002, 003]				
o Investigation Reports Completed	41,404	*	40,006	*
- Felonies	31,849	*	30,700	*
- Misdemeanors	9,555	*	8,306	*
o Investigation Reports on Jail Cases Completed on Scheduled Date of Sentencing	98%	99%	99%	99%
ADULT COURT SUPERVISION [002, 003]				
o Cases Assigned to Supervision Tracks (End of Period)				
- Enforcement Track				
- Blue Unit	NI	*	1,158	*
- Amber Unit	NI	*	7,878	*
- Green Unit	NI	*	4,511	*
- Red Unit	NI	*	7,947	*
- Special Conditions Track	NI	*	9,564	*
- Reporting Track	NI	*	13,208	*
- Intensive Supervision Program	NI	*	719	*
- Short-Term Alternative to Remand and Treatment Program	NI	*	126	*
o Intrastate/Interstate Cases (End of Period)	NI	*	2,803	*
o Warrant Cases (End of Period)	NI	*	18,816	*
o Cases Received During Period	14,566	*	13,780	*
- High Risk	NI	*	6,366	*
- Low Risk	NI	*	7,414	*
o Cases Removed from Supervision	18,501	*	19,068	*
- Completed (Maximum Expiration)	NI	*	10,713	*
- Early Discharge	755	*	622	*
- Probation Revoked	4,513	*	5,328	*
- Other	NI	*	2,945	*
o Probation Pass-Through Population	NI	*	86,955	*
- Felonies	NI	*	60,868	*
- Misdemeanors	NI	*	26,087	*
o Average Caseload (End of Period)				
- Enforcement Track				
- Blue Unit	NI	*	55.1	*
- Amber Unit	NI	*	101	*
- Green Unit	NI	*	125.3	*
- Red Unit	NI	*	155.8	*
- Special Conditions Track	NI	*	222.4	*

DEPARTMENT OF PROBATION [781]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Reporting Track	NI	*	412.8	*
- Intensive Supervision Program	NI	*	20.3	*
- Short-Term Alternative to Remand and Treatment Program	NI	*	25.2	*
o Total Violations Filed	6,110	*	11,989	*
o Total Cases Reaching Final Disposition	8,167	*	10,787	*
- Revocation and Incarceration Rate	64%	*	52%	*
FIELD SERVICES				
o Total Warrants Received During Period	5,811	*	6,720	*
- Warrants Received, Nightwatch Program	NI	*	1,803	*
o Total Warrants Executed During Period	5,144	*	5,381	*
- Warrants Executed, Nightwatch Program	1,002	*	1,147	*
o Total Field Checks	67,861	*	73,776	*
- Field Checks, Nightwatch Program	47,892	40,000	35,326	25,000
FAMILY COURT SERVICES [002, 003]				
o Full-Time Employees	NI	NI	311	340
- Probation Officers	NI	NI	185	209
FAMILY COURT INTAKE				
o Cases Received During Period	14,856	*	14,481	*
- Juvenile Delinquent	9,232	*	8,945	*
- Persons In Need of Supervision (PINS)	5,624	*	5,538	*
o Cases Referred to Petition	10,298	*	9,935	*
- Juvenile Delinquent	8,117	*	7,797	*
- Persons In Need of Supervision (PINS)	2,181	*	2,138	*
o Cases Diverted	4,097	*	4,474	*
- Juvenile Delinquent	1,094	*	1,098	*
- Persons In Need of Supervision (PINS)	3,003	*	3,376	*
o Average Cases Serviced per Casebearing Officer per Month (Delinquency Cases)	59.8	60	54.4	55
o Average Cases Serviced per Casebearing Officer per Month (PINS Cases)	124.3	125	126.3	140
FAMILY COURT INVESTIGATIONS [002, 003]				
o Investigations Completed	5,312	*	5,162	*
o Average Investigations Completed per Casebearing Officer per Month	9	10	9.8	12

DEPARTMENT OF PROBATION [781]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
FAMILY COURT SUPERVISION [002,003]				
o Total Caseload (Beginning of Period)	2,607	*	2,866	*
o Cases Received During Period	2,517	*	1,979	*
o Cases Removed from Supervision	2,258	*	2,092	*
- Terminated (Sentence Completed)	1,559	*	1,390	*
- Early Discharge	78	*	36	*
- Probation Revoked	465	*	452	*
- Other	426	*	214	*
o Total Caseload (End of Period)	2,866	*	2,753	*
o Cases Serviced During Period	5,124	*	4,845	*
o Average Caseload per Casebearing Officer per Month	99.1	95	104.6	95
RESTITUTION COLLECTED FOR CRIME VICTIMS				
o Restitution Amount (USD)	\$4,192,860	*	\$4,417,496	*
o Number of Payments	12,689	*	13,410	*
o Beneficiaries Compensated	17,511	*	19,322	*
SUBSTANCE ABUSE TREATMENT SERVICES				
o Total Number of Tests Conducted for Illegal Substances	NI	*	52,461	*
o Probationers Tested for Illegal Substances	20,488	20,500	25,400 a	29,580
o Positive Results per 100 Probationers Tested	NI	*	47.3	*
o Total Referrals for Substance Abuse Treatment Services	7,327	*	9,593	*
o Probationers Referred to Substance Abuse Treatment Services	4,969	*	6,801	*
o Total Placements in Substance Abuse Treatment Services	3,057	*	3,898	*
o Probationers Placed in Substance Abuse Treatment Services	2,694	*	3,490	*
o Probationers Discharged from Substance Abuse Treatment Services	NI	*	2,638	*
o Probationers Discharged Successfully from Substance Abuse Treatment Services	NI	*	858	*

DEPARTMENT OF PROBATION [781]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
PROGRAM SERVICES				
o Probationers Referred and Placed into Nova Ancora Program	1,480	*	1,403	*
o Probationers Completing PEP Program	NI	*	597	*
o Total Probationers Receiving Intensive Supervision in the Blue Unit of the Adult Supervision Restructuring Initiative's Enforcement Track	NI	NI	1,500 b	1,600
- Probationers Discharged	NI	*	699	*
- Probationers Discharged Successfully	NI	*	378	*
o Total Probationers Supervised in Juvenile Intensive Supervision Program	643	650	594	650
- Probationers Discharged	NI	*	251	*
- Probationers Discharged Successfully	NI	*	159	*
o Total Probationers Supervised in Intensive Supervision Program	1,643	1,600	1,533	1,600
- Probationers Discharged	NI	*	814	*
- Probationers Discharged Successfully	NI	*	483	*
o Total Probationers Supervised in Short-Term Alternative to Remand and Treatment Program	782	650	626	NA
- Probationers Discharged	NI	*	531	*
- Probationers Discharged Successfully	NI	*	495	*
o Total Probationers Supervised in Alternative to Detention Program	1,213	1,000	1,068	1,000
o Total Probationers Supervised in Expanded Alternative to Detention Program	226	330	205 c	330
- Retention Rate	86%	82%	89%	82%
o Total Hours of Community Service	188,560	*	208,172	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF PROBATION

- (a) The variance on this indicator is beyond the control of the Department, as it is dependent upon probationer behavior. However, during the reporting period the Department did implement a more aggressive policy directed toward the identification, testing and treatment of substance abusers while on probation.
- (b) The Blue Track now includes all high-risk probationers over the age of 16, rather than high-risk offenders who are participating in cognitive intervention groups.
- (c) The decrease in the number of respondents supervised in EATD is due to the limited availability of space. The number of respondents in the program is expected to increase during Fiscal 2002 due to acquisition of new space.

DEPARTMENT OF JUVENILE JUSTICE [130]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
ADMISSIONS				
o Total Admissions to Detention	5,361	*	5,313	*
- Admissions to Secure Detention	4,815	*	4,828	*
- Juvenile Offenders	573	*	540	*
- Juvenile Delinquents	4,242	*	4,288	*
- From Court	1,973	*	1,951	*
- From Police	1,777	*	1,916	*
- From Other	492	*	421	*
- Other	0	*	0	*
- Admissions to Nonsecure Detention	546	*	485	*
AVERAGE DAILY POPULATION (ADP)				
o Average Daily Population in Detention (Total)	505	*	497	*
- In Secure Detention	379	*	357	*
- Alleged Juvenile Delinquents	185	*	174	*
- Alleged Juvenile Offenders	134	*	127	*
- Juvenile Delinquents/Juvenile Offenders Awaiting Transfer To OCFS	59	*	56	*
- For Other Authority	1	*	0	*
- In Nonsecure Detention	126	*	140	*
- Alleged Juvenile Delinquents	112	*	126	*
- Juvenile Delinquents Awaiting Transfer to OCFS	14	*	14	*
AVERAGE LENGTH OF STAY (ALOS) (a)				
o Average Length of Stay (ALOS) in Detention (Days)	NI	*	34	*
- Single Case While in Detention				
- Juvenile Delinquents	NI	*	27	*
- ALOS in Secure Detention	NI	*	18	*
- ALOS in Nonsecure Detention	NI	*	37	*
- Juvenile Offenders	NI	*	29	*
- Multiple Cases While In Detention				
- Multiple JD Cases Only	NI	*	64	*
- At Least One JO Case	NI	*	127	*
OTHER DETENTION INDICATORS				
o Escapes in Secure Detention	0	*	0	*
o Abscond Rate in Nonsecure Detention	3%	*	3%	*
o Weapon Recovery Rate (Per 1,000 Admissions)	8	*	8	*

DEPARTMENT OF JUVENILE JUSTICE [130]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Annual Plan	Year 2001 Annual Actual	Fiscal 2002 Plan
o Juvenile-on-Juvenile Assaults/Altercations (Per 1,000 Admissions)	76	*	94 b	*
o Percent On-Time Court Appearance (JD)	NI	70%	96% c	85%
o Percent On-Time On-Trial Court Appearance (JO) (d)	NA	NA	NA	NA
o Number of Hospital Runs	216	*	309 e	*
COMMUNITY-BASED INTERVENTION [CBI] [001, 002]				
o Juveniles Served	1,146	1,100	1,190	1,250
o Percent of Juveniles Who Successfully Complete Program	NI	60%	63%	65%
o Percent of Juveniles Who Improve School Attendance	NI	35%	40%	35%
o New Participants in Community Services	169	120	163 f	140

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF JUVENILE JUSTICE

- (a) The Department has changed its computational methods for these indicators to take advantage of the greater precision offered in its new database, the Comprehensive Justice Information System. Consequently, these numbers should not be compared to those reported in earlier years.
- (b) The overall 24 percent increase in “Juvenile-on-Juvenile Assaults/Altercations” was driven primarily by a 54 percent increase in isolated minimal physical contact (i.e. slaps, scratches and punches) that did not develop into altercations, fights, or reciprocal acts of assault.
- (c) The Department had selected a Plan of 70 percent for “Percent On-Time Court Appearance,” a new indicator, to be consistent with other agencies that provide court transport. The agency actual percent on-time court appearance in Fiscal 2001 was 96 percent. In response, the Agency has increased the Fiscal 2002 planned figure for this indicator to 85 percent.
- (d) Rather than create a new reporting system to generate data only for Juvenile Offenders, DJJ will work to capture this information within its existing indicator for “Percent On-Time Court Appearance” which currently includes data for Juvenile Delinquents.
- (e) The increase in Emergency Room (ER) hospital runs was due to a marked increase in residents with multiple ER runs for chronic medical conditions, such as asthma, seizures, sickle-cell anemia and gynecological needs.
- (f) The Community-Based Intervention program offered special incentives, such as trips, to enrollees who participated in community services. As a result, the number of new participants in community services was 36 percent higher than planned.

CIVILIAN COMPLAINT REVIEW BOARD [054]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Investigative Staff	110	129	119	135
- Confidential Investigators	94	111	101	116
- Senior Investigators	16	18	18	19
o Complaints Within CCRB Jurisdiction (a)	5,017	*	4,627	*
- Force Allegations	2,260	*	2,176	*
- Abuse of Authority Allegations	2,362	*	2,335	*
- Discourtesy Allegations	1,804	*	1,536	*
- Offensive Language Allegations	236	*	286	*
o Total Number of Cases Referred	4,643	*	4,469	*
- NYPD OCD	4,266	*	4,168	*
- Other	377	*	301	*
o Total Cases Completed	4,930	6,000	5,062 b	6,000
- Full Investigations Closed	2,056	3,540	2,540 b	3,525
- Truncated Cases	2,238	2,400	2,453	2,400
- Other Complaint Closures	582	*	299	*
- Alternative Dispute Resolution (ADR)	53 c	60	40 d	75
- Conciliations	10	*	0	*
- Mediations	43 c	60	40 d	75
o Full Investigations as a Percentage of Total Cases Completed	42%	59%	50%	59%
o Percentage of Full Investigations with an Unidentified Member of Service	6%	*	6%	*
o Truncated Cases as a Percentage of Total Cases Completed	45%	40%	49% e	40%
o Cases Eligible for Diversion to ADR Program	1,071	*	1,564	*
- Cases Transferred to Mediation	176	*	143	*
o Average Number of Investigations Closed per Month				
- By Category of Offense				
- Force	157	*	198	*
- Abuse of Authority	141	*	163	*
- Discourtesy	60	*	54	*
- Offensive Language	5	*	7	*
o Average Case Completion Time (Days)				
- By Category of Offense				
- Force	232	*	206	*
- Abuse of Authority	196	*	180	*
- Discourtesy	157	*	141	*
- Offensive Language	164	*	188	*

CIVILIAN COMPLAINT REVIEW BOARD [054]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Average Case Completion Time (Days)				
- By Case Completion Category				
- All Cases	206	161	189 f	161
- Full Investigations	296	204	275 f	204
- Truncated Cases	122	112	98	112
- Mediations	138	135	136	135
o Percent of Cases with Affirmative Dispositions	54%	50%	59% g	55%
o Number of Substantiated Cases by Time Remaining on Statute of Limitations				
- Number of Cases with 3 Months or Less Remaining	46	*	31	*
- Number of Cases with 4 to 6 Months Remaining	53	*	42	*
- Number of Cases with 7 to 12 Months Remaining	114	*	119	*
- Number of Cases with 13 Months or More Remaining	10	*	33	*
o Number of Cases Pending	2,595	1,750	1,848	1,750
o Age of Caseload (from date of incident)				
- Number of Cases 0 to 4 Months Old	1,411	*	1,320	*
- Number of Cases 5 to 12 Months Old	980	*	466	*
- Number of Cases 13 to 17 Months Old	163	*	40	*
- Number of Cases 18 Months or Older	41	*	22	*
o Cases 13 Months or Older as a Percentage of Total Caseload (From Date of Incident)	8%	5%	3% h	5%
o Operational Backlog (From Date of Report)	877	650	423 (i)	650

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

CIVILIAN COMPLAINT REVIEW BOARD

- (a) A complaint can include more than one allegation of the use of excessive or unnecessary force, abuse of authority, discourtesy or use of offensive language.
- (b) Enhanced case review not only resulted in an increase to the affirmative finding rate and higher proportion of full investigations, but also in a greater number of cases closed as compared to the last reporting period.
- (c) This number has been revised since its previous publication.
- (d) The 2001 Actual is lower than planned, as the mediation process is voluntary. Along with the 40 completed mediations in Fiscal 2001 there were also 28 cases that were closed as "Mediation Attempted." This disposition means that all parties agreed to mediation and the case was approved by the Board, but the complainant either failed to respond to CCRB phone calls and letters trying to schedule a mediation session or complainant failed twice to appear for a scheduled mediation without having a good cause.
- (e) CCRB increased the number of cases closed overall in Fiscal 2001, including the number of truncation closures.
- (f) Cases take more time to complete when a detailed analysis in the closing report is required. This negatively affects the age of the caseload and the average completion time.
- (g) More thorough and careful investigation produces more evidence, which allows the Board to make more conclusive judgements.
- (h) The Board implemented a policy in Fiscal 2001 that focused greater emphasis on closing cases 13 months or older.
- (i) Continued case review initiatives decreased the operational backlog.

DEPARTMENT OF TRANSPORTATION [841]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
SIGNALS AND SIGNS				
o New Signals				
- Designed (In-House)	189	180	201	180
- Installed (Contract)	203	180	224 a	180
o Signal Studies				
- Requests	803	*	766	*
- Completed	797	*	718	*
- Studies Pending Over 90 Days	1	*	18	*
o Traffic Signs				
- Signs Manufactured	60,823	70,000	49,694 b	50,000
o Signs Installed				
	129,698	121,755	126,091	152,503
o Signals				
- Number of Complaints	92,152	*	85,297	*
- Average Time to Respond to Defects Requiring				
- 2 Hour Response	1 hr 39 min	2 hr	1 hr 30 min	2 hr
- 12 Hour Response	6 hr 01 min	12 hr	5 hr 15 min	12 hr
- 48 Hour Response	25 hr 09 min	48 hr	24 hr 4 min	48 hr
o Priority Regulatory Signs				
- Number of Complaints	6,801	*	8,247	*
- Percent Replaced or Repaired Within 9 Days	100%	100%	100%	100%
o Street Lights				
- Number of Complaints	52,142	*	49,308	*
- Percent Responded to Within 10 Days	96.9%	95%	96.1%	95%
o Red Light Camera				
- Total Notices of Liability (000)	197.3	*	191.2	*
- Total Number of Cameras	30	50	30 c	50
- Camera Uptime	9,869	*	10,390	*
PARKING METERS				
o Total Meters				
- Percent Operable	63,257	62,631	62,604	61,604
	91%	90%	90.7%	90%

DEPARTMENT OF TRANSPORTATION [841]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
STREETS AND ARTERIAL HIGHWAYS				
o Small Street Defect				
(Pothole) Repairs	84,810	*	121,331	*
- Bronx	12,279	*	16,265	*
- Brooklyn	21,388	*	24,325	*
- Manhattan	20,938	*	32,430	*
- Queens	17,775	*	32,356	*
- Staten Island	12,430	*	15,955	*
- Arterials	18,688	*	30,057	*
o Small Street Defects (Potholes)				
- Number of Complaints	24,672	*	31,913	*
- Percent Repaired Within 30 Days	65%	65%	70%	65%
o Strip-Paving				
- Square Yards Completed	27,759	*	51,725	*
o Adopt-A-Highway				
- Total Adoptable Miles	362	362	362	362
- Total Number of Miles Adopted	337	345	337	340
- Percent of Miles Audited	19%	15%	20% d	15%
- Percent of Audits Rated Good	87%	*	85%	*
o Speed Hump Construction				
	132 e	120	54 e	75
o Streets Maintained With a Pavement Rating				
of Good	84.4%	84.4%	82.5%	82.5%
- Bronx	87.1%	87.1%	82.4%	82.4%
- Brooklyn	79.7%	79.7%	80.9%	80.9%
- Manhattan	70.3%	70.3%	66.1%	66.1%
- Queens	90%	90%	87.3%	87.3%
- Staten Island	88.2%	88.2%	83.7%	83.7%
o Cost of Asphalt (per Ton)				
- In-house	\$21.80	*	\$22.74	*
- Vendor	\$31.82	*	\$31.89	*
o Street Inspections (000)				
	333.4	324	343.2	324
o Street Cuts Rated Satisfactory				
	87%	83%	86%	83%
o Cubic Yards Debris Removed				
- Arterials	159,090	165,050	110,968 f	110,971
- Bridges	14,007	13,000	11,746	13,000

DEPARTMENT OF TRANSPORTATION [841]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
BRIDGES				
o Bridge Painting (Square Feet Finish Coat) (000):				
- In-House	2,228	1,440	2,892 g	1,600
- Contract	716	800	543 h	1,000
- Graffiti Removal	6,866	5,500	7,112 (i)	6,300
o Bridge Preventive Maintenance				
- Concrete Repair (Square Feet)	39,449	50,000	61,043 j	50,000
- Deck Repair (Square Feet)	2,229	23,000	66,211 k	58,000
- Electrical Maintenance (Work Tickets Completed)	267	300	294	300
- Fire Hazards Removed	960	625	576	180 (l)
o Percent Deck Area In Good Repair	20.9	20.9	20.5	20.5
o Schedule Lubrication Maintenance				
- Work Tickets Completed	377	444	476	470
o Cleaning				
- Draining System	1,373	1,500	1,647	1,500
o Sweeping (Bridges)				
- Routes Completed	358	309	583 m	557
- Linear Feet Completed	5,529 m	2,814	7,668 m	8,832
o Flags, All Bridges				
- Total Routed	1,096	1,200	1,191	1,200
- Red	54	61	15 n	16
- Yellow	477	480	427	432
- Safety	565	659	749	752
o Total Eliminated				
- Red	36	62	43 (o)	25
- Yellow	549	480	387 (o)	411
- Safety	585	658	820 (o)	764
o Total Outstanding				
- Red	43	42	15 p	6
- Yellow	830	830	869	890
- Safety	684	685	623	611
o Flags, East River Bridges				
- Total Routed	427	360	394	204
- Red	28	20	7 n	12
- Yellow	325	232	248	120
- Safety	74	108	139 n	72

DEPARTMENT OF TRANSPORTATION [841]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Total Eliminated	545	360	426 q	360
- Red	24	20	16 q	11
- Yellow	440	180	219 q	217
- Safety	81	160	191 q	132
o Total Outstanding	652	652	612	456
- Red	15	15	2 p	3
- Yellow	498	550	526	429
- Safety	139	87	84	24
o Average Number of Vehicles Entering Manhattan's Central Business District per 24-Hour Period (000)	842.5	870.5	835.3	866.7
STATEN ISLAND FERRY OPERATIONS				
o Round Trips Completed	16,784 r	16,768	16,711	16,698
o Round Trips Canceled	59 r	60	72 r	70
o On-Time Trips	97%	96%	97%	96%
o Total Passengers Carried (000)	19,000	19,403	18,039	18,500
o Cost per Passenger Carried (One Way)	\$2.83	\$2.50	\$3.04 s	\$3.04
CAPITAL BUDGET PERFORMANCE INDICATORS				
o Lane Miles Resurfaced (In-House)	707.8	694.4	717.2	794.4
- Bronx	73.6	74	75.3	85.0
- Brooklyn	188.8	187.8	187.7	215.9
- Manhattan	89.9	90.8	91.2	110.4
- Queens	240	236.3	241.6	265.5
- Staten Island	90.6	80.6	81.4	92.6
- Arterials	24.9	25	40.0 t	25.0
o Square Yards Milled by Borough	2,688,862	*	3,181,064	*
- Bronx	140,468	*	139,941	*
- Brooklyn	870,530	*	975,707	*
- Manhattan	517,180	*	589,901	*
- Queens	896,183	*	1,012,832	*
- Staten Island	139,523	*	180,890	*
- Arterials	124,977	*	281,793	*

DEPARTMENT OF TRANSPORTATION [841]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Cost per Lane Mile Resurfaced				
by Borough (Includes Milling)	\$83,134	*	\$93,367	*
- Bronx	\$75,554	*	\$80,032	*
- Brooklyn	\$79,641	*	\$95,657	*
- Manhattan	\$100,847	*	\$100,826	*
- Queens	\$86,865	*	\$93,667	*
- Staten Island	\$65,941	*	\$91,455	*
o Cost per Ton of Asphalt Placed				
by Borough (Includes Milling)	\$85.28	*	\$94.43	*
- Bronx	\$75.66	*	\$79.81	*
- Brooklyn	\$86.52	*	\$97.63	*
- Manhattan	\$90.91	*	\$98.95	*
- Queens	\$88.62	*	\$97.70	*
- Staten Island	\$75.09	*	\$86.74	*
o Pedestrian Ramp Contracts				
Sidewalk Corners Made Accessible				
- Construction (\$) (000)	\$25,383	\$19,445	\$19,115	by 1/02
- Construction Started	1,797	0	3,897	by 1/02
- Completed	1,797	6,300	3,993 u	by 1/02
o Prior Notification Sidewalk				
Reconstruction by Square Foot (000)				
- Construction (\$) (000)	\$12,487	\$22,242	\$18,413 v	by 1/02
- Construction Started	1,492	1,060	2,330 v	by 1/02
- Completed	1,492	1,060	732 v	by 1/02
o East River Bridges				
- Designs Started	0	0	0	by 1/02
- Construction Started	0	1	1	by 1/02
- Completed	1	4	2 w	by 1/02
- Completed on Schedule	100%	100%	100%	by 1/02
o Non-East River Bridges				
- Designs Started	26	0	1 x	by 1/02
- Construction Started	6	48	18 y	by 1/02
- Completed	29	32	19 z	by 1/02
- Completed on Schedule	100%	100%	100%	by 1/02

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF TRANSPORTATION

- (a) The number of traffic signals installed depends on the number of locations approved for new signals, which is based on traffic engineering studies. The number installed also includes new signals installed under State contracts, such as the construction of Route 9A, and by private developers. These installations cannot be anticipated in advance.
- (b) During Fiscal 2001 the Department manufactured fewer signs than planned. This is due largely to customized sign projects, including signs for the Queensboro Bridge, Queens Center and Times Square. Customized signs require more time and labor to complete than other traffic signs.
- (c) Installation of the 20 new cameras was delayed by two months due to late registration of the contract. Therefore, installation was completed in July 2001 rather than by the end of Fiscal 2001.
- (d) Due to increased staff availability, more inspections were completed in Fiscal 2001 than planned.
- (e) Speed humps are installed by request and only after geometric and safety criteria are met; the number installed is directly related to these factors. Additionally, as more and more humps are installed, the opportunity to install humps is reduced as fewer locations meet the aforementioned criteria. As a result, DOT installed fewer speed humps during Fiscal 2001. The Fiscal 2000 Actual figure for speed hump construction has been revised from the Fiscal 2000 Mayor's Management Report and Preliminary Fiscal 2001 Mayor's Management Report.
- (f) DOT removed less debris from arterials than planned in Fiscal 2001 due to a decrease in the availability of personnel normally assigned to this work.
- (g) The Department completed more in-house painting than planned because unusually favorable weather conditions allowed for increased productivity.
- (h) Eighteen capital bridge painting projects originally planned for Fiscal 2001 will be completed in Fiscal 2002 instead.
- (i) Productivity increased due to unusually favorable weather conditions. In addition, DOT removed graffiti from areas of the Manhattan and Williamsburg bridges previously inaccessible because of construction.
- (j) DOT exceeded its Plan for concrete repair as a result of several major projects, including the rehabilitation of the Madison Avenue Bridge, the installation of concrete curbing on the Brooklyn-Queens Expressway between Cadman Plaza and Atlantic Avenue, and the rehabilitation of the 145th Street Bridge deck.
- (k) The Department completed more deck repair than planned in Fiscal 2001 primarily due to the large amounts of asphalt installed on the Williamsburg Bridge north outer roadway and on the Manhattan Bridge north upper roadway. More deck repair was also completed as a result of DOT's pothole blitz during and after the severe weather during Winter 2000-2001.
- (l) A new, stricter definition of fire hazard is currently being used by DOT's Division of Bridges, hence DOT is anticipating a decrease.
- (m) The Department swept more routes and linear feet than planned in Fiscal 2001 because sweeping crews were doubled on nights following periods of severe weather during Winter 2000-2001. Additionally, since more sweeping was needed and completed on larger bridge structures, the number of linear feet swept exceeded the Plan. The Fiscal 2000 Actual figure for linear feet completed has been revised from the Preliminary Fiscal 2001 Mayor's Management Report.

DEPARTMENT OF TRANSPORTATION

- (n) The number of flags routed on the City's bridges is based on the number of flagged conditions found. Flags are routed by City DOT inspectors and New York State DOT inspectors, both of whom must adhere to State standards.
- (o) The total number of flags eliminated on all bridges was close to Plan. However, because fewer red and yellow flags were routed than anticipated, fewer were eliminated than planned. More safety flags were eliminated than planned because more were routed than anticipated. Major flag repairs completed in Fiscal 2001 included the Grand Avenue Bridge, Willis Avenue Bridge, Macombs Dam Bridge, Gun Hill Road Bridge over New York City Transit, railing replacement/installation on the Brooklyn Bridge, and the Gowanus Expressway.
- (p) Fewer red flags were routed than anticipated, therefore fewer remained outstanding at the end of Fiscal 2001.
- (q) More yellow and safety flags were routed than anticipated; therefore, more were eliminated than planned. In addition, more yellow and safety flags were eliminated due to the demolition of the north outer roadway of the Williamsburg Bridge, which contained more yellow and safety flags, and fewer red flags, than anticipated. Fewer red flags were routed than anticipated and therefore fewer eliminated than planned.
- (r) In December 2000 the United States Coast Guard implemented a new regulation governing criteria for cancellation of trips due to weather conditions. Additional trips were canceled as a result of high winds. The Fiscal 2000 Actual figures for round trips canceled and completed have been revised from the Fiscal 2000 Mayor's Management Report and Preliminary Fiscal 2001 Mayor's Management Report.
- (s) Cost per passenger carried was greater than planned due to a decrease in ridership.
- (t) The Department resurfaced more lane miles on arterials than planned because of repairs needed on several highways, including the Belt Parkway.
- (u) Three contracts were registered later than anticipated during Fiscal 2001, resulting in the loss of several months of construction work.
- (v) A previously defaulted contract was rebid and registered unexpectedly in Fiscal 2001, with the result that construction started was higher than anticipated. Two contractors performed at a lower productivity rate than expected, completing significantly less work than planned. Additionally, three contracts were registered later than anticipated, so that work began later than expected.
- (w) Two projects planned for completion in Fiscal 2001 will be completed in Fiscal 2002. The Queensboro Bridge necklace lighting will be completed in December 2001. The Manhattan Bridge painting and interim rehabilitation contractor was unable to complete the project on time in Fiscal 2001. Work will be completed in September 2001.
- (x) Design work for one bridge originally anticipated for completion by a design consultant will instead be completed in-house.
- (y) The registration of two contracts, covering work on a total of 21 bridges, did not occur as anticipated in Fiscal 2001. Registration of these two contracts is expected in Fiscal 2002. Additionally, other roadway bridge contracts were registered in Summer 2001, instead of as expected by the end of Fiscal 2001.
- (z) Two projects from the Fiscal 2002 Plan were erroneously included in the Fiscal 2001 Plan. Other projects were delayed for various reasons, including coordination with other governmental agencies, utilities and contractors.

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
WATER AND SEWER OPERATIONS				
o Full-Time Employees	1,225	1,287	1,205	1,313
o Water Delivery System				
- Water-Main Breaks	592	575	523	575
- Water Supply Complaints Received	55,719	65,000	48,770 a	60,000 a
- Leak Complaints Received	5,294	5,200	5,003	5,200
- Leak Complaints Resolved	5,268	5,200	5,177	5,200
- Resolve Leak Complaints Requiring Excavation in 30 Days	86.6%	85%	90%	85%
- Average Backlog of Street Leaks (Includes 3-Day Notices)	171	150	179 b	150
o Hydrants	107,134	107,134	107,134	107,134
- Broken and Inoperative	0.47%	1%	0.41% c	1%
- Hydrants Repaired or Replaced	14,569	16,000	14,912	15,500
- Average Backlog of Broken and Inoperative Hydrants	505	1,071	438 c	1,071
- Repairs to Distribution System (Person-Days)	32,419	32,000	31,409	32,000
- Average Backlog of Repairs to Distribution System (Person-Days)	3,782	4,000	3,263 d	3,700 d
o Sewer System				
- Catch Basin Complaints Received	8,675	10,000	9,888	10,000
- Total Catch Basins Cleaned	45,256	43,000	41,323	41,000
- Programmed Cleaning	27,917	23,000	20,532	21,000
- Complaint Cleaning	17,339	20,000	20,791	20,000
- Average Catch Basin Response Time Complaint to Completion (Days)	8.5	11	8.7 e	11
- Average Catch Basin Complaint Backlog	192	250	215	250
- Resolve Catch Basin Complaints Within 30 Days	95.4%	90%	95.2%	90%
- Sewer Backup Complaints Received	24,545	32,000	23,084 f	27,000 f
- Average Backup Response Time (Hours)	4.4	7.5	4.2 f	7.5
- Sewer Backup Complaints Resolved Within 24 Hours	99.8%	98%	99.9%	98%
- Sewer Construction Repairs	3,184	3,300	3,288	3,300
- Average Repair Backlog	1,501	1,400	1,461	1,400

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
WASTEWATER TREATMENT				
o Full-Time Employees	1,813	1,913	1,754	1,943
o Effluent Complying with Federal Standards	99.9%	100%	100%	100%
o Scheduled Preventive Maintenance Completed Each Month	64.1%	69%	68.5%	69%
o Compliance with State Standard for Dissolved Oxygen at Harbor Survey Stations	89%	89%	94%	89%
ENVIRONMENTAL COMPLIANCE				
o Full-Time Employees	173	191	165	191
o Complaints Received (Includes DEP-Initiated)	23,969	27,200	24,273 g	25,700
- Air	12,554	15,000	11,697 g	13,500
- DEP Help Center	7,253	8,500	7,389	8,500
- DEP-Initiated	5,301	6,500	4,308 g	5,000
- Noise	10,728	11,500	11,902	11,500
- DEP Help Center	9,555	10,500	11,139	10,500
- DEP-Initiated	1,173	1,000	763 g	1,000
- Asbestos	687	700	674	700
o Complaints Responded to	100%	96%	98%	97%
- Air	100%	95%	98%	97%
- Noise	100%	92%	97%	95%
- Asbestos	100%	100%	100%	100%
o Total Inspections Conducted	27,255	29,900	26,177	27,600
- Air	12,749	15,200	11,521 h	13,100
- Noise	8,787	9,000	9,530	9,000
- Asbestos	2,881	3,200	2,729	3,000
- Right-to-Know Program	2,838	2,500	2,397	2,500
o Total Violations Issued	7,970	8,505	6,119	7,500
- Air	5,088	6,555	3,902 (i)	5,500
- Noise	1,646	1,100	1,198	1,100
- Asbestos	589	550	645 j	500

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Right-to-Know Program	647	300	374 k	400
o Case Resolution Rate	69.4%	78%	78.4%	74%
- Air	70.1%	80%	79.5%	80%
- Noise	76.6%	90%	87.7%	80%
- Asbestos	76.9%	90%	97.1%	85%
- Right-to-Know Program	54%	50%	49.2%	50%
Hazardous Materials Response Unit				
o Number of Incidents Involving Hazardous Materials Handled	1,642	2,000	1,665 (l)	1,800
o Cost to City of Cleanup	\$43,464	\$75,000	\$41,240 m	\$75,000
ENVIRONMENTAL CONTROL BOARD				
o Case Input (Violations Issued)	621,798	505,000	642,619 n	550,000
o Number of Decisions	198,942	150,000	176,434 (o)	175,000
o Revenue Collected (000)	\$34,014 p	\$32,150	\$42,446 (o)	\$33,781
o Case Resolution Rate	64.5%	70%	68.3%	70%
o Average Yield per Violation Issued	\$55.67	\$61.83	\$69.93	\$57.91
CUSTOMER SERVICES				
o Full-Time Employees	556	609	525	609
o Number of Meters Installed	40,972	50,000	34,377 q	35,000
o Number of Meters Repaired	31,562	35,000	35,597	35,000
o Net Billings (000,000)	\$1,436	\$1,291	\$1,407	\$1,343
o Collections Against Billings (000,000)	\$1,424	\$1,486	\$1,458	\$1,513
ENGINEERING AND CAPITAL CONSTRUCTION				
o Full-Time Employees	363	444	358 r	420
Sewer Regulation and Control				

DEPARTMENT OF ENVIRONMENTAL PROTECTION [826]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Fees Collected (000)	\$474	\$500	\$613 s	\$500
o Number of Site Connection				
Proposal Reviews Completed	499	525	593	525
- Reviewed in 30 days	95%	100%	95%	100%
o Number of Private Drainage				
Proposal Reviews Completed	63	65	66	65
- Reviewed in 90 days	100%	100%	100%	100%
o Number of Private Sewer Plan				
Reviews Completed	54	65	88 t	75 t
- Reviewed in 30 days	100%	100%	100%	100%
PROGRAMMATIC INDICATORS				
o Vehicles Purchased	159	150	83 u	50
o Tunnel No. 3 Constructed (000)				
- Designs Started	\$9,700	\$14,000	\$14,000	\$6,000
- Construction Started	\$18,300	\$343,300	\$102,040 v	\$72,730
- Construction Completed	\$26,400	\$55,500	\$45,319 w	\$79,000
o Plant Reconstruction				
- Designs Started	4	6	3 x	1
- Construction Started	47	87	51 y	52
- Construction Completed	42	74	39 z	63
o Pumping Stations Reconstructed				
- Designs Started	2	0	0	2
- Construction Started	3	12	4 y	8
- Construction Completed	9	27	11 z	13

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF ENVIRONMENTAL PROTECTION

- (a) The installation of custodial locks, the implementation of the hydrant flushing and valve maintenance programs, and the replacement of water mains resulted in a decrease in the number of water supply complaints received in Fiscal 2001. The Fiscal 2002 Plan has been lowered to reflect this trend.
- (b) The average backlog of street leaks is above Plan due to the high number of days below freezing in December 2000 and January 2001, which caused an increase in the number of three-day notices issued.
- (c) The installation of hydrant locking devices, such as custodial locks, has assisted DEP in reducing illegal tampering of hydrants.
- (d) The average backlog of repairs to distribution system is below Plan due to the installation of custodial locks and the implementation of the hydrant flushing and valve maintenance programs. The Fiscal 2002 Plan has been lowered to reflect this trend.
- (e) New equipment, such as catch basin cleaning machines and flushing machines, has enabled the Department to maintain its average response time.
- (f) Sewer backup complaints received and average sewer backup response time are below Plan due to the use of sewer cleaning contracts with private companies; these companies remove debris from obstructed sewers in problem areas. Maintenance programs, such as the reduction of grease in the sewer system, have also reduced complaints and response time for sewer backups. The Fiscal 2002 Plan for complaints received has been revised to reflect this trend.
- (g) Total complaints received were below Plan due to a decrease in the number of DEP-initiated air and noise complaints.
- (h) Air inspections conducted were below Plan due to the decrease in DEP-initiated complaints.
- (i) Air violations issued were below Plan due, in part, to the decrease in DEP-initiated air complaints. In addition, the Department continues to update the permit records where inspectors find that equipment has been changed and a new permit number exists. While this improves the database, it does not result in the issuance of a violation.
- (j) Asbestos violation plans are based on data from previous years. The issuance of violations for the majority of cases are based on observations in the field during inspections.
- (k) Right-to-Know violations issued were slightly above Plan due to the targeting of long-term nonfilers found by carefully examining the databases.
- (l) Hazardous materials incidents were below expected levels due to the Department's enforcement and prevention efforts, and enhanced public awareness.
- (m) The reduction in costs reflects the success of more rigorous investigative work, which has allowed enforcement staff to locate violators and ensure proper remediation at the owner's expense.
- (n) Case input is higher than planned due to an increase in violations issued by the departments of Sanitation and Buildings.
- (o) The decisions and revenue numbers are above Plan due to the increase in case input (violations issued).

DEPARTMENT OF ENVIRONMENTAL PROTECTION

- (p) The Fiscal 2000 Actual figure for revenue collected has been revised from the Preliminary Fiscal 2001 Mayor's Management Report.
- (q) The number of meters installed was below Plan due to the failure of 25,449 accounts to permit metering. Those accounts were surcharged 100 percent for failing to take appropriate steps to have a meter installed.
- (r) DEP has experienced difficulty in attracting and retaining entry-level engineers.
- (s) Fees collected were above Plan due to reviews of several large-scale residential and commercial developments, including the Stapleton Housing Project in Staten Island and Phase I of the Gateway Center Mall development in Brooklyn.
- (t) Private sewer plan reviews were above Plan due to the complexity of several projects, such as the Gateway Center Mall, which involves major construction of storm and sanitary sewer lines and building new entry and exit ramps for the Belt Parkway. These factors result in a higher number of submissions and reviews performed by DEP staff. Based upon the review of the data, the Fiscal 2002 Plan has been adjusted to reflect this overall trend.
- (u) The number of vehicles purchased in Fiscal 2001 was below Plan due to delays in the purchasing process, which is beyond the Department's control.
- (v) Tunnel No. 3 construction starts were below Plan due to the removal of a large contract from the budget pending a review and reevaluation of the contract's necessity.
- (w) Tunnel No. 3 construction completed was below Plan due to construction delays for the completion of change order work.
- (x) Plant designs started were below expected levels due to changes in design scope on some projects that required additional design work.
- (y) Plant and pumping stations construction started were below Plan due to additional design work on some projects.
- (z) Plant and pumping stations construction completed were below Plan due to construction delays and additional work required on some projects.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Annual Plan	Year 2001 Annual Actual	Fiscal 2002 Plan
HOUSING DEVELOPMENT				
o Total Starts (Units)	7,620	12,325	12,554	13,287
- Moderate Rehab	3,970	8,908	8,984	7,528
- City-Owned Buildings	1,320	2,502	2,128	2,039
- Privately Owned Buildings	2,650	6,406	6,856	5,489
- Gut Rehab	800	858	1,055 a,b	1,582
- City-Owned Buildings	435	428	512 a	693
- Privately Owned Buildings	365	430	543 b	889
- New Construction	2,850	2,559	2,515	4,177
o Total Completions (Units)	7,606	8,224	8,262	8,183
- Moderate Rehab	4,100	4,303	4,432	4,850
- City-Owned Buildings	1,411	1,471	1,516	1,510
- Privately Owned Buildings	2,689	2,832	2,916	3,340
- Gut Rehab	1,302	1,170	1,071	842
- City-owned Buildings	348	832	695 c	454
- Privately Owned Buildings	954	338	376	388
- New Construction	2,204	2,751	2,759	2,491
o Units Assisted with Tax Incentives	8,638	*	4,865	*
Apartments for Homeless Families and Individuals				
o Apartments Produced	503	497	529	371
- Supportive Housing Loan Program	491	466	483	220
- Office of Development Programs	0	7	7	0
- Other	12	24	39 d	151
o Apartments for People with AIDS	153	*	423	*
HOUSING MANAGEMENT AND SALES				
o Buildings Sold	136	252	321 e	246
o Buildings in Management and Sales Pipeline	2,917	2,371	2,340	1,980
o Occupied Buildings	2,112	1,714	1,707	1,424
- Units	19,895	17,333	16,272	13,280
- Occupied Units	13,339	12,338	11,053	9,219
- Occupancy Rate	67%	71.2%	67.9%	69.4%
Central Management				
o Buildings in Management	2,535	1,991	1,836	1,509
- Units (Estimate)	19,908	16,245	13,278 f	10,084
o Vacant Buildings	805	657	633	556
- Units (Estimate)	6,295	5,741	4,979	4,389

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Annual Plan	Year 2001 Annual Actual	Fiscal 2002 Plan
o Occupied Buildings	1,730	1,334	1,203	953
- Units	13,613	10,504	8,299 f	5,695
Alternative Management				
o Buildings in Sales Pipeline	382	380	504 g	471
- Units	6,282	6,829	7,973 g	7,585
- Occupied Units	4,437	4,985	5,660	5,385
- Occupancy Rate	70.6%	73%	71%	71%
- Intake from Central Management Buildings	131	264	446 h	214
- Intake from Central Management Units	1,614	3,393	4,961 h	2,322
Rent Collections - All Programs				
o Rent Billed (000,000)	\$41.4	\$37.1	\$36.9	\$29.6
o Rent Collected (000,000)	\$35	\$31.3	\$32.5	\$25.3
o Average Residential Rent per Unit (per Month)	\$257	\$251	\$244	\$262
Maintenance				
o Superintendents and Handy Persons	771	*	603	*
o Building Systems Replaced	517	300	594 (i)	400
o Ratio of Real Property Managers to Residential Units	1:286	1:250	1:267	1:250
HOUSING PRESERVATION				
Code Enforcement				
o Field Inspection Teams	91	87	119 j	115
o Inspections per Team per Day	9.1	9	9	9
o Total Complaints Reported	248,556	243,000	302,871 k	270,000
- Emergency Complaints Reported (Non-Heat)	66,583	68,000	99,772 k	85,000
- Heat/Hot Water Complaints Reported	160,050	155,000	171,263	160,000
- Other Complaints Reported	21,923	20,000	31,836 k	25,000
o Heat/Hot Water Complaints Requiring Inspections	73,292	*	93,560	*
- Heat/Hot Water Complaints Resolved Prior to Completed Inspections	24,576	*	19,610	*
- Heat/Hot Water Inspections Completed	49,744	*	74,096	*
o Total Inspections Attempted (Including Multiple Visits)	218,026	*	262,765	*

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Annual Plan	Year 2001 Annual Actual	Fiscal 2002 Plan
o Total Inspections Completed	161,295	142,000	204,919 j	165,000
o Ratio of Completed Inspections to Attempted Inspections	74%	*	79%	*
o Total Emergency Complaint Inspections Attempted	146,104	*	118,708	*
o Total Emergency Complaint Inspections Completed	110,588	*	82,911	*
o Violations Issued During Inspection	295,346	310,000	322,270	310,000
o "C" Violations Issued	52,969	*	75,622	
o Violations Reinspected	381,633	300,000	403,591 j	325,000
o Total Violations Removed	299,743	270,000	359,379 j	300,000
- Violations Deemed Corrected (Not Inspected)	24,350	*	56,615	*
- Violations Administratively Removed	25,577	*	46,320	*
- Total Violations Removed By Inspection	249,816	*	256,444	*
Emergency Services				
o Work Orders Issued	16,630	15,205	30,221 (l)	24,000
o Repairs Completed	9,438	9,816	15,611 m	13,000
Lead Paint				
o Emergency Repair Orders Issued: Privately Owned Buildings (w)				
- Emergency Repair Orders Issued	5,861	7,000	5,042 n	4,500
- Owner Compliance (Verified)	1,721	3,500	0 (o)	0
- Jobs Voided or Downgraded	1,111	2,000	1,759	2,000
- Lead Hazard Reduction Completed	477	1,500	1,411	1,450
o Tenant Lead Surveys: City-Owned Buildings				
- Responses Requiring Further Action	1,491	1,400	781 p	800
- Jobs Voided	330	441	372 q	250
- Administratively Closed	258	345	319	200
- No Lead Hazard Found	72	96	53 q	50
- Lead Hazard Reduction Completed	222	297	884 r	600
o DOH Lead Cases Referred: Privately Owned Buildings				
- Initial Referrals	214	*	240	*
- Owner Compliance (Verified)	235	*	172	*
- Referred to DOH For Further Action	0	*	0	*
- Lead Hazard Reduction Completed	101	*	137	*
o DOH Lead Cases Referred: City-Owned Buildings				
- Initial Referrals	6	*	2	*
- Referred to DOH For Further Action	0	*	0	*
- Lead Hazard Reduction Completed	6	*	8	*

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT [806]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Annual Plan	Year 2001 Annual Actual	Fiscal 2002 Plan
ANTI-ABANDONMENT				
Activity Related to Tax Lien Sales				
o Buildings Reviewed for Distress	11,535	*	12,176	*
o Buildings Recommended for Exclusion	1,796	*	1,744	*
o Buildings referred to DOF for Tax Lien Sale	9,739	*	10,432	*
Activity Related to <i>In Rem</i> Actions				
o Number of <i>In Rem</i> Actions Initiated Under Local Law 37	6	4	4	5
o Properties Transferred	48	100	86	100
o Enforcement/Assistance for Owners/Tenants	311	350	309	350
Activity Not Related to <i>In Rem</i> Actions				
o Enforcement/Assistance for Owners/Tenants	4,231	4,500	6,532 s	5,000
o Distressed Buildings targeted for <i>In Rem</i> Action	0	*	0	*
Housing Education				
o Number of Courses Offered	54	60	62	60
o Total Enrollment in All Courses	2,019	2,000	2,092	2,000
o Average Enrollment per Course	37	33	34	33
o Units Completed According to Repair Agreements	2,302	2,000	3,742 t	2,100
Housing Litigation				
o Code Compliance Cases Opened	12,733	12,000	13,445	12,000
o Code Compliance Cases Closed	10,915	10,000	10,270	10,000
o Judgments and Settlements Collected (000)	\$1,840	\$1,800	\$1,910	\$1,800

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

- (a) Total starts for gut rehab increased because the Vacant Buildings 2000 program started rehabilitating units in Fiscal 2001 that had been scheduled for Fiscal 2002. In addition, the StoreWorks program sold some sites that had not been included in the Fiscal 2001 Plan.
- (b) The Supportive Housing Program sold buildings with a higher number of units than originally projected.
- (c) Unanticipated construction delays on various sites in the CityHome program prevented the program from meeting its target. In addition, completion targets were not met by State and federally funded programs; HPD does not have direct control of these programs.
- (d) More units were completed through the State's Housing Trust Fund Program.
- (e) The increase in total buildings sold reflects an increase in the number of smaller buildings that were sold.
- (f) More buildings than planned were moved out of Central Management because of the accelerated intake into the Division of Alternative Management Program (DAMP).
- (g) The increase in these categories is directly related to the increased DAMP intake from Central Management in Fiscal 2001.
- (h) The increase in intake was due to a decision by HPD to accelerate intake in the Neighborhood Entrepreneurs Program and Neighborhood Redevelopment Program, as well as an increase in tenants applying to the Tenant Interim Lease program.
- (i) The Fiscal 2001 Plan was based on a Capital budget plan of \$6.8 million; however, a rollover of funds from Fiscal 2000 resulted in a revised Plan of \$10.7 million, allowing more systems to be replaced.
- (j) Code Enforcement hired 29 additional housing inspectors in Fiscal 2001, which increased the Agency's field inspection teams and allowed enforcement to complete more inspections, and reinspect and remove more violations.
- (k) The Division of Code Enforcement has no control over the volume of complaints received each fiscal year. In addition, as a result of the implementation of HPD's new computer system, certain situations resulted in counting complaints that were not counted under the old system, thereby increasing the number of complaints.
- (l) The increased number of inspectors combined with the Division of Code Enforcement's efforts to expedite emergency repairs resulted in an increase in the number of work orders issued.
- (m) The increase in repairs completed is a result of the increased number of work orders issued.
- (n) Fiscal 2000 was the first year of implementation of Local Law 38 of 1999 and the new computer system that supported it. As a result of unfamiliarity with the new system, in Fiscal 2000 many lead paint jobs involving two or more conditions were divided into separate repair orders. In Fiscal 2001 jobs were entered more accurately, resulting in the issuance of fewer emergency repair orders.

DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT

- (o) The requirements of Local Law 38 made compliance verification more difficult. Specifically, inspections are more complex and remediation requirements are more stringent. All verifications require an inspection. HPD can no longer accept tenant certification that work was completed.
- (p) This number is derived from tenant responses to the annual lead survey in which the responses to both “presence of peeling paint” and “children under 6” are positive. HPD has little control over the number of surveys returned and has no control over the number of responses requiring further action.
- (q) Fewer responses to tenant lead surveys required further action, resulting in fewer jobs voided.
- (r) HPD increased its capacity to perform lead paint remediation and was able to complete more jobs than planned.
- (s) The Anti-Abandonment borough offices have emphasized performing property intervention work, resulting in an increase in the number of owners/tenants reached by the division.
- (t) The Anti-Abandonment borough offices have continued an aggressive and intensified outreach to property owners, along with a targeted enforcement of Voluntary Repair Agreement (VRA) compliance. As a result, owner compliance on VRAs has increased.

SCHOOL CONSTRUCTION AUTHORITY

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
CAPITAL BUDGET PERFORMANCE INDICATORS				
PROGRAMMATIC INDICATORS				
o New Schools Built - High Schools				
- Designs Started	4	0	0	0
- Construction Started	1	2	2	3 a
- Completed	1	0	0	1 a
- Student Spaces	836	0	0	849 a
o New Schools Built - Intermediate Schools				
- Designs Started	3	0	1 b	1 a
- Construction Started	1	2	1 c	3 a
- Completed	1	0	0	1 a
- Student Spaces	753	0	0	1,675 a
o New Schools Built - Elementary Schools				
- Designs Started	12	2	9 d	0
- Construction Started	5	7	11 d	7 a
- Completed	3	3	3	5 a
- Student Spaces	2,448	1,133	1,109	3,628 a
o School Additions and Modernizations				
- Designs Started	12	7	8 e	1 a
- Construction Started	4	0	11 e	2 a
- Completed	1	5	4 f	10 a
- Student Spaces	0	1,092	980	2,562 a
o Transportable Projects				
- Designs Started	22	6	6	by 1/02
- Construction Started	22	6	6	by 1/02
- Completed	22	7	7	by 1/02
- Student Spaces	2,882	696	696	by 1/02
o Modular Buildings (g)				
- Designs Started	2	NA	NA	NA
- Construction Started	10	NA	NA	NA
- Completed	10	NA	NA	NA
- Student Spaces	3,655	NA	NA	NA
o Capital Improvement Projects				
- Total Dollar Value (000)	\$675,642	\$649,900	\$870,000 h	by 1/02
- Total Design Starts	532	*	451 (i)	*
- Total Construction Starts	512	*	392 (i)	*
- Total Projects Completed	394	*	468 j	*
o Projects Completed (k)				
- Completed Early	45.7%	*	45%	*
- Completed On Time	24%	*	27%	*
- Total	69.7%	75%	72%	by 1/02

SCHOOL CONSTRUCTION AUTHORITY

- (a) The Fiscal 2002 Plan is subject to revision. During Fiscal 2002 the Board of Education (the Board) is expected to amend the Capital Plan for Fiscal 2000-2004.
- (b) The Board assigned an intermediate school design project in Fiscal 2001, one more than originally planned.
- (c) Construction was delayed for one school due to site conditions.
- (d) The Board assigned more elementary school projects in Fiscal 2001 than originally planned. This reflects the Mayor's State of the City address in which the Mayor called for accelerated construction of 12 new school facilities before the end of Fiscal 2001.
- (e) The Board assigned more addition and modernization design projects and construction starts in Fiscal 2001 than originally planned. This reflects the Mayor's State of the City address in which the Mayor called for accelerated construction of 12 new school facilities before the end of Fiscal 2001.
- (f) The Board modified the number of addition and modernization construction completion projects assigned to SCA in Fiscal 2001.
- (g) Fiscal 2000 was the last year for the Modular Program due to the Board of Education's decision to end the program.
- (h) The total dollar value of capital improvement projects increased for a number of reasons, including low estimates in the Board's Five Year Capital Plan, increases in project scope, and increases in the costs of materials and labor.
- (i) The Board assigned SCA fewer design and construction starts in Fiscal 2001 than in Fiscal 2000.
- (j) More projects were completed in Fiscal 2001 because more construction projects were started in Fiscal 2000 than in Fiscal 1999.
- (k) The "Projects Completed" indicator refers to capacity projects only. These are projects that result in the creation of new seats.

NEW YORK CITY HOUSING AUTHORITY

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Total Employees	14,867	14,920	14,704	14,680
HOUSING SERVICES				
o Applications Received (000)	86	89	101	100
- Conventional Housing (000)	71	75	74	75
- Section 8 (000)	15	14	27 a	25
o Net Families on Waiting List (000)	355	352	325	360
- Conventional Housing (000)	136	135	136	140
- Section 8 (000)	219	217	189	220
o Applicants Placed	11,554	15,500	13,581	17,500
- Conventional Housing	7,498	7,500	7,549	7,500
- Section 8	4,056	8,000	6,032 b	10,000
Conventional Housing				
o Public Housing Projects Operated	346	346	346	345
- Buildings	2,710	2,685	2,701	2,685
- Apartments (000)	181	181	181	181
o Occupancy Rate	99.4%	99.5%	99.3%	99.3%
o Average Vacancy Loss Days per Turnover	16.8	16.5	NA c	NA
o Average Turnaround Days	NI	NI	37.4 d	38
o Apartments Vacated	4.65%	4.5%	4.5%	4.5%
o Rent Billed (000)	\$587,000	\$587,000	\$609,800	\$610,000
o Rent Collected (000)	\$578,778	\$580,000	\$602,800	\$603,000
o Average Rent per Dwelling Unit	\$272	\$264	\$277	\$273
o Management Cost/Dwelling Unit (Dollars/Month)	\$619	\$622	\$707	\$672
o Total Rent Delinquency Rate	4.7%	4.7%	4.9%	4.9%
- Among Tenants Receiving Public Rent Subsidies	4.6%	5%	4.2% e	4.4%
o Court Appearances for Nonpayment of Rent	20,373	19,000	19,909	20,000
Section 8				
o Occupied Units				
- Certificates and Vouchers	75,171	78,684	76,093	81,500
o Tenants Leaving Program	5,107	5,200	5,255	5,500

NEW YORK CITY HOUSING AUTHORITY

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Turnover Rate	6.8%	6.5%	7.1%	6.5%
o Owners Participating	25,636	26,000	25,127	25,000
Rentals to Homeless Families & Individuals				
o Conventional Housing (City Referred)	1,417	1,564	1,509	1,564
- DHS Rentals	920	710	1115 f	710
- HRA/HASA Rentals	70	144	103 g	144
- HPD Rentals	427	710	291 g	710
o Conventional Housing (Non-City Referred Rentals)	177	*	917 h	*
o Section 8 Housing Subsidy				
- EARP	2,417	2,700	1,738 (i)	2,700
- Non-EARP	115	*	1,023	*
Maintenance				
o Complaints (Citywide)				
- Emergencies	88,218	90,000	102,328	91,000
- Elevator	77,221	81,200	70,693	78,000
o Average Time to Resolve/Abate Complaints (Citywide)				
- Emergencies (Days)	1	1	1	1
- Elevator (Hours)	5.5	5	5.5	5
- Other (Days)	21	25	11 j	15
o Work Tickets				
- Received	1,918,939	2,000,000	2,126,992	2,000,000
- Completed	1,932,793	2,000,000	2,130,901	2,050,000
- Open Tickets	77,615	130,000	75,298 j	90,000
o Average Number of Days to Prepare Vacant Apartments	14	18	13.1 j	18
SOCIAL AND COMMUNITY SERVICES				
o Authority-Operated Community Centers	115	117	117	117
- Average Daily Attendance	5,387	5,500	5,494	5,500
o Sponsored Community Centers	46	52	49	50
- Average Daily Attendance	5,536	5,800	6,433	6,200
o Authority-Operated Senior Citizen Programs	37	37	38	38
- Seniors Registered (Average)	4,674	4,500	4,500	4,500
o Tenant Patrol Supervisors (Part-Time)	208	214	205	213
- Buildings Patrolled	806	830	742	825

NEW YORK CITY HOUSING AUTHORITY

- (a) The number of applications received is largely out of the Authority's control.
- (b) The decrease in Section 8 applicants placed is due to delays in scheduling interviews and increased processing time required to handle a backlog in criminal background checks.
- (c) This indicator has been replaced with average turnaround days.
- (d) This new indicator is a calculation of the number of days it takes to prepare and lease an apartment once it is vacant.
- (e) The total rent delinquency rate among tenants receiving public rent subsidies is lower due to citywide implementation of the Electronic Funds Transfer Program, which has made the collection of rent more prompt and efficient.
- (f) More Department of Homeless Services' (DHS) referrals were placed due to an increase in DHS' allotment, effective April 2001.
- (g) Due to a smaller number of referrals, NYCHA placed fewer HIV/AIDS Services Administration and Department of Housing Preservation and Development referrals than expected.
- (h) The number of homeless families referred by non-City agencies for conventional housing is not under NYCHA's control.
- (i) The number of Emergency Assistance Rehousing Program rentals is dependent on the number of families DHS links to apartments. Due to DHS linking fewer families to apartments, there was a substantial decrease in rentals.
- (j) The average time to resolve/abate nonemergency and non-elevator complaints, the number of open tickets, and the average number of days to prepare an apartment decreased due to a special initiative to complete outstanding skilled trade work orders.

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
AGENCY PROJECTS / CONTRACTS				
All Projects				
o Projects Started	1,231	491	618 a	367
- Design	640	187	279 a	164
- Construction	591	304	339 a	203
o Projects Completed	641	325	369	255
- Completed Early	59%	32%	48% b	35%
- Completed On Time	29%	45%	37% b	45%
- Completed Late	12%	23%	15% b	20%
o Projects Completed - Total Dollar Value of Construction (000,000)	\$815	*	\$813	*
- Completed Early	\$293	*	\$316	*
- Completed On Time	\$312	*	\$301	*
- Completed Late	\$210	*	\$196	*
PROJECT TIMELINESS				
o Projects Completed Under \$500,000	433	145	173 b	82
- Completed Early	294	49	78 b	29
- Completed On Time	115	68	79 b	37
- Completed Late	24	28	16 b	16
o Projects Completed Between \$500,000 and \$1 Million	48	47	46	33
- Completed Early	22	13	27 b	12
- Completed On Time	12	18	11 b	15
- Completed Late	14	16	8 b	6
o Projects Completed Between \$1 Million and \$5 Million	124	100	107	92
- Completed Early	58	32	61 b	32
- Completed On Time	42	43	29 b	42
- Completed Late	24	25	17 b	18
o Projects Completed Greater than \$5 Million	36	33	43 b	48
- Completed Early	7	8	12 b	17
- Completed On Time	16	12	18 b	22
- Completed Late	13	13	13	9
o Average Construction Duration of Projects (Days)	312	371	282 c	394
Structures Projects	284	343	225 c	388
- Under \$500,000	187	231	164 c	334
- Between \$500,000 and \$1 Million	430	525	251 c	342
- Between \$1 Million and \$5 Million	276	418	242 c	353
- Greater than \$5 Million	807	675	597	642

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
Infrastructure Projects	421	442	423	404
o Sewer & Water	436	403	392	408
- Under \$500,000	236	227	212	177
- Between \$500,000 and \$1 Million	319	300	281	280
- Between \$1 Million and \$5 Million	448	419	385	394
- Greater than \$5 Million	868	771	762	679
o Street	360	528	579	392
- Under \$500,000	143	0	0	145
- Between \$500,000 and \$1 Million	397	190	181	276
- Between \$1 Million and \$5 Million	351	518	586	418
- Greater than \$5 Million	573	713	666	432
PROGRAMMATIC INDICATORS				
o Lane Miles Reconstructed	20.1	52.1	55.4	54
- Bronx	0	0	0	2.8
- Brooklyn	9.9	11.8	11.7	17
- Manhattan	0	1.6	1.6	2
- Queens	9.1	35.5	38.5	32.2
- Staten Island	1.1	3.2	3.6	0
o Lane Miles Reconstructed				
- Designs Started	38.8	25.2	45.2 a	166.3
- Construction Started	63.6	46.3	40	85.4
- Completed	20.1	52.1	55.4	54
- Completed on Schedule	83%	78%	81%	78%
o Lane Miles Resurfaced: Contract	74.5	*	139.5	*
- Bronx	18	*	21.6	*
- Brooklyn	21.2	*	43.6	*
- Manhattan	20.9	*	44.4	*
- Queens	7.5	*	21.4	*
- Staten Island	6.9	*	8.5	*
o Sewers Constructed (Miles)				
- Designs Started	24	3.9	18.6 a	20.4
- Construction Started	21.6	19.7	18.7	23.4
- Construction Completed	14.2	37.9	30.4 a	34.7
o Sewers Reconstructed (Miles)				
- Designs Started	24.2	8.8	14.6 a	11.8
- Construction Started	23.8	35.8	33.9	19.4
- Construction Completed	26.2	29.1	23.2 a	40.5
o Water Mains Replaced (Miles)				
- Designs Started	44.8	13	29.1 a	21.1
- Construction Started	51.4	86.5	119.4 a	51.9

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- Construction Completed	62	67.4	74.5	143.2
PROJECT MANAGEMENT				
o Number of Current Construction Contracts	942	960	1,123 d	1,100
o Total Dollar Value of Current Construction (000,000) Contracts (Original Maximum Contract Amount)	\$1,507	\$1,600	\$1,884 d	\$1,825
o Infrastructure Projects (\$000,000)	\$1,789.8	*	\$1,869.7	*
- Under \$500,000	60	*	54	*
- Between \$500,000 and \$1 Million	55	*	55	*
- Between \$1 Million and \$5 Million	174	*	165	*
- Greater than \$5 Million	105	*	116	*
o Structures Projects (\$000,000)	\$2,240.9	*	\$2,597.1	*
o Health and Human Services (\$000,000)	\$151.5	*	\$325.1	*
- Under \$500,000	67	*	68	*
- Between \$500,000 and \$1 Million	21	*	21	*
- Between \$1 Million and \$5 Million	29	*	43	*
- Greater than \$5 Million	7	*	16	*
o Schools (\$000,000)	\$414.9	*	\$403.8	*
- Under \$500,000	55	*	25	*
- Between \$500,000 and \$1 Million	28	*	22	*
- Between \$1 Million and \$5 Million	114	*	98	*
- Greater than \$5 Million	13	*	19	*
o Public Safety (\$000,000)	\$1,310.4	*	\$1,497.5	*
- Under \$500,000	138	*	93	*
- Between \$500,000 and \$1 Million	18	*	16	*
- Between \$1 Million and \$5 Million	34	*	36	*
- Greater than \$5 Million	52	*	56	*
o Cultural Institutions (\$000,000)	\$405	*	\$370.7	*
- Under \$500,000	166	*	96	*
- Between \$500,000 and \$1 Million	42	*	35	*
- Between \$1 Million and \$5 Million	66	*	57	*
- Greater than \$5 Million	15	*	16	*
o Average Percentage Increase/Decrease for All Completed Construction Contracts (Excluding Programmatic Scope Changes)	1.5%	6%	2% e	6%
o Number of Current Consultant Design and Construction Supervision Contracts	454	475	705 d	700

DEPARTMENT OF DESIGN AND CONSTRUCTION [850]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Total Dollar Value of Current Consultant Design and Supervision Contracts (000,000)	\$935	\$990	\$1,526 d	\$1,500
o Average Percentage Increase/Decrease for All Completed Consultant Design and Construction Supervision Contracts (Excluding Programmatic Scope Changes)	1%	6%	1% e	6%
o Number of Prequalified Consultants	675	664	622	620
- Architectural	393	385	355	360
- Engineering	217	217	214	210
- Construction Management	65	62	53	50
o Number of DDC Registered Architects	42	50	48	50
o Number of DDC Professional Engineers	175	186	164	175
o Percentage of Projects Audited	68%	65%	96% f	85%

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF DESIGN AND CONSTRUCTION

- (a) The number of projects is determined by the needs and priorities of client agencies.
- (b) Innovative contracting methods and improved project management and tracking through the use of the Department's Key Performance Indicators (KPIs) resulted in more projects completed early or on time and fewer projects completed late.
- (c) Aggressive management strategies and a better understanding of project duration by DDC contributed to overall reduction in project duration in these categories.
- (d) A shift in contracting methods to Construction Manager/Build and Requirement Contracts implemented by DDC resulted in an increase in the number and value of consultant contracts with a consequent higher total dollar value. Additionally, DDC implemented a new contract data system, resulting in more accurate contract data.
- (e) Better project management and improved coordination between design and construction staff have resulted in decreased budget variance.
- (f) The increase in the percentage of projects audited was due to the use of new technology for preparing and transmitting reports, resulting in greater efficiency.

DEPARTMENT OF SANITATION [827]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
COMMUNITY SERVICES				
o Complaints Received	7,203	8,000	6,009 a	7,600
o Average Response Time (Days)				
- Written Complaints	7.7	8	7.8	8
- Telephone Complaints	2.1	2	1.9	2
STREET CLEANING				
o Percent of Streets Rated Acceptably Clean	86.7%	83%	85.9%	83%
o Number of Districts (59)				
- Rated Between 0.0-32.9	0	0	0	0
- Rated Between 33.0-49.9	0	0	0	0
- Rated Between 50.0-66.9	1	0	0	0
- Rated Between 67.0-100	58	59	59	59
o Number of 230 DOS Sections Rated between 0.0-66.9	7	0	11	0
o Streets Rated Filthy	1.3%	*	1.4%	*
o Mechanical Broom Routes Scheduled	46,341	*	46,130	*
o Mechanical Broom Operations Routes Completed	99.6%	99.5%	99.7%	99.4%
COLLECTION				
o Tons of Refuse Collected (000)	2,999	2,996	2,975	2,987
o Tons Per-Truck-Shift				
- Refuse (Curbside)	10	10	10.1	10
- Recycling (Curbside)	5.9	5.8	5.9	6
o Collections Made at Night	15.4%	15.1%	14.5%	13.5%
o Percent of Refuse Uncollected Daily (Normal Weeks)	1.2%	1.2%	0.2% b	0.8%
DERELICT VEHICLE OPERATIONS				
o Derelict Vehicles Removed	9,728	9,000	10,257	11,000

DEPARTMENT OF SANITATION [827]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Percent of Tagged Vehicles Reached Within Three Workdays	99%	99%	99%	99%
VACANT LOT PROGRAM				
o Total Vacant Lots Cleaned	5,665	5,000	5,945 c	6,000
- City-Owned	4,508	4,100	4,501	4,300
- Private	1,157	900	1,444 c	1,700
WASTE DISPOSAL				
o Percent of Tons Received for Disposal				
- Truckfills	5%	1.9%	0.8% d	0%
- Marine Transfer Stations	46.6%	25.6%	22.4%	0%
- Private Waste Transfer Stations (e)	48.4%	72.5%	76.8%	100%
o Tons Disposed (000)	3,720.6	3,661.4	3,516.3	3,413
- By the Department at Fresh Kills	1,624.2	799.5	721.4	0
- By Private Carters at Fresh Kills	3.6	1	0.1 d	0
- Other at Fresh Kills	292.6	207.9	92.2 d	0
- By the Department at Private Waste Transfer Stations (e)	1,800.1	2,652.9	2,702.6	3,413
RECYCLING				
o Total Tons Recycled per Day	6,401	4,755	6,677 f	6,510
Department Programs				
o Curbside Residential and Institutional Recycling				
- Metal, Glass, and Plastic	977	994	1,026	1,221
- Newspapers, Cardboard, and Paper Products	1,356	1,400	1,354	1,716
o City Agency Office Paper	11	13	10 g	11
o Indirect, Institutional and Other	3,658	1,886	3,156 f	2,712
o Bulk Recycling	38	46	35 h	31
Private Sector Programs				
o Commercial Technical Assistance	361	416	1,096 (i)	819
o Total DOS Waste Stream Recycling Diversion Rate	35.1%	28.8%	37.2% f	37.3%
- Total Residential Recycling Diversion Rate	19.7%	20.2%	20.1%	25%

DEPARTMENT OF SANITATION [827]

INDICATORS FOR MAJOR MISSIONS	Fiscal	Fiscal Year 2001		Fiscal
	2000 Actual	Annual Plan	Annual Actual	2002 Plan
ENFORCEMENT				
o Total ECB Violation Notices Issued	446,186	332,536	474,183 j	355,611
- Enforcement Agents	179,023	156,999	184,520 j	160,074
- Sanitation Police	19,636	13,163	19,111 j	13,163
- Recycling Police (Total)	134,577	102,374	149,694 k	102,374
- Recycling Summonses	95,639	69,316	75,663	69,316
- Other Summonses	38,938	33,058	74,031 k	33,058
- Other Sanitation Personnel	112,950	60,000	120,858 j	80,000
o ECB Violation Notices Issued Per Day Per Enforcement Agent	16.2	13.5	14.7	13.5
o Sanitation Police				
- Illegal Dumping Violation Notices Issued	647	441	695 (l)	441
- Vehicles Impounded	254	172	278 (l)	172
o Environmental Unit				
- Chemical Cases	3	*	2	*
- Chemical Summonses	0	*	0	*
- Medical Cases	16	*	22	*
- Medical Summonses	10	*	18	*
- Asbestos Cases	18	*	19	*
- Asbestos Summonses	12	*	24	*
PROGRAMMATIC INDICATORS				
o Cleaning and Collection				
Vehicles Purchased	898	675	665	468
- Dollar Amount (000)	\$126,076	\$103,650	\$103,631	\$68,127
o Recycling Vehicle and Equipment Purchases	39	52	52	22
- Dollar Amount (000)	\$6,974	\$6,354	\$6,213	\$4,476
o Facility Construction				
- Dollar Amount (000)	\$39,363	\$24,576	\$67,078 m	\$178,971
- Design Started	5	4	4	4
- Construction Started	4	3	3	4
- Projects Completed	4	3	3	4
o Marine Transfer Station Reconstruction				
- Dollar Amount (000)	\$10,481	\$3,022	\$468 n	\$3,367
- Design Started	1	1	1	1
- Construction Started	1	1	0 n	1
- Projects Completed	3	2	2	1

DEPARTMENT OF SANITATION [827]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Solid Waste Management and Recycling Plant Construction				
- Dollar Amount (000)	\$304	\$3,595	\$3,226	\$9,768
- Design Started	1	1	1	1
- Construction Started	1	1	1	0
- Projects Completed	1	1	1	1
o Landfill Construction and Environmental Improvements Projects				
- Dollar Amount (000)	\$4,381	\$28,645	\$653 (o)	\$36,428
- Design Started	0	0	0	0
- Construction Started	1	1	0 (o)	1
- Projects Completed	1	1	1	0

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF SANITATION

- (a) The decrease is due to the completion of the Department's transition from biweekly to weekly recycling.
- (b) The decrease is due to fewer snow disruptions.
- (c) The Department is no longer required to send a West Nile virus hazard Notice of Violation to each lot owner prior to cleaning, thereby enabling faster City service.
- (d) The decrease is due to the closure of the Fresh Kills Landfill.
- (e) The indicator has been changed from "Non-City Permitted Locations" to "Private Waste Transfer Stations" to better describe operations.
- (f) The increase is due to greater recycling of stockpiled Department of Transportation millings and clean fill.
- (g) The number of City agencies participating in the program has decreased.
- (h) The decrease in lots requiring intensive cleaning with heavy duty front-end loaders has resulted in an overall reduction in bulk recycling products.
- (i) The increase is due to larger deliveries of commercial clean fill by a private contractor.
- (j) The increase is due to Sanitation enforcement personnel issuing more Health and Administrative Code and poster violations.
- (k) Due to the increased staffing of Recycling Police Officers, more summonses were issued.
- (l) The increase is due to other Sanitation enforcement personnel issuing illegal dumping violations and impounding vehicles.
- (m) The increase is due to garage-related construction contracts that began in Fiscal 2001 instead of Fiscal 2002.
- (n) The decrease is due to the rescheduling of work to Fiscal 2002.
- (o) The decrease is due to the rescheduling of construction bids for the Staten Island Waste Transfer Facility.

DEPARTMENT OF PARKS AND RECREATION [846]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
OPERATIONS				
[002, 006]				
o Full-Time Employees	1,038	929	1,006	929
o Property Condition Survey				
- Total Sites Inspected	2,739	2,700	3,488 a	3,500
o Citywide Acceptability Rating for the Overall Condition of Small Parks, Playgrounds, Greenstreets and Sitting Areas	89%	80%	88%	80%
o Citywide Acceptability Rating for the Cleanliness of Small Parks, Playgrounds, Greenstreets and Sitting Areas	96%	93%	93%	93%
o Acceptable by Feature (Small Parks, Playgrounds, Greenstreets, and Sitting Areas)				
- Litter	87%	87%	84%	87%
- Glass	97%	90%	98%	90%
- Graffiti	93%	90%	95%	90%
- Weeds	98%	90%	96%	90%
- Sidewalks	96%	85%	97%	85%
- Pavement	85%	75%	90% b	75%
- Safety Surface	85%	80%	89%	80%
- Play Equipment	86%	80%	86%	80%
- Benches	96%	85%	95%	85%
- Fences	95%	85%	94%	85%
- Lawns	82%	75%	85%	75%
- Trees	92%	90%	96%	90%
- Athletic Fields	63%	*	89%	*
- Horticultural Areas	93%	*	94%	*
- Trails	*	*	100%	*
- Water Bodies	*	*	96%	*
o Citywide Acceptability Rating for the Overall Condition of Large Parks	NI	*	70%	70%
o Citywide Acceptability Rating for the Cleanliness of Large Parks	NI	*	79%	75%
o Acceptable by Feature (Large Parks)				
- Litter	NI	*	58%	*
- Glass	NI	*	91%	*
- Graffiti	NI	*	91%	*
- Weeds	NI	*	85%	*
- Sidewalks	NI	*	92%	*
- Pavement	NI	*	78%	*

DEPARTMENT OF PARKS AND RECREATION [846]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
- Safety Surface	NI	*	77%	*
- Play Equipment	NI	*	95%	*
- Benches	NI	*	90%	*
- Fences	NI	*	89%	*
- Lawns	NI	*	92%	*
- Trees	NI	*	90%	*
- Athletic Fields	NI	*	94%	*
- Horticultural Areas	NI	*	98%	*
- Trails	NI	*	92%	*
- Water Bodies	NI	*	93%	*
o Comfort Stations	1,494	1,491	1,491	1,491
- In Service	76%	77%	85%	77%
o Tennis				
- Tennis Courts	551	563	550	563
- Number of Permits Sold	22,015	19,000	21,639	19,000
o Ice Skating				
- Skating Rinks	6	6	6	6
- Attendance at Skating Rinks	755,620	775,000	880,000	775,000
- Concession Revenue	\$1,364,599	\$1,423,375	\$1,468,282	\$1,423,375
o Ballfields				
- Total Ball Fields	617	615	614	615
o Swimming Pools				
- Total Pools	43	43	43	43
- Outdoor Pools	33	33	33	33
- Attendance at Olympic and Intermediate Pools	1,204,200	*	860,563	*
o Public Complaints				
- Complaints Received	2,842	*	3,579	*
- Bronx	517	*	569	*
- Brooklyn	899	*	798	*
- Manhattan	877	*	1,154	*
- Queens	245	*	754	*
- Staten Island	304	*	304	*
- Complaints Resolved	2,607	*	1,306	*
o Summons Issuance	12,635	14,000	20,759 c	14,000
- Parking Violations	7,471	7,500	13,115 c	7,500
- Health and Administrative Code Violations	5,164	6,500	6,712	6,500
o Abandoned Vehicles Removed	331	*	293	*

DEPARTMENT OF PARKS AND RECREATION [846]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
o Urban Park Services				
- Full-Time Employees	254	272	241	272
- Cost of Reported Vandalism (000)	\$711	*	\$589	*
FORESTRY				
[002, 006]				
o Full-Time Employees	73	80	71	80
o Public Service Requests Received	49,449	*	43,975	*
- Tree Removal	10,445	*	9,361	*
- Pruning	7,561	*	6,669	*
- Stump Removal	712	*	364	*
- Other	30,731	*	27,581	*
o Trees Removed	7,458	8,000	7,508	8,000
- Within 30 Days	99%	90%	99%	90%
o Trees Pruned	50,454	45,000	50,520	45,000
o Stumps Removed	10,046	4,500	7,161 d	4,500
o Cumulative Work Order Backlog	1,584	5,000	2,621 e	5,000
- Annual Tree Removal	0	0	0	0
- Pruning	0	0	0	0
- Stump Removal	1,584	5,000	2,621 d	5,000
RECREATION				
[004, 009]				
o Full-Time Employees	85	109	78 f	86
o Recreation Centers Total Attendance	2,896,185	2,000,000	3,103,009 g	2,000,000
- Bronx Attendance	291,801	*	342,891	*
- Brooklyn Attendance	634,088	*	685,896	*
- Manhattan Attendance	1,464,060	*	1,547,934	*
- Queens Attendance	391,336	*	473,409	*
- Staten Island Attendance	114,900	*	52,879	*
o Attendance at Recreation Centers				
- Adult	51%	*	50%	*
- Youth	27%	*	26%	*
- Senior	9%	*	9%	*
- Visitor	8%	*	6%	*
- Recreation Center Special Events	6%	*	9%	*
o Citywide Special Events and Tournaments Held by DPR	1,330	1,000	1,414 h	1,000

DEPARTMENT OF PARKS AND RECREATION [846]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
TECHNICAL SERVICES [002, 006]				
o Full-Time Employees	224		262	262
o Work Orders Completed				
- Facility Repair	84%		86%	80%
PROGRAMMATIC INDICATORS [003, 010]				
o Trees Planted	19,235		13,321	12,000
o Neighborhood Park and Playground Reconstruction				
- Designs Started	65		85	*
- Construction Started	41		93	*
- Completed	66		68	*
- Construction Projects Completed Early	1.52%		2.90%	*
- Construction Projects Completed On-Time	28.79%		45.60%	*
- Construction Projects Completed Late	69.70%		51.50%	*
o Large, Major, and Regional Park Reconstruction				
- Designs Started	81		98	*
- Construction Started	45		105	*
- Completed	90		76	*
- Construction Projects Completed Early	8.89%		3.90%	*
- Construction Projects Completed On-Time	45.56%		51.30%	*
- Construction Projects Completed Late	45.56%		44.70%	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF PARKS AND RECREATION

- (a) DPR performed more inspections following the expansion of the program to include large parks and additional small park sites. During Fiscal 2001 the Parks Inspection Program had more inspectors and more efficient technological devices, such as handheld computers and digital cameras, than in the previous fiscal year.
- (b) DPR's use of multi-site requirements contracts has led to an improvement in paved surfaces citywide.
- (c) Due to enforcement of parking regulations in the Flushing Meadow/Shea Stadium areas of Queens, and in Battery Park City and the Hudson River parks, the number of parking violations has increased.
- (d) The number of stumps removed each year depends largely on the amount of funding available for stump removal contracts. More stumps were removed than planned because additional funding was made available, due to reduced storm spending (fewer storms) in Fiscal 2001.
- (e) The cumulative work order backlog was lower than expected because the Department exceeded its stump removal plan.
- (f) The number of full-time employees was lower than planned because seasonal employees were used instead of full-time employees.
- (g) The addition of computer resource centers and increased special events attracted more people to the recreation centers.
- (h) DPR held more events for teens as a result of increased funding from private foundations and the Department's partnership with the Administration for Children's Services.

LANDMARKS PRESERVATION COMMISSION

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
RESEARCH				
o Total Number of Designated Properties	21,789	*	21,951	*
o Number of Buildings Heard at Public Hearings	32	*	27	*
o Number of Buildings Designated	25	25	25	12 a
- Requests for Evaluation Received	137	*	76	*
- Requests for Evaluation Acknowledged	137	*	76	*
o Records Access Requests Received	142	*	184	*
o Records Access Requests Granted	119	*	152	*
PRESERVATION				
o Work Permit Applications Received	7,933	*	7,824	*
- Actions Taken	7,617	*	7,393	*
- Work Permit Applications Approved	6,789	*	6,443	*
- Work Permit Applications Denied	3	*	4	*
- Work Permit Applications Withdrawn	825	*	916	*
ENFORCEMENT				
o Warning Letters Issued	800	*	860	*
o Corrective Action in Response to Warning Letters	363	*	572	*
o Applications to Legalize or Remedy	299	*	497	*
o Number of Warning Letters Pending	307	*	277	*
o Stop Work Orders Issued	43	*	65	*
o Notices of Violation Issued	154	*	138	*
o Warning Letters/Violations Cured	64	*	86	*
HISTORIC PRESERVATION GRANT PROGRAM				
o Inquiries Received	193	*	141	*
o Applications Received	34	*	39	*
- Grants Awarded	20	*	13	*

LANDMARKS PRESERVATION COMMISSION

- (a) The Fiscal 2002 Plan for number of buildings designated has been lowered to reflect the Commission's focus on historic districts.

PUBLIC LIBRARIES [035, 037, 038, 039]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
LIBRARY OPERATIONS				
o Attendance (000)				
- Brooklyn Public Library	8,989	9,000	8,915	9,000
- New York Public Library -- Branch	12,291	13,500	12,327	13,000
- New York Public Library -- Research	1,910	1,900	1,736	1,900
- Queens Borough Public Library	16,948	17,000	16,533	16,500
o Circulation (000)				
- Brooklyn Public Library	10,917	11,650	11,340	11,400
- New York Public Library	12,842	12,900	13,486	13,500
- Queens Borough Public Library	17,239	17,500	16,829	16,900
o Circulation Per Capita				
- Brooklyn Public Library	4.7	5.1	4.7	4.8
- New York Public Library	4.2	4.2	4.1	4.1
- Queens Borough Public Library	8.8	9	7.5 a	7.6
o Items Purchased				
- Brooklyn Public Library	1,052,485	1,000,000	1,028,512	1,000,000
- Books	900,236	850,000	884,520	860,000
- Periodicals	56,892	55,000	51,426	50,000
- Non-print	95,357	95,000	92,566	90,000
- New York Public Library	963,347	1,000,000	952,796	950,000
- Books	868,442	907,000	846,547	845,000
- Periodicals	23,652	21,000	20,373	20,000
- Non-print	71,253	72,000	85,876 b	85,000
- Queens Borough Public Library	964,172	867,800	871,902	819,200
- Books	878,210	790,400	800,392	751,000
- Periodicals	10,348	9,400	9,199	7,200
- Non-print	75,614	68,000	62,311	61,000
o Program Sessions Conducted				
- Brooklyn Public Library	41,777	35,000	43,514 c	40,000
- New York Public Library	26,748	26,000	27,553	28,000
- Queens Borough Public Library	27,702	27,700	27,446	27,700
o Program Attendance				
- Brooklyn Public Library	676,708	600,000	740,195 c	650,000
- New York Public Library	513,841	520,000	503,596	500,000
- Queens Borough Public Library	597,459	597,500	591,375	600,000
o Average Weekly Hours				
- Brooklyn Public Library	41.9	42.3	43.1	43.1
- New York Public Library -- Branch	41.3	41.5	41.7	41.7

PUBLIC LIBRARIES [035, 037, 038, 039]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- New York Public Library -- Research	46	46	47.8	48
- Queens Borough Public Library	42.5	42.5	42.9	42.9
o Reference and Information Queries (000)				
- Brooklyn Public Library	5,918	5,800	5,532	5,600
- New York Public Library -- Branch	6,419	6,500	6,347	6,500
- New York Public Library -- Research	200	250	198 d	250
- Queens Borough Public Library	2,960	3,100	3,345	3,500
o Computers and Terminals Internet Connected				
- Brooklyn Public Library	825	850	833	850
- New York Public Library -- Branch	1,055	1,100	1,136	1,150
- New York Public Library -- Research	314	200	344 e	350
- Queens Borough Public Library	790	790	790	810
o Computers and Terminals Not Internet Connected				
- Brooklyn Public Library	0	0	0	0
- New York Public Library -- Branch	516	495	308 e	305
- New York Public Library -- Research	34	0	31 e	30
- Queens Borough Public Library	0	0	0	0
o Agency Revenues (000)				
- Brooklyn Public Library	\$1,725	\$1,700	\$1,869	\$2,000
- New York Public Library	\$2,340	\$2,200	\$2,394	\$2,300
- Queens Borough Public Library	\$3,252	\$3,250	\$3,087	\$3,100
CONNECTING LIBRARIES AND SCHOOLS PROGRAM (CLASP)				
o Brooklyn Public Library				
- Students Reached	217,998	200,000	255,105 f	220,000
- Schools	362	300	377 f	350
- School Districts	13	13	13	13
o New York Public Library				
- Students Reached	91,764	125,000	209,403 g	210,000
- Schools	490	490	490	490
- School Districts	13	13	13	13
o Queens Borough Public Library				
- Students Reached	133,074	145,000	156,285	150,000
- Schools	227	280	411 h	285
- School Districts	7	7	7	7

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation

PUBLIC LIBRARIES

- (a) The 2000 United States Census determined the Queens population increased by 14.2 percent, which resulted in a decrease in Queens Borough Public Library circulation per capita.
- (b) During Fiscal 2001 the New York Public Library (NYPL) responded to patron demand by purchasing more videos and digital video discs.
- (c) In Fiscal 2001 the Brooklyn Public Library (BPL) implemented more education assistance programs for children at its branches. In addition, the reopening of the Youth Wing located at the Central Library resulted in a significant increase in programming for children and teens at this location.
- (d) The figure reported represents telephone inquiries. NYPL has experienced an increase in the number of patrons e-mailing queries to Reference staff.
- (e) During Fiscal 2001 NYPL received additional funding from private sources to install additional computers for public use and increase Internet connectivity.
- (f) BPL staff developed and implemented more programs targeted to parents' and administrators' needs.
- (g) In Fiscal 2001 NYPL reviewed and revised the process of tabulating attendance of CLASP library visits, resulting in more accurate reporting.
- (h) QBPL expanded CLASP to serve students of private and parochial schools as well as public schools.

DEPARTMENT OF BUSINESS SERVICES [801]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
ENERGY COST SAVINGS PROGRAM				
o Projects Approved	144	130	109 a	130
o Dollar Value of Annual Estimated Savings (000)	\$4,023	\$3,500	\$4,000	\$4,000
o Projected Jobs Affected	11,078	6,000	6,745	7,000
CITY BUSINESS ASSISTANCE/EMERGENCY RESPONSE UNIT				
o Businesses Reached	5,350	7,000	5,184 b	6,000
o Cases Opened	1,927	1,900	1,700	1,900
o Businesses Assisted	1,911	1,900	1,626	1,900
NEIGHBORHOOD DEVELOPMENT DIVISION				
o Local Development Corporations Funded	66	80	92 c	92
- Dollar Value (000)	\$4,580	\$5,000	\$5,600	\$5,600
o Business Improvement Districts (BIDs)				
- Authorization to Initiate BIDs	0	1	3 d	1
- BID Proposals Entering Public Hearing Approval Process	0	5	3 e	1
- BIDs Established	1	4	2 e	2
DIVISION OF ECONOMIC AND FINANCIAL OPPORTUNITY				
o Locally Based Enterprise Program				
- Companies Newly Certified	15	20	33 f	40
- Total Certified LBEs	240	240	170 f	200
o Minority/Women-Owned Business Enterprise Program				
- Companies Newly Certified	200	225	97 g	125
- Total Certified M/WBEs	1,200	1,300	770 g	900
- Number of M/WBE/LBE Program Outreach Activities	20	25	30 g	30
o Procurement Outreach Program				
- Bids Disseminated	1,898	1,700	1,518	1,500
- Bids Submitted	351	375	282 h	320
- Firms Receiving Contracts	22	30	20 h	25
- Contract Awards Reported	40	36	32	35
- Dollar Value (000,000)	\$16.8	\$12	\$11.9	\$12
o Bid-Match Program				
- Total Number of Firms in Database	680	800	915	1,000
- Number of Bid Notifications Disseminated	785	1,000	1,100	1,200

DEPARTMENT OF BUSINESS SERVICES [801]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Total Dollar Value of Bids Awarded (000,000)	DNA	DNA	DNA	DNA
- Total Dollar Value of Bids Awarded to Bid-Match Firms (000,000)	\$0.7	\$1.0	\$4.5 (i)	\$5.0
o Construction Permit Plan Examination				
- New Permit Applications Filed (Total)	182	182	180	180
- New Structures	20	22	21	20
- Alterations	162	160	159	160
- Examinations Performed	573	600	575	550
o Permit Applications Approved				
- New Structures	152	150	149	145
- Alterations	19	22	21	20
- Examinations per Person per Day	133	128	127	125
- Examinations per Person per Day	2.75	2.00	2.00	2.00
o Construction Permit Inspections				
- Inspections Performed	1,489	550	540	550
- Inspections per Person per Day	4	4	3.5	4
- Violations Issued	4	1	2	3
- Summonses Issued	0	0	0	0
o Security and Enforcement				
- Inspections Performed	3,698	3,300	3,578	3,300
- Summonses Issued	1,224	1,300	1,259	1,300
- Parking Violations Issued	1,206	1,250	1,223	1,250

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF BUSINESS SERVICES

- (a) The decrease is due to delays in the enactment of new legislation to allow the approval of more projects by the Energy Cost Savings Program.
- (b) Fewer businesses were reached due to staff members' medical absence.
- (c) Local officials identified and allocated more funding in Fiscal 2001 than was planned.
- (d) The City expedited the approval of BIDs in Bay Ridge, Kingsbridge and East Mid-Manhattan.
- (e) Additional time was required to compile necessary documentation, thereby delaying BIDs from entering the public hearing process and being established.
- (f) The increase is due to the Department's additional outreach to eligible businesses. In addition, a review of previously certified companies by the Department determined that a larger number of firms failed to meet eligibility requirements, thereby resulting in the revocation of their certifications.
- (g) During Fiscal 2001 response by eligible firms for certification declined. DBS has increased outreach to attract a higher response.
- (h) The number of firms submitting bids and receiving contracts depends on the nature of the contracts solicited and other factors beyond the control of DBS.
- (i) The implementation of new technology, which permits the dissemination of bid information via e-mail, increased the number of City agencies using the system to solicit contracts.

NYC ECONOMIC DEVELOPMENT CORPORATION [801]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal 2001 Annual Actual	Fiscal 2002 Plan
BUSINESS DEVELOPMENT				
o Financing Initiatives				
- Bond Applications Approved by IDA Board	36	25	49 a	30
- Dollar Value of Applications Approved (000)	\$1,664,913	\$200,000	\$962,096 b	\$1,600,000
- Bond Transactions Closed	19	15	31 a	20
- Dollar Value of Bonds Issued (000)	\$142,978	\$700,000	\$255,183 c	\$1,600,000
o Small Industry/Industrial Incentive Program				
- Applications Approved	37	21	21	22
- Dollar Value (000)	\$288,302	\$75,000	\$151,605 b	\$75,000
- Transactions Closed	30	20	18	20
- Dollar Value (000)	\$154,901	\$50,000	\$67,342 b	\$50,000
BUSINESS RECRUITMENT AND RETENTION				
o Business Retention Activity				
- Number of Companies Retained	27 d	*	8	*
- Number of Jobs Retained	13,605	*	9,629	*
- Projected Job Growth From Retention Deals	32,538	*	11,538	*
- Cost per Job Retained or Projected Through Retention Deals	\$2,229	*	\$3,752	*
- Proportion of Retention Deal Benefits Tied to Job Growth	62% d	*	43%	*
o Business Recruitment Activity				
- Number of Companies Recruited to NYC	68	40	41	70
- Number of Jobs Recruited to NYC	1,779	800	767	1,800
- Dollar Value (000)	\$10,946	\$6,154	\$5,254	\$11,400

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

NYC ECONOMIC DEVELOPMENT CORPORATION

- (a) The historically low interest rates during Fiscal 2001 created an attractive environment for businesses seeking to acquire property through the issuance of bonds.
- (b) An increased number of incentive transactions with higher dollar values were approved and closed for a larger number of companies seeking to secure benefits.
- (c) IDA postponed the planned issuance of the first part of a \$1.3 billion bond series involving a major airline until Fiscal 2002.
- (d) During Fiscal 2000 the business retention agreements included job growth deals for 14 new media companies.

DEPARTMENT OF HEALTH [816]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
DISEASE INTERVENTION				
[102, 112]				
o Acquired Immunodeficiency Syndrome (AIDS)				
- New Adult Cases Reported Citywide (a)	6,224 b	*	6,075	*
- New Pediatric Cases Reported Citywide (a)	27 b	*	25	*
- Cumulative Adult Cases Reported Citywide	117,774 b	*	122,716	*
o Laboratory Tests for Human Immunodeficiency Virus (HIV) Performed by DOH				
- Number of Specimens Tested for HIV Screening (c)	122,901	131,725	119,680	124,500
- Number of Tests for HIV Screening	282,115	298,000	271,817	290,000
- Average Turnaround Time (Days) for Negative Reports HIV-1 Tests	1	1.1	1	1.1
- Average Turnaround Time (Days) for Positive Reports HIV-1 Tests	2.1	2.1	2.2	2.1
o Calls Responded to by All AIDS Hotline Services				
	51,840	60,000	56,952	54,000
o Visits to DOH Testing Sites				
	43,776	49,500	43,151	49,500
o People Attending HIV/AIDS Prevention Education Training by DOH				
	2,729	1,620	2,068 d	1,620
o Tuberculosis				
- New Cases Citywide (Reported and Confirmed)	1,489	*	1,322	*
o TB Patients Completing Treatment (Drug Sensitive)				
- DOH-Treated (e)	91.7% b	90%	90.5%	90%
- Citywide (e)	91.2% b	90%	90.4%	90%
o TB Patients Completing Treatment (Drug Resistant)				
- DOH-Treated (e)	94.4% b	80%	86.6%	80%
- Citywide (e)	93.1% b	80%	86.4%	80%
o TB Clinic Visits				
	139,564	140,200	135,044	140,200
o TB Directly Observed Therapy Caseload (Suspected or Confirmed)				
- Eligible Patients Treated by DOH	66.6% f	70%	55.7% g	70%
- High Risk Patients Treated and Monitored by DOH	61.6% f	75%	59.9% g	75%
o Prevalence of Multiple Drug Resistant (MDR) TB Cases (Reported and Confirmed)				
	54	*	59	*
o Sexually Transmitted Disease (STD)				
- New STD Cases Citywide (Reported and Confirmed)	73,972 b	*	69,968	*

DEPARTMENT OF HEALTH [816]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- New Gonorrhea Cases Citywide (Reported and Confirmed)	11,153 b	*	12,059	*
- Primary and Secondary Syphilis Cases (Reported and Confirmed)	130 b	*	187 h	*
o STD Cases Treated by DOH	43,140	38,600	41,928	40,100
o STD Clinic Visits	68,626	70,640	63,085	70,640
o Birth and Death Certificate Receipts Generated	241,207	240,000	239,752	240,000
- Average Response Time for Mailed Requests (Days)				
- Birth Certificates	7	5	4 (i)	5
- Death Certificates	10	8	7	8
o Birth and Death Certificate Corrections Applications Processed	32,005	43,000	29,917 j	40,000
- Average Response Time (Days), All Corrections	32	30	41 j	30
o Immunizations Given at				
- Child Health Clinics	102,824	102,000	98,098	102,000
- Immunization Walk-In Clinics	84,420	83,000	88,687	88,000
o Entering Students Completely Immunized	92%	90%	92%	90%
FAMILY AND COMMUNITY HEALTH SERVICES				
o Early Intervention Program				
- Children Referred	15,317 b	17,368	17,453	19,717
- Children Qualified for Evaluation	13,167 b	15,120	16,206	18,308
- Children Evaluated	13,040 b	14,200	11,844 k	17,194
- Children Found Eligible	12,288 b	13,044	11,108	15,794
- Children Qualified for Service Plan	10,619 b	11,064	9,041 k	13,397
- Initial Service Plans Developed	10,619 b	11,063	9,034 k	13,396
- Children w/Active Service Plans	13,709 b	12,304	14,703 k	15,769
o Maternal, Infant and Reproductive Health				
- Calls to Women's Healthline	34,548	33,000	27,312 (l)	33,000
- Initial Prenatal Care Appointments Made Within 3 Weeks of Initial Contact	1,838	1,800	719 m	800
- Percent of Prenatal Care Appointments Made Within 3 Weeks that Were Kept After Initial Contact (n)	65%	60%	65%	60%
- Active Caseload for All Field Services	1,095	1,200	1,149	1,200
o Child Health Clinical Visits	182,163 b	163,200	174,446	163,200
o School Children's Health Program				
- New Admission Exams				
- Performed by DOH School Health Staff	5,119	4,000	2,818 (o)	1,200

DEPARTMENT OF HEALTH [816]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- Collected and Reviewed by DOH School Health Staff	126,484	140,000	119,400	140,000
o Day Care Services				
- Total Day Care Services Known to DOH at End of Reporting Period	18,621	19,720	19,220	19,500
- Group Day Care Permits Issued	1,118	1,000	1,489 p	1,200
- Family Day Care/School Age Program Registrations and Group Family Day Care Permits Issued	4,857	4,830	2,715 q	4,800
o Dental Program				
- Dental Visits	51,061	60,000	54,190	60,000
CORRECTIONAL HEALTH SERVICES [105, 115]				
o Direct Services				
- Medical Visits	92,505	94,010	96,058	94,010
- Mental Health Visits	15,069	13,590	13,509	25,800
- Dental Visits (Initial)	3,890	3,800	3,696	3,800
o Contracted Services				
- Medical Visits	500,570	533,440	441,767 r	533,440
- Mental Health Visits	210,917	194,300	283,311 s	600,000
- Dental Visits (Initial)	15,120	12,500	13,267	15,000
REGULATORY AND ENVIRONMENTAL HEALTH SERVICES [104, 114]				
Food Establishment Inspections				
o Initial Inspections Performed				
	22,895	23,600	22,848	23,600
o Percent of Food Service Establishments Requiring a Reinspection After Failing Initial Inspection				
	18%	19%	14% t	14%
Window Guard Enforcement				
o Window Guard Inspections Performed				
	9,747	7,000	7,805	7,000
o Buildings Receiving a Notice of Violation				
	90%	90%	93%	90%
Pest Control				
o Complaints Received				
	16,442	15,550	19,358 u	17,975
o Inspections Made in Response to Complaints				
	14,256	14,360	15,791	15,100

DEPARTMENT OF HEALTH [816]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
Lead Poisoning Prevention				
o New Cases Citywide Reported and Confirmed				
- New Cases with Blood Lead Levels Greater Than or Equal to 20 mcg/dL	742	*	610 v	*
- New Cases with 2 Blood Lead Levels of 15-19 mcg/dL at Least Three Months Apart (w)	180 b	*	131 v	*
- New Cases with Blood Lead Levels of 10-19 mcg/dL Citywide	6,784 b	*	4,356 v	*
o Lead Inspection Program (x)				
- Complaints Received	102	*	151 x	*
- Complaints Responded to	100%	85%	100% x	95%
- Total Inspections Conducted	2,096	*	2,859 x	*
- Total Violations Issued	408	*	928 x	*
- Case Resolution Rate	81%	*	86%	85%
o Percent of New Cases Identified with Initial Inspection of Primary Address Completed Within 7 Days				
	91% b	90%	95%	90%
o Primary or Supplemental Addresses with Abatement Completed				
	1,281 b	*	853 y	*
o Number of Completed Initial Assessments				
	1,852 b	*	1,491 y	*
Radiation Equipment				
o Initial Radiation Equipment Cycle Inspections				
	1,897	1,565	1,575	1,340
Immediate Critical Complaints				
o Immediate Critical Complaints Received				
- Average Time to Inspect (Days)	0.57	1.00	0.49 z	1.00
GENERAL COUNSEL [101, 111]				
o Administrative Tribunal				
- Total Number of Cases Processed	44,510	42,000	32,844 aa	42,000
- Hearings	31,167	34,000	28,512 aa	34,000
HEALTH CARE ACCESS				
o Medicaid Managed Care Enrollment				
	394,244	401,800	417,715	448,000

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF HEALTH

- (a) This indicator reflects calendar year data.
- (b) This figure has been revised due to additional information that became available after the publication of the Fiscal 2001 Preliminary Mayor's Management Report.
- (c) This new indicator reflects a direct measure of laboratory workload attributed to HIV testing.
- (d) Attendance at prevention education training in Fiscal 2001 was above target due to additional events held by the Department's HIV Training Institute.
- (e) This indicator has been expanded to encompass a 12-month period instead of six months, as previously reported.
- (f) Due to a computer database problem, the percentage reported in the Fiscal 2000 Mayor's Management Report reflected only the percentage of confirmed cases. The revised number reflects both suspected and confirmed cases.
- (g) The Department is reviewing the reason for treatment declines and will report results in the Preliminary Fiscal 2002 Mayor's Management Report.
- (h) See Narrative.
- (i) The decrease in the Department's response time is attributed to hiring and training additional staff.
- (j) Staff shortages affected the number of corrections applications completed and the average response time for corrections during Fiscal 2001. A new director and new staff were hired during the latter part of the fiscal year. After the new staff receives training, the rate of completed corrections applications is expected to improve in Fiscal 2002.
- (k) Indicator is below target because of an average three-month lag between submission of documentation by providers and the final data entry into the statewide Kids Integrated Data System (KIDS). When a complete data set is available, the Department expects to show that target was either met or exceeded. Final data will be available in the Preliminary Fiscal 2002 Mayor's Management Report.
- (l) The decrease in the number of calls responded to by the Department's Women's Healthline is due to an office relocation and staff shortages. One of the two vacancies was filled and the other will be filled shortly.
- (m) The decrease in the number of prenatal care appointments is attributed, in part, to an increase in clients declining an appointment at the time of initial contact. In addition, a limited number of sites accept appointments over the telephone and obtaining follow-up information is sometimes difficult. During Fiscal 2002 the Department is working to increase the number of sites where prenatal appointments can be made directly by DOH staff at the time the client is at the field site.
- (n) This new indicator reflects program performance by measuring the ratio of initial prenatal care appointments kept to the total number of clients for which appointments were made.
- (o) The planned decrease in new admissions exams performed by DOH staff is consistent with the goal of the program, which is to refer children to primary care providers to receive ongoing direct health care.

DEPARTMENT OF HEALTH

- (p) The increase in the number of permits issued is attributed to the continued expansion by the Board of Education of Universal Pre-Kindergarten (UPK), which has resulted in increased volume of permits issued, as new UPK sites are developed and some existing day-care programs change their permits to concentrate on that age range.
- (q) Indicator is below target due to the adoption of new regulations relating to the State Quality Child Care and Protection Act, which established stricter inspection requirements.
- (r) This figure is based on 10 months of data.
- (s) Increases in contracted mental health visits can be attributed to staff increases and changes in the reporting of visits. In March 2001 mental health visits were expanded to include rounds and any follow-up activities resulting from these activities. Group visits now account for the number of persons receiving mental health services instead of the number of groups conducted.
- (t) The decrease in the percentage of facilities requiring reinspection after an initial inspection is a reflection of improved compliance by regulated food establishments and the Department's attention to inspections and code enforcement.
- (u) The increase in pest control complaints received in Fiscal 2001 is attributed to increased public awareness resulting from the Department's rodent prevention multimedia campaign and heightened media attention.
- (v) The continuing decline in lead poisoning cases mirrors the nationwide trend of reduced lead poisoning prevalence and is also due, in part, to increased awareness by the public and building owners of risk factors and protective methods.
- (w) The name of this indicator was changed to more accurately reflect data being captured.
- (x) This indicator was formerly reported by the Department of Environmental Protection. This function was transferred to DOH in November 1999.
- (y) The decrease in primary or supplemental addresses with abatements completed and the number of completed initial assessments corresponds with the decrease in the number of new cases and addresses that require abatement.
- (z) Immediate critical complaints were responded to within the Department's 24-hour standard.
- (aa) The declines in the number of cases processed and hearings held are due to improved compliance with health regulations by food establishment operators. The improved performance of food establishments on health inspections, a reflection of the Department's attention to code enforcement, has resulted in a decline in the number of violations issued. Food violations account for the majority of scheduled hearings.

OFFICE OF CHIEF MEDICAL EXAMINER [106, 116]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
OFFICE OF CHIEF MEDICAL EXAMINER				
o Deaths Reported	23,874	23,031	23,298	23,400
- Deaths Certified	9,194	9,225	8,619	8,800
o Scene Visit Cases	5,158	5,169	4,523	4,800
o Cases Transported to OCME	7,942	7,908	7,428	7,600
- Average Time From Receipt of Body to Body Ready for Release (Hours)	19	17.5	17.7	17
- Autopsies Completed	5,625	5,544	5,336	5,450
- External Examinations Completed	2,229	2,283	2,010	2,100
- Average Days From Autopsy to Completion of the Autopsy Report	74.9	75	77	75
- Percent of Autopsy Reports Completed Within 90 Days	72%	72%	67%	72%

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HEALTH AND HOSPITALS CORPORATION [819]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
WORKLOAD				
[819]				
INPATIENT				
[001]				
o Total Hospital				
- Bed Complement	7,451	7,451	7,455	7,451
- Average Daily Census	6,811	6,410	6,790	6,735
- Occupancy Rate	91.4%	91.8%	91.1%	90.4%
- Average Length of Stay (Days)	11.7	11.7	11.6	11.7
- Discharges (000)	212.6	211.5	213.4	212.4
o General Care				
- Bed Complement	2,994	2,994	2,997	2,994
- Average Daily Census	2,637	2,695	2,568	2,540
- Occupancy Rate	88.1%	90%	85.7%	84.8%
- Average Length of Stay (Days)	5.4	5.5	5.3	5.5
- Discharges (000)	177.5	177.6	157.5	176
o Psychiatric Care -- Adult				
- Bed Complement	1,097	1,097	1,097	1,097
- Average Daily Census	1,039	1,036	1,052	1,054
- Occupancy Rate	92.9%	94.4%	95.9%	96.1%
- Average Length of Stay (Days)	19.9	21.5	20.2	20.5
- Discharges (000)	18.5	18.2	17.4	17.7
o Psychiatric Care -- Child and Adolescent				
- Bed Complement	95	95	95	95
- Average Daily Census	97	82	108 a	102
- Occupancy Rate	102.1%	86.3%	113.8% a	100%
- Average Length of Stay (Days)	37	36	36.0	40.8%
- Discharges (000)	0.9	0.9	0.9	0.9
o Psychiatric Care -- Forensic				
- Bed Complement	64	64	64	64
- Average Daily Census	54	52	53	57
- Occupancy Rate	84.5%	81.3%	90.0%	90.6%
- Average Length of Stay (Days)	19.2	21.0	16.6 b	17.6
- Discharges (000)	1.1	1.0	1.1	1.0
o Skilled Nursing Care				
- Bed Complement	2,199	2,199	2,199	2,199
- Average Daily Census	2,153	2,170	2,131	2,134
- Occupancy Rate	97.9%	98.6%	96.9%	97.0%
- Average Length of Stay	434.6	389.5	397.0	440.2
- Discharges (000)	1.7	1.8	1.9	1.6

HEALTH AND HOSPITALS CORPORATION [819]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Chronic Care				
- Bed Complement	467	467	467	467
- Average Daily Census	462	430	506 c	510
- Occupancy Rate	98.9%	92%	108.4% c	100%
- Average Length of Stay (Days)	171.1	162	178.9	185
- Discharges (000)	1	1	1.0	1
o Drug Abuse				
- Bed Complement	66	66	66	66
- Average Daily Census	52	55	47 d	49
- Occupancy Rate	78.8%	83.3%	71.2% d	74.2%
- Average Length of Stay (Days)	6.1	6.0	6.7	6.0
- Discharges (000)	2.9	2.0	1.8	2.8
OUTPATIENT [001]				
Managed Care Enrollees	142,787	150,000	137,112	150,000
Ambulatory Care				
o Patient Visits				
- General Care (000)	3,289.4	3,142.5	3,391.0	3,365.6
- Psychiatric Care (000)	497.1	453.9	487.1	469.2
- Substance Abuse Treatment (000)	545.2	528	546.3	572.2
- Other (000)	420	389	390	410
- Total Visits (000)	4,751.7	4,513.4	4,814.8	4,817
Communi-Care				
o Total Clinic Visits at Communi-Care Sites				
	811.2	765.8	812.4	801.2
Emergency Room				
o Patient Visits				
- General Care (000)	944.1	942.9	959.6	932
- Psychiatric Care (000)	40.9	37.9	41.7	41.8
- Total Visits (000)	985	980.8	1,001.3	973.8
Home Care				
o Caseload				
	14,568	15,000	14,455	15,400
PSYCHIATRY [001]				
o Psychiatry Transfers to State Hospitals				
	1,064	1,100	1,005	1,000
o Homeless Mentally Ill				
- Evaluations on Street	6,568	6,600	6,115	6,000

HEALTH AND HOSPITALS CORPORATION [819]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
- Admissions to Hospital	130	115	115	105
AIDS SERVICES				
[001]				
o Inpatient Services				
- Average Daily Census	114	107	101.1	100
- Total HIV/AIDS Discharges	3,307	3,138	3,042	2,950
- Total Patients Discharged	2,431	2,094	2,308	2,200
- Average Length of Stay (Days)	12.6	12.5	12.1	12.4
o Outpatient Services				
- HIV Primary Care Visits	49,267	52,971	69,423 e	62,368
- Ambulatory Care Visits	315,926	300,000	358,620 e	343,677
- Male Patients Receiving HIV Counseling	6,893	6,552	7,789 f	7,475
- Patients Tested	6,414	6,186	7,139 f	6,885
TUBERCULOSIS SERVICES				
o Inpatient Services				
- Number of Patients	578	550	554	550
- Average Length of Stay (Days)	21.96	22	18.7 g	20
- Total Discharges	667	650	662	650
- Number of Patients Readmitted	89	50 h	108 h	95
o Outpatient Services				
- Number of Patients	4,990	4,500	3,756 (i)	3,800
- Number of Patient Visits	14,717	13,000	11,034 (i)	11,000
- Number of Patients Completing Directly Observed Therapy	238	175	174	175
WOMEN'S HEALTH				
[001]				
o Prenatal Care Visits				
	211,999	215,000	245,471	215,000
o Women Receiving Initial Prenatal Care by Trimester				
- 1st	66%	60%	64%	60%
- 2nd	25%	30%	25% j	30%
- 3rd	9%	10%	11%	10%
o Waiting Time for Initial Prenatal Care Appointment (Days)				
	6	5	4 k	5
o Adolescent Pregnancy Services				
- New Registrants	4,867	*	4,880	*

HEALTH AND HOSPITALS CORPORATION [819]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Prenatal Visits	47,554	40,000	46,928 (l)	40,000
o HIV Education, Counseling and Testing Program (Family Planning and OB Clinics)				
- Women Receiving Counseling	24,039	21,906	25,965 f	25,291
- Women Tested	22,057	20,007	23,703 f	22,000
o HIV Education, Counseling and Testing Program (All Other Clinics)				
- Women Receiving Counseling	19,385	17,820	17,848	17,820
- Women Tested	18,051	16,533	15,970	16,533
AMBULATORY CARE				
[001]				
o Waiting Time for First Nonurgent Appointments (Days)	5	10	7 m	10
o Appointment Waiting Time (Minutes)	39	45	34 m	45
o Walk-in Waiting Time (Minutes)	52	48	46	48
FINANCIAL AFFAIRS				
COLLECTIONS (000,000)				
(Includes Bad Debt and Charity Care)				
o Inpatient Services	\$2,671.1	\$2,798.9 n	\$2,805.8	\$2,617.6
o Outpatient Services	\$424.3	\$398.8 n	\$441.5	\$372.9
o Other (Miscellaneous and Bond Interest)	\$48.1	\$51.4 n	\$58.2	\$51.4
o Appeals and Settlements	\$90.8	(\$17.1) n	(\$8.4) (o)	(\$15.9)
CAPITAL BUDGET PERFORMANCE INDICATORS				
Programmatic Indicators				
o Contracts for Major/Partial Hospital Reconstruction				
- Designs Started	1	0 p	1 p	4
- Construction Started	0	0	0	5
- Construction Completed	0	0	0	0
o New Facility Construction				
- Designs Started	1	0	2 q	0
- Construction Started	0	0	0	2

HEALTH AND HOSPITALS CORPORATION [819]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
- Construction Completed	1	3	0	3
STAFFING				
[001]				
Grand Total	39,320	38,820	38,790	38,320
o Corporate Staff				
- General Care/Mental Health	33,214	33,192	32,476	32,714
- Central Office	422	422	409	422
- Nursing	6,531	6,538	6,479	6,531
o Affiliation Staff				
- FTE Physicians	4,281	4,280	4,266	4,281
- FTE Other	2,235	2,235	2,246	2,235
- FTE Other	2,046	2,045	2,020	2,046
o Grants				
	1,825	1,852	2,048	1,825

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

HEALTH AND HOSPITALS CORPORATION

- (a) The increase in average daily census and occupancy rate is the result of the lack of community residential and other treatment options for children and adolescents outside a hospital setting.
- (b) HHC's Correctional Health Services decrease in average length of stay is the result of effective psychotropic medications that stabilize patients.
- (c) The increases in chronic care daily census and occupancy rates were due to the opening of new beds at HHC's long-term facilities.
- (d) The decreases in average daily census and occupancy rate reflect a reduction of inpatient Medicaid reimbursement for inpatient detoxification treatment and the increase in community-based residential detoxification programs, including HHC ambulatory substance abuse treatment.
- (e) HIV services have continued to make gains in providing care to HIV infected patients in HIV dedicated clinics.
- (f) Consistent with State Department of Health guidelines, HHC facilities continue to make counseling and testing more accessible to patients.
- (g) The decrease in TB average length of stay is the result of enhanced patient education and awareness.
- (h) The Fiscal 2001 Plan was understated and did not reflect Fiscal 2000 TB service level.
- (i) Consistent with citywide TB trends, the number of TB cases at HHC has decreased. As a result, the numbers of patients and visits have also decreased.
- (j) As the percentage of women receiving prenatal care during the first trimester continues to be higher than the Plan, the percentage of women receiving care during the second trimester remains lower than planned.
- (k) HHC was able to reduce its waiting time in Fiscal 2001 due to enhanced scheduling systems.
- (l) The number of adolescent prenatal care visits is above Plan as a result of an expansion of the population from age 18 to age 21 and under.
- (m) The waiting time for first nonurgent and scheduled appointments was substantially lower than planned due to increased clinic sessions and newly hired physicians dedicated to new patients and walk-ins.
- (n) The Fiscal 2000 Annual Plan reflects data provided by HHC at the Fiscal 2002 Adopted Budget in June, and differ from those in the Preliminary Fiscal 2001 Mayor's Management Report.
- (o) Appeals and settlement collections decreased as a result of a Medicaid appeal that was anticipated but did not occur.
- (p) The increase in design projects for major/partial hospital reconstruction was due to consultant delays. Four projects are now rescheduled to start in Fiscal 2002.
- (q) During Fiscal 2001 new facility construction design began at Bellevue Hospital and Kings County Center (Phase II).
- (r) Fiscal 2001 Plan for new construction completed has been revised; three projects are planned for completion in Fiscal 2002.

DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES [817]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
CONTRACT PERFORMANCE MONITORING				
o Total Number of Voluntary Agency Contracts	333	356	364	375
o Total Number of Voluntary Agency Programs	840	875	863	880
- Manhattan	327	*	332	*
- Bronx	123	*	124	*
- Staten Island	67	*	79	*
- Brooklyn	171	*	170	*
- Queens	152	*	158	*
o Fiscal Audits Completed	174	375	409	350
o Comprehensive Program Audits Completed	491	445	493	469
o Unannounced Site Visits	353	374	363	375
o Follow-ups to Comprehensive Program Audits	30	*	30	*
o Contracts Canceled Due to Evaluation or Audit	0	*	0	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
JOB CENTER PROGRAMS AND OPERATIONS				
[203,101,103]				
o Persons Receiving Public Assistance (000) (a)	572.8 a	510 b	497.1 a	483.6 b
- Manhattan	96.9	*	83.0	*
- Bronx	187.4	*	168.5	*
- Staten Island	13	*	12.0	*
- Brooklyn	199.9	*	169.8 c	*
- Queens	72.7	*	61.3 c	*
o Public Assistance Caseload (000) (a)	266.9 a	255	235.1 a	255
- Manhattan	51.6	*	44.4	*
- Bronx	82.7	*	75.8	*
- Staten Island	5.8	*	5.5	*
- Brooklyn	90.2	*	77.6	*
- Queens	34.6	*	30.0	*
o PA Recipients by Category (000)				
- Family Assistance Program (FAP)	479.6	423 b	413.4	399 b
- Safety Net Assistance (SNA)	93.3	87 b	83.7	84.6 b
o Total Funds Dispersed (000)	\$1,447,126	*	\$1,342,920	*
- City Tax Levy Portion	\$431,006	*	\$417,397 c	*
o Number of PA Applications (000)	203.2	*	211.6	*
- Rejections	41.5%	*	48% d	*
- Applicant Withdrawals	3.2%	*	2.9%	*
- Grant Reductions	6.9%	*	7.2%	*
o Persons Receiving Food Stamps (End of Period) (000)	896.7	821.6	836.2	786.9
- Manhattan	145.7	*	135.9	*
- Bronx	237.8	*	221.7	*
- Staten Island	21.8	*	20.3	*
- Brooklyn	357.6	*	333.5	*
- Queens	133.8	*	124.8	*
- PA Recipients	552.7	476.5	480.9	419.9
- Non-PA Recipients	344.1	345.1	355.2	367.0
o Value of Food Stamps Issued (000)	\$884,242	*	\$845,062	*
o Payment Error Rate for Federally Supported Food Stamps	12.3%	*	13.8%	*
o Total Number of Cases (FAP and SNA) Engaged in Work Activities	109,086	*	91,293 e	*
- Employed	28,891	*	26,889	*

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Work Experience	32,790	*	19,859 e	*
- Education/Training/Job Search	4,188	*	6,282 f	*
- Teens in High School	4,251	*	2,293 c	*
- Substance Abuse Treatment	12,917	*	16,598 g	*
- Called in for Assessment/Assignment	17,794	*	12,620 c	*
- Other	8,255	*	6,752 c	*
 o Total Number of Cases (FAP and SNA) Not Engaged in Work Activities	 0	 *	 0	 *
 o Participation Rates				
- All FAP Families Participating in Work Activities as Calculated in Accordance With Federal Guidelines	40.1%	45%	43.9% h	50%
- Safety Net Clients Participating in Work Activities as Calculated in Accordance With State Guidelines	92.2%	90%	94.6%	90%
 o Total Number of Cases Participating in Work Activities In Accordance With Federal Guidelines:				
Family Assistance Program (FAP)	49,436	52,000	43,962 c	41,500
- Work Experience	13,766	*	9,557 c	*
- Employed	25,592	*	24,105	*
- Education/Training	3,004	*	4,785 f	*
- Community Service	6,514	*	5,049 c	*
- Teens in High School	560	*	466 c	*
 o Total Number of Clients Participating in Work Activities In Accordance With State Guidelines: Safety Net Assistance	 17,584	 13,000	 11,721	 11,400
- Work Experience	13,964	*	8,020 e	*
- Employed	2,095	*	2,061	*
- Training	1,525	*	1,640	*
 o Total Reported Job Placements	 89,071	 125,000	 151,376 (i)	 150,000
- FAP	58,787	83,950	95,643	95,000
- Safety Net	30,284	31,050	45,494 (i)	45,000
- Non-PA Food Stamps	NI	10,000	10,239	10,000
 o Total Fair Hearings Requested (000) (PA, PA Food Stamps, and Employment)	 142.9	 *	 124.4	 *
 o Total Fair Hearings Held	 75,158	 *	 57,439 j	 *
 o Fair Hearing Outcomes				
- Agency Affirmations	20,387	*	14,146 k	*
- Client Withdrawals	45,976	*	60,225 j	*
- Client Defaults	96,044	*	132,229 j	*

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Agency Reversals	42,880	*	59,884 (l)	*
- Agency Withdrawals	56,445	*	20,598 m	*
o Issues Decided in Favor of Agency (%)	68.6%	*	69.1%	*
o Timely Implementations of Decisions				
- Public Assistance and Employment	70.5%	90%	74.6% n	90%
- Food Stamps (PA and Non-PA)	86.7%	90%	96.5%	90%
Job Training Partnership Act Adult Programs and Participants (o)				
o Value of Agency Contracts (000)	\$16,607	NA	NA	NA
- Number of Contracts	NA	NA	NA	NA
o Enrollment	5,681	NA	NA	NA
- PA Recipients	58.2%	NA	NA	NA
o Participant Outcomes	4,292	NA	NA	NA
- Placements into Employment	2,598	NA	NA	NA
- Percentage Placed into Employment	60.5%	NA	NA	NA
o Participants Working at 90 Days	51%	NA	NA	NA
WORKFORCE INVESTMENT ACT (WIA) Adult Programs and Participants (p)				
o Value of Agency Contracts (000)	NI	\$18,400	\$18,400	\$50,604
- Number of Contracts	NI	11	11	53
o Total Enrollment (q)	NI	16,200	22,061 f	22,000
- PA Participants (r)	NI	NI	17,956	by 1/02
- Non-PA Participants (s)	NI	NI	4,105	by 1/02
- New Enrollment (t)	NI	NI	20,769	by 1/02
o Participant Outcomes (u)	NI	*	11,579	*
- Placements into Employment	NI	2,535	3,570 (i)	by 1/02
- Participants Working During the First Quarter After Exit from WIA Program (%) (v)	NI	79%	by 1/02	by 1/02
- Participants Placed Remaining on the Job During the Third Quarter After Exit from WIA Program (%) (w)	NI	79%	by 1/02	by 1/02
- Credentials Attained With Employment (x)	NI	500	536	by 1/02
- Percentage of Credentials Attained (%) (y)	NI	25%	26.4%	by 1/02

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
MEDICAL ASSISTANCE PROGRAMS/HOME CARE SERVICES PROGRAM [204, 104]				
o Persons Certified Eligible for MA (000) (a)	1,593.5	1,578.9	1,608.7	1,625
- Manhattan	277.2	*	276.7	*
- Bronx	395.8	*	397.1	*
- Staten Island	39.2	*	42.2	*
- Brooklyn	572.8	*	574.6	*
- Queens	288.7	*	295.9	*
o Persons Eligible for MA Only (000) (a)	585.5	668.3	663.7	729.9
- Manhattan	96.2	*	106.1	*
- Bronx	115.4	*	130.4	*
- Staten Island	12.8	*	16.2	*
- Brooklyn	217.7	*	248.5	*
- Queens	130.9	*	148.3	*
o MA Applications Completed Within Required Time Frames				
- Community Eligibility Division	97.7%	95%	94.9%	95%
- Hospital Eligibility Division	99.9%	95%	93.6%	95%
- Nursing Home Division	81.4%	95%	70.5% z	95%
o MA Recertifications Completed Within Required Time Frames				
- Community Eligibility Division	99.9%	95%	100%	95%
- Nursing Home Division	99.9%	95%	100%	95%
o Cases Receiving Home Care Services	64,050	66,200	65,000	66,000
- Home Attendant	46,568	48,200	46,538	47,500
- Housekeeper	7,693	8,000	7,925	8,000
- Long-Term Home Health Care	8,103	8,200	8,759	8,500
- AIDS Home Care	1,776	1,800	1,778	2,000
- AIDS Cases Referred to Vendor Within 48 hours	87.2%	85%	93.5%	85%
- AIDS Cases Served by Vendor Within 48 Hours of Referral	88.9%	90%	92.7%	90%
o Average Days to Initiate Home Attendant and Housekeeper Services	25.1	30	23.5 aa	30
- Active MA Cases	21.9	26	20.9 aa	26
- Pending MA Cases	40.7	43.7	37.3	43.7
- Serious Complaints of Home Care Clients	641	660	552 bb	660
- Complaints Resolved Within Required Time Frame	95.3%	95%	91.6%	95%
o Number of Home Attendant and Housekeeper Contracts in Effect	89	89	89	89

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Value of Agency Contracts (000,000)	\$1,344.1	\$1,359	\$1,366	\$1,359
- Vendor Agencies In Compliance With Review Areas	100%	95%	96.5%	95%
HIV/AIDS SERVICES ADMINISTRATION [205, 105]				
o Total Number of AIDS Serviceline Contacts Received	33,973	*	35,178	*
o New Applicants for Services	7,367	*	6,867	*
o Ineligible or Withdrawn Applications	913	*	907	*
o New Cases	6,543	6,835	6,011	5,495
o Total Number of Recipients (End of Period)	27,648	*	29,005	*
- Women	9,836	*	10,462	*
- Men	17,141	*	17,875	*
- Children	671	*	668	*
o Total Number of Open Cases (End of Period)	27,183	28,921	28,462	30,986
- Manhattan	7,244	*	6,813	*
- Bronx	8,612	*	9,906	*
- Staten Island	500	*	536	*
- Brooklyn	8,731	*	9,026	*
- Queens	2,096	*	2,181	*
o Cumulative Cases During Period	32,235	*	33,194	*
o Cases Receiving Housing Services				
- Cases Provided Housing and Support Services (End of Period)	5,383	6,059	6,490	7,824
- Clients Moved into Housing (During Period)	9,000	*	12,566 h	*
- Cases Provided Rent Payment Assistance (End of Period)	17,151	18,000	18,236	19,388
o Homemaking Contracts in Effect				
Value of Contracts (000)	\$18,359	\$21,857	\$21,517	\$22,098
- Vendor Agencies in Compliance With Review Areas	100%	100%	100%	100%
- Cases Receiving Homemaker Services	567	698	567 cc	600

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
ADULT PROTECTIVE SERVICES (dd)				
o Referrals (ee)				
- Total Referrals Received (ff)	9,491 ff	*	9,783 ff	*
- Total Referrals Accepted for Assessment (gg)	7,316 gg	7,450 gg	7,500 gg	7,600 gg
- APS Referrals of Ineligible Cases to Other Agencies (hh)	NI	*	2,283	*
- New Cases Accepted After Assessment (ii)	1,649	*	2,319	*
- Active Cases (End of Period)	4,000	4,042	3,753	3,986
o Legal Intervention (jj)				
- Total Number of Access Orders Requested (kk)	NI	NI	211	*
- Total Number of Temporary Restraining Orders Requested (ll)	NI	NI	22	*
- Total Number of Guardianship Orders Requested (mm)	NI	NI	577	*
- Total Number of Guardian Ad Litem Orders Requested for Representation in Eviction Cases (nn)	NI	NI	1,000	*
- Number of Active Guardianship Clients (End of Period) (oo)	NI	NI	588	*
o Financial Services (pp)				
- Number of Active Financially Managed Cases (End of Period) (qq)	NI	NI	1,182	*
o Eviction Services (rr)				
-Eviction Referral Found Eligible for Services (ss)	NI	NI	596	*
-Eviction Referral Found Ineligible for Services (tt)	NI	NI	2,845	*
HOME ENERGY ASSISTANCE PROGRAM (HEAP)				
o Total Households Served				
- Total Funds Allocated (000)	362,389 uu	*	by 1/02 vv	*
- Base Grant Amount	\$22,496 uu	*	by 1/02 vv	*
	\$50		by 1/02 vv	\$50
o Human Resources Administration				
- Households Served	333,983 uu	*	by 1/02 vv	*
- Funds Allocated (000)	\$18,082 uu	*	by 1/02 vv	*
o Department of Youth and Community Development				
- Households Served	10,228 uu	*	by 1/02 vv	*
- Funds Allocated (000)	\$4,414 uu	*	by 1/02 vv	*

HUMAN RESOURCES ADMINISTRATION [069]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Department for the Aging				
- Applications Approved	18,178 uu	*	by 1/02 vv	*
HOMELESSNESS PREVENTION PROGRAM				
o Total Families	32,647	*	36,678	*
- Active Cases	3,953	*	5,040 ww	*
- Closed Cases	31,591	*	36,118	*
o Cases Closed With Outcomes	16,455	*	19,820 xx	*
- Families Diverted	10,293	*	11,060	*
- Families Not Diverted	6,162	*	8,760 xx	*
- Diversion Rate (%)	62.5%	*	56%	*
o Families at Imminent Risk	7,563	*	9,129 ww	*
- Imminent Risk Families For Whom Housing Was Found	6,996	*	8,588 ww	*
- Imminent Risk Diversion Rate (%)	92.5%	85%	94%	85%
DOMESTIC VIOLENCE AND EMERGENCY INTERVENTION SERVICES [205, 105]				
o Domestic Violence Shelter Program				
- Average Number of Families Served per Day	392	400	409	410
- New Cases (Families)	1,722	1,800	1,633	1,800
- Number of Domestic Violence Emergency Beds (Capacity)	1,365	1,463	1,450	by 1/02
o Total Domestic Violence Nonresidential Programs	11	*	11	*
- Nonresidential Program Active Caseload	1,453	*	1,513	*
o Total Nonresidential Program Hotline Calls	15,794	*	15,813	*
o Services Provided by Domestic Violence Nonresidential Programs				
- Counseling	9,554	*	10,012	*
- Safety Planning	3,511	*	3,522	*
- Information and Referrals	1,785	*	2,219 yy	*
- Advocacy	5,651	*	6,037	*
- Community Education	1,362	*	1,236	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

HUMAN RESOURCES ADMINISTRATION

- (a) Borough counts do not add up to the citywide total because some recipients live in facilities outside the City.
- (b) This figure was revised in the City's Fiscal 2002 Executive Budget.
- (c) The decrease is due to the continued decline in the number of public assistance (PA) recipients and caseload.
- (d) The Agency attributes the increase to applicants who were ineligible to receive PA and to those who did not complete the application process.
- (e) See footnote (c). In addition, more individuals found employment.
- (f) SAP and ESP vendors operated for the entire fiscal year, resulting in more individuals enrolled full time in education, training and job search activities.
- (g) The increase is due to better identification of substance abusers and better monitoring of individuals in treatment.
- (h) See Narrative.
- (i) See footnote (f). HRA also attributes the increase to the Agency's focus on employment.
- (j) The Agency attributes the decrease to its mandatory dispute resolution policy, which requires a quality review of cases at each participant contact. These pre-hearing mediation efforts, which also resolve client issues prior to hearings, increased client withdrawals and client defaults.
- (k) See footnote (j). The decrease is due to the rise in client withdrawals.
- (l) Although the Agency presents well documented cases at fair hearings, HRA attributes the increase to the State Administrative Law Judges deciding more issues in favor of the appellants.
- (m) HRA attributes the decrease to improved review and delivery of evidence to document case actions at fair hearings.
- (n) HRA attributes the decrease to the State's elimination of its fair hearing decision backlog in a short period of time, and to Agency staff reallocation.
- (o) This section reflects data for federal Job Training Partnership Act (JTPA) programs, which ended at the close of Fiscal 2000 and will no longer be reported in future Mayor's Management Reports.
- (p) As promised in the Preliminary Fiscal 2001 Mayor's Management Report, this section has been revised to better reflect federal Workforce Investment Act (WIA) programs and services that went into effect July 1, 2000.
- (q) This new indicator reflects the cumulative number of adult participants who were registered in the WIA program during the reporting period. Data source is NYCWAY.
- (r) This indicator, formerly entitled "PA Recipients" in the JTPA section, has been renamed for clarification and now reflects the number of WIA-enrolled adult participants who receive PA or Safety Net Assistance (SNA).
- (s) This new indicator reflects the number of adult participants who do not receive PA.

HUMAN RESOURCES ADMINISTRATION

- (t) This new indicator reflects the number of newly registered adults in the WIA program during the reporting period.
- (u) This section and indicator now reports WIA participant outcomes disaggregated by employment, job retention and credential attainment. Data source is the State Wage Reporting System for July 2000 through March 2001. Full Fiscal 2001 data will be reported in the Preliminary 2002 Mayor's Management Report.
- (v) This indicator reflects the percent of adult participants who completed training and were placed into employment.
- (w) This new indicator reflects the percentage of adult participants who are placed into employment and working during both the first and third quarters after placement according to WIA performance requirements.
- (x) This new indicator reflects the number of adult participants who received training and earned credentials that documented achievement of recognized skills standards, licensure, or industry-recognized certificates as defined by WIA and were working during the first quarter after exiting the WIA program.
- (y) This new indicator reflects the percentage of adult participants who received training and earned credentials that documented achievement of recognized skills standards, licensure, or industry-recognized certificates as defined by WIA and were working during the first quarter after exiting the WIA program.
- (z) This decline is due to a 15 percent increase in active cases and the number of nursing home applications as well as nursing home placements for rehabilitation that resulted in shorter stays. MAP intends to add baseline staff to manage the increased activity.
- (aa) New York State regulations require annual nursing at-home assessments of the clinical needs for all personal care clients. In December 1999 HCSP stationed full-time geriatric nursing staff at each of its nine Community Alternative Systems Agency offices, thereby ensuring clinically sound and prompt assessments. This resulted in a continued reduction in the days needed to begin home attendant and housekeeping services.
- (bb) HCSP ensured that its vendor agencies correctly categorized serious complaints. In addition, vendors resolved complaints before they became serious.
- (cc) The Agency attributes the decrease from Plan to effective drug protocols, which allow individuals less dependence upon homemaking services.
- (dd) This section has been revised to better reflect Adult Protective Services (APS) programs and services.
- (ee) This new section reflects total referrals screened at the APS Central Intake Unit and the number of cases referred to other agencies.
- (ff) This indicator continues to reflect the cumulative number of referrals screened at APS' Central Intake Unit for presumptive eligibility for guardianship, financial management, legal and eviction services, as well as those cases referred to other agencies. The indicator formerly entitled "Eviction Services Total Referrals Received" is subsumed within this indicator.
- (gg) This indicator now reflects the cumulative number of protective service and eviction referrals accepted for assessment prior to determining program eligibility.
- (hh) This new indicator reflects the cumulative number of cases received by APS' Central Intake Unit that do not meet APS presumptive eligibility criteria, or are not accepted as APS cases following an assessment, and are referred to other agencies.

HUMAN RESOURCES ADMINISTRATION

- (ii) This indicator continues to reflect the number of referrals or cases that meet all APS criteria after assessment and are accepted for APS services. Clients must have physical and/or mental impairment; have no one willing or able to assist them responsibly; and are unable to meet their essential needs or protect themselves from harm. The indicator formerly entitled "Eviction Services Referrals Eligible for PSA Services" is subsumed within this indicator.
- (jj) This new section delineates the kind of legal services needed by APS clients during the reporting period.
- (kk) This new indicator reflects the cumulative number of legal orders to gain access to the residences of persons believed to be in need of APS services.
- (ll) This new indicator reflects the cumulative number of temporary restraining orders requested by APS to help protect adults from elder abuse.
- (mm) This new indicator reflects the cumulative number of cases for which guardianship orders have been requested for APS clients during the reporting period.
- (nn) This new indicator reflects the cumulative number of temporary guardianship orders that were requested during the reporting period to represent APS clients at eviction hearings.
- (oo) This new indicator reflects the number of active cases whose permanent guardianship orders were signed by a judge, are served by APS contract or community guardian agencies and receive protective and financial management services.
- (pp) This new section reflects the number of financially managed clients as well as those clients for whom APS has submitted emergency grant applications to prevent evictions.
- (qq) This new indicator reflects the number of APS clients for whom APS or its contracted agencies serve as responsible payees. It does not include guardian cases.
- (rr) This new section reflects the cumulative number of clients for whom APS requested emergency grants to prevent their evictions or the termination of household services, such as electricity.
- (ss) This new indicator reflects the number of eviction referrals from the City Marshals' Bureau and Sheriff that APS determined were eligible for services after assessment. See footnote (ii).
- (tt) This new indicator reflects the number of eviction referrals from the City Marshals' Bureau and Sheriff that APS determined were ineligible for services after assessment.
- (uu) Home Energy Assistance Program data is reported on the federal fiscal year. This figure reflects data for federal Fiscal 2000, which runs from October 1999 through September 2000.
- (vv) Data for federal Fiscal 2001 will be reported in the Preliminary 2002 Mayor's Management Report after reconciliation with the State.
- (ww) The increase is due to the implementation of the Rent Arrears Alert program in 24 Job Centers. See Narrative.
- (xx) During Fiscal 2001 the Agency required verifiable documentation in the approval process for rent arrears payments, resulting in more individuals who were unable to substantiate their requests and, therefore, were not diverted.
- (yy) The increase is due to community outreach efforts. See Narrative.

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
CHILD WELFARE [006, 001]				
Protective Services				
o Abuse or Neglect Reports				
- Reports	53,540	55,000	57,224	55,000
- Children	81,673	83,000	88,312	83,000
o Reports Responded to Within One Day Following Report to State Central Register (ACS Internal)				
	97.8%	100%	97.0%	100%
o Reports Founded				
	37.3% a	*	34.1%	*
o High-Risk Reports				
	19,649 a	20,350	27,696 b	23,650
o Compliance With High-Risk Response Protocol				
	95.7%	95%	94.3%	95%
o Child Protective Specialist				
	837	1,050	848 c	1,050
o New Cases per Worker per Month (Pending Rate)				
	6.7	5	6.9 d	5
o Average Child Protective Specialist Caseload				
	13.3	13	13.2	13
o Article X Petitions Filed in Family Court				
	11,456	11,000	10,382	11,000
Preventive Services				
o Families Receiving ACS Direct Preventive Services				
- Active Cases	3,445	3,200	3,515	3,200
- Cumulative Cases	7,512	7,800	8,282	7,800
o Average Field Office Family Service Worker Caseload				
	13.8	11	14.7 e	11
o Families Receiving Contract Preventive Services				
- Active Cases	8,546	9,800	10,475	9,800
- Cumulative Cases	18,052	18,000	19,313	18,000
o Percent of Contract Preventive Caseload Referred by ACS				
	50%	45%	51%	45%

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Homemaking Services				
- Vendor Agencies In Compliance with Review Areas	100%	100%	100%	100%
- Active Cases	1,116	1,200	1,122	1,200
- Cumulative Cases	1,601	1,400	1,694 f	1,400
o Families Receiving Housing Subsidies				
- New Cases	512	550	629	550
- Active Cases	909 a	900	1,148 g	900
- Cumulative Cases	1,364	1,500	1,618	1,500
Teenage Services Act (TASA)				
o Pregnant/Parenting Clients Receiving Services				
- Active Cases	1,449	1,500	1,609	1,500
- Cumulative Cases	2,539	3,000	2,910	3,000
o Contract Use for Preventive Services	95.8%	98%	88.8%	98%
o Preventive Services Program Assessment				
- Number of Contract Agencies	63	81	84	86
- Performance Evaluations Completed	63	*	84	*
o Number Requiring Improvement Plans	4	*	7	*
o Contracts Canceled/Not Renewed	3	*	3	*
Foster Care				
o Children in Foster Care (Average)				
- Children in Kinship Homes (Relatives) (Average)	9,354	10,000	8,088 h	8,000
- Children in Nonrelative Care (Average)	25,000	26,962	22,770 h	23,500
- Foster Boarding Homes	20,499	22,648	18,399 h	19,130
- Congregate Care	4,501	4,314	4,371	4,370
o Children in Placement With Foster Care Contract Agencies	86%	*	89.1%	*
o New Children Entering Foster Care				
- Children Placed in Foster Care While Receiving	9,390	*	7,908 h	*
- Direct Preventive Services	351	*	374	*
- Contract Preventive Services	947	*	994	*
o Children Discharged From Foster Care	12,954	*	12,072	*

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Average Length of Foster Care for All Children In Care (Months) (i)	48.5	*	49.8	*
o Median Time to Reunification for Children Entering Foster Care for the First Time (Months) (j)	6.4 k	*	8.3 (l)	*
o Foster Care Program Assessment				
- Number of Contract Agencies	60	59	59	58
- Performance Evaluations of Contract Agencies Completed	60	*	59	*
- Number Requiring Improvement Plans	9	*	14	*
- Contracts Canceled/Not Renewed	4	*	2	*
Adoptions				
o Children Awaiting Adoption Finalization Living with Adoptive Parents	3,013	3,000	2,563	3,000
o Children Adopted	3,148	4,500	2,715 m	3,300
- Contract Agency Services Adoptions	2,178	3,200	2,055 m	2,600
- ACS Direct Care Services Adoptions	970	1,320	660 m	700
o Average Length of Time to Complete Adoptions (Years)	3.9	2	3.5 m	2
- Contract Agency Services	3.4	2	3.2 m	2
- ACS Direct Care Services	4.9	2	4.7 m	2
o Median Time to Adoption for Children Entering Foster Care (Months) (n)	59 (o)	*	57 p	*
AGENCY FOR CHILD DEVELOPMENT [004, 003]				
o Total Enrollment in Publicly Subsidized Child Care	73,905	84,447	78,701	88,675
o Total Enrollment in ACD- Subsidized Day Care	56,549	65,059	61,553	69,254
o Group Day Care				
- Enrollment	46,225	52,283	48,331	53,444
- Publicly Subsidized Capacity	45,542	50,602	48,302	53,444
- Vacancies	395	*	442	*
- Children on Waiting Lists	5,812	*	5,002	*

ADMINISTRATION FOR CHILDREN'S SERVICES [068]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Family Day Care				
- Enrollment	9,190	11,486	10,629	12,808
- Publicly Subsidized Capacity	8,892	11,486	10,939	12,808
- Vacancies	206	*	579	*
- Children on Waiting Lists	5,067	*	4,900	*
o Number of Children Enrolled in Vouchers	12,710	15,000	17,549 q	23,000
o Head Start				
- Enrollment	17,356	19,388	17,148	19,421
- Collaborative Enrollment	1,934	1,922	1,925	1,922
- Regular Enrollment	15,422	17,466	15,223	18,069
- Capacity	18,590	19,388	18,783	19,991
- Head Start Vacancies	1,250	*	1,118	*
OFFICE OF CHILD SUPPORT ENFORCEMENT				
[004, 003]				
o Child Support Collected (000)	\$403,647	\$450,000	\$446,902	\$500,000
- Public Assistance (000) (r)	\$99,673	\$100,000	\$96,504	\$95,000
- Nonpublic Assistance (Non-PA) (000)	\$303,974	\$350,000	\$350,398	\$405,000
o Child Support Ordered by Court (000)	\$529,035	\$592,000	\$558,854	\$633,000
- Public Assistance (000) (r)	\$101,024	\$114,000	\$87,773 s	\$105,000
- Non-PA (000)	\$428,011	\$478,000	\$471,081	\$528,000
o New Support Orders Obtained	23,389	25,000	20,934	24,000
- Public Assistance (r)	11,164	11,000	8,354 s	10,000
- Non-PA	12,225	14,000	12,580	14,000
o Total Cases with Active Orders (End of Period)	199,279	216,000	208,251	220,000
o Percentage of Out-of-Wedlock Births with Paternities Established at the Time of Birth	61%	65%	62.4%	65%

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

ADMINISTRATION FOR CHILDREN'S SERVICES

- (a) Data for this indicator has been revised to reflect the most current and accurate information.
- (b) ACS is reviewing the reason for the increase in high risk reports and will report on its findings in the Preliminary Fiscal 2002 Mayor's Management Report.
- (c) In Fiscal 2001 ACS launched an aggressive hiring campaign to increase child protective specialist staffing in the Division of Child Protection. Currently there are 76 new hires at the Academy.
- (d) The increase in the pending rate is attributed to the increased number of abuse and neglect reports and less than planned staffing.
- (e) The increase in average field office family service worker caseload is due to a 13 percent increase in new cases.
- (f) The increase in homemaking cumulative cases reflects ACS' goal to prevent placements into foster care by providing home-based services when it is safe to do so.
- (g) The increase in active cases of families receiving housing subsidies is due to increased outreach, awareness and use of these services.
- (h) The decrease in children in foster care, children in kinship homes, children in nonrelative care and foster boarding homes is due to an overall decrease in the number of removals and an increase in the use of preventive services when it is safe to do so.
- (i) This indicator has been renamed and modified to be consistent with the newly added indicator, Footnote (j). Previously reported data has been converted from a yearly basis to a monthly basis.
- (j) This new indicator measures reunification rates for children who entered care beginning in 1997. ACS began tracking the rates in Fiscal 2000.
- (k) This data reflects children who entered care for the first time in 1997.
- (l) This data reflects children who entered care for the first time in 1998.
- (m) The total number of children adopted, contract agency services adoptions and ACS direct care services adoptions were below Plan and the length of time to complete adoptions was above Plan due to the Administration's emphasis on finalizing adoptions for those children in care the longest. In addition, the City's foster care population has continuously declined since 1996, resulting in fewer children available for adoption.
- (n) This new indicator measures time to finalized adoption for children who entered care beginning in 1993. ACS began tracking it in Fiscal 2000.
- (o) This data reflects children who entered care for the first time in 1993.
- (p) This data reflects children who entered care for the first time in 1994.
- (q) The increase in children enrolled in vouchers is due to increased City and State funding and outreach.
- (r) This indicator has been renamed to more accurately reflect the different types of public assistance programs, including Safety Net and the Family Assistance Program.
- (s) The continued decline in child support ordered by the court for public assistance clients and public assistance (PA) support orders obtained are the result of the general decline in the PA caseload.

DEPARTMENT OF HOMELESS SERVICES [071]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
SERVICES FOR FAMILIES [500]				
Intake				
o Families per Day Requesting New START Center Housing at the Emergency Assistance Unit (EAU)	57	*	62	*
- Families at EAU Over 24 Hours	8	*	23 a	*
- Families Placed in Overnight Accommodations	78	*	139 a	*
- Families at EAU Overnight	0	*	4 a	*
o Eligibility Investigation Unit (EIU)	20,841	22,000	22,621	28,000
- Left Before Investigation is Completed	6,790	*	7,042	*
- Found Ineligible for Temporary Housing	8,294	*	9,743 b	*
o Families Entering New START Centers	5,757	*	5,836	*
- Not Previously Lodged in New START Center Housing	2,940	*	3,963 c	*
- Returning/Lodged Within One Year	482	*	481	*
Population				
o Families In New START Centers (Average per Day)	5,029	5,075	5,563	6,858
- Conditional Placement Facilities (d)	NI	NI	1,641 e	2,928
- Hotels (d)	913	914	NA	NA
- Residences For Adult Families	332	338	329	330
- Tier II Facilities	3,570	3,598	3,593	3,600
- Reception Centers (d)	214	225	NA	NA
o Average Days In New START Centers (All Families)	285	*	312	*
o New START Centers (Overnight Facilities, Reception Centers, Tier IIs and Residences For Adult Families) Operated (f)	80 f	80	NA	NA
- By City Staff	6	6	NA	NA
- By Private Organizations (f)	74 f	74	NA	NA
o New START Centers (Overnight Facilities, Conditional Placement Facilities, Tier IIs and Residences For Adult Families) Operated (f)	76 f	*	76	76
- By City Staff	6	*	6	6
- By Contracted Agencies (f)	70 f	*	70	70
o Families Relocated to Permanent Housing	3,787	4,230	3,349 g	3,930
- Emergency Assistance Rehousing Program	2,428	3,000	1,737 h	3,000
- Department of Housing Preservation and Development	202	70	184 (i)	70
- Housing Authority	990	*	1,115	*
- Citywide Agreement	920	1,010	1,115	710
- Other	70	*	0 j	*
- Other (Mitchell-Lama/Non-EARP Section 8)	167	150	313 k	150

DEPARTMENT OF HOMELESS SERVICES [071]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
SERVICES FOR ADULTS [400, 403, 406, 409, 412, 418, 421, 424, 430, 433, 439, 442, 448, 451, 454, 460, 463, 466]				
Population				
o Total Persons Lodged per Night	6,792	7,013	7,187	7,743
- Men	5,266	5,379	5,547	5,980
- Women	1,526	1,634	1,640	1,763
o Clients Placed				
- From Assessment into Program Beds	3,802	*	4,740 (l)	*
- From General Beds into Program Beds	470	*	937 (l)	*
- From Assessment into Long-Term Placements Outside the New START Center System	505	*	447	*
o Percent of New START Center Beds Capacity				
- Assessment	13.7%	13.7%	13.2%	13.2%
- Program	67%	67%	65.4%	65.4%
- General	19.4%	19.4%	21.4%	21.4%
o New START Centers Operated	42	42	44	44
- By City Staff	7	7	7	7
- By Contracted Agencies (m)	35	35	37	37
o Average Beds Available per Night Through Church and Synagogue Program	235	230	246	240
- Average Beds per Night During Peak Month	338	340	349	350
Housing Placement				
o Placements of New START Center Clients in Publicly Supported Permanent Housing	1,439	1,350	1,404	1,350
o New START Center Clients Placed in Outside Employment	727	771	440 n	600
CAPITAL BUDGET PERFORMANCE INDICATORS				
o Beds Developed for Homeless Individuals				
- Designs Started	873	0	468 (o)	0
- Construction Started	0	873	0 p	468
- Construction Completed	0	0	0	68
o Units Developed for Homeless Families				
- Designs Started	0	0	0	175
- Construction Started	0	0	94 q	0
- Construction Completed	0	0	0	94

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF HOMELESS SERVICES

- (a) More families applied for temporary housing at the Emergency Assistance Unit (EAU) during Fiscal 2001 than during Fiscal 2000. As a result, the number of families per day for whom it took more than 24 hours to process an application or place in overnight accommodations increased, as did the number of families staying at EAU overnight.
- (b) Although more families were found ineligible for temporary housing during Fiscal 2001 than during Fiscal 2000, the proportion of families found ineligible out of the applicant pool is not significantly different between Fiscal 2000 and Fiscal 2001.
- (c) DHS is reviewing the reasons that a larger number of families than usual entering the New START Centers have not previously been lodged in New START Center housing.
- (d) The indicators "Hotels" and "Reception Centers" are being replaced with the indicator "Conditional Placement Facilities." Conditional Placement Facilities accommodate families prior to an eligibility determination from the Eligibility Investigation Unit (EIU) and those eligible families awaiting placement into Tier II facilities. This new indicator will include all families in Conditional Placement Facilities, including families in both Hotels and Reception Centers.
- (e) More families were placed in conditional placement facilities during Fiscal 2001 because more families applied for temporary housing at EAU than during Fiscal 2000.
- (f) The indicators "New START Centers (Overnight Facilities, Reception Centers, Tier IIs and Residences For Adult Families) Operated" and "By Private Organizations" are being replaced with the indicators "New START Centers (Overnight Facilities, Conditional Placement Facilities, Tier IIs and Residences For Adult Families) Operated" and "By Contracted Agencies." This new indicator better reflects the contractual relationship between the Department and these facilities, and does not include facilities used by the Department on a per diem basis.
- (g) Fewer families were placed into permanent housing because fewer families were relocated through the Emergency Assistance Rehousing Program (EARP). See Narrative.
- (h) See Narrative.
- (i) The number of families relocated into the Department of Housing Preservation and Development (HPD) housing is higher than planned because HPD allocated more units to DHS families than originally planned.
- (j) Family placements into "other" New York City Housing Authority (NYCHA) apartments refer to placements resulting from applications for housing that are not filed through DHS. During Fiscal 2001 no families that may have applied for NYCHA housing prior to entry into the DHS system were relocated.
- (k) Fiscal 2001 placement numbers are on par with prior fiscal years, with the exception of Fiscal 2000 when a decrease was experienced.
- (l) During Fiscal 2000 the majority of the general beds at Camp La Guardia were converted, as planned, to program beds. The increase in the number of placements from assessment and general to program beds reflects the full use of Camp La Guardia's 1,001 beds in Fiscal 2001.
- (m) The indicator "By Private Organizations" is being renamed, "By Contracted Agencies." This new label better reflects the contractual relationship between the Department and these facilities. The number of facilities included in this indicator is not affected by this label change.

DEPARTMENT OF HOMELESS SERVICES

- (n) During Fiscal 2001 the Department implemented a new, more stringent reporting mechanism resulting in fewer verifiable placements than planned. During Fiscal 2002 the Department is working with the employment programs on techniques to acquire appropriate verification documents.
- (o) The designs started were higher than planned because many of the beds that had been scheduled for construction starts were pushed back to the design stage. See footnote (p).
- (p) Of the 873 beds planned for construction in Fiscal 2001, 405 lost site control and/or withdrew their application. An additional 400 beds were delayed due to litigation, and 68 will be contracted during Fiscal 2002.
- (q) A total of 94 units are in the process of transitioning from a short-term licensed facility to a DHS-contracted facility in order to better respond to growing demand in the DHS system.

DEPARTMENT OF EMPLOYMENT [094]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
YOUTH PROGRAMS [773]				
Youth Participants				
o Value of Agency Contracts (000)	\$15,672	\$11,817	\$11,852	\$44,950 a
- Number of Contracts	23	23	23	73 a
Older Youth (ages 19-21)				
o Enrollment	2,456	2,064 b	1,925	1,698
- High School Dropouts	70.1%	NA	NA	NA
o Participant Outcomes	2,048	1,651 b	1,047 c	1,358
- Placements into Employment	1,136	NA	NA	NA
- Percentage Placed into Employment	55.5%	NA	NA	NA
- Placements	NI	858 d	710 c	733
- Percentage Placed	NI	52% d	67.8% e	54%
- Credentials Attained	NI	215 d	252 e	278
- Percentage of Credentials Attained	NI	25% d	35.5% e	38%
- Employability Enhancements	1,240	NA	NA	NA
- Percentage of Employability Enhancements	60.5%	NA	NA	NA
o Participants Placed Remaining on the Job at 30 Days	59.3%	NA	NA	NA
o Percentage of Participants Employed During the First Quarter After Exit (f)	NI	75%	75.7%	75%
o Percentage of Participants Employed During the First Quarter after Exit Who Remained Employed During the Third Quarter After Exit (f)	NI	75%	69%	75%
Younger Youth (ages 14-18)				
o Enrollment (g)	NI	195	257 h	11,992 a
o High School Diplomas or Equivalent Attained (i)	NI	39	44	2,518 a
o Percentage of High School Diplomas or Equivalent Attained (i)	NI	20%	17.1%	21%
o Percentage of Skills Attained (i)	NI	60%	77% e	62%
Dislocated Workers				

DEPARTMENT OF EMPLOYMENT [094]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Value of Agency Contracts (000)	\$37,375	\$23,861 j	\$21,943	\$24,533
- Number of Contracts	24	29 j	29	21
o Enrollment	11,227 j	9,285 j	9,903	11,500
- New Enrollment (g)	NI	5,689	4,612 k	5,750
o Participant Outcomes	6,279	4,824 j	4,214	4,865
- Placements into Employment	4,365	3,377 j	2,667 k	3,406
- Percentage Placed into Employment	69.5%	70%	63.3%	NA (l)
o Participants Who Received Training (m)	NI	1,729	2,080 n	4,865
- Percentage of Credentials Attained With Employment (m)	NI	25%	33.9% n	53%
o Participants Working at 90 Days (m)	3,143	NA	NA	NA
o Percentage of Participants Employed During the First Quarter After Exit (m)	NI	64%	80.3% n	66%
o Percentage of Participants Employed During the First Quarter after Exit Who Remained Employed During the Third Quarter After Exit (m)	NI	82%	82%	83%
SUMMER YOUTH PROGRAMS				
o Total Enrollment	50,499	44,000	39,610	50,000
- SYEP (Federal)	40,247	36,300	29,896 (o)	NA
- JOY (CTL)	10,252	7,700	9,714 (o)	by 1/02
o Value of Contracts (000)	\$11,313	\$11,313	\$11,302	\$11,061
o Contracts in Effect	68	51	53	52
Refugee Entrant Targeted Assistance Program (RETAP) (p)				
o Value of Agency Contracts (000)	\$5,182	NA	\$2,152	NA
- Number of Contracts	8	NA	11	NA
o Enrollment	1,801	NA	1,950	NA
- Public Assistance Recipients/Individuals at Public Assistance Level	27.6%	NA	18.7% q	NA
o Participant Outcomes	1,977	NA	1,616	NA
- Placements into Employment	1,253	NA	1,364	NA

DEPARTMENT OF EMPLOYMENT [094]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- Percentage Placed into Employment	63.4%	NA	84.4% q	NA
o Participants Working at 90 Days	49.6%	NA	59.6% q	NA
CONTRACT PERFORMANCE MONITORING				
o Contracts in Effect	164	105	117	150
o Value of Agency Contracts	\$73,882	\$47,186 r	\$44,067	by 1/02 s
- City Funds	\$5,633	\$4,479	\$4,271	\$4,479
- Federal Funds	\$62,530	\$41,028 r	\$38,282	by 1/02 s
- Other	\$5,719	\$1,679	\$1,514	\$0 t
o Contractor Evaluations Completed	74	*	88	*
- Contractor Evaluations Requiring Corrective Action (u)	23	*	11 u	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriate

DEPARTMENT OF EMPLOYMENT

- (a) Data for this indicator has been revised to include the new year-round in-school youth program under the Workforce Investment Act (WIA), which began in July 2001.
- (b) Data for this indicator has been revised to reflect planned enrollment and exits from the WIA program for youth ages 19 to 21. Previous Plan numbers included youth ages 16 to 21.
- (c) Participant outcomes and placements were below Plan due to the emphasis on long-term services under WIA.
- (d) Data for this indicator has been revised to reflect performance standards negotiated with the State under WIA.
- (e) The percentage placed, credentials attained, and percentage of credentials attained for older youth and the percentage of skills attained for younger youth were above Plan due to the emphasis on performance under WIA.
- (f) These new indicators reflect the required participant outcomes for older youth under WIA. Placement retention is measured during the first and third quarters after exiting the WIA program instead of at 30 days.
- (g) This new indicator reflects the number of new participants enrolled in the WIA program during the reporting period.
- (h) Enrollment was above Plan in Fiscal 2001 due to an increase in services available for younger youth under WIA, which showed a high demand among this population.
- (i) This new indicator reflects the required participant outcomes for younger youth under WIA. Skills attained reflect work-readiness and basic skills.
- (j) Data for this indicator has been revised to reflect all dislocated worker contracts during the 12-month reporting period.
- (k) New enrollment and placements into employment were below Plan due to the emphasis on long-term services under WIA.
- (l) This indicator will no longer be reported because WIA performance measures focus on long-term retention rather than job placement.
- (m) This new indicator reflects the required outcomes, including credential attainment and placement retention, for participants receiving core, intensive, or training services under WIA. Employment placement retention is measured during the first and third quarters after exiting the WIA program instead of at 90 days.
- (n) The number of participants trained, percentage of credentials attained and percentage of participants employed during the first quarter after exit were above Plan in Fiscal 2001 due to the emphasis on performance under WIA.
- (o) Beginning in Fiscal 2001, under WIA there was no longer separate funding for a stand-alone Summer Youth Employment Program. For Fiscal 2001 the Department developed a new Youth Employment Program (YEP) model and maximized the available resources to support year-round employment for youth.

DEPARTMENT OF EMPLOYMENT

- (p) DOE continued to provide placement and follow-up services for the Department's Refugee Targeted Assistance Program (RETAP) participants until April 2001. The Department's RETAP contracts ended in October 2000, and in November 2000 the State Bureau of Refugee and Immigration Affairs assumed direct responsibility for employment programs for refugees.
- (q) The Department required RETAP contractors to capture only information on the number of public assistance recipients enrolled and not the number of participants at public assistance level.
- (r) This indicator has been revised to include federal grants such as the Department's Opportunity Areas for Out-of-School Youth Demonstration Grant.
- (s) The Department will provide Fiscal 2002 data for this indicator in the Fiscal 2002 Preliminary Mayor's Management Report pending the resolution of congressional action on the rescission of WIA funds.
- (t) This category previously reflected funding for the Department's RETAP program. DOE's RETAP contracts ended in October 2000.
- (u) This indicator has been renamed to reflect corrective actions that are implemented following evaluations, unlike technical assistance which is provided to contractors throughout the contract period to minimize noncompliance. The Fiscal 2001 Actual number is therefore not comparable to the Fiscal 2000 Actual number.

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT [260]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
YOUTH SERVICES				
o Youth Programs				
- Number of Programs	1,184	1,270	1,726 a	1,500
- Number of Youth Served (b)	914,511	970,000	1,043,144 a	980,000
- Percent Achieving Positive Outcomes	75	75	75	75
o BEACONS				
- Number of Programs	81	81	80	81
- Number of Youth and Adults Served	183,700	185,000	186,512	185,000
- Percent Achieving Positive Outcomes	80	80	75	80
o Runaway and Homeless Youth Programs				
- Number of Youth Served	1,476	2,000 c	1,826 c	2,300 c
- Number of Crisis Beds	40	66	61 d	66
- Number of Independent Living Transitional Beds	75	75	57 d	74
o NYC YOUTHLINE				
- Total Calls Received	31,426 e	31,500 e	18,863 e	19,500
- Calls for Crises	7,476 e	7,500 e	5,051 e	5,000
- Calls for Information	17,198 e	17,500 e	12,439 e	12,500
- Other Calls	6,752 e	6,500 e	1,373 e	2,000
COMMUNITY DEVELOPMENT PROGRAMS				
Neighborhood Development Area Programs (NDAs)				
	392	431	454	469 f
o Value of NDA Contracts (000)	\$19,982	\$20,000	\$21,135	\$20,500
o Total Participants	92,320	71,633	89,418 g	89,100 f
o Positive Outcomes	19,950	24,429	22,769	27,650 f
o Youth Programs				
- Participants	126	155	172	172
- Positive Outcomes	18,331	17,136	22,385 g	24,200
- Positive Outcomes	4,703	5,028	8,340 h	8,100
o Children & Family Programs				
- Participants	26	27	33 g	33
- Positive Outcomes	5,622	6,663	7,816 g	7,400
- Positive Outcomes	1,489	1,743	1,777	2,300
o Adult Education/Employment Programs				
- Participants	28	32	34	34
- Positive Outcomes	6,592	6,316	7,277 g	7,400
- Positive Outcomes	851	2,019	1,591 h	2,000
o Senior Citizens Programs				
- Participants	70	67	72	72
- Positive Outcomes	17,923	7,067	10,418 g	8,900
- Positive Outcomes	3,357	2,186	1,701 h	2,000

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT [260]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Neighborhood Development Programs	46	54	54	54
- Participants	24,350	16,002	25,125 g	21,000
- Positive Outcomes	3,895	7,442	4,148 h	7,300
o Citywide Immigration Programs	33	33	29	44 f
- Participants	8,624	7,600	7,192	8,000 f
- Positive Outcomes	3,909	2,900	2,351 h	3,050 f
o Other DYCD Projects	63	69	60	60
- Participants	16,840	10,849	9,205 g	12,200
- Positive Outcomes	2,762	3,111	2,863	2,900
NEW YORK CITY ADULT LITERACY INITIATIVE				
o English for Speakers of Other Languages				
- Number of Programs	29	29	30	29
- Number of Students Served	8,269	8,000	8,230	8,000
- Number of Positive Outcomes	7,193	7,200	6,265	7,200
o Adult Basic Education				
- Number of Programs	19	20	19	20
- Number of Students Served	2,927	2,930	2,978	2,930
- Number of Positive Outcomes	1,823	1,830	1,105 (i)	1,000
o Basic Education in a Native Language				
- Number of Programs	6	6	6	6
- Number of Students Served	604	610	567	610
- Number of Positive Outcomes	421	400	283 (i)	200
CONTRACT PERFORMANCE MONITORING AND EVALUATION				
o Contracts Funded	1,501	1,800	1,757	1,800
o Value of Agency Contracts (000)	\$110,056	\$100,000	\$122,670 j	\$120,000
o Value of Intracity Agreements (000)	\$4,075	\$4,000	\$4,064	\$4,064
o Expenditure Report Reviews	13,042	10,100	15,305 k	14,000
o Programmatic Reviews/Contract Monitoring	1,708	1,700	1,500	1,700
o Contracts Terminated	1	*	23 (l)	*
o Agency Assessments Completed	689	875	776	863

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT

- (a) The "Number of Programs" and the "Number of Youth Served" were above Plan due to an increase in City funding.
- (b) "Number of Youth Served" includes both youth and adult Beacon participants.
- (c) Fiscal 2001 Plan and Fiscal 2002 Plan numbers have been revised to reflect only youth served through crisis and independent living transitional beds in order to be comparable to the Fiscal 2001 Actual. Previous Plan numbers, as well as the Fiscal 2000 Actual, included Runaway Homeless Youth outreach programs in addition to bed utilization.
- (d) See Narrative.
- (e) Fiscal 2000 Actual and Fiscal 2001 Plan numbers for "Total Calls Received," "Calls for Crises," "Calls for Information" and "Other Calls" have been revised. See Narrative for explanation regarding this revision and the decrease in Fiscal 2001 call levels.
- (f) Fiscal 2002 Plan has been modified to reflect additional contracts awarded in July and September 2000.
- (g) The increase in participants in neighborhood development area, youth, children and family, adult education/employment, senior citizens and neighborhood development programs is due to additional contracts awarded in July and September 2000 not reflected in the Fiscal 2001 Plan.
- (h) The number of positive outcomes for participants in adult education/employment, senior citizens, neighborhood development, citywide immigration and other neighborhood development programs was below Plan and the number of positive outcomes for participants in youth Programs was above Plan due to uncertainty resulting from Fiscal 2001 being the first year of the full implementation of performance-based contracts.
- (i) The number of positive outcomes for participants in adult basic education literacy and basic education programs was below Plan due to less stringent definitions of positive outcomes by the federal National Reporting System beginning in July 2000. The National Reporting System is used by the United States Department of Education to track adult literacy outcomes mandated by the federal Workforce Investment Act, one of the New York City Adult Literacy Initiative's funding sources.
- (j) The value of Agency Contracts was above Plan due to an increase in the Agency's budget of \$51.3 million, including \$32.3 million City, \$17.2 million federal, and \$1.8 million State funds.
- (k) The increase in the number of expenditure reports reviewed in Fiscal 2001 was a result of a 17 percent increase in Department contracts with community-based organizations.
- (l) The increase in contracts terminated is attributed to the establishment of a task force in Fiscal 2001 that meets biweekly to monitor and assess contract agencies' compliance with requirements, including the timely submission of expenditure reports, resolve outstanding issues and make determinations about terminating problematic agencies. The task force consists of representatives from the Department's units.

DEPARTMENT FOR THE AGING [125]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
PROGRAM SERVICES				
o Senior Citizen Rent Increase Exemption Program (SCRIE)				
- Initial Applications Received	6,622	6,600	7,908 a	9,490
- Applications Approved	5,709	4,950	6,199 a	7,402
- Applications Denied	3,703	*	3,734	*
- Senior Citizen Biennial Recertifications Processed	33,160	22,000	25,951 b	34,526
o Senior Citizen Employment Programs				
- Title V Positions Authorized	746	746	746	757
- Title V Enrollees	708	735	645	700
- Applicants Trained	416	400	410	380
- Applicants Placed in Unsubsidized Employment	324	301	293	288
o Nutrition Services				
- Meals Served per Day	48,493	50,115	49,429	49,600
- Meals Served	12,123,221	12,528,693	12,357,323	12,400,000
HOME CARE SERVICES				
o Hours of Regular Home Care Services Provided	1,327,952	1,806,536	1,590,340	1,600,000
CONTRACT PERFORMANCE MONITORING				
o Contracts in Effect	541	590	617	620
o Program Assessments and Contract Audits				
- Program Assessments	560	500	556	550
- Programs on Conditional Status and Receiving Technical Assistance	1	*	3 c	*
- Fiscal Audits Performed	290	302	319	275
- Programs with Serious Fiscal Deficiencies Identified	1	*	35 d	*
- Programs with Serious Fiscal Deficiencies Corrected on Time	1	*	21 d	*
- Program Contracts Terminated or Not Renewed as a Result of Fiscal or Programmatic Deficiencies	2	*	2	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT FOR THE AGING

- (a) Due to the success of outreach efforts by the Department for the Aging and elected officials, the Department received more initial applications than anticipated. (See Narrative.) The increase in applications approved and denied is a result of the increase in applications.
- (b) The Department processed more recertifications than anticipated due to enhancements to its computerized processing system, Senior Citizen Referral and Entitlement Network (SCREEN).
- (c) Contracted programs are placed on conditional status and receive technical assistance when performance or management is identified as substandard.
- (d) The increase in the number of fiscal deficiencies identified and resolved is a result of aggressive efforts by the Department to follow-up on potential problems revealed by audits. In response to the increase in fiscal deficiencies identified, the Department will reallocate more staff in Fiscal 2002 to programs that have experienced fiscal problems in the past.

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
FULL-TIME/FULL-TIME EQUIVALENT ANNUAL EMPLOYEES				
o Total Full Time/Full-Time Equivalent Employees	136,885	*	138,531	*
- City-Funded	114,171	*	114,929	*
- Percent City-Funded	83.4% a	*	83%	*
- Community School District (CSD) Instruction (301)	42,460	*	45,513	*
- CSD Special Education Instruction (303)	14,701	*	12,782	*
- CSD Administration (305)	7,455	*	6,977	*
- High School Instruction (311)	15,737	*	15,849	*
- High School Special Ed. Instruction (313)	4,219	*	4,288	*
- High School Administration (315)	3,921	*	4,031	*
- Special Education Citywide Instruction (321)	10,206	*	10,207	*
- Special Education Support Services (323)	3,888	*	3,904	*
- Special Education Administration (325)	726	*	762	*
- Special Education School Based Adm. (327)	1,196	*	855 b	*
- School Facilities - Custodial/Maint (335)	1,984	*	1,991	*
- School Food Services (339)	5,989	*	5,965	*
- Central Administration (353)	1,629	*	1,747	*
- Special Commissioner of Investigations	60	*	58	*
- Reimbursable-Categorical Programs (381)	22,714	*	23,602	*
- Percent Reimbursable from State and Federal Sources	16.6% a	*	17%	*
o All Central Administration	3,132	*	3,182	*
- Percent City-Funded	76%	*	76%	*
o Number of Full-Time Teachers (c)	77,020	79,250	79,115	80,500
- Certified Teachers (d)	65,462	66,750	66,457	67,425
AGENCYWIDE INDICATORS				
o Budgeted Cost per Pupil (Average)	\$9,573	\$9,820	\$10,087	by 1/02
- Elementary	\$9,150	*	\$9,740	*
- Intermediate/Jr. High	\$9,088	*	\$9,333	*
- High School	\$8,433	*	\$8,540	*
- Special Education	\$26,589	*	\$29,159	*
o Total Pupil Enrollment (October Registers)	1,100,312	1,116,421	1,105,045	1,105,124
- Pre-Kindergarten	34,043	44,174	41,069 e	40,970
- Elementary	509,620	506,688	501,296	497,968
- Intermediate/Jr. High	189,504	196,090	194,753	200,797
- High School Enrollment	282,162	284,964	281,502	281,072
- High School (Regents Diploma) (f)	250,377	*	266,960	*
- Alternative Programs (GED and Non-Diploma) (f)	31,785	*	14,542	*
- Home Instruction (g)	875	875	1,800	1,800
- Special Education	84,108	83,630	84,625	82,517

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Pupil Attendance	88.1%	90%	88%	90.1%
- Elementary	91.5%	91.6%	91.5%	91.7%
- Intermediate/Jr. High	90.2%	90.5%	90.2%	90.5%
- High School	80.1%	81%	79.5%	81%
- High School (Regents Diploma)	82.2%	*	82.4%	*
- Alternative Programs (GED and Non-Diploma)	75.4%	*	76%	*
- Special Schools	79.6%	79.7%	80.2%	80.5%
o Pupils - Racial/Ethnic Composition				
- Black	35.2%	*	34.8%	*
- Hispanic	37.7%	*	37.8%	*
- White	15.4%	*	15.3%	*
- Asian/Pacific Islander	11.3%	*	11.8%	*
- American Indian	0.4%	*	0.3%	*
COMMUNITY SCHOOL DISTRICTS				
Elementary and Intermediate/Junior High Schools [301, 302, 303, 304, 305, 306]				
o Average Class Size (End of October) (h)				
- Kindergarten (i)	22.2	22	21.3	21
- Grade 1 (i)	22.5	22	22.2	22
- Grade 2 (i)	22.7	22	22.4	22
- Grade 3 (i)	23	22	22.9	22
- Grade 4	27	28	26.3	28
- Grade 5	27.6	28	27	28
- Grade 6	27.2	29	27.1	29
- Grade 7	27.7	29	27.7	29
- Grade 8	27.4	29	27	29
- Grade 9	27.5	29	27.1	29
o Percent of Classes with 29 or More Students (j)	8.3%	*	7.1%	*
- Grade 1	7.8%	*	5.9%	*
- Grade 2	7.5%	*	5.2%	*
- Grade 3	9.6%	*	7.1%	*
o General Education and Resource Room Pupils Meeting and Exceeding Standards in English Language Arts (k)				
- All Grades, District Schools	39.8%	45.8%	by 1/02	48.8% (l)
- Grade 3	42.2%	49%	42.1%	49%
- Grade 4	41.7%	47.5%	43.9%	47.5%
- Grade 5	40.8%	47%	47.9%	50.6%
- Grade 6	DNA m	50%	30.9% n	33.9%
- Grade 7	35.8%	42%	34.7% n	42%
- Grade 8	32.5%	39.5%	by 1/02	42.5% (l)

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Reading Progress for Low Performing Students				
- Elementary Schools: Percent of Students in Proficiency				
Level 1 Progressing into a Higher Proficiency Level	44.1%	45%	44.6%	46%
- Middle Schools: Percent of Students in Proficiency				
Level 1 Progressing into a Higher Proficiency Level	43.8%	44.4%	24.5% n	44.4%
o General Education and Resource Room				
Pupils Meeting and Exceeding Standards				
in Mathematics (k)				
- All Grades, District Schools	33.7%	34.5%	by 1/02	37.5% (l)
- Grade 3	38.7%	40%	40.6%	43.6%
- Grade 4	46.2%	47.2%	by 1/02	50.2% (l)
- Grade 5	37.3%	39%	29.1% n	39%
- Grade 6	26.5%	27%	31.9% n	34.9%
- Grade 7	27.7%	29%	24.5% n	29%
- Grade 8	22.6%	23.5%	by 1/02	26.5% (l)
o Mathematics Progress for Low Performing Students				
- Elementary Schools: Percent of Students in Proficiency				
Level 1 Progressing into a Higher Proficiency Level	33.1%	32%	23.3% n	32%
- Middle Schools: Percent of Students in Proficiency				
Level 1 Progressing into a Higher Proficiency Level	18.3%	19.5%	13.5% n	19.5%
o Students Promoted (Regular Classes)				
- All Levels	91.1%	91.3%	by 1/02 (o)	91.5%
- Grade 1	89.8%	90%	by 1/02 (o)	90.2%
- Grade 2	94%	94.2%	by 1/02 (o)	94.4%
- Grade 3	93.8%	94%	by 1/02 (o)	94.2%
- Grade 4	96%	96.2%	by 1/02 (o)	96.4%
- Grade 5	96.8%	97%	by 1/02 (o)	97.2%
- Grade 6	96.9%	97.1%	by 1/02 (o)	97.3%
- Grade 7	96.6%	96.8%	by 1/02 (o)	97%
- Grade 8	97.2%	97.4%	by 1/02 (o)	97.5%
- Grade 9	64.4%	64.6%	by 1/02 (o)	64.8%
o Schools Under Registration Review (SURR)				
Elementary/Middle Schools				
- Number of Schools Added	15	15	by 1/02 p	15
- Number of Schools Removed	16	17	by 1/02 p	18
-Total Number of SURR Schools	86	85	by 1/02 p	84
HIGH SCHOOLS				
[311, 312, 313, 314, 315, 316,]				
o Average Instructional Class Size (Grades 9-12)				
	31	31	DNA q	31

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Number of Classes with More Than 34 Students				
- Fall	1,347		1,192	1,200
- Spring	872	1,350	830	790
o Number of Students Enrolled in Courses Ending in Regents				
- English Language Arts	68,404	68,500	68,535	69,500
- Mathematics	147,173	147,250	137,655	147,250
o Number of Students Taking Regents Examination				
- English Language Arts	50,917	51,000	54,653	55,600
- Mathematics	109,916	110,000	94,529	110,000
o Number of Students Passing Regents Examination				
- English Language Arts	27,038	28,119	33,019 r	34,000
- Mathematics	55,259	57,467	45,777 s	57,467
o Percent of Students Passing Regents				
- English Language Arts	53.1%	53.5%	60.4% r	61.4%
- Mathematics	50.3%	50.7%	48.4% n	50.7%
o Percent of Students in Cohort Receiving a Score of 65 or Higher				
- English Language Arts	47.7%	48.1%	53.3% r	54.3%
- Mathematics	46.9%	47.2%	56% n	57%
o Number of Students Meeting Graduation Requirements				
- English Language Arts	41,554	42,135	44,476	45,500
- Mathematics	67,840	68,382	59,636	68,382
o Percent of Students Meeting Graduation Requirements				
- English Language Arts	81.6%	82.4%	81.4%	82.4%
- Mathematics	61.7%	62.5%	63.1%	64.1%
o Percent of Students in Cohort Meeting Graduation Requirements				
- English Language Arts	70.5%	71%	74.8%	75.8%
- Mathematics	80.8%	81.3%	70.5%	81.3%
o Annual Regents Diplomas Rate	30%	31%	by 1/02	32%
o High School Graduates				
- 4-Year Cohort Rate (Class of 2000)	49.9% a	50.2%	by 1/02	51.9%
- 7-Year Final Cohort Rate (Class of 1997)	69.7%	70.2%	by 1/02	70.7%
o High School Dropouts				
- 4-Year Cohort Rate (Class of 2000)	19.3% a	19%	by 1/02	18.3%
- 7-Year Final Cohort Rate (Class of 1997)	30.3%	30%	by 1/02	29.6%

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Annual High School Dropout Rate	7% a	7.1%	by 1/02	6.8%
o Total High School Dropouts	20,868 a	21,000	by 1/02	20,000
o School to Career Education				
- High School Occupational Programs Students Enrolled	135,848	136,000	126,547	135,000
o Guidance and Career Education Program				
- Occupational Education and Guidance for Handicapped Youths	15,296	16,000	15,717	16,000
o Schools Under Registration Review (SURR)				
- Number of Schools Added	3	3	by 1/02 p	3
- Number of Schools Removed	0	1	by 1/02 p	2
- Total Number of SURR schools	12	11	by 1/02 p	10
SUMMER SCHOOL				
o Registered				
- Mandated (Grades 3-8)				
- Mandated to Attend	62,537 a	62,000	72,125 t	by 1/02
- Enrollment	54,996 a	54,250	64,220 t	by 1/02
- Attendance Rate	87.9% a	76%	by 1/02	by 1/02
- Non-Mandated (Grades K-8)				
- Enrollment	131,486	132,000	by 1/02	by 1/02
- Attendance Rate	73.7%	75%	by 1/02	by 1/02
- Mandated (High School) (u)				
- Mandated to Attend	NI	NI	by 1/02	by 1/02
- Enrollment	NI	NI	by 1/02	by 1/02
- Attendance Rate	NI	NI	by 1/02	by 1/02
- Non-Mandated (High Schools)				
- Enrollment	97,131	98,000	by 1/02	by 1/02
- Attendance Rate	59.2%	61%	by 1/02	by 1/02
o Overall				
- Enrollment	281,460	284,250	by 1/02	by 1/02
- Attendance Rate	69.1%	71.2%	by 1/02	by 1/02
o Percent of Students Promoted upon Completing Summer School				
- Mandated (Grades 3-8)	64.5% a	65%	by 1/02	by 1/02
- High School	21.7%	22.2%	by 1/02	by 1/02
ADULT AND CONTINUING EDUCATION				
o Adult Basic Education Enrollment				
- Basic Education	10,820	10,000	10,293	9,500
- English as a Second Language	13,309	12,300	13,704	12,500

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
- GED Preparation	4,988	4,000	3,826	4,000
o EDGE Enrollment				
- Orientation/Career Development	5,176	4,500	4,802	3,500
- Educational Services	5,176	4,500	4,802	3,500
 SPECIAL EDUCATION				
[321, 322, 323, 324, 325, 326, 327, 328, 370]				
o Enrollment	168,172	*	167,787	*
- Less Restrictive Environment (LRE)	69,362	*	70,415	*
- Percent in LRE	41.2%	*	42%	*
- Related Service	15,765	*	16,705	*
- Consultant Teacher Program	1,746	*	1,780	*
- Resource Rooms	46,985	*	45,608	*
- Integrated Program Pre-School	581	*	672 v	*
- Integrated Programs School Age	4,285	*	5,650 v	*
- More Restrictive Environment (MRE)	79,257	*	77,324	*
- Percent in MRE	47.1%	*	46.1%	*
- Regional Self-Contained	59,345	*	58,123	*
- Citywide Self-Contained	17,001	*	17,013	*
- Home Instruction	2,138	*	1,445 v	*
- Hospital/Agency Programs	773	*	743	*
- Nonpublic Programs	19,553	*	20,048	*
- Percent in Nonpublic Programs	11.6%	*	11.9%	*
- Pre-School	14,154	*	14,430	*
- School Age	5,399	*	5,618	*
o Case Referrals/Evaluations Due	143,626	*	136,505	*
- Current Year Total (referrals)	131,803	*	123,280	*
- Initial	34,222	*	29,434	*
- Reevaluations	48,533	*	46,597	*
- Triennial	49,048	*	47,249	*
- Carryover from Prior Year	11,823	*	13,225	*
o Number of Cases Completed	121,018	*	119,328	*
- Percent of Cases Completed	84.3%	*	87.4%	*
- Program Recommendations	106,415	*	104,772	*
- Percent of Program Recommendations Within 30 Days	60.3%	*	65.5%	*
- Initial	25,737	*	23,642	*
- Reevaluations	49,306	*	48,998	*
- Triennial	31,372	*	32,132	*
- Case Closings (Withdrawals)	14,603	*	14,556	*
o Number of Students with Disabilities Moved Through the Continuum of Educational Services	19,051	*	21,876	*
- Less Restrictive Environment	11,188	*	14,088 v	*
- More Restrictive Environment	7,863	*	7,788 v	*

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Actual Decertifications (1999-2000)	5,190	*	5,511	*
o Graduate Rates for Students in Special Education				
- 4-Year Cohort Rate (Class of 2000)	6.2%	6.5%	by 1/02	6.7%
- 7-Year Final Cohort Rate (Class of 1997)	36.3%	36.5%	by 1/02	36.6%
o Dropout Rates for Students in Special Education				
- 4-Year Cohort Rate (Class of 2000)	25.7%	25.3%	by 1/02	25.2%
- 7-Year Final Cohort Rate (Class 1997)	45.5%	45.3% a	by 1/02	45.2%
BILINGUAL EDUCATION				
[301, 302, 303, 304, 311,312, 313, 314, 353, 354]				
o Entitled Limited English Proficiency (LEP)				
Students (1-40th Percentile)	139,709	133,500	131,129	134,000
- Community School Districts Total	100,339	96,200	92,484	86,800
- High Schools Total	39,370	37,300	38,645	37,200
o Entitled LEP Students Receiving				
Services (General Education)	132,442	126,800	125,460	119,040
- Bilingual/English as a Second				
Language (ESL) Instruction	65,386	62,100	60,659	57,140
- ESL Instruction Only	67,056	64,700	64,801	61,900
o Community School Districts Total	97,664	93,800	90,207	85,700
o High Schools Total	34,778	33,000	35,259	33,340
o Entitled Students Receiving Services	94.8%	95%	95.6%	96%
o Students Served by the English Language Learners Programs				
- 3 Years or Less	63.8%	65.5%	62.2%	65.5%
- 4 Years	10.4%	11%	10.1%	11%
- 5 Years	8.4%	8%	7.9%	7.5%
- 6 Years	6.3%	5.4%	6.3% w	5.4%
- 7 Years or More	11.1%	10.5%	13.5% w	10.5%
o Teachers Providing Bilingual and ESL Instruction	6,716	6,500	6,509	6,500
o Students Achieving ELL Progress Standards				
Elementary Schools				
- Language Assessment Battery Test				
(English LAB)	63%	63.5%	65.5%	67%
- Math Progress (Translated Math)	8%	8.5%	10.6% x	11.6%
- Native Language Reading (Spanish)	61.8%	62.5%	65.2%	66.2%
- Students Exiting ELL Programs	26.8%	27.5%	30.3%	32.3%

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Student Achieving ELL Progress Standards				
Middle Schools				
- Language Assessment Battery Test (English LAB)	51.4%	53%	54.5%	56%
- Math Progress (Translated Math)	4.2%	4.4%	9.4% x	10.4%
- Native Language Reading (Spanish)	54%	55%	56%	57%
- Students Exiting ELL Programs	11.6%	14.5%	13.9%	14.9%
 SCHOOL FOOD SERVICES				
[339, 340, 353, 354]				
o Average Lunches Served Daily				
- Free	549,661	550,000	532,377	550,000
- Reduced Price	39,621	39,000	41,496	39,000
- Full Price	69,321	68,000	74,637	69,000
 o Average Breakfasts Served Daily				
- Free	142,525	142,000	138,524	142,000
- Reduced Price	6,502	6,000	6,750	6,000
- Full Price	9,880	9,000	10,230	10,000
 o Total Number of Eligibility Applications on File (1041 Forms)				
- Free	734,132	735,000	726,023	737,000
- Reduced Price	79,518	80,000	85,270	80,000
 PUPIL TRANSPORTATION				
[338, 353, 354]				
o Contract Bus Riders				
- Special Education	63,128	64,000	63,766	65,000
- General Education	113,000	114,000	104,579	114,000
 o Summer Services Contract Bus Riders				
- Special Education - Full Day	19,602	25,000	24,610	25,000
 SCHOOL FACILITIES				
o School Buildings				
- Net Square Feet (000,000)	122.6	123.1	123.1	123.4
- Average (Custodial) Plant Operation Cost per Net Square Foot	\$4.26	\$4.35	\$4.35	\$4.57
- Average (Contracted Out) Plant Operation Cost per Net Square Foot	\$5.04	\$5.10	\$5.10	\$5.35
 o Leased Sites				
- Total Lease Cost (Tax Levy in Millions)	\$55.2	\$69.6	\$57.5 y	\$74
- Number of Sites	173	178	173	178

BOARD OF EDUCATION [040]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Utilization of School Buildings (Percentage of Schools)				
- Elementary Schools (957 Schools)				
100 Percent or More Utilized	54.6% a	*	48.5%	*
- Middle/Junior High Schools (206 Schools)				
100 Percent or More Utilized	26.5%	*	31.1%	*
- High Schools (180 Schools)				
100 Percent or More Utilized	62.6%	*	58.3%	*
o Maintenance/Activities				
- Average Maintenance Cost per Net Square Foot	\$1.42	\$1.45	\$1.45	\$1.37
o Maintenance/Repair (Skilled Trades) (z)				
- Total New Work Orders Requested/Tasks Requested	38,273	*	40,730	*
- Total New Work Orders Accepted/Tasks Accepted				
- Percent	92.9%	*	86.1%	*
- Number	35,546	*	35,072	*
- Total Work Orders Completed				
- Completed in 90 Days	25,659	*	29,519	*
- Percent	35.2%	*	34.3%	*
- Number	9,040	*	10,129	*
- Net Work Requests/Tasks at End of Period	15,001	*	by 1/02	*
o Building Dept. Violations (Hazardous)				
- Number of Violations Received	756	*	962 aa	*
- Violations Dismissed	164	*	287 bb	*
- Total Backlog				
- Violations Pending Dismissal	1,614	*	2,289 cc	*
- Net Backlog	94	*	137 bb	*
	1,520	*	2,152 cc	*
o New Seats Provided				
- BOE's Capital Task Force	3,026	1,000	2,452 dd	1,000
- Leasing	650	1,055	702 ee	2,064
- Other	0	0	25	0

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

BOARD OF EDUCATION

- (a) This figure has been revised since the Preliminary Fiscal 2001 Mayor's Management Report.
- (b) In Fiscal 2001 the Board developed "Academic Intervention Services" for both special and general education students, resulting in district employees who were charged to special education school based administration in Fiscal 2000 being charged to the special education administration and special education support services in Fiscal 2001.
- (c) The figures included under this heading are also counted under the individual division/departments. This indicator reflects actual data as of October.
- (d) This indicator reflects the actual number of certified teachers who are teaching within the public school system as of June.
- (e) The primary contributor to growth in pre-kindergarten is the increase in enrollment in the Universal Pre-Kindergarten program.
- (f) The Fiscal 2000 Actual included students in alternative programs and alternative schools. The Fiscal 2001 Actual includes only students in alternative programs. Students in alternative schools are now included under high school (Regents diploma).
- (g) The definition of this indicator has been revised beginning with the Fiscal 2001 Actual to include both general and special education students. Previously this indicator included only special education students with medical, physical, and/or emotional needs that require them to receive educational services in their homes. General education students have now been added to this newly defined indicator.
- (h) This indicator does not include special education classes.
- (i) This indicator has been revised beginning in Fiscal 2001 to reflect all general education classes with additional personnel. Classes with 29 or more students are assigned a paraprofessional to assist the teacher. It does not include bridge classes, which are established when there are not enough pupils in a particular grade to form a class; they include children in two consecutive grades.
- (j) This indicator has been revised since the Preliminary Fiscal 2001 Mayor's Management Report to reflect the percentage of classes that have 29 or more students rather than 28 or more students. It includes bridge classes and overcrowded classes that receive additional personnel under the Early Grade Size Reduction Program.
- (k) The name of this indicator was renamed from "General Education and Resource Room Pupils Achieving Basic Proficiency or Above in English" or "General Education and Resource Room Pupils Achieving Basic Proficiency or Above in Mathematics" to "General Education and Resource Room Pupils Meeting and Exceeding Standards in English Language Arts" or "General Education and Resource Room Pupils Meeting and Exceeding Standards in Mathematics" to reflect pupil achievement at grade level.
- (l) This is preliminary data and will be updated in the Preliminary Fiscal 2002 Mayor's Management Report, after results become available from State tests for English Language Arts in grade 8 and mathematics in grades 4 and 8.
- (m) See Narrative.
- (n) The Board supports academic progress through its math reforms, Academic Intervention Services, Saturday classes and Summer School programs. See Narrative.
- (o) The Board is in the process of analyzing student performance on the summer citywide math and reading tests; performance on the tests is a factor in determining student promotion. Data will be reported in the Preliminary Fiscal 2002 Mayor's Management Report.

BOARD OF EDUCATION

- (p) In Fall 2001 the State Education Department will identify the 2000-2001 Schools Under Registration Review. Data will be reported in the Preliminary Fiscal 2002 Mayor's Management Report.
- (q) The Board did not collect the data for this indicator during the 2000-2001 school year.
- (r) The increase in the number and percent of students passing the English Language Arts Regents examination is due to changes in the instruction to meet higher graduation standards.
- (s) The decrease in the number of students passing the Mathematics Regents examination reflects the decrease in the number of students who took the Regents.
- (t) This data is preliminary and will be updated after the evaluation of the summer school program in the Preliminary Fiscal 2002 Mayor's Management Report.
- (u) Beginning in Fiscal 2001 high school students lacking sufficient credits for promotion were mandated to attend summer school. Enrollment data for Fiscal 2000 include both mandated and enrichment students. The indicator name has been revised to more clearly delineate the two populations.
- (v) As part of the new Continuum of Special Education Services, the Board is identifying appropriate school settings for students with disabilities to allow more integration with the general education classes. This has resulted in increases in integrated program pre-school enrollment, integrated programs school age enrollment, and students moved to a less restrictive environment, and decreases in home instruction and students with disabilities moved to a more restrictive environment.
- (w) Many ELLs in bilingual/ESL programs were served for more than three years. The Chancellor implemented reforms that include provision of intensive English instruction after school and during the summer.
- (x) Math progress for English language learner students now include math exams in native language.
- (y) Total lease costs were below Plan due to delays in the opening of new leased properties.
- (z) "Skilled Trades" has been added to the name of this indicator to reflect skilled trades work request/task activity. The data excludes district maintenance program and capital task force work, which are special repair programs.
- (aa) These figures include 24 violations issued prior to Fiscal 2001 but received subsequent to July 1, 2000.
- (bb) The Board was provided with \$5 million in federal Community Development funds in Fiscal 2001 for the correction of violations.
- (cc) In Fiscal 1999 the Department of Buildings began annual inspections of all school buildings. The backlog includes 194 violations for which the hazardous conditions have been removed and the sites stabilized and 646 other completed violation items, which need additional work before the full violation can be dismissed.
- (dd) The increase in seats over Plan is due to the availability of additional sites for room partitioning.
- (ee) Fewer seats than the Plan were created due to the delay of new lease property openings resulting from either expanded site preparation work or an alternative site selection.

CITY UNIVERSITY OF NEW YORK

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
ENROLLMENT				
o Enrollment at Senior Colleges (Fall)				
- Undergraduate Degree	101,735	98,717	100,311	100,160
- Graduate Degree	18,236	17,492	17,771	17,598
- Non-Degree	8,965	9,533	10,276	9,638
- Total	128,936	125,742	128,358	127,396
- First-time Freshmen (a)	13,541	14,352	13,872	14,074
- SEEK First-time Freshmen	2,334	*	2,336	*
o Enrollment at Community Colleges (Fall)				
- Undergraduate Degree	52,701	53,404	53,460	55,910
- Non-Degree	9,371	9,312	9,635	9,311
- Total	62,072	62,716	63,095	65,221
- First-time Freshmen (b)	10,501	11,133	11,095	11,761
- College Discovery First-time Freshmen	1,374	*	1,084	*
o Enrollment at Graduate School and Law School (Fall)				
- Total	3,986	3,986	3,950	3,986
o Total University Enrollment (Fall)				
	194,994	192,444	195,403	196,603
INCOMING STUDENTS				
o Percentage Taking the SAT				
- Baccalaureate Degree-Granting Programs	92.9%	100%	98.6% c	100%
- Associate Degree-Granting Programs	77%	*	by 1/02 d	*
o Average SAT of Regular Baccalaureate Program Students Admitted				
	1,043	1,049	1,055	1,059
o College Admissions Average of Regular Baccalaureate Program Students Admitted				
	84.6	84.8	84.3	85.3
o Percentage of Freshmen Accepted in Baccalaureate Programs Who Enroll				
	42.5%	*	41.9%	*
o College Now Program				
- High Schools Participating	110	161	161	161
- Participants (Fall)	11,000	20,000	18,850	28,800
o One Year Retention				
- Regularly Admitted Baccalaureate Entrants	80.3%	82%	80.7%	84%
- Regularly Admitted Associate Entrants	64.9%	66%	64.8%	68%

CITY UNIVERSITY OF NEW YORK

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
REMEDICATION				
o Percent of Total Entering Students Passing All 3 Placement Tests by the Start of the Fall Semester				
- Baccalaureate Degree Programs	67.4%	*	78.1% e	*
- Associate Degree Programs	21.3% f	*	18% g	*
o Percent of Regularly Admitted Entering Students Passing All 3 Placement Tests by the Start of the Fall Semester				
- Baccalaureate Degree Programs	73%	80%	84.5% e	100%
- Associate Degree Programs	22%	24%	18.1% g	*
o Percent of Total Entering Baccalaureate Degree Candidates Required to Take Remedial Courses (Fall)				
	28.4%	*	11.4% e	*
o Percent of Regularly Admitted Entering Baccalaureate Degree Candidates Required to Take Remedial Courses (Fall)				
	23%	7.1%	9.4% e	0%
o Percent of Total Associate Degree Candidates Required to Take Remedial Courses (Fall)				
	69.7%	*	67.7%	*
o Percent of Regularly Admitted Entering Associate Degree Candidates Required to Take Remedial Courses (Fall)				
	69.3%	*	67.5%	*
DEGREES				
o Total Degrees Granted				
- Baccalaureate Degrees	13,331	*	by 1/02 h	*
- Associate Degrees	8,421	*	by 1/02 h	*
- Certificates	171	*	by 1/02 h	*
o Percent of Total Baccalaureate Entrants Receiving a Degree (i)				
- In Four Years	10.8%	*	by 1/02 h	*
- In Five Years	25.7%	*	by 1/02 h	*
- In Six Years	32.7%	*	by 1/02 h	*
o Percent of Regularly Admitted Baccalaureate Entrants Receiving a Degree (i)				
- In Four Years	11.9%	*	by 1/02 h	*
- In Five Years	27.8%	*	by 1/02 h	*
- In Six Years	36.2%	40%	by 1/02 h	42% j
o Percent of Total Associate Entrants Receiving a Degree (k)				
- In Two Years	1%	*	by 1/02 h	*
- In Four Years	15.8%	*	by 1/02 h	*
- In Six Years	24.7%	*	by 1/02 h	*

CITY UNIVERSITY OF NEW YORK

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Percent of Regularly Admitted Associate Entrants Receiving a Degree (k)				
- In Two Years	1%	*	by 1/02 h	*
- In Four Years	15.3%	*	by 1/02 h	*
- In Six Years	24.3%	29%	by 1/02 h	30% j
POST-GRADUATION EMPLOYMENT & EDUCATION				
o Employment Status of Baccalaureate Degree Recipients (l) (Percent)				
- Employed	DNA	*	DNA	*
- Not Employed, Still in School	DNA	*	DNA	*
- Not Employed and Not in School	DNA	*	DNA	*
o Employment Status of Associate Degree Recipients (l) (Percent)				
- Employed	DNA	*	DNA	*
- Not Employed, Still in School	DNA	*	DNA	*
- Not Employed and Not in School	DNA	*	DNA	*
o Employment Status of Vocational Associate Degree Recipients (Percent) (m)				
- Employed	84.3% f	80%	by 1/02	81%
- Not Employed, Still in School	9.7%	*	by 1/02	*
- Not Employed and Not in School	6.0%	*	by 1/02	*
PROFESSIONAL LICENSING				
o New York State Teacher Certification Examination (NYSTCE)				
- Students Taking Exam	1,555	*	by 9/02 n	*
- Percent of Students Passing	90.6%	80%	by 9/02 n	82%
o National Council Licensure Examination for Registered Nurses (NCLEX-RN)				
- Students Taking Exam	606	*	by 1/02 (o)	*
- Percent of Students Passing	71.8%	81%	by 1/02 (o)	82%
o Uniform CPA Examination				
- Students Taking Exam	688	*	by 1/02 p	*
- Percent of Students Passing	15.3%	*	by 1/02 p	*
FACULTY				
o Percentage of Undergraduate Instruction by Full-time Faculty (q)				
- Senior Colleges	51%	NI	51.7%	by 1/02
- Community Colleges	44%	NI	44.4%	by 1/02

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

- (a) This indicator includes regular First-time Freshmen and SEEK First-time Freshmen. Regular freshmen include those who are not admitted through the SEEK program, a senior college special admissions program. First-time Freshmen are students matriculating for the first time in an undergraduate degree program who have not previously earned credit toward this degree from any college or university.
- (b) This indicator includes regular First-time Freshmen and College Discovery First-time Freshmen. Regular freshmen include those who are not admitted through the College Discovery program, a community college special admissions program. First-time Freshmen are students matriculating for the first time in an undergraduate degree program who have not previously earned credit toward this degree from any college or university.
- (c) This indicator will be revised in the Preliminary Fiscal 2002 Mayor's Management Report when a more complete set of data will be obtained directly from the College Board.
- (d) Data on students admitted via the special admissions programs, SEEK and College Discovery, are not included in this indicator. For Fiscal 2001, CUNY drew data for this indicator from CUNY applications. For Fiscal 2000, a more complete set of data was obtained directly from the College Board. Since associate degree program applicants are not required to submit SAT scores and Fiscal 2001 data is not yet available from the College Board, data for this indicator will be supplied in the Preliminary Fiscal 2002 Mayor's Management Report.
- (e) Higher admissions standards, expansion of immersion programs and stronger applicant pools are leading to improved performance on CUNY skills assessment tests at the baccalaureate level.
- (f) This figure has been revised.
- (g) The Fall 2000 decline in student performance in Associate Degree Programs is under review by CUNY.
- (h) Data on degrees and graduation rates for Fiscal 2001 are not yet available. These indicators will be reported in the Preliminary Fiscal 2002 Mayor's Management Report.
- (i) Four-year, five-year and six-year rates reported in Fiscal 2000 are computed by tracking groups of full-time, first-time freshmen entering CUNY in Fall 1996, 1995 and 1994 respectively.
- (j) Data reflects the group of freshmen entering in Fall 1996.
- (k) Two-year, four-year and six-year rates reported in Fiscal 2000 are computed by tracking groups of full-time, first-time freshmen entering CUNY in Fall 1998, 1996 and 1994 respectively.
- (l) In Fiscal 2002 CUNY plans to implement a new annual survey of associate and baccalaureate program graduates in each academic year, beginning with the 1999-2000 academic year. The first results will be reported in the Fiscal 2002 Mayor's Management Report.
- (m) Fiscal 2000 data is for 1998-1999 graduates, the latest group for which data is available. Fiscal 2001 data will be reported in the Preliminary Fiscal 2002 Mayor's Management Report and will represent the 1999-2000 graduates.
- (n) The New York State Teacher Certification Examination results for tests taken in Calendar 2000 will be available in April 2002.

- (o) The National Council Licensure Examination for Registered Nurses results for tests taken in Calendar 2000 will be available in the Preliminary Fiscal 2002 Mayor's Management Report.
- (p) The Uniform CPA Examination is given in May and November, and results are available in August. The results for tests taken in May 2000 and November 2000 will be available in the Preliminary Fiscal 2002 Mayor's Management Report.
- (q) This new indicator is the sum of teaching hours by full-time faculty and department chairs divided by the total number of teaching hours by all faculty.

DEPARTMENT OF CONSUMER AFFAIRS [866]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
ENFORCEMENT				
o Full-Time Inspectors	84	88	81	85
o License Law and Padlock Law				
- License Inspections Performed	59,897	55,000	64,991 a	55,000
- Inspections per Person-Day (Average)	14	10	13 b	10
- License Violations Issued	27,985	23,000	31,387 c	23,000
- Padlock Citations Issued	2,299	2,700	2,773	2,700
- Padlock Closings	286	280	317	280
o Weights and Measures Law and Consumer Protection Law				
- Inspections Performed	41,042	36,000	42,404 d	36,000
- Inspections per Person-Day (Average)	7.4	7.8	7	7.8
o Weights and Measures Law				
- Violations Issued	13,798	*	13,379	*
o Consumer Protection Law				
- Violations Issued	4,396	*	17,240 e	*
- Compliance Ratio (Inspections to Violations)	1.5:1	2.1:1	.27:1 e	2.1:1
o Select Enforcement Initiatives				
- HIC Inspections Performed	4,924	*	4,692	*
- HIC Citations Issued	253	*	165	*
- HIC Confiscation	55	*	78	*
- Public Pay Telephone Inspections Performed	6,599	NA	NA	NA
- Public Pay Telephone Inspections per Person-Day (Average)	15	NA	NA	NA
- Stoopline Stands Violations	11,202	*	10,988	*
- Sale of Tobacco to Minors (Inspections)	6,522	*	16,596	*
- Tobacco Violations Issued	1,723	*	1,763	*
- Tobacco Fines Collected (000)	\$398	*	\$792	*
ADJUDICATION				
o Total Dispositions	10,059	10,800	12,258	10,800
o Hearable Dispositions				
- License Law	2,226	2,300	2,663 f	2,300
- Padlock Law	2,400	2,700	3,107 g	2,700
- Consumer Protection Law	1,401	2,400	1,607 h	2,400
- Weights and Measures	2,692	2,300	3,051 (i)	2,300
o Non-Hearable Dispositions				
- Consumer Protection Law	1,078	900	1,507 j	900
- Weights and Measures	262	200	323 (i)	200
o Appeals				
- Hearable Dispositions	414	*	597	*

DEPARTMENT OF CONSUMER AFFAIRS [866]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
LEGAL AFFAIRS				
o Total Cases Opened	1,182	970	1,037	970
- License Law	465	370	321	225
- Padlock Law	424	265	226	100
- Consumer Protection Law	256	300	460 k	300
- Weights and Measures Law	35	35	40	25
LICENSE ISSUANCE				
o Current Number of Licenses	88,974	90,000	104,098 (l)	103,000
o Licenses and Permits Issued	29,312	28,000	43,510 (l)	28,000
- New Applications Accepted	10,165	11,500	22,412 (l)	11,500
- New Applications Processed	98%	98%	97%	98%
o Business-Related Inquiries Answered	115,733	105,000	116,253	105,000
o Average Applicant Waiting Time (Minutes)	9.1	15	14.3	15
COLLECTIONS				
o Total Agency Collections (000)	\$3,069	\$2,900	\$4,243 m	\$2,900
- In-House Collections (000)	\$1,364	\$1,300	\$2,209 m	\$1,300
CONSUMER SERVICES				
o Requests for Information	233,445	190,000	200,583	190,000
- Inquiries Answered	193,922	162,000	163,621	162,000
- Referrals	32,734	28,000	36,962 n	28,000
o Valid Complaints in Mediation	7,039	7,000	6,991	7,000
o Complaints Closed	7,394	7,500	7,382	7,500
- Percent of Valid Complaints Closed	97%	95%	99%	95%
- Resolved in Favor of Consumer	5,136	4,500	5,180 (o)	4,500
- Other Resolution (Court, Invalid, Withdrawn, Hearing, Out of Business)	4,258	3,250	2,202 (o)	3,250
o Average Complaint Processing Time (Days)				
- Parking Lots	26	35	28 p	35
- Furniture Stores	35	40	26 p	40
- Electronics Stores	29	35	26 p	35
- HICs - Unlicensed	26	30	23 p	30
- HICs - Licensed	29	35	29 p	35
o Consumer Restitution (000)	\$1,982	*	\$1,579	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF CONSUMER AFFAIRS

- (a) The increase is due to the Department becoming the licensing agency for 12,901 new retail cigarette dealers.
- (b) Inspectors were required to inspect all 12,901 new retail cigarette dealers.
- (c) The increase is due to license compliance checks of new retail cigarette dealers and increased inspections of businesses that receive a high level of consumer complaints.
- (d) The increase is due to a greater numbers of electronics store compliance checks, multi-agency task force sweeps and in-house scale inspections.
- (e) This is due to multiple violations issued during electronics store inspections.
- (f) The increase is due to the greater number of license violations issued to new retail cigarette dealers.
- (g) The increase is due to the greater number of violations issued for unlicensed retail cigarette sales.
- (h) The decrease is due to fewer violations for the illegal sale of tobacco products to minors.
- (i) The increase is due to supermarket inspections and more Notices of Hearing issued.
- (j) The increase is due to a greater number of violations issued to electronics stores by the Consumer Protection Law Squad.
- (k) The increase is due to a redeployment of personnel from licensing and padlock cases to tax preparation service, home improvement contractor and electronics store cases.
- (l) The increase is due to the Department becoming the licensing agency for retail cigarette dealers.
- (m) The increase is due to increased license violations by retail cigarette dealers, the Department's requirement to settle unpaid tobacco fines prior to renewal and increased electronics store violations.
- (n) The increase is due to the improved training of the Mediation Division staff to make appropriate referrals regarding other City agencies and outside vendors.
- (o) Improved training of mediators and standardized guidelines resulted in fewer complaints withdrawn or closed as invalid, and more cases closed in favor of consumers.
- (p) The decrease is due to improved training of, and more accurate case tracking methods by, the Mediation Division.

DEPARTMENT OF BUILDINGS [810]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
PLAN EXAMINATION				
[001, 002]				
o New Applications Filed	55,295	55,295	57,172	57,172
- New Buildings	4,624	4,624	5,047	5,047
- Alteration I	5,847	5,847	6,083	6,083
- Alteration II & III	44,824	44,824	46,042	46,042
o Applications Examined for First Time	55,153	55,153	57,422	57,422
- New Buildings	4,552	4,552	5,048	5,048
- Alteration I	5,859	5,859	6,136	6,136
- Alteration II & III	44,742	44,742	46,238	46,238
o Average Days to First Examination	6.1	5.5	6.1	5.5
- New Buildings	10.9	13.6	13.6	13.6
- Alteration I	10.9	8.2	10.9 a	8.2
- Alteration II & III	4.8	2.7	4.8 a	2.7
- Builders' Pavement Plans	2.9	6.5	0.7 b	6.5
- Sewer, Drainage and Septic Work	0.7	2	0.6 b	2
o Average Wait Time (Minutes:Seconds)	28:04	NI	24:01	NI
o Number of Sewer Design 1 & 2 Reviews Completed	368	368	708 c	*
o Number of Site Connection Proposal Reviews Completed	132	132	408 d	*
o First Examinations Performed (e)	187,287	*	203,220	*
o Applications Approved	53,443	*	52,975	*
o Building Permits Issued	68,702	68,702	71,421	71,421
- New Buildings	4,825	4,825	4,999	4,999
- Alteration I	5,076	5,076	5,119	5,119
- Alteration II & III	58,801	58,801	61,303	61,303
o Building Permits Renewed	13,004	13,004	15,957 f	15,957
- New Buildings	3,245	3,245	4,996 f	4,996
- Alteration I	1,923	1,923	1,885	1,885
- Alteration II & III	7,836	7,836	9,076 f	9,076
CERTIFICATE OF OCCUPANCY (CO)				
o Applications Filed	8,580	8,580	8,002	*
o Applications Approved	9,847	9,847	9,933	*

DEPARTMENT OF BUILDINGS [810]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	2001 Annual Actual	Fiscal 2002 Plan
o Applications Canceled	0	0	0	*
o Applications Pending Due to Objections	7,860	*	10,383	*
ENFORCEMENT				
o DOB Violations Issued	14,956	*	14,007	*
- Construction	3,493	*	6,249	*
- Plumbing	483	*	254	*
- Elevators	1,699	*	0 g	*
- Boilers	1,152	*	4 g	*
- Electrical	8,129	*	7,500	*
o DOB Violations Removed	9,294	*	9,199	*
- Construction	3,688	*	3,437	*
- Plumbing	142	*	82	*
- Elevators	809	*	1,188	*
- Boilers	28	*	18	*
- Electrical	4,627	*	4,474	*
o DOB Summonses Issued	533	*	768	*
o ECB Violations Issued	36,339	*	41,814	*
- Construction	22,980	*	27,224	*
- Plumbing	678	*	870	*
- Elevators	10,333	*	7,741	*
- Boilers	1,222	*	2,179	*
- Other	1,126	*	3,800	*
o ECB Violations Adjudicated	32,075	*	29,351	*
- Construction	19,032	*	17,983	*
- Plumbing	734	*	614	*
- Elevators	9,536	*	6,679	*
- Boilers	1,041	*	1,697	*
- Other	1,732	*	2,378	*
o ECB Violations Adjudicated	32,075	*	29,351	*
- Cured Violations	6,132	*	4,703	*
- Stipulations	1,761	*	2,217	*
- Judgments	19,415	*	17,671	*
- Dismissed	4,767	*	4,760	*
o ECB Violations Removed	23,318	*	22,186	*

DEPARTMENT OF BUILDINGS [810]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
AGENCYWIDE				
o Complaints Registered	41,077	*	49,101	*
o Complaints Resolved	47,270	*	45,138	*
o Number of Inspections	332,852 h	*	351,633	*
- Construction	137,715 h	*	162,551	*
- Elevators	68,855	*	64,004	*
- Plumbing	58,096	*	57,806	*
- Boilers	15,527	*	17,108	*
- Electrical	45,121	*	42,847	*
- Crane and Derrick	7,538	*	7,317	*
o Inspections per Person per Day				
- Construction	11	9.8	12 (i)	9.8
- Elevators	5.9	9.7	5.1 j	9.7
- Plumbing	12	10.8	12.9 (i)	10.8
- Boilers	13.5	*	11.7	*
- Electrical	9.6	11.5	9.7 j	11.5
- Crane and Derrick	4.9	6.3	5.3 j	6.3
o Professional Certifications Received				
- Plumbing	16,451	16,451	16,370	16,370
- Inspections Self-Certified	27.4%	*	27.3%	*
- Elevators	37,034	37,034	38,590	38,590
- Boilers	82,810	82,810	78,132	78,132
o Professional Certifications Audited				
- Plumbing	23.1%	20%	19.9%	20%
- Elevators	24.7%	20%	24.5% k	20%
- Boilers	10%	5%	9.9% (l)	5%
o Licenses Issued (m)	11,481	*	13,175	*
- Original	1,099	*	833	*
- Stationary Engineers	145	*	83	*
- Hoist Machine Operators	40	*	60	*
- Cherry Pickers	70	*	83	*
- Welders	209	*	154	*
- Fire Suppression Contractors	33	*	64	*
- Oil Burner Installers	17	*	5	*
- Riggers	115	*	57	*
- Sign Hangers	14	*	13	*
- Plumbers	157	*	79	*
- Tower Climbers	7	*	3	*
- Electricians	141	*	134	*
- Site Safety Managers	151	*	95	*

DEPARTMENT OF BUILDINGS [810]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Annual Actual	Fiscal 2002 Plan
- Private Elevator Inspectors	NI	*	3	*
- Renewal	10,382	*	12,342	*
- Stationary Engineers	2,033	*	2,093	*
- Hoist Machine Operators	777	*	800	*
- Cherry Pickers	864	*	899	*
- Welders	1,939	*	2,063	*
- Fire Suppression Contractors	679	*	932	*
- Oil Burner Installers	245	*	204	*
- Riggers	581	*	1,516	*
- Sign Hangers	35	*	85	*
- Plumbers	1,323	*	1,956	*
- Tower Climbers	7	*	11	*
- Electricians	1,587	*	1,221	*
- Site Safety Managers	194	*	153	*
- Private Elevator Inspectors	118	*	409	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF BUILDINGS

- (a) The Department of Buildings (DOB) was unable to reach its planned target due to an increase in the number of applications filed during Fiscal 2001.
- (b) The decrease in average days to first examination is attributed to the increased use of professional certification.
- (c) The Department reviewed all sewer design 1 & 2 proposals received. The number of proposals received is related to the overall state of the construction industry.
- (d) The Department reviewed all site connection proposals received. The number of proposals received is related to the overall state of the construction industry.
- (e) This indicator was previously called "Examinations Performed." DOB changed the indicator's name to "First Examination Performed" to more accurately reflect the type of review being performed.
- (f) The number of building permits renewed for new buildings and Alteration Types II & III is related to the overall state of the construction industry.
- (g) Only Environmental Control Board (ECB) violations are issued for boilers and elevators, unless the Department is unable to serve the violation, in which case a DOB violation will be issued. In Fiscal 2001 the Department was able to serve all ECB violations for elevators and all but four for boilers.
- (h) The Fiscal 2000 Actual number of inspections has been revised as a result of an internal audit that revealed additional inspections.
- (i) More experienced and better-trained inspectors resulted in an increase in the average number of inspections per person per day.
- (j) Despite recruiting efforts, fewer inspectors than planned were available in Fiscal 2001. As a result, each inspector covered a wider area and conducted more high priority, time-consuming inspections.
- (k) Although there was a decrease in the percent of elevator certifications audited in Fiscal 2001 compared to Fiscal 2000, the Department exceeded the 20 percent Fiscal 2001 Plan.
- (l) The New York State Department of Labor reduced the audit requirement for boiler inspections from 15 percent to 5 percent in 1998. Given the additional resources and requests for inspections, DOB was able to conduct a greater percentage of audits than mandated by the State.
- (m) All the licensing indicators are new.

TAXI AND LIMOUSINE COMMISSION [156]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Annual Actual	Fiscal 2002 Plan
CONSUMER RELATIONS				
o Medallion Complaints Received	14,129 a	14,000	12,903	14,000
Selected Violations Generated from Medallion Complaints				
- Service Refusals	3,529 a	*	3,184	*
- Driver Rudeness	5,186 a	*	4,434	*
- Abusive Behavior by Driver	1,985 a	*	2,022	*
- Physical Abuse by Driver	353 a	*	397	*
- Overcharges	1,810 a	*	1,656	*
- Traffic Rules	4,864 a	*	4,241	*
- Air-Conditioning	205 a	*	109 b	*
o FHV Complaints Received	1,022	800	1,328 c	1,250
o Number of Completed Cases	16,627	12,000	15,241 d	13,000
o Average Time to Resolve Complaints (Days)	26.6 e	40	37.4	40
o Average Time to Adjudicate Complaint (Days) (f)	26.6 e	NA	NA	NA
o Average Time to Schedule a Hearing (Days) (f)	NI	40	40.8	40
ADJUDICATIONS				
o Hearings to Final Disposition (g)	77,025	NA	NA	NA
- Generated from Consumer Complaints	4,070	NA	NA	NA
- Generated from Summonses	72,955	NA	NA	NA
o Total Violations Adjudicated to Final Disposition (g)	NI	80,000 h	105,795	100,000
- Generated from Consumer Complaints	NI	3,500 h	11,243	10,000
- Generated from Summonses	NI	76,500 h	94,552	90,000
LEGAL AFFAIRS				
o License Revocations	758	*	675 (i)	*
LICENSING				
o Medallion Driver Licenses Issued	35,160	19,750	18,555	19,750
- New Licenses	2,127	2,500	2,526	2,500
- Renewal Licenses	33,033	17,250	16,029	17,250
o For-Hire Vehicle Driver Licenses Issued	45,262	24,100	26,940	27,000
- New Licenses	11,405	12,000	11,916	12,000
- Renewal Licenses	33,857	12,100	15,024 j	15,000
o For-Hire Base Licenses Issued	453	320	273	320
- New Licenses	28	20	32 k	20
- Renewal Licenses	425	300	241 (l)	300

TAXI AND LIMOUSINE COMMISSION [156]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Annual Plan	Year 2001 Annual Actual	Fiscal 2002 Plan
- License Renewals Pending	437	NA	NA	NA
o For-Hire Vehicle Owner Licenses Issued	48,271	29,000	24,310 m	32,000
- New Licenses	17,231	15,000	12,920	15,000
- Renewal Licenses	31,040	14,000	11,390 m	17,000
INSPECTIONS				
o Summonses Issued for Non-Inspection	2,825	*	2,717	*
- Generated from Failure to Inspect	2,161	*	2,178	*
- Generated from Failure to Reinspect	664	*	539	*
Safety and Emissions Medallion Inspections				
o Total Conducted	54,695	57,000	52,044	55,000
- Passed	33,954	35,000	33,714	35,000
- Failed	20,741	22,000	18,330 n	20,000
o Medallions Removed as a Result of Inspections	427	450	392	450
o Safety Conditions Corrected	18,343	15,500	14,540	15,000
ENFORCEMENT				
Medallion Patrol				
o Summonses Issued	6,286	7,450	7,244	6,500
- Owners	4,027	4,050	3,338 (o)	3,500
- Drivers	2,259	3,400	3,906	3,000
For-Hire-Vehicle Patrol				
o Summonses Issued	34,998	28,000	34,061 p	28,500
- Owner	17,228	15,500	17,034	15,500
- Drivers	7,945	9,500	8,189	8,000
- Bases	9,825	3,000	8,838 p	5,000
o Vehicles Seized	5,335	6,500	5,051 q	5,500
Operation Refusal				
o Drivers Tested	4,000	4,000	4,000	4,000
o Refusal Summonses Issued	222	*	215	*
o Other Summonses Issued	2,763	*	2,194	*

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

TAXI AND LIMOUSINE COMMISSION

- (a) Due to an error in tracking the numbers published in the Fiscal 2000 Mayor's Management Report do not accurately reflect this indicator. These numbers have been corrected to reflect Agency operations.
- (b) The decrease is due to requirements for auxiliary air-conditioning units in all taxicabs.
- (c) The increase is due to greater public awareness of TLC's Consumer Hotline and enhanced complaint-recording procedures.
- (d) The increase is due to the resolution of a temporary backlog of cases that existed prior to Fiscal 2000. As of June 2001 the backlog was resolved.
- (e) Due to an error in tracking, these indicators were not accurately reported in Fiscal 2000. The data to determine the correct Fiscal 2000 averages is no longer available. Therefore, TLC cannot provide revisions as stated in the Fiscal 2001 Preliminary Mayor's Management Report.
- (f) "Average Time to Schedule a Hearing (Days)" will now be reported instead of "Average Time to Adjudicate Complaints (Days)" to more accurately reflect the interaction between the complainant and the adjudication process.
- (g) Due to the implementation of CAMIS, "Total Violations Adjudicated to Final Disposition" will now be reported instead of "Hearings To Final Disposition."
- (h) The Fiscal 2001 Plan numbers reflect the planned activity for the previous indicator, "Hearings To Final Disposition."
- (i) The decrease is a result of fewer drug test failures and greater compliance with the Persistent Violator Program.
- (j) The increase is due to the issuance of new and renewal two-year licenses, which began in June 1999.
- (k) The increase is due to new for-hire vehicle base applicants satisfying licensing criteria.
- (l) The decrease is due to stricter licensing criteria pursuant to Local Law 51 of 1996 for renewal base licenses and extended approval process times.
- (m) The decrease is due to fewer licensees complying with TLC insurance standards.
- (n) The decrease is due to greater compliance with vehicle retirement rules.
- (o) The decrease is due to greater compliance with the taxicab owners' rules.
- (p) The variance is due to increased enforcement of the for-hire vehicle industry.
- (q) The decrease is due to greater compliance with licensing regulations.

DEPARTMENT OF FINANCE [836]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
AUDIT AND TAX ENFORCEMENT				
o Desk Audits				
- Desk Examined Returns	323,001	244,175	302,172 a	290,000
- Desk Audits Completed	26,620	23,566	20,277	20,300
- Audit Revenue Collected (000)	\$30,210	*	\$27,981	*
Field Audits (Major Taxes)				
o Corporate Taxes				
- Audits Completed	554	527	439 b	440
- Audit Revenue Collected (000)	\$200,045	*	\$187,758	*
o Income Tax				
- Audits Completed	552	519	478	475
- Audit Revenue Collected (000)	\$21,614	\$24,326	\$23,247	\$17,500
o Sales Tax				
- Audits Completed	752	873	716 c	715
- Audit Revenue Collected (000)	\$16,595	*	\$15,106	*
o Commercial Rent and Hotel Tax				
- Audits Completed	481	423	354 b	350
- Audit Revenue Collected (000)	\$18,107	*	\$15,006	*
REVENUE OPERATIONS				
Tax Processing				
o Delinquent Tax Collections				
- Telephone Dunning (000)	\$6,775	\$7,600	\$10,814 d	\$7,600
- Field Collections (000)	\$7,634	\$8,200	\$8,842	\$8,200
- Collections Processing (000)	\$39,414	\$42,000	\$43,293	\$42,000
PROPERTY				
o Real Property Tax Delinquency Rate (Percent)	2.96%	*	2.81%	*
PLANNING AND COMPLIANCE				
o Real Property Refunds and Transfers Processed				
- Amount of Refunds Issued (000)	26,046	34,350	25,863 e	35,000
	\$169,434	\$210,000	\$244,769 f	\$220,000
o Real Property Tax Refund Turnaround Time (Days)	22	20	20	20
o Office of the City Collector				
Average Waiting Time (Minutes)	4.5	*	9 g	*
o Inquiries Addressed by Taxpayer Assistance Unit				
	417,567	482,004	369,142 h	355,000

DEPARTMENT OF FINANCE [836]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Tax Lien Ombudsman Inquiries				
- General Inquiries	22,553	23,680	28,651 g	20,816
- Senior Inquiries	10,451	10,974	12,279	8,416
o Neighborhood Payment Center Activity				
- Number of Transactions	301,598	320,000	383,814 (i)	350,000
- Dollars Collected				
- Parking Violations (000)	\$15,345	\$16,200	\$19,257 (i)	\$18,500
- Real Estate (000)	\$22,940	\$28,000	\$16,539 j	\$15,000
- Water (000)	\$252	\$500	\$1,362 k	\$750
o Electronic Parking Violations Payments Received				
- Number of Transactions				
- IVR	111,798	153,000	170,220	200,000
- Internet	10,868	108,000	134,399 (l)	150,000
- Dollar Value of Transactions (000)	\$7,541	\$20,286	\$18,949	\$25,000
LEGAL				
Conciliations Bureau				
o Starting Inventory	260	221	221	150
o Requests Received	327	300	243 m	245
o Requests Closed	366	300	314	245
o Ending Inventory	221	221	150 m	150
o Cases Consented (Percent)	85%	85%	94%	85%
PARKING VIOLATIONS				
o Summonses Received (000)	8,611	8,500	8,241	8,274
o Summonses Satisfied (Dismissed or Paid) (000)	7,665	8,262	7,197	7,116
o Summonses Processable (Percent)	80%	83%	83%	84%
Customer Service				
o Help Center				
- Average Daily Respondent Volume	3,558	3,765	3,433	3,311
- Average Time to Service (Minutes) (n)	28	28	9.7 n	by 1/02
- Walk-In Summonses Adjudicated (000)	1,025	1,084	952	987
o Help Mail				
- Correspondence Processed (000)	845	894	786	726
- Number of Hearings By Mail (000)	477	504	395 (o)	371

DEPARTMENT OF FINANCE [836]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Hearings By Mail Turnaround Time (In Business Days)	18	11	15 p	13
o Help Lines				
- Calls Received (000)	1,481	1,245	1,335	1,317
- Calls Completed in IVR System (000)	632	579	581	600
- Calls Answered by Operator (000)	554	566	481 q	531
- Average Waiting Time for Operator (Minutes)	3.4	2	2.6 q	2
Adjudications				
o Hearings Held (000)	993	1,047	859 (o)	947
o Summonses Adjudicated (000)	2,339	2,475	2,164	2,373
Enforcement				
o Vehicles Restrained				
- NYPD Towing and Marshal Programs	80,190	79,760	92,229 r	80,100
- Sheriff Scofftow Program	51,915	66,763	47,189 r	58,172
Stolen Vehicle Recovery Program				
o Vehicles Recovered				
- Total Vehicles Recovered	3,429	3,600	2,867 r	3,100
- Brooklyn	926	972	634 r	850
- Manhattan	144	144	76 r	125
- Queens	1,266	1,332	1,113 r	1,075
- Bronx	1,038	1,080	962	975
- Staten Island	55	72	82	75
o Vehicles Returned				
- Total Vehicles Returned	2,671	2,808	2,297 r	2,480
- Brooklyn	678	702	483 r	620
- Manhattan	110	113	64 r	95
- Queens	1,020	1,067	886 r	960
- Bronx	821	870	797	780
- Staten Island	42	56	67 s	25

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation

DEPARTMENT OF FINANCE

- (a) More returns were available for screening this year and more resources were spent screening returns for audit.
- (b) The Department temporarily redeployed resources to develop the NYCSERV Professional Audit Support System.
- (c) A number of senior staff positions were vacant.
- (d) The Delinquent Disclosure Program continues to have a positive effect on collections revenue. Several large payments were made in order to prevent inclusion on the Department's Delinquent Disclosure Web page.
- (e) A higher than anticipated volume of taxpayers chose to receive credits in lieu of refunds.
- (f) Several larger than normal Law Department settlements were processed in Fiscal 2001.
- (g) A greater volume of properties on the initial lien sale list generated increased Tax Lien Ombudsman Inquiries and City Collector office payments. This increased Average Waiting Time.
- (h) The decrease in inquiries is due to use of the Department's Internet Web site.
- (i) Increased advertising, both on the Department's Web site and at its parking violations payment offices, promotes the use of Neighborhood Payment Centers.
- (j) Unusually large payment amounts in the previous fiscal year reduced actual receipts in Fiscal 2001.
- (k) The ability to make water bill payments in Neighborhood Payment Centers was available for a full fiscal year for the first time in Fiscal 2001.
- (l) Growing familiarity with the Department's Web site, and the introduction of an Internet application allowing payment of multiple parking tickets with a single transaction, resulted in increased Internet payments. In addition, the ability to make Internet payments was available for the first time for the entire fiscal year.
- (m) A greater number of cases were closed at the audit level and fewer new cases were received, thereby decreasing Conciliations Bureau activity.
- (n) The measurement standard was revised to reflect time to service rather than overall time in the center.
- (o) Lower issuance of summonses, along with higher summons processability levels, resulted in fewer hearings requested and held.
- (p) The temporary increase is attributable to Administrative Law Judge vacancies, which are currently being filled.
- (q) Increased use of the Department's Web site to access parking violation data resulted in operators handling lower volumes of complex calls, which require more detailed assistance.
- (r) Contract-related issues continue to affect Sheriff Scofftow and Stolen Vehicle Recovery Program volumes. Towing volumes by City Marshals has increased as a result.
- (s) This figure reflects a comparable increase in the volume of stolen vehicles recovered.

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES [856]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
CITYWIDE PERSONNEL SERVICES				
o Civil Service Examinations Administered	138	95	107	95
o License Examinations Administered	36	30	35 a	30
o Employees Trained				
- Procurement	1,866	1,900	2,178	1,900
- Technology Skills	2,840	2,500	2,654	2,500
- Audit	680	500	694 b	500
- Mgrl. & Prof. Development	3,878	2,600	3,500 b	2,600
EQUAL EMPLOYMENT OPPORTUNITY				
o Training Sessions	72	80	81	80
o Agencies Monitored				
- On-Site Visits	44	38	41	38
- Desk Reviews	151	152	154	152
REAL ESTATE SERVICES [300, 390, 500, 590]				
o Area of Leased Space (Square Feet) (000,000)	23	*	23	NA
o Commercial Properties Managed (Vacant Lots)				
- Manhattan	466	*	241	NA
- Bronx	1,070	*	646	NA
- Brooklyn	2,301	*	1,379	NA
- Queens	1,794	*	1,261	NA
- Staten Island	684	*	620	NA
o Rents Collected as a Percentage of Rents Billed	106%	93%	88%	93%
o Public Auctions				
- Number Held	2	2	1 c	2
- Number of Parcels Sold	390	364	174 c	200
- Average Sales Price	\$87,265	\$31,043	\$87,420 d	\$35,000
- Total Auction Bids (000)	\$34,033	\$11,300	\$15,211 d	\$7,000
FACILITIES MANAGEMENT AND CONSTRUCTION				
o Area of Buildings Maintained (Square Feet) (000,000)	11.4	11.4	11.5	11.8
- Court	6.1	6.1	5.6	6.6
- Non-Court	5.3	5.3	5.9	5.2

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES [856]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
o Annual Cost of Cleaning per Square Foot	\$1.00	\$1.02	\$1.05	\$1.02
o Number of Custodial Staff	699	753	470	832
- Court	386	442	257	466
- Non-Court	313	311	213	366
o Square Footage per Custodian (000)	16.3	15	25 e	15
- Court	15.8	14	22 e	15
- Non-Court	16.9	17	28 e	15
o Square Feet of Graffiti Removed				
- City Buildings	12,020	*	13,895	8,000
MUNICIPAL SUPPLY SERVICES				
[400, 490]				
o Purchase Requisitions Received from Agencies	DNA	3,300	DNA f	3,300
o Bids Issued	670	700	725	700
o Purchase Orders Issued	DNA	28,900	DNA f	28,900
o Requirements Contracts Awarded	636	800	881	800
o Direct Orders Processed Against Requirements Contracts	DNA	25,000	DNA f	25,000
o Cost of Goods Purchased (000,000)	DNA	\$550	DNA f	\$550
o New Vendors Registered	579	500	540	500
o Value of Inventory Charged (000,000)	DNA	16	DNA f	16
o Inventory Management				
- Back Orders	DNA	8%	DNA f	8%
o DMSS Procurement Cycle Time (Days)	DNA	DNA	DNA f	DNA
o Fleet				
- Hours Unavailable (Downtime)	2.5%	3%	3%	3%

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES

- (a) The number of license examinations administered was higher than planned due to the increased need for welder exams.
- (b) More employees received "Audit" and "Managerial and Professional Development" training than planned because of increased agency requests and outreach efforts by the Division of Citywide Personnel Services.
- (c) Only one auction was held in Fiscal 2001 because the number of properties disapproved through the Uniform Land Use Review Procedure was greater than anticipated, resulting in fewer parcels available for sale.
- (d) The strong real estate market had a positive effect on the average sales price per parcel and revenue generated.
- (e) The decrease in the number of custodial staff resulted in an increase in square footage per custodian.
- (f) The Financial Management System is not yet able to provide this information.

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS [858]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
CONSUMER CABLE COMPLAINTS [001,002]				
o Billing				
- Starting Inventory	24	*	7	*
- Complaints Received	305	*	335	*
- Complaints Resolved	322	*	313	*
- Ending Inventory	7	*	29	*
o Service				
- Starting Inventory	5	*	7	*
- Complaints Received	104	*	186	*
- Complaints Resolved	102	*	182	*
- Ending Inventory	7	*	11	*
o Real Estate				
- Starting Inventory	53	*	43	*
- Complaints Received	20	*	23	*
- Complaints Resolved	30	*	23	*
- Ending Inventory	43	*	43	*
o Miscellaneous				
- Starting Inventory	4	*	3	*
- Complaints Received	122	*	127	*
- Complaints Resolved	123	*	114	*
- Ending Inventory	3	*	16	*
TELECOMMUNICATIONS CONTROL [001,002]				
o Existing DoITT Managed Telephone Lines				
- Centrex	723	450	150 a	50
- Intellipath	32,425	34,414	35,234	36,500
- PBX	22,311	22,420	21,620	23,500
o Newly Installed Telephone Lines				
- Intellipath	232	637	282 b	350
- PBX	1,195	215	102 c	100
o Converted Lines				
- Centrex to Intellipath (Civic Center Project)	1,950	2,805	2,823	1,550
- Centrex to Intellipath (Citywide Project)	116	215	222	300
o PBX Exchanges				
- Troubles Reported to DoITT	2,921	3,100	2,972	3,000
- Troubles Cleared	2,921	3,100	2,972	3,000
- Cleared Under 24 Hrs.	70%	75%	75%	82%
- Cleared 24 - 48 Hrs.	14%	15%	14%	13%
- Cleared Over 48 Hrs.	16%	10%	11%	5%
o Centrex/Intellipath Exchanges				
- Troubles Reported to DoITT	6,289	6,400	6,592	6,500
- Troubles Cleared	6,289	6,400	6,592	6,500

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS [858]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
- Cleared Under 24 Hrs.	76%	80%	78%	85%
- Cleared 24 - 48 Hrs.	11%	12%	13%	10%
- Cleared Over 48 Hrs.	13%	8%	9%	5%
o Sites Connected to I-NET	52	55	52	58
o Crosswalks				
- Original Programs Produced	1,225	1,400	1,491	1,475
- Aired Government Proceedings & Forums Covered	1,148	1,300	1,075 d	1,000
o CITYNET [001,002]				
- Data Lines Implemented	498	450	479	425
- Terminals Connected	54,500	50,000	55,518	45,250
o Public Pay Telephone Enforcement				
- Public Pay Telephone Inspections Performed	6,599	12,500	10,393 e	15,000
- Percent of Phones Determined Inoperable	6%	6%	10% f	8%
- Percent of Phones that Failed Appearance Standards	9%	9%	DNA g	by 1/02
- Illegal Phones Removed	100	100	70 h	250
o NYC.GOV [001,002]				
- Page Views	33,637,385	55,000,000	53,054,712	60,000,000
- Messages Sent to Agency Heads via NYC.GOV	35,149	50,000	50,352	55,000

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City's Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS

- (a) Performance reflects more aggressive management of the vendor. The Department plans to convert an additional 100 Centrex lines in Fiscal 2002.
- (b) Project delays and line cancellations were outside of the Department's control.
- (c) Reduced project scope was outside of the Department's control.
- (d) The decrease is due to camera operator staff shortage during half of Fiscal 2001.
- (e) The Public Pay Telephone (PPT) Enforcement Unit was not fully staffed and trained until January 2001. This number reflects six months of inspections.
- (f) DoITT's inspections for PPT operability include more variables than those used by the Department of Consumer Affairs in Fiscal 2000.
- (g) By October 2001 DoITT will refine its standard of appearance acceptability utilizing the Scorecard technique, which is used to rate street cleanliness.
- (h) Although DoITT performed the inspections that determine whether or not a phone should be removed throughout Fiscal 2001, the contract for the phone removals was not registered until June 2001.

CITY COMMISSION ON HUMAN RIGHTS [220]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
LAW ENFORCEMENT BUREAU [001, 002, 004]				
o Full-Time Staff	37	37	31 a,b,c	36
- Number of Investigators	7.5	10	7 a,b,c	10
- Number of Attorneys	6	6	4 b	5
o Investigations Closed per Investigator per Month	6.01	5.75	5.79	5.75
- Substantive Closures	2.95	*	3.11	*
- Administrative Closures	3.06	*	2.68	*
o Total Caseload (Beginning of Period)	3,165	*	3,500	*
- Notarized Complaints	1,002	*	1,060	*
- Investigations Completed				
- Substantive Closures	264	*	266	*
- Administrative Closures	274	*	229	*
- Cases Closed by Attorneys				
- Substantive Closures	71	*	78	*
- Administrative Closures	85	*	92	*
o Total Caseload (End of Period)	3,500	*	3,925	*
o Cases Referred by LEB to OATH	11	*	12	*
OFFICE OF MEDIATION AND CONFLICT RESOLUTION [001, 002]				
o Full-Time Employees	5	5	5	5
- Mediators	3	3	3	3
o Cases Settled per Mediator per Month	2.93	3.5	1.75 d	3.5
o Total Caseload (Beginning of Period)	68	*	78	*
- Cases Received				
- From LEB	226	*	115	*
- Other Source	0	*		*
- Cases Settled Through Mediaton	85	126	63 d	126
o Total Caseload (End of Period)	78	*	67	*

CITY COMMISSION ON HUMAN RIGHTS [220]

INDICATORS FOR MAJOR MISSIONS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
COMMUNITY RELATIONS BUREAU [001, 002, 003, 004]				
o Full-Time Employees	61	65	59	62
- Central Office Staff	18	18	19	20
- Field Staff	43	47	40	42
Community Education, Public Outreach and Fair Housing				
o Individuals Served	223,293	*	239,874	*
o Conferences, Workshops, and Training Sessions Conducted	288	350	237 e	350
o Merchant and Community Organizations Technically Assisted	908	1,100	875 f	1,100
o School-Based Training Sessions	408	325	646 g	325
CRIME PREVENTION				
o Bias Complaints	1,163	*	1,444	*
o Bias Investigations Completed	476	*	623	*
PROGRAM ADMINISTRATION AND RESEARCH				
o Number of Internal Studies of Bias, Housing, Real Estate, and Banking Practices	52	60	71 h	60

NOTE: Bracketed [] numbers found in these indicator tables correspond to budgetary units of appropriation used in the City Executive Budget. The Technical Note at the end of this Report details, by agency, descriptions of each unit of appropriation.

CITY COMMISSION ON HUMAN RIGHTS

- (a) Three Human Rights Specialists resigned during Fiscal 2001. One vacancy was filled in August 2001 and the other two vacancies are in the process of being filled.
- (b) Two attorneys were promoted to supervising attorneys. The Commission plans to transfer an unfilled position within the Office of Mediation and Conflict Resolution (OMCR) into the Law Enforcement Bureau in order to hire an additional attorney.
- (c) One part-time Commission attorney resigned.
- (d) Based on an evaluation of the supervision of OMCR by the General Counsel's Office, it was decided that OMCR would revert to its prior status. Supervision of OMCR was assigned to an experienced mediator at the end of Fiscal 2001. The new OMCR director has initiated programs to enhance managerial oversight and refine productivity standards in Fiscal 2002.
- (e) An increase in requests from schools for School Partnership and other youth-based trainings, which are recorded separately from conferences, workshops and training sessions, required considerable time on the part of the field offices.
- (f) The increased amount of staff time spent on School Partnership and other youth-based trainings reduced the amount of time spent on technical assistance. In addition to conducting first time training at schools, many of the schools previously involved in the School Partnership program invited Commission field office staff back to conduct sessions. Some field offices also organized sessions with parents and teachers in addition to working with students. School Partnership outreach and training began earlier this year, and some field offices were able to conduct double sessions in schools.
- (g) In addition to conducting first time training at schools, many of the schools previously involved in the School Partnership program invited Commission field office staff back to conduct sessions. Some field offices also organized sessions with parents and teachers in addition to working with students. School Partnership outreach and training began earlier this year, and some field offices were able to conduct double sessions in schools.
- (h) In addition to the current studies of bias and mortgage activities, several field offices actively performed informal neighborhood surveys on issues of housing and public accommodations.

A. AGENCY EXPENDITURES

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
POLICE DEPARTMENT	\$3,187,878	\$3,400,084	\$3,417,842	\$3,293,295
FIRE DEPARTMENT	\$1,078,346	\$1,070,306	\$1,074,091	\$1,106,328
DEPARTMENT OF CORRECTION	\$834,181	\$837,800	\$835,480	\$871,403
DEPARTMENT OF PROBATION	\$83,678	\$90,036	\$92,923	\$92,670
DEPARTMENT OF JUVENILE JUSTICE	\$102,542	\$103,989	\$109,306	\$106,187
CIVILIAN COMPLAINT REVIEW BOARD	\$7,727	\$9,014	\$9,186	\$11,009
DEPARTMENT OF TRANSPORTATION	\$464,800	\$520,377	\$518,774	\$475,212
DEPARTMENT OF ENVIRONMENTAL PROTECTION	\$600,158	\$671,485	\$659,684	\$697,827
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT	\$384,135	\$440,562	\$494,540	\$431,692
NEW YORK CITY HOUSING AUTHORITY	\$1,869,228	\$1,922,235	\$2,078,023	\$2,021,712
DEPARTMENT OF SANITATION	\$838,299	\$940,565	\$943,945	\$993,356
DEPARTMENT OF PARKS AND RECREATION	\$198,078	\$201,043	\$214,583	\$209,733
LANDMARKS PRESERVATION COMMISSION	\$3,114	\$3,480	\$3,624	\$3,340
PUBLIC LIBRARIES (CITY FUNDING)				
o BROOKLYN PUBLIC LIBRARY	\$66,104	\$69,066	\$107,770 a	\$36,676
o NEW YORK PUBLIC LIBRARY				
- Branch Libraries	\$89,047	\$93,932	\$146,245 a	\$49,753
- Research Libraries	\$14,660	\$15,849	\$25,627 a	\$9,964
o QUEENS BOROUGH PUBLIC LIBRARY	\$62,710	\$65,893	\$103,358 a	\$35,040
DEPARTMENT OF BUSINESS SERVICES	\$52,999	\$51,925	\$45,160	\$43,884
DEPARTMENT OF CULTURAL AFFAIRS	\$119,913	\$135,043	\$137,550	\$139,204
DEPARTMENT OF HEALTH	\$792,126	\$900,244	\$951,325	\$958,106

A. AGENCY EXPENDITURES

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
HEALTH AND HOSPITALS CORPORATION	\$3,475,235	\$3,659,883 b	\$3,666,904	\$3,903,393
-Labor (PS)	\$1,604,444	\$1,662,600 b	\$1,658,228	\$1,814,400
-Affiliation Payments	\$461,833	\$492,000 b	\$495,077	\$507,000
-OTPS, Fringe Benefits, and Other Charges	\$1,408,958	\$1,505,283 b	\$1,513,599	\$1,581,993
DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES	\$506,458	\$587,516	\$610,797	\$588,991
o State and Federal Aid Received	\$192,707	\$177,747	\$213,582 c	\$143,618
HUMAN RESOURCES ADMINISTRATION	\$5,439,709	\$5,488,828	\$5,578,273	\$5,652,949
ADMINISTRATION FOR CHILDREN'S SERVICES	\$2,174,292	\$2,227,095	\$2,361,016	\$2,437,763
DEPARTMENT OF HOMELESS SERVICES	\$433,483	\$480,433	\$494,124	\$497,005
DEPARTMENT OF EMPLOYMENT	\$133,957	\$140,124	\$139,762	\$98,643
DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT	\$128,551	\$157,915	\$159,606	\$163,024
- State Funds	\$12,938	\$14,776	\$14,775	\$13,987
- City Funds	\$77,205	\$93,049	\$92,149	\$38,491
- Other	\$38,408	\$50,090	\$52,682	\$110,546
DEPARTMENT FOR THE AGING	\$215,082	\$228,808	\$240,962	\$245,603
o State and Federal Grants Secured	\$60,055	\$70,811	\$74,867	\$64,151
BOARD OF EDUCATION	\$10,756,317	\$11,276,081	\$11,347,928	\$11,528,892
o Value of State Aid	\$4,883,814	\$5,224,366	\$5,339,018	\$5,576,509
CITY UNIVERSITY OF NEW YORK	\$397,206	\$441,914	\$439,325	\$452,882
DEPARTMENT OF CONSUMER AFFAIRS	\$12,843	\$13,561	\$13,871	\$14,285
DEPARTMENT OF BUILDINGS	\$42,334	\$50,210	\$49,037	\$30,117
TAXI AND LIMOUSINE COMMISSION	\$21,555	\$25,106	\$23,536	\$24,949
LAW DEPARTMENT	\$91,686	\$92,757	\$97,182	\$98,220
DEPARTMENT OF FINANCE	\$168,976	\$190,277	\$182,859	\$208,994

A. AGENCY EXPENDITURES

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES	\$564,537	\$645,963	\$634,552	\$680,902
DEPARTMENT OF CITY PLANNING	\$17,569	\$19,269	\$21,261	\$17,922
o Non-City Funds Received				
- Community Development Block Grant	\$8,802	\$8,827	\$8,996	\$10,046
- New York Metropolitan Transportation Council	\$2,733	\$889	\$2,740 d	\$889
- Comprehensive Waterfront Study	\$118	\$0	\$81 d	\$0
DEPARTMENT OF RECORDS AND INFORMATION SERVICES	\$3,928	\$4,153	\$4,270	\$3,771
DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS	\$177,556	\$149,381	\$155,846	\$150,371
CITY COMMISSION ON HUMAN RIGHTS	\$7,157	\$7,199	\$7,395	\$7,258
DEPARTMENT OF INVESTIGATION	\$20,994	\$21,895	\$23,359	\$23,633
DEPARTMENT OF DESIGN AND CONSTRUCTION	\$77,284	\$82,636	\$85,479	\$85,408

NOTE: Fiscal 2001 Actual data is consistent with the Fiscal 2001 forecast submitted to the Financial Control Board as of June 2001. Fiscal 2001 Annual Plan numbers for expenditures are consistent with the City's Financial Plan as submitted on January 25, 2001; these plan figures do not reflect final authorized expenditure levels as of the June 2001 Adopted Budget.

A. AGENCY EXPENDITURES

- (a) Expenditures reflect a pre-payment of Fiscal 2002 funds that is not reflected in the Plan for Fiscal 2001.
- (b) The Fiscal 2001 Plan reflects revised data provided by the Corporation during the Fiscal 2002 budget adoption process.
- (c) Expenditures include awards of State funds issued to the Department after the Plan for Fiscal 2001 was established.
- (d) The annual Plan is based on the Department's adopted budget for the fiscal year and does not reflect additional federal and State grants, for various programs, that were received subsequently during Fiscal 2001.

B. AGENCY REVENUE

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
POLICE DEPARTMENT	\$51,474	\$32,562	\$33,266	\$32,799
o Tow-Away Program	\$16,222	\$16,200	\$16,550	\$15,289
FIRE DEPARTMENT	\$47,678	\$45,584	\$49,064	\$58,330
DEPARTMENT OF CORRECTION	\$16,948	\$16,953	\$18,290	\$16,953
DEPARTMENT OF PROBATION	\$237	\$227	\$267	\$127
DEPARTMENT OF TRANSPORTATION	\$155,302	\$154,959	\$157,589	\$155,917
o Total Parking Operations	\$84,934	\$82,914	\$83,844	\$81,174
o Ferry Service	\$924	\$1,000	\$901	\$1,000
o Ferry Concessions Collected	\$1,604	\$1,565	\$1,437	\$1,565
DEPARTMENT OF ENVIRONMENTAL PROTECTION	\$49,466	\$53,161	\$59,304	\$46,931
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT	\$59,369	\$43,975	\$56,026 a	\$103,199
NEW YORK CITY HOUSING AUTHORITY	\$1,909,757	\$1,934,217	\$1,985,155	\$2,012,244
o City Subsidy	1.8%	1.8%	1.8%	1.7%
o State Subsidy	1.1%	1.1%	1.1%	0.5%
o Federal Subsidy	65.8%	65.5%	64.5%	66.3%
o Dwelling Rent	29.8%	29.6%	30.3%	29.4%
o Other Revenue	1.5%	2.0%	2.3% b	2.1%
DEPARTMENT OF SANITATION	\$16,859	\$16,707	\$17,163	\$10,134
o Private Dumping Fees	\$1,074	\$600	\$919 c	\$0

B. AGENCY REVENUE

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
DEPARTMENT OF PARKS AND RECREATION	\$47,925	\$51,166	\$55,641	\$47,226
LANDMARKS PRESERVATION COMMISSION	\$8	\$9	\$4 d	\$9
DEPARTMENT OF BUSINESS SERVICES	\$16,452	\$25,835	\$84,573 e	\$47,608
DEPARTMENT OF HEALTH	\$36,243	\$35,715	\$36,683	\$35,788
o Fines Levied	\$21,751	\$22,000	\$19,407	\$22,000
o Fines Collected	\$11,552	\$12,007	\$11,109	\$12,907
HEALTH AND HOSPITALS CORPORATION	\$3,840,901	\$4,012,797 f	\$3,997,555	\$3,919,893
o Medicaid	\$1,744,715	\$1,716,000 f	\$1,739,575	\$1,514,000
o Medicare	\$543,209	\$447,000 f	\$472,757	\$461,000
o Bad Debt and Charity Care	\$521,688	\$657,000 f	\$666,454	\$494,000
o HMO and Other Payors	\$424,562	\$412,000 f	\$418,255	\$557,000
o Community Health Partnership	\$66,987	\$43,500 f	\$46,393	\$64,000
o Prisoner/Uniformed and Other City Services (Excluding Medicaid, DMH, DOH, DSS and Other Intra-City Funds)	\$66,226	\$85,704 f	\$84,249	\$63,558
o Reduction in Cash Balance	\$261,306	\$365,700 f	\$365,665	\$352,914
o Intra-City	\$68,706	\$153,600 f	\$84,425 g	\$193,478
o Department of Health	\$139,642	\$127,031 f	\$114,228	\$155,736
o Department of Homeless Services	\$1,974	\$2,707 f	\$2,999	\$2,707
o Department of Correction	\$1,886	\$2,555 f	\$2,555	\$0
o Reimbursement of HHC Debt Service (h)	NI	NI	NI	\$61,500
DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES	\$5,220	\$3,889	\$4,122	\$3,889
ADMINISTRATION FOR CHILDREN'S SERVICES	\$21,804	\$20,207	\$19,805	\$20,207
HUMAN RESOURCES ADMINISTRATION	\$19,052	\$21,216	\$19,830	\$21,216

B. AGENCY REVENUE

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
DEPARTMENT FOR THE AGING	\$1,964	\$1,600	\$1,531	\$1,000
BOARD OF EDUCATION (Excludes State and Federal Aid)	\$61,112	\$41,600	\$63,590 (i)	\$41,600
DEPARTMENT OF CONSUMER AFFAIRS	\$14,027	\$13,773	\$15,054	\$15,310
DEPARTMENT OF BUILDINGS	\$79,680	\$80,316	\$84,885	\$58,068
o Plan Examination	\$46,246	\$48,180	\$50,737	\$41,027
o Boilers	\$31	\$50	\$31 j	\$0
o Elevators	\$3,821	\$2,700	\$3,067	\$0
o Licenses	\$859	\$855	\$995 k	\$855
o Electrical	\$7,629	\$7,700	\$7,855	\$5,911
o Other	\$21,094	\$20,831	\$22,200	\$10,275
TAXI AND LIMOUSINE COMMISSION	\$48,071	\$40,489	\$38,781	\$40,489
o Adjudications				
- Fines Collected	\$7,282	\$7,441	\$8,714 (l)	\$7,441
LAW DEPARTMENT	\$28,774	\$27,468	\$32,309 m	\$30,483
o Collections Paid to Other City Agencies	\$12,731	\$9,425	\$15,563 m	\$8,755
DEPARTMENT OF FINANCE	\$485,765	\$467,198	\$468,079	\$469,815
o Parking Revenue	\$367,567	\$370,000	\$382,232	\$383,005
o Other Misc. Revenue	\$118,198	\$97,198	\$85,847	\$86,810
o Total Tax (Billions)	\$22.2	\$21.5	\$23.2	\$22.5
- Non-Property Tax	\$14.4	\$13.5	\$15.0	\$14.0
- Property Tax	\$7.8	\$8.0	\$8.2	\$8.5
o Total Tax Collected by Finance Dept. (Billions)	\$13.1	\$13.1	\$13.7	\$13.6
- Non-Property Tax	\$5.3	\$5.1	\$5.5	\$5.1
- Property Tax	\$7.8	\$8.0	\$8.2	\$8.5

B. AGENCY REVENUE

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES	\$96,668	\$78,268	\$103,939 n	\$62,021
o Rent Billings	\$39,749	\$29,938	\$57,406 (o)	\$41,977
o Rent Collected	\$42,107	\$38,055	\$49,536 p	\$39,255
o Sales	\$29,325	\$20,419	\$28,742 q	\$5,004
o Other	\$2,112	\$376	\$357	\$463
o Office of Surplus Activities Revenue	\$7,541	\$7,000	\$9,812 r	\$5,700
- Vehicle Salvage	\$5,810	\$4,810	\$8,463 r	\$4,010
o Interagency Transfer Value	\$1,910	\$2,000	\$2,637 s	\$2,000
DEPARTMENT OF CITY PLANNING	\$1,280	\$1,210	\$1,941 t	\$1,075
DEPARTMENT OF RECORDS AND INFORMATION SERVICES	\$328	\$250	\$322	\$250
DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS	\$106,071	\$97,162	\$108,895	\$96,572
DEPARTMENT OF INVESTIGATION	\$1,362	\$1,461	\$1,460	\$1,056
DEPARTMENT OF DESIGN AND CONSTRUCTION	\$127	\$150	\$96 u	\$150

B. AGENCY REVENUE

- (a) Revenue exceeded Plan due to the increased receipts from fees and fines and from property sales, particularly the sale of a large parcel of land that generated \$3 million more than anticipated in the annual Plan.
- (b) The Authority's investments earned higher rates of interest than projected for Fiscal 2001, and as a result represented a higher proportion of its total annual revenue.
- (c) The Department of Environmental Protection disposed of a greater amount of grit, the residue generated at wastewater treatment facilities, than anticipated in the Plan for Fiscal 2001, resulting in an increase in related disposal fees.
- (d) Due to staffing issues, the Commission's architectural salvage warehouse was not open for sales as frequently as anticipated in the Plan for Fiscal 2001.
- (e) Revenue includes approximately \$60 million for one-time land sales by the Economic Development Corporation that were not anticipated in the Plan for Fiscal 2001.
- (f) The Plan for Fiscal 2001 was revised based upon information provided by the Corporation.
- (g) The shortfall reflects planned payments for HHC Plus that were not received in Fiscal 2001; the payments will be received in Fiscal 2002.
- (h) This new indicator reflects an agreement between HHC and the City, under which the City will assume debt service costs associated with bonds and leases issued on behalf of HHC beginning in 2002.
- (i) The Board realized more revenue than planned in Fiscal 2001 in the categories of Extended School Use, Miscellaneous Collections/Refunds and School Lunch Fees.
- (j) The Department had fewer boiler inspectors in Fiscal 2001 than anticipated in the annual financial plan.
- (k) The Department designated specific personnel for the billing and collection of outstanding fees during Fiscal 2001.
- (l) The Commission collected more fines than anticipated in the Plan due to the additional number of summonses issued by TLC and NYPD enforcement personnel.
- (m) The Bankruptcy Division collected and distributed more funds than reflected in the annual Plan due to unanticipated bankruptcy proceedings.
- (n) See footnotes (p), (q), (r) and (s).
- (o) There were more deferred rent billings than planned for Fiscal 2001.
- (p) Rent collections exceeded the amount planned due to the additional amount billed during the year and the extension of a number of leases originally scheduled for termination during Fiscal 2001.
- (q) The Department collected funds from property sold at prior-year auctions, which were not included in the Plan for Fiscal 2001 revenue.
- (r) More salvaged vehicles and heavy equipment were relinquished by agencies and subsequently sold than anticipated in the Plan for Fiscal 2001.

B. AGENCY REVENUE

- (s) Revenue was greater than planned in this category due to the transfer of approximately 35 tow trucks and salt spreaders from the Department of Sanitation to the Department of Transportation.
- (t) More income was generated in Fiscal 2001 by CEQR and ULURP application fees than anticipated in the Plan for the year.
- (u) Fewer bid packages were sold due to the increased use of Construction Manager/Build contracts, which resulted in fewer publicly bid construction contracts.

C. AGENCY PERSONNEL RESOURCES

INDICATORS	Fiscal	Fiscal Year 2001		Fiscal
	2000 Actual	Annual Plan	Annual Actual	2002 Plan
POLICE DEPARTMENT				
o Full-Time Employees	49,269	48,461	48,004	49,296
- Uniformed, City-Funded	40,285	39,862	38,630	40,710
- Civilian, City-Funded	8,371	8,525	8,761	8,512
- Uniformed, Other	0	0	0	0
- Civilian, Other	613	74	613 a	74
o Other Personnel Resources				
- School Crossing Guards	1,917	2,065	1,940	2,276
FIRE DEPARTMENT				
o Full-Time Employees	15,987	15,703	15,642	16,015
- Uniformed, City-Funded	11,514	11,188	11,328	11,085
- Civilian, City-Funded	4,463	4,498	4,301	4,920
- Uniformed, Other	7	8	8	7
- Civilian, Other	3	9	5 b	3
DEPARTMENT OF CORRECTION				
o Full-Time Employees	12,411	12,544	12,176	12,667
- Uniformed, City-Funded	10,143	9,970	9,873	10,103
- Civilian, City-Funded	1,410	1,713	1,445 c	1,706
- Uniformed, Other	743	743	743	743
- Civilian, Other	115	118	115	115
DEPARTMENT OF PROBATION				
o Full-Time Employees	1,645	1,678	1,525	1,679
- City-Funded	956	1,067	882 d	1,113
- Other	689	611	643	566
DEPARTMENT OF JUVENILE JUSTICE				
o Full-Time Employees	762	824	800	815
- City-Funded	430	468	406	467
- Other	332	356	394	348
CIVILIAN COMPLAINT REVIEW BOARD				
o Full-Time City-Funded Employees	162	187	161	209
DEPARTMENT OF TRANSPORTATION				
o Full-Time Employees	3,945	4,370	3,941	3,972
- City-Funded	1,874	1,990	1,872	1,814
- Other	2,071	2,380	2,069	2,158
o Full-Time Equivalent of Part-Time Employees	361	362	352	362

C. AGENCY PERSONNEL RESOURCES

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
DEPARTMENT OF ENVIRONMENTAL PROTECTION				
o Full-Time Employees	5,565	5,998	5,414	6,064
- City-Funded	275	286	264	290
- Other	5,290	5,712	5,150	5,774
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT				
o Full-Time Employees	2,465	2,708	2,379	2,711
- City-Funded	553	585	540	585
- CD	1,183	1,348	1,157	1,348
- Other	729	775	682	778
SCHOOL CONSTRUCTION AUTHORITY				
o Full-Time Employees	845	1,039	925	1,039
NEW YORK CITY HOUSING AUTHORITY				
o Total Employees	14,867	14,920	14,704	14,680
- Full-Time Employees	14,127	14,177	14,027	13,809
- Full-Time Equivalent of Part-Time Employees	306	307	275	291
- City Seasonal Aides	434	436	402	580
o Tenant Employees	27.4%	27.0%	24.0%	27.4%
DEPARTMENT OF SANITATION				
o Full-Time Employees	9,977	10,696	10,166	10,223
- Uniformed, City-Funded	7,644	8,405	7,810	7,914
- Civilian, City-Funded	2,040	1,940	2,064	1,879
- Uniformed, CD	126	141	134	141
- Civilian, CD	73	86	69 e	86
- Uniformed, Other	0	0	0	21
- Civilian, Other	94	124	89 f	182
DEPARTMENT OF PARKS AND RECREATION				
o Full-Time Employees	2,025	2,068	1,965	2,042
- City-Funded	1,768	1,696	1,704	1,696
- CD	35	76	33 g	50
- Other	222	296	228 h	296
o Peak Seasonal Employees	2,744	2,908		
LANDMARKS PRESERVATION COMMISSION				
o Full-Time Employees	44	45	45	45
- City-Funded	41	41	41	41
- CD	3	4	4	4

C. AGENCY PERSONNEL RESOURCES

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
PUBLIC LIBRARIES				
o BROOKLYN PUBLIC LIBRARY				
- Full-Time Employees	1,197	1,216	1,181	1,213
o NEW YORK PUBLIC LIBRARY				
Branch Libraries				
- Full-Time Employees	1,500	1,495	1,444	1,491
Research Libraries				
- Full-Time Employees	165	161	185	212
o QUEENS BOROUGH PUBLIC LIBRARY				
- Full-Time Employees	1,102	1,102	1,119	1,120
DEPARTMENT OF BUSINESS SERVICES				
o Full-Time Employees				
- City-Funded	152	155	145	151
- CD	138	143	131	138
- Other	11	12	11	13
	3	0	3	0
DEPARTMENT OF CULTURAL AFFAIRS				
o Full-Time Employees				
- City-Funded	33	35	33	35
- CD	30	32	30	32
- Other	2	2	2	2
	1	1	1	1
DEPARTMENT OF HEALTH				
o Full-Time Employees				
- City-Funded	2,984	3,537	2,864 (i)	3,294
- CD	2,019	2,457	2,010 (i)	2,446
- Other	0	0	0	0
	965	1,080	854 (i)	848
HEALTH AND HOSPITALS CORPORATION				
o Total Employees	39,320	38,820	38,790	38,320
DEPARTMENT OF MENTAL HEALTH, MENTAL RETARDATION, AND ALCOHOLISM SERVICES				
o Full-Time Employees				
- City-Funded	220	256	213 j	241
- State-Funded	138	157	127 j	159
	82	99	86	82

C. AGENCY PERSONNEL RESOURCES

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
HUMAN RESOURCES ADMINISTRATION				
o Full-Time Employees	13,154	13,403	12,624	12,976
- City-Funded	10,492	9,410	8,873	9,462
- Other	2,662	3,933	3,750	3,454
- CD	0	60	1 k	60
o Per Diem Employees	1,567	3,044	1,880 (l)	3,244
ADMINISTRATION FOR CHILDREN'S SERVICES				
o Full-Time Employees	7,121	7,395	7,121	7,619
- City-Funded	7,035	7,233	7,030	7,457
- Other	75	155	84 m	155
- CD	11	7	7	7
DEPARTMENT OF HOMELESS SERVICES				
o Full-Time Employees	1,697	1,692	1,564	1,570
- City-Funded	1,682	1,692	1,555	1,567
- Other	15	0	9	0
- CD	0	0	0	3
o Per Diem Employees	423	671	569 n	821
DEPARTMENT OF EMPLOYMENT				
o Full-Time Employees	143	164	118 (o)	164
- City-Funded	2	2	1	2
- JTPA/Other (p)	141	162	117 (o)	162
DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT				
o Full-Time Employees	195	300	213 q	294
- City-Funded	104	165	100 q	170
- Other	91	135	113 q	124
DEPARTMENT FOR THE AGING				
o Full-Time Employees	361	390	375	385
- City-Funded	138	148	139	149
- CD	0	0	0	2
- Other	223	242	236	234
o Full-Time Equivalent of Part-Time Employees	32	32	31	31

C. AGENCY PERSONNEL RESOURCES

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
BOARD OF EDUCATION				
o Full-Time/Full-Time Equivalent Employees	136,885	*	138,531	*
- City-Funded	114,171	*	114,929	*
- Reimbursable	22,714	*	23,602	*
CITY UNIVERSITY OF NEW YORK				
o Full-Time Employees	3,756	3,569	3,763	3,687
DEPARTMENT OF CONSUMER AFFAIRS				
o Full-Time Employees	238	245	233	245
- City-Funded	237	245	233	245
- Other	1	0	0	0
DEPARTMENT OF BUILDINGS				
o Full-Time City-Funded Employees	692	763	685	377
TAXI AND LIMOUSINE COMMISSION				
o Full-Time City-Funded Employees	370	399	367	404
LAW DEPARTMENT				
o Full-Time Employees	1,171	1,188	1,151	1,152
- City-Funded	1,135	1,135	1,113	1,105
- Other	36	53	38	47
DEPARTMENT OF FINANCE				
o Full-Time Employees	2,142	2,147	2,023	2,138
- City-Funded	2,142	2,109	2,023	2,126
- Other	0	38	0	12
DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES				
o Full-Time Employees	1,455	1,575	1,583	1,733
- City-Funded	1,092	1,108	1,047	1,083
- Other	363	467	536	650
DEPARTMENT OF CITY PLANNING				
o Full-Time Employees	260	258	244	255
- City-Funded	99	93	84	86
- CD	132	145	123	152
- Other	29	20	37	17

C. AGENCY PERSONNEL RESOURCES

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
DEPARTMENT OF RECORDS AND INFORMATION SERVICES				
o Full-Time Employees	47	53	45	44
- City-Funded	42	45	41	44
- Funded by Grants	5	8	4	0
DEPARTMENT OF INFORMATION TECHNOLOGY AND TELECOMMUNICATIONS				
o Full-Time City-Funded Employees	261	308	286	307
CITY COMMISSION ON HUMAN RIGHTS				
o Full-Time Employees	119	120	115	115
- City-Funded	35	36	35	31
- CD	79	84	76	84
- Other	5	0	4	0
o Full-Time Equivalent of Part-Time Employees	1	1	1	1
DEPARTMENT OF INVESTIGATION				
o Full-Time City-Funded Employees	341	357	342	357
DEPARTMENT OF DESIGN AND CONSTRUCTION				
o Full-Time Employees	1,235	1,334	1,253	1,334
- Other	1,235	1,334	1,253	1,334

C. AGENCY PERSONNEL RESOURCES

- (a) The Fiscal 2001 Actual headcount for this category includes grant-funded employees that are not reflected in the annual planned headcount.
- (b) The elimination of a State grant resulted in the loss of four employees from this funding category in Fiscal 2001.
- (c) The Department did not reach its planned headcount for this category due to delays involving Civil Service eligibility, medical examinations and pending selection of candidates for budgeted positions.
- (d) Headcount remained below the planned level in Fiscal 2001 as a result of early retirement programs and the loss of probation officers to the State Department of Parole. The Department plans to reintroduce the title of Probation Officer Trainee to expand the pool of potential probation officers and fill vacancies.
- (e) The hiring of Community Assistants and Community Associates for the Lot Cleaning Program took longer than anticipated in the Plan.
- (f) The hiring of engineers, construction workers and project managers was postponed due to delays in starting construction for several projects.
- (g) The Department was unable to identify as many candidates as planned for full-time Community-Development-funded or other-funded positions; seasonal employees were used in place.
- (h) The Department used more seasonal employees than planned as a result of the Parks Opportunity Program and additional funding allocated for City Park Worker positions after establishment of the annual Plan for this category.
- (i) The Department had difficulty recruiting qualified candidates for budgeted technical and medical staff vacancies.
- (j) The Department had difficulty recruiting professional and technical staff for positions reflected in the Plan.
- (k) Forty-seven full-time staffers who are employed in projects eligible for Community Development funds are reflected in the number of Other-funded employees. In addition, HRA is in the process of hiring staff for the other 12 positions in this category.
- (l) Attrition remained high among per diem employees in Fiscal 2001. As of July 2001, the Agency began the process of hiring 1,114 employees in this category.
- (m) The Agency was unable to identify enough qualified candidates in Fiscal 2001 to fill planned positions in this category.
- (n) The Department did not hire as many employees as planned for this category in Fiscal 2001 due to delays in processing, as reported in the Preliminary Fiscal 2001 Mayor's Management Report. However, the Department is now back on schedule, in order to meet its Fiscal 2002 Plan for this category.
- (o) The Department did not attain its planned headcount due to attrition.
- (p) The Job Training Partnership Act (JTPA) sunset at the end of Fiscal 2000. In Fiscal 2001 and subsequent years, employees in this category will be funded by other non-City sources.
- (q) The Department was unable to identify enough qualified candidates to fill vacant positions in Fiscal 2001.

C. AGENCY PERSONNEL RESOURCES

- (r) Twelve active employees eligible for this category are included in the Department's City-funded headcount for Fiscal 2001.
- (s) Employees associated with some State and other reimbursable programs are included among the Department's City-funded employees for Fiscal 2001.
- (t) The Department took longer to fill positions in this category than anticipated in the Plan.
- (u) Headcount includes positions funded by federal and State grants received subsequent to determination of the Fiscal 2001 Plan for this category.

D. PAID ABSENCE RATES

The Mayor's Office of Operations monitors citywide paid absence rates in accordance with Mayoral Directive 91-9. It uses data from the Office of Payroll Administration and the Police, Fire, Correction and Sanitation departments to produce reports of agency and citywide monthly and fiscal year-to-date paid absence rates. The absence rate monitoring program helps City and agency management to identify areas of unacceptably high paid absence. Agency heads are responsible for closely monitoring absenteeism, ensuring that paid absence is used in accordance with current contracts and regulations, and establishing procedures to limit the loss of employee availability due to unnecessary or avoidable paid sick leave.

- The total absence rate was 4.61 percent (equivalent to 11.4 days absent per year) in Fiscal 2001, compared with 4.71 percent during Fiscal 2000. The total absence rate is calculated using three types of absence: Line-of-Duty Injury absence among the uniformed forces at the Police, Fire and Sanitation departments; Workers' Compensation absence for all civilian employees, as well as for uniformed employees at the Department of Correction; and total paid sick leave for all employees.
- The combined absence rate of uniformed employees in the Police, Fire, Correction and Sanitation departments was 4.58 percent (equivalent to 11.4 days absent per year) in Fiscal 2001, compared with 4.66 percent in Fiscal 2000. Absence rates for uniformed employees decreased in the Sanitation, Correction, and Fire departments and increased in the Police Department.
 - The absence rate for uniformed Sanitation Department (DOS) employees decreased to 5.32 percent (13.2 days absent per year) in Fiscal 2001, compared with 6.64 percent during Fiscal 2000. This reduction, the most significant absence rate improvement among City workforces in Fiscal 2001, reflects the Department's enhanced absence control efforts during the year. This initiative featured formation of the Case Management Unit to manage oversight and control of absence. All uniformed DOS employees are rated based on their frequency of absence. Absentees' ratings determine the outset and frequency of phone checks and home visits, and the implementation of the complaint phase. Absentees are telephoned daily, to ensure they remain home as required while on paid sick or injury leave, and are subject to home visits, which were increased in Fiscal 2001. Absent employees are called into the DOS clinic regularly; medical staff at the clinic was increased in the past year. DOS has also strengthened its complaint procedures for violations of regulations regarding absence, with increased use of fines and pursuit of cases to the Office of Administrative Trials and Hearings when initial findings are protested.
 - The absence rate for the uniformed force of the New York City Police Department (NYPD) was 3.5 percent (8.7 days absent per year) in Fiscal 2001, compared with 3.31 percent in Fiscal 2000. This change is consistent with the increase in the average age of NYPD police officers, from 31 years in 1995 to 33 years in 2000, as there is likely to be more time lost to illness and injury as the workforce ages.
 - The absence rate for uniformed Correction Department employees improved to 5.82 (14.4 days absent per year) during Fiscal 2001, compared with 6.01 percent in Fiscal 2000.
 - The absence rate for the Fire Department's uniformed force was 6.59 percent (equivalent to 16.4 days absent per year) during Fiscal 2001, compared with 6.64 percent during Fiscal 2000.
- The absence rate of the City's civilian workforce was 4.65 percent (11.5 days absent per year) during Fiscal 2001, compared with 4.76 percent in the previous fiscal year. Among the 34 agency civilian workforces in this City total, Fiscal 2001 absence rates decreased for 19 civilian workforces, and increased for 15 civilian workforces, compared with Fiscal 2000.

D. PAID ABSENCE RATES

WORKFORCE OR AGENCY	FY 2001 DOC. SICK LEAVE	FY 2001 UNDOC. SICK LEAVE	FY 2001 TOTAL SICK LEAVE	FY 2001 LODI/ WC	FY 2001 TOTAL ABSENCE	FY 2000 TOTAL ABSENCE	FY 2001 EQUIV. ABS. DAYS/YEAR
UNIFORMED WORKFORCES							
DOC (U)	5.03%	NA	5.03%	0.78%	5.82%	6.01%	14.4
FDNY (U)	2.03%	NA	2.03%	4.57%	6.59%	6.64%	16.4
NYPD (U)	2.54%	NA	2.54%	0.96%	3.50%	3.31%	8.7
DOS (U)	4.02%	NA	4.02%	1.30%	5.32%	6.64%	13.2
Subtotal	3.01%	NA	3.01%	1.57%	4.58%	4.66%	11.4
LARGE CIVILIAN WORKFORCES							
NYPD (C)	2.64%	1.68%	4.32%	0.04%	4.36%	4.50%	10.7
FDNY (C)	1.93%	1.98%	3.92%	2.47%	6.39%	7.01%	15.8
ACS	2.21%	1.95%	4.16%	0.12%	4.28%	4.31%	10.6
HRA	2.94%	1.90%	4.84%	0.20%	5.04%	5.09%	12.5
DHS	1.86%	2.52%	4.38%	0.52%	4.91%	5.48%	12.1
HPD	2.95%	1.41%	4.36%	0.42%	4.78%	4.85%	11.8
DOH	2.55%	2.19%	4.74%	0.08%	4.82%	4.91%	11.9
DEP	2.37%	1.24%	3.61%	0.66%	4.27%	4.35%	10.6
DOS (C)	2.45%	1.22%	3.66%	0.52%	4.19%	4.36%	10.4
DOF	3.06%	1.19%	4.25%	0.54%	4.78%	4.75%	11.8
DOT	3.12%	1.31%	4.43%	1.52%	5.95%	6.03%	14.6
DPR	2.21%	0.71%	2.92%	0.41%	3.33%	3.54%	8.3
Subtotal	2.57%	1.66%	4.23%	0.49%	4.72%	4.88%	11.7
MEDIUM CIVILIAN WORKFORCES							
LAW	1.62%	1.67%	3.30%	0.03%	3.32%	3.39%	8.2
DCAS	2.35%	1.35%	3.70%	0.23%	3.93%	3.87%	9.7
DDC	2.41%	1.12%	3.53%	0.07%	3.60%	3.70%	8.9
DOC (C)	2.01%	2.51%	4.52%	0.23%	4.75%	4.65%	11.7
DJJ	2.94%	0.94%	3.88%	0.74%	4.62%	4.41%	11.3
PROBATION	2.91%	1.62%	4.53%	0.02%	4.55%	4.45%	11.2
DOB	2.63%	0.98%	3.61%	0.20%	3.81%	3.68%	9.4
Subtotal	2.37%	1.55%	3.92%	0.18%	4.10%	4.05%	10.1
SMALL CIVILIAN WORKFORCES							
DGP	2.05%	2.44%	4.49%	0.00%	4.49%	4.05%	11.2
DOI	2.80%	0.78%	3.58%	0.08%	3.65%	3.60%	9.1
DOE	2.51%	1.67%	4.18%	0.35%	4.53%	4.48%	11.2
DFTA	2.22%	1.65%	3.87%	0.00%	3.87%	3.92%	9.6
CULTURAL	1.01%	3.44%	4.44%	0.02%	4.47%	4.01%	11.1
FISA	2.61%	1.41%	4.03%	0.00%	4.03%	3.91%	10.0
LANDMARKS	0.69%	2.00%	2.69%	0.00%	2.69%	3.58%	6.7
TLC	2.94%	0.68%	3.61%	2.98%	6.59%	6.34%	16.3
CCHR	3.13%	1.81%	4.94%	0.16%	5.10%	7.02%	12.6
DYCD	2.70%	1.06%	3.76%	0.00%	3.76%	3.98%	9.4
DBS	2.21%	2.05%	4.26%	0.01%	4.26%	3.97%	10.5
DMH	2.21%	1.45%	3.67%	0.11%	3.78%	3.68%	9.4
DOITT	1.98%	2.20%	4.18%	0.02%	4.20%	3.90%	10.4
DORIS	2.73%	1.25%	3.98%	0.00%	3.98%	4.27%	9.9
CONSUMER	2.78%	1.09%	3.87%	0.11%	3.98%	4.00%	9.9
Subtotal	2.59%	1.61%	4.20%	0.41%	4.61%	4.51%	11.4
Uniformed	3.01%	NA	3.01%	1.57%	4.58%	4.66%	11.4
Civilian	2.55%	1.65%	4.20%	0.45%	4.65%	4.76%	11.5
TOTAL	2.78%	0.82%	3.60%	1.01%	4.61%	4.71%	11.4
CITYWIDE	2.78%	0.82%	3.60%	0.22%	3.83%	3.95%	9.5

Note: The **Total Absence Rate** is calculated by dividing the sum of paid sick leave for all employees, Line-of-Duty Injury absence for uniformed employees, and paid Workers' Compensation absence for civilian employees, by paid scheduled hours for all employees. The **Citywide Absence Rate** is calculated by dividing paid sick leave for all employees plus paid Workers' Compensation absence for civilian employees by paid scheduled hours for all employees.

E. AGENCY OVERTIME EARNED

The Mayor's Office of Operations and the Office of Management and Budget have joint responsibility for monitoring the overtime spending of City agencies. In accordance with Mayoral Directive 94-3, selected high-overtime agencies provide annual overtime control plans and quarterly reports on earned overtime and its causes, which the Office of Operations uses to produce quarterly reports for agencies and City Hall. In Fiscal 2001, 10 selected agencies, whose overtime earnings represent over 90 percent of total City overtime spending, were monitored in this manner.

- Total City overtime earnings were \$775.4 million in Fiscal 2001, compared with \$654 million in Fiscal 2000, an increase of 18.6 percent. Total overtime hours worked during Fiscal 2001 increased 16 percent compared to the previous year. The variance between the rates of increase in overtime hours and earnings is attributable mainly to collective bargaining increases for many of the City's civilian employees, which were implemented in Fiscal 2001. Total City overtime includes the overtime expenditures of all agencies on the Payroll Management System, as well as the Health and Hospitals Corporation.
- The New York City Police Department (NYPD) accounted for the bulk of the \$121.4 million increase in total City overtime earnings in Fiscal 2001, compared to Fiscal 2000. Combined overtime earnings for the City's other agencies were relatively stable in Fiscal 2001. Earnings for all other agencies increased \$21 million, or 5 percent, to \$437.7 million. This distribution of the additional overtime, and the overall stability of its use in Fiscal 2001, demonstrates the continued use of overtime as an effective mechanism that enables the City to increase services and conduct targeted initiatives without an accompanying growth in government over the long run.
- Total monitored agency overtime earnings in Fiscal 2001 were \$727.1 million, a 19 percent increase from \$610.5 million for the same agencies in Fiscal 2000. The monitored agencies are the Police, Fire, Correction and Sanitation departments; the departments of Juvenile Justice (DJJ), Environmental Protection (DEP) and Transportation (DOT); the Human Resources Administration (HRA); the Administration for Children's Services (ACS); and the Health and Hospitals Corporation (HHC).
- Combined overtime earnings in the uniformed agencies (Police, Fire, Correction and Sanitation) were \$562.1 million in Fiscal 2001, an increase of 20 percent from \$466.7 million in Fiscal 2000. As noted above, the overtime increase in NYPD accounted for the bulk of the increase in total City and monitored agency overtime in Fiscal 2001. The other three uniformed agencies, the Department of Sanitation, Department of Correction and the Fire Department, experienced decreases in overtime earnings.
 - NYPD overtime earnings were \$337.7 million in Fiscal 2001, compared with \$237.3 million in Fiscal 2000, an increase of 42 percent. Overtime hours worked by NYPD employees in Fiscal 2001 increased by 37 percent. NYPD used this additional overtime primarily for planned anticrime and quality-of-life initiatives and for coverage of unplanned or nonrecurring special events during Fiscal 2001. In particular, NYPD increased the use of overtime for tactical enforcement efforts designed to reduce illegal drug activity, and related violence and quality-of-life offenses in the City's neighborhoods.
 - Overtime earnings in the Fire Department of the City of New York (FDNY) were \$103.3 million in Fiscal 2001, 3 percent less than the \$106.2 million earned in Fiscal 2000, as overtime hours worked decreased by 4 percent. The reduced overtime is primarily due to hiring additional firefighters late in Fiscal 2000, and the stable population and absence rate for the uniformed workforce during Fiscal 2001. Overtime was also reduced for FDNY's civilian employees in Fiscal 2001, reflecting completion of Y2K readiness efforts and a lower civilian absence rate than the previous year.

E. AGENCY OVERTIME EARNED

- Overtime earnings in the Department of Correction (DOC) were \$48.8 million in Fiscal 2001, a decrease of 1 percent from \$49.4 million in Fiscal 2000. The Department's overtime hours decreased by 2 percent from the previous year. This reduced usage reflects lower average daily inmate population for the year, which allowed DOC to continue its aggressive bed consolidation efforts and to control the number of staff required on duty for each shift. The reduction is also due to DOC's improved system for balancing the scheduling of vacations and mandatory staff training, implemented in Fiscal 2000, to more efficiently distribute the available operating staff on each shift at each facility and to reduce the overtime required to train personnel.
- Department of Sanitation (DOS) overtime earnings were \$72.3 million in Fiscal 2001, compared with \$73.8 million a year earlier. Although overtime earnings decreased, overtime hours worked by Department employees increased by 5 percent in Fiscal 2001. This additional overtime was used primarily to deal with snowstorms during the comparatively harsh winter of Calendar 2000-2001. Fiscal 2001 overtime earnings declined nonetheless, as a substantial proportion of DOS' uniformed workforce have been hired since October 1999, and earn closer to entry-level wages than many who worked overtime throughout Fiscal 2000.
- Combined overtime earned in the six civilian monitored agencies (DJJ, DOT, DEP, HRA, ACS and HHC) was \$165 million in Fiscal 2001, an increase of 15 percent from \$143.8 million earned by the same agencies in Fiscal 2000. Overtime hours worked in these agencies increased by 11 percent from Fiscal 2000. In Fiscal 2001 overtime earnings and hours increased in five of the civilian monitored agencies.
 - Overtime earnings in DJJ were \$5.3 million in Fiscal 2001, compared with \$5.4 million in Fiscal 2000, a decrease of 2 percent. DJJ overtime hours decreased 6 percent compared with Fiscal 2000. The reduction in DJJ overtime was due to improved overtime management, federally funded hiring of Juvenile Counselors in Fiscal 2001 and the decrease in DJJ's average daily population in secure detention during Fiscal 2001.
 - DOT overtime earnings were \$28.7 million in Fiscal 2001, an increase of 10 percent from \$26 million in Fiscal 2000. Overtime hours at DOT increased 7 percent in Fiscal 2001 compared with the previous year. The additional overtime was used to proceed with bridge and road capital construction, and street and ferry maintenance and repair projects.
 - DEP overtime earnings were \$23.2 million in Fiscal 2001, an increase of 18 percent from \$19.7 million in Fiscal 2000. DEP overtime hours increased 13 percent compared with Fiscal 2000. The additional overtime was used throughout the Department for requirements including completing critical in-house maintenance and emergency repairs at DEP facilities, conducting the Beach Protection Program, overseeing the dewatering and cleaning of reservoirs and aqueducts, and preparing and supervising capital construction projects at wastewater treatment plants.
 - Overtime earnings in ACS were \$25.9 million in Fiscal 2001, an increase of 26 percent from \$20.6 million in Fiscal 2000. Overtime hours worked at ACS in Fiscal 2001 increased 15 percent from Fiscal 2000. The additional overtime was used primarily during the implementation of a new staffing model for ACS congregate care residential centers, and to implement initiatives and meet service standards for child protection in the face of increased caseloads and attrition in that area.
 - Overtime earnings in HRA were \$19.8 million in Fiscal 2001, an increase of 48 percent from \$13.4 million in Fiscal 2000. Overtime hours worked at HRA in Fiscal 2001 increased 43 percent from Fiscal 2000. The additional overtime was used primarily to continue the HRA full-engagement initiative, to conduct fraud prevention projects, to convert Income Support Centers into Job Centers and to consolidate Food Stamp Centers with Job Centers, to enhance the Agency's MIS capacity and perform Welfare Management System correction projects, and to conduct other welfare reform programs that entailed keeping facilities open and personnel available for extended hours and weekends.

E. AGENCY OVERTIME EARNED

- HHC overtime spending was \$62 million in Fiscal 2001, an increase of 6 percent from \$58.7 million in Fiscal 2000. HHC overtime hours increased 4 percent compared with Fiscal 2000. The Corporation used \$24 million, or 39 percent, of its overtime for plant maintenance during Fiscal 2001, primarily to repair and to renovate an expansive and aging network of medical facilities. HHC used an additional \$15.3 million, or 25 percent of its Fiscal 2001 total, for overtime to provide necessary coverage by nursing staff throughout its facilities.

E. AGENCY OVERTIME EARNED

(All numbers in thousands)

Agency	Fiscal 2000 Actual	Fiscal 2001 Actual
Police Department (a)	\$237,303	\$337,653
Fire Department	\$106,220	\$103,290
Department of Correction	\$49,383	\$48,835
Department of Probation	\$1,628	\$1,025
Department of Juvenile Justice	\$5,351	\$5,255
Civilian Complaint Review Board (b)	\$198	\$535
Department of Transportation	\$25,958	\$28,728
Department of Environmental Protection (c)	\$19,715	\$23,187
Department of Housing Preservation and Development	\$1,203	\$1,117
New York City Housing Authority (d)	\$20,344	\$29,324
Department of Sanitation	\$73,791	\$72,315
Department of Parks and Recreation (e)	\$3,205	\$2,667
Landmarks Preservation Commission	\$11	\$11
Department of Business Services	\$39	\$37
Department of Cultural Affairs (f)	\$6	\$1
Department of Health (g)	\$2,719	\$3,427
New York City Health and Hospitals Corporation	\$58,746	\$62,047
Department of Mental Health (h)	\$18	\$91
Human Resources Administration (i)	\$13,377	\$19,844
Administration for Children's Services (j)	\$20,633	\$25,914
Department of Homeless Services (k)	\$5,069	\$6,639
Department of Employment (l)	\$14	\$33
Department of Youth and Community Development (m)	\$24	\$10
Department for the Aging (n)	\$2	\$9
Board of Education	\$9,998	\$10,808
Department of Consumer Affairs (o)	\$464	\$655
Department of Buildings (p)	\$1,034	\$1,704
Taxi and Limousine Commission	\$438	\$482
Law Department	\$730	\$669
Department of Finance (q)	\$1,011	\$788
Department of Design and Construction	\$2,759	\$3,052
Department of Citywide Administrative Services	\$3,729	\$4,114
Department of City Planning (r)	\$23	\$41
Department of Records and Information Services	\$0	\$0
Department of Information Technology and Telecommunications	\$290	\$330
City Commission on Human Rights	\$0	\$0
Department of Investigation	\$3	\$2

Note: Overtime earned in the Office of the Chief Medical Examiner (OCME) is included in figures for the Department of Health.

E. AGENCY OVERTIME EARNED

- (a) The increase in overtime earned may be attributed to planned and unplanned events, including tactical enforcement efforts to reduce illegal narcotics activity and related violence, the UN Millennium Summit, Operation Sail and a strike by a major telecommunications company.
- (b) Additional overtime was used primarily as a result of vacancies in the Board's investigative staff.
- (c) The increase in overtime expenditures was due to wastewater treatment plant upgrades; critical in-house repairs/maintenance, storm events, hydrant patrol, pumping at the Ridgewood Reservoir and oversight of the dewatering of the Croton Aqueduct.
- (d) Additional overtime was earned by employees in the skilled trades to complete initiatives, including installation of closed-circuit television, prompt turnover of vacant units and meeting Public Housing Assessment System standards, as required by the Department of Housing and Urban Development.
- (e) The Department reduced its overtime use through its improved control of overtime for work associated with capital projects.
- (f) The decrease in overtime reflects the Department's conclusion of the Y2K readiness efforts that were underway in Fiscal 2000.
- (g) Additional overtime was used for increased activities related to West Nile virus prevention activities and for restaurant inspections.
- (h) Additional overtime was used to implement procedures and data processing systems to increase the timeliness of review and resolution of budget modifications submitted by contracted agencies.
- (i) Additional overtime was used primarily for the employment initiative, which required Job Centers to remain open nights and weekends to accommodate the call-in volume. HRA also used overtime to process certifications, conduct investigations for maintenance and construction projects, and continue the paperless office expansion.
- (j) Increased overtime was used for intensified efforts by child welfare specialists and child protective specialists to ensure that contract agencies complied with Service Plan Reviews, for ACS response to suggestions made by the Special Advisory child welfare panel and for several important projects, including the establishment of the new ACS Children's Center.
- (k) Additional overtime was used primarily to provide placement services and to increase conditional capacity so that families with children did not spend the night in the Emergency Assistance Unit (EAU).
- (l) Increased overtime was used primarily for new programs and initiatives, including the Upper Manhattan Youth Opportunity Project, the Rewarding Youth Achievement program and the year-round program for in-school youth under the Workforce Investment Act.
- (m) The decrease in overtime was due to an increase in positions filled from Fiscal 2000 to Fiscal 2001, particularly in program operations for both Youth Services and Community Development.
- (n) Additional overtime was used for efforts to meet deadlines associated with evaluation of contract proposals, contract selections and contract renewals.
- (o) Increased overtime was used for licensing of tobacco sales and enforcement of laws regarding tobacco sales, particularly against sales to minors.

E . A G E N C Y O V E R T I M E E A R N E D

- (p) Additional overtime was used primarily for the Department's plan examiners, as part of its efforts to reduce the time span between submission and first examination of building plans.
- (q) Overtime decreased due to the completion of Y2K readiness efforts and management controls implemented in Fiscal 2001.
- (r) Additional overtime was primarily used for special planning projects for the Mayor's Office of Emergency Management.

F. AGENCY RULEMAKING ACTIONS

DEPARTMENT OF ENVIRONMENTAL PROTECTION

Rulemaking Actions Taken

Established rules to update the standards and procedures used by professional applicants to provide connections to and make use of the municipal water supply system. The rules also update the standards and requirements for the placement of water meters and the requirements governing the usage of water by the public. Finally, the rules update the provisions governing backflow prevention.

DEPARTMENT OF BUILDINGS

Rulemaking Actions Taken

Adopted rules that establish minimum requirements for all individuals working on or operating suspension scaffolds. The rules apply to individuals performing construction or alteration work pursuant to a permit issued by the Department, or performing rigging or sign hanging work under the supervision of a licensed master or special rigger, or a master or special sign hanger.

DEPARTMENT OF FINANCE

Rulemaking Actions Taken

Amended rules relating to the General Corporation Tax. These amendments reflect legislative changes concerning the calculation of the tax on the alternative income-plus-compensation base. The amendments also clarify provisions concerning adding back compensation and the calculation of the alternative base where entire net income is a net loss.

Amended rules relating to parking violations. The first amendment establishes a pilot program to expedite the disposition of parking violations issued against commercial vehicles making pickups, deliveries and service calls in the City. In exchange for a reduction in the amounts of some fines, vehicle owners waive the right to contest all notices of violation issued to vehicles enrolled in the program and agree to pay the stipulated fines for these violations. A second amendment authorizes special patrolmen of the New York City Hunts Point Terminal Market to issue notices of violation for parking violations. Other amendments conform the rules to recent legislation that increases the mandatory surcharge for handicapped parking violations. The amendments also increased the fine for handicapped parking violations to \$150. A final amendment authorizes special patrol officers of the Peter Cooper Village/Stuyvesant Town Security Department to issue notices of violation for parking violations.

Amended rules relating to the Industrial and Commercial Incentive Program (ICIP). The amendment reduces the filing and administrative fees for final applications for ICIP benefits with an effective date on or after July 1, 1992. The amendment permits the Department of Finance to refund filing fees paid in excess of \$1,000 with respect to any application with an effective date on or after July 1, 1992.

Amended the Department of Finance Mailing Rules. The amendments rename the rule Department of Finance Filing Rules and authorize the Commissioner of Finance to establish one or more programs or systems so that taxpayers may choose to file and sign returns or other forms electronically, and allow taxpayers to pay taxes by electronic means.

Promulgated rules relating to payment of real property taxes by electronic funds transfer. This rule provides that the Commissioner of Finance, at his discretion, may authorize taxpayers, upon written agreement with the Commissioner of Finance, to pay real property taxes by electronic funds transfer.

Amended rules relating to the Hotel Room Occupancy Tax. The amendments modify the definitions of taxable occupancy, hotel operator, and permanent resident to address the application of the tax to persons who rent rooms from a hotel operator and sublet them to others.

F. AGENCY RULEMAKING ACTIONS

DEPARTMENT OF HEALTH **Rulemaking Actions Taken**

Adopted a Board of Health resolution that requires forwarding Mycobacterium Tuberculosis cultures to the Department of Health for DNA or other molecular analysis within 24 hours of identifying the culture.

Adopted a Board of Health resolution that clarifies the requirements for reporting blood lead test results; establishes a Children's Blood Lead Registry and authorizes the Department to charge a fee for a Certificate of Completion for persons who take a Department of Health-approved dust wipe sampling course.

Adopted a Board of Health resolution to update requirements and provide consistency with State regulations regarding bathing establishments.

Adopted a Board of Health resolution that amends Article 175 of the New York City Health Code with regard to radiation safety, general requirements for radiation-producing equipment, and quality assurance programs. Article 175 was amended to achieve consistency with new federal regulations for mammography promulgated by the U.S. Food and Drug Administration (FDA). The amended article outlines additional qualification requirements for radiographic technologists and for the minimum imaging quality of equipment prior to performing patient mammograms. Article 175 was also amended to reflect FDA changes in the general requirements for radiation equipment. The purpose of these amendments was to more effectively monitor the exposure levels to radiation workers, to increase patient safety and to ensure the highest quality of the radiographic image.

Adopted a Board of Health resolution that repeals Article 41 of the New York City Health Code, which governed maternity and newborn services. The New York State Department of Health now comprehensively regulates such facilities.

Adopted a Board of Health resolution that repeals Article 42 of the Health Code, which regulated abortion services. Under State law, the New York City Department of Health no longer regulates clinical facilities, including abortion facilities, hospitals and clinics. The New York State Department of Health, which specifically regulates diagnostic and treatment centers providing abortion services, has assumed these responsibilities. New York State Department of Health regulations also govern inpatient hospitals performing abortions. Further, the State Sanitary Code, in effect in the City of New York, regulates induced termination of pregnancies. In addition, the practice of medicine is regulated by New York State.

Adopted a Board of Health resolution to update the reporting requirements relating to diseases and conditions, and the management of typhoid cases.

DEPARTMENT OF PARKS AND RECREATION **Rulemaking Actions Taken**

Adopted rules to clarify the terms for certain types of special events that substantially increase traffic and usage of various park venues. The new rules consider the variety of parks, for example, Central Park versus a community park; and the variety of events, such as a private event with commercial sponsorship versus a public event with commercial sponsorship. The rules establish a concession schedule of maximum payments that can be required and identify 11 factors that the Parks Commissioner can consider to determine the amount of the concession. These include, for example, the nature of the use; the number of persons expected to attend; whether the event is a charitable event; and the types and extent of public resources required to stage the event.

F. AGENCY RULEMAKING ACTIONS

DEPARTMENT OF TRANSPORTATION

Rulemaking Actions Taken

Adopted a traffic rule that clarifies the requirements for altering a commercial vehicle for parking purposes.

Adopted a traffic rule that creates a pilot program for metered commercial parking areas and clarifies procedures for parking at a space controlled by a broken or missing "Muni-Meter."

Adopted a traffic rule that deletes an obsolete address for the Authorized Parking and Permits Division.

Adopted various changes that clarify the Highway Rules with relation to permits for street excavations, conditions for issuing permits, canopies, permit requirements for property owners' maintenance of sidewalks, skid resistant plates and decking, installation of conduits and pipes, and restoration of protected streets.

HOUSING PRESERVATION AND DEVELOPMENT

Rulemaking Actions Taken

Adopted a rule governing tax exemptions that gives large planned unit developments, with at least 15,000 dwelling units, an additional 12 months to complete the J-51 application. These developments must be owned and operated by limited-profit housing companies organized under Article II of the Private Housing Finance Law.

Adopted a rule governing tax exemption and abatement for rehabilitation and improvements to multiple dwellings. The rule extends J-51 benefits to installations of new co-generation equipment.

Adopted a rule governing tax exemption and tax abatement that codifies the Department of Finance's procedures for revoking J-51 benefits when tax arrears of \$1,000 or more have been pending for at least one year.

Amended existing rules governing Article 7-A of the Real Property Actions and Proceedings Law to implement newly enacted State legislation that authorizes evaporation of certain liens pursuant to a regulatory agreement with a new owner.

TAXI AND LIMOUSINE COMMISSION

Rulemaking Actions Taken

Amended a rule to increase the flat fare between John F. Kennedy Airport and Manhattan from \$30 to \$35.

Adopted a rule that exempts New York City police officers from the 80-hour course and drug testing requirements for taxicab licensure.

Adopted a rule that requires taxicab owners and drivers to report evidence of taximeter tampering to the Taxi and Limousine Commission.

G. VEHICLE FLEETS AND MAINTENANCE

INDICATORS	Fiscal	Fiscal Year 2001		Fiscal
	2000 Actual	Annual Plan	Annual Actual	2002 Plan
CITYWIDE FLEET SIZE	26,153	26,000	26,835	26,500
POLICE DEPARTMENT				
o Total Vehicles	6,281	6,998	8,025 a	7,036
- Alternative Fueled Vehicles	NI	NI	4	NI
o Light Duty	5,620	6,433	6,948	5,673
- Average Vehicle Age (Months)	59	45	36 b	45
- Average Vehicle Mileage	58,879	60,000	27,210 b	60,000
- Mechanical Downtime	6%	9%	5.81% c	9%
- Average Cost of Maintenance	\$1,520	*	\$1,285	*
o Medium Duty	485	468	478	900
- Average Vehicle Age (Months)	80	75	53 b	75
- Average Vehicle Mileage	60,100	50,000	25,463 b	50,000
- Mechanical Downtime	10%	8%	9.83% d	8%
- Average Cost of Maintenance	\$2,256	*	\$2,291	*
o Heavy Duty	79	97	89	118
- Average Vehicle Age (Months)	105	96	80 b	96
- Average Vehicle Mileage	42,312	65,000	22,164 b	65,000
- Mechanical Downtime	5%	8%	10.39% d	8%
- Average Cost of Maintenance	\$2,771	*	\$2,771	*
FIRE DEPARTMENT				
o Total Vehicles	1,824	1,953	1,877	1,953
o Vehicle Inventory				
- Engines	293	280	294	280
- Ladders	177	197	179	197
- Rescue/Hazardous Materials	25	27	26	27
- Support Vehicles	888	985	951	985
- Ambulances	416	464	416	464
o Light Duty	393	450	427	450
- Average Vehicle Age (Months)	60	68	73	68
- Average Vehicle Mileage	57,866	60,000	56,286	61,000
- Mechanical Downtime	8%	7%	3.47% e	7%
- Average Cost of Maintenance	\$2,059	*	\$1,802	\$2,265
o Medium Duty	447	470	463	470
- Average Vehicle Age (Months)	69	80	74	73
- Average Vehicle Mileage	45,122	57,000	50,458	57,000
- Mechanical Downtime	8%	10%	5.17% e	10%
- Average Cost of Maintenance	\$2,488	*	\$3,298	\$2,737

G. VEHICLE FLEETS AND MAINTENANCE

INDICATORS	Fiscal	Fiscal Year 2001		Fiscal
	2000 Actual	Annual Plan	Annual Actual	2002 Plan
o Heavy Duty	48	65	53 f	65
- Average Vehicle Age (Months)	111	106	100	125
- Average Vehicle Mileage	28,192	40,000	25,322 g	30,000
- Mechanical Downtime	7%	10%	5.72% e	8%
- Average Cost of Maintenance	\$2,746	*	\$2,424	\$3,021
o Rescue/Hazardous Materials	25	27	26	27
- Average Vehicle Age (Months)	66	88	74 e	71
- Average Vehicle Mileage	46,311	60,000	52,364	48,000
- Mechanical Downtime	15%	15%	8.23% e	15%
- Average Cost of Maintenance	\$8,083	*	\$14,983	\$8,891
o Engines	293	280	294	280
- Average Vehicle Age (Months)	85	95	88	92
- Average Vehicle Mileage	49,871	55,000	48,704	50,000
- Mechanical Downtime	9%	9%	9.52%	9%
- Average Cost of Maintenance	\$7,433	*	\$10,685	\$8,176
o Ladders	177	197	179	197
- Average Vehicle Age (Months)	84	98	85	84
- Average Vehicle Mileage	43,033	51,000	44,129	45,000
- Mechanical Downtime	12%	10%	9.63%	10%
- Average Cost of Maintenance	\$19,201	*	\$21,711	\$21,121
o Ambulances	416	464	416	464
- Average Vehicle Age (Months)	50	54	66 h	57
- Average Vehicle Mileage	73,232	70,000	85,393 (i)	75,000
- Mechanical Downtime	12%	10%	6.63% j	10%
- Average Cost of Maintenance	\$9,199	*	\$9,377	\$10,119
DEPARTMENT OF CORRECTION				
o Total Vehicles	520	505	581 k	530
- Alternative Fuel Vehicles	57	58	76 (l)	72
o Average Vehicle Age (Months)	43	42	41	42
o Light Duty	147	164	185	170
- Average Vehicle Age (Months)	40.65	57	49	40
- Average Vehicle Mileage	15,369	15,000	49,765 m	15,000
- Mechanical Downtime	7%	9%	9.60%	8%
- Average Cost of Maintenance	\$235	\$330	\$1,835 n	\$330

G. VEHICLE FLEETS AND MAINTENANCE

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
o Medium Duty	137	120	161 (o)	130
- Average Vehicle Age (Months)	55.6	60	77 p	60
- Average Vehicle Mileage	11,567	13,000	47,133 m	13,000
- Mechanical Downtime	7%	10%	11.35%	8%
- Average Cost of Maintenance	\$194	\$235	\$1,904 n	\$235
o Heavy Duty	236	221	230	230
- Average Vehicle Age (Months)	54	52	74 p	55
- Average Vehicle Mileage	13,084	13,000	44,597 m	13,000
- Mechanical Downtime	4%	10%	19.52% q	8%
- Average Cost of Maintenance	\$311	\$385	\$4,452 n	\$385
DEPARTMENT OF TRANSPORTATION				
o Total Vehicles	2,720	2,715	2,812	2,725
- Alternative Fuel Vehicles	517	575	634	635
o Average Age of Fleet (Years)	5.7	5.7	5.9	6.4
o Light Duty	1,096	1,125	1,118	1,125
- Average Vehicle Age (Months)	48	52	53	58
- Average Vehicle Mileage	27,954	30,000	29,438	30,000
- Mechanical Downtime	8%	11%	7.33% r	11%
- Average Cost of Maintenance	\$1,145	\$1,100	\$1,031	\$1,500
o Medium Duty	664	675	746	700
- Average Vehicle Age (Months)	70	76	73	76
- Average Vehicle Mileage	19,680	20,000	18,978	20,000
- Mechanical Downtime	14%	15%	9.46% r	15%
- Average Cost of Maintenance	\$2,718	\$2,600	\$2,590	\$3,000
o Heavy Duty	690	700	746	740
- Average Vehicle Age (Months)	88	90	89	92
- Average Vehicle Mileage	30,257	30,000	29,422	30,000
- Mechanical Downtime	19%	28%	16.39% r	22%
- Average Cost of Maintenance	\$6,087	\$5,400	\$5,442	\$6,000
DEPARTMENT OF ENVIRONMENTAL PROTECTION				
o Total Vehicles	2,041	2,075	2,014	2,075
- Alternative Fuel Vehicles	302	350	380	440
o Light Duty	969	1,025	1,084	1,050
- Average Vehicle Age (Months)	67	60	52	60
- Average Vehicle Mileage	55,858	50,000	41,328 s	50,000
- Mechanical Downtime	8%	7%	5.89% t	6%
- Average Cost of Maintenance	\$1,686	\$1,800	\$1,372 u	\$1,375

G. VEHICLE FLEETS AND MAINTENANCE

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
o Medium Duty	411	400	399	400
- Average Vehicle Age (Months)	62	60	71 v	80
- Average Vehicle Mileage	29,814	30,000	36,453 v	40,000
- Mechanical Downtime	10%	8%	6.68% t	8%
- Average Cost of Maintenance	\$1,713	\$1,860	\$1,673	\$1,850
o Heavy Duty	335	325	353	350
- Average Vehicle Age (Months)	99	90	94	100
- Average Vehicle Mileage	24,847	30,000	26,341	30,000
- Mechanical Downtime	17%	12%	14.13% w	14%
- Average Cost of Maintenance	\$4,293	\$3,468	\$3,256	\$3,750
DEPARTMENT OF SANITATION				
o Total Vehicles	5,808	5,594	5,890	5,598
- Alternative Fuel Vehicles	701	804	815	905
o Light Duty	1,178	1,058	1,188	1,045
- Average Vehicle Age (Months)	52	64	47 x	67
- Average Vehicle Mileage	38,257	46,686	34,606 x	48,438
- Mechanical Downtime	10%	10%	8% x	10%
- Average Cost of Maintenance	NI	NI	NI	NI
o Medium Duty	461	487	502	470
- Average Vehicle Age (Months)	71	65	59	71
- Average Vehicle Mileage	27,393	27,113	20,900 x	29,691
- Mechanical Downtime	10%	10%	8% x	10%
- Average Cost of Maintenance	NI	NI	NI	NI
o Heavy Duty	3,522	3,408	3,570	3,467
- Average Vehicle Age (Months)	61	60	48 x	59
- Average Vehicle Mileage	22,493	21,675	19,548	20,368
- Mechanical Downtime	19%	19%	17%	19%
- Average Cost of Maintenance	NI	NI	NI	NI
DEPARTMENT OF PARKS AND RECREATION				
o Total Vehicles	1,908	1,886	1,907	1,886
- Alternative Fuel Vehicles	99	98	100	98
o Hours Unavailable (Downtime)	6%	5%	6.1% y	5.0%
o Light Duty	1,139	1,095	525 z	520
- Average Vehicle Age (Months)	96	84	93	84
- Average Vehicle Mileage	41,107	40,000	35,627	40,000
- Mechanical Downtime	4%	4%	3.65% aa	4%
- Average Cost of Maintenance	NI	NI	\$722	NI

G. VEHICLE FLEETS AND MAINTENANCE

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
o Medium Duty	496	511	968 z	973
- Average Vehicle Age (Months)	110	108	87 bb	108
- Average Vehicle Mileage	24,414	30,000	32,588	30,000
- Mechanical Downtime	7%	7%	4.63% aa	7%
- Average Cost of Maintenance	NI	NI	\$860	NI
o Heavy Duty	273	280	276	280
- Average Vehicle Age (Months)	109	108	117	108
- Average Vehicle Mileage	30,982	30,000	27,481	30,000
- Mechanical Downtime	12%	8%	11.22% cc	8.0%
- Average Cost of Maintenance	NI	NI	\$2,222	NI
DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES				
o Total Vehicles	1,856	1,950	1,981	1,920
- Alternative Fuel Vehicles	501	500	671 dd	620
- DCAS-Owned	279	285	259	260
- Client-Owned	1,559	1,665	1,722	1,660
o Light Duty	1,379	1,350	1,481	1,400
- Average Vehicle Age (Months)	78	60	51 ee	60
- Average Vehicle Mileage	35,676	35,500	31,987	35,000
- Mechanical Downtime	2%	3%	3%	3%
- Average Cost of Maintenance	\$837	\$1,200	\$919 ff	\$950
o Medium Duty	368	400	384	400
- Average Vehicle Age (Months)	79	76	64 gg	72
- Average Vehicle Mileage	39,068	40,000	31,744 gg	40,000
- Mechanical Downtime	2%	3%	3%	3%
- Average Cost of Maintenance	\$1,056	\$1,200	\$949 ff	\$1,100
o Heavy Duty	94	90	91	93
- Average Vehicle Age (Months)	131	135	123	132
- Average Vehicle Mileage	34,074	32,000	34,403	32,000
- Mechanical Downtime	3%	3%	2%	3%
- Average Cost of Maintenance	\$1,058	\$1,600	\$1,255 ff	\$1,200
o Support Vehicles (DJJ Buses)	5	6	4 hh	5
- Average Vehicle Age (Months)	90	70	27 hh	90
- Average Vehicle Mileage	58,023	33,000	54,466 hh	40,000
- Mechanical Downtime	1%	1%	4% hh	1%
- Average Cost of Maintenance	\$1,583	\$1,600	\$2,981 hh	\$1,350

G. VEHICLE FLEETS AND MAINTENANCE

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
DEPARTMENT OF HEALTH				
o Total Number of Vehicles	NI	NI	353	353
- Alternative Fuel Vehicles	NI	NI	64	64
o Light Duty	NI	NI	261	261
- Average Vehicle Age (Months)	NI	NI	76	76
- Average Vehicle Mileage	NI	NI	30,781	30,781
- Mechanical Downtime	NI	NI	7.25%	7.25%
- Average Cost of Maintenance	NI	NI	\$703	\$703
o Medium Duty	NI	NI	65	65
- Average Vehicle Age (Months)	NI	NI	53	53
- Average Vehicle Mileage	NI	NI	23,681	23,681
- Mechanical Downtime	NI	NI	4.20%	4.20%
- Average Cost of Maintenance	NI	NI	\$555	\$555
o Heavy Duty	NI	NI	NI	NI
- Average Vehicle Age (Months)	NI	NI	NI	NI
- Average Vehicle Mileage	NI	NI	NI	NI
- Mechanical Downtime	NI	NI	NI	NI
- Average Cost of Maintenance	NI	NI	NI	NI

G. VEHICLE FLEETS AND MAINTENANCE

- (a) As a result of new reporting standards, reports now include all new vehicles delivered but not yet placed in service pending outfit for police duty, and vehicles awaiting condemnation. The Department received additional OTPS funding and was able to purchase more vehicles than planned.
- (b) The addition of new vehicles reduced average vehicle age and mileage.
- (c) Mechanical downtime was less than planned as a result of a decrease in vehicle age.
- (d) This figure includes existing fleet vehicles retained while out-of-service prior to condemnation. The Fiscal 2001 Plan number was calculated under the previous standard, which was inconsistent across agencies, while the Actual number reflects revised standards now being used by all agencies.
- (e) The decrease is due to the placement in service of new vehicles and to an aggressive relinquishment program for qualified vehicles.
- (f) The Department did not purchase the planned number of heavy duty vehicles due to funding issues.
- (g) The decrease in mileage is due to the delivery of new vehicles, as well as the relinquishment of five vehicles with a mileage total of 222,000.
- (h) Fewer ambulances were purchased due to delays in developing specifications, thereby increasing the average vehicle age of the existing fleet.
- (i) There was a delay in placing new ambulances in service because the Department was reviewing the acceptance criteria. The Plan assumed that new ambulances would be in service, which would reduce the average mileage.
- (j) The decrease in downtime is due to fewer vehicle accidents.
- (k) The total number of vehicles increased because the Agency purchased more vehicles than originally planned. Furthermore, DOC has 41 vehicles pending salvage and subsequent deletion from MCMS.
- (l) The total number of alternative fuel vehicles increased due to the purchase of dual-fuel vehicles.
- (m) The Department previously reported average monthly vehicle mileage instead of the average lifetime mileage reported under the revised standards used for Fiscal 2001.
- (n) The increase in cost is a result of new reporting standards that require an annual average maintenance cost, as compared to a monthly average maintenance cost.
- (o) The Department purchased more medium duty vehicles than planned.
- (p) The average vehicle age was greater than expected, because the Department did not replace as many vehicles as planned. This was due to an increased focus on replacing deteriorating vehicles that required replacement, rather than vehicles that had met their life cycles.
- (q) Due to an increased number of vehicles in need of extensive repair, downtime was greater than expected.
- (r) Downtime decreased because the Department salvaged old vehicles and added new vehicles.
- (s) Average mileage was below Plan because new vehicles were purchased in Fiscal 2001.

G. VEHICLE FLEETS AND MAINTENANCE

- (t) Downtime was lower than expected as a result of the “Just in Time Parts” Program, which provides for faster delivery of parts.
- (u) The cost of maintenance was reduced due to the relinquishment of older vehicles.
- (v) Increases can be attributed to delays in the purchase of capital vehicles, which was beyond the Department’s control.
- (w) Downtime was above Plan as a result of the refurbishment of load luggers and delays in the purchase of capital vehicles.
- (x) The Department received a greater number of new vehicles than originally planned, resulting in a lower age, mileage and downtime.
- (y) A portion of DPR’s garbage truck fleet is past replacement age, resulting in increased overall downtime.
- (z) There was a significant decrease in the number of light duty vehicles, and a corresponding increase in vehicles in the medium duty category. In accordance with DCAS vehicle weight classifications, pickup trucks were reclassified as medium duty from light duty.
- (aa) The reclassification of pickup trucks to medium duty affected mechanical downtime.
- (bb) The average age of medium duty vehicles has decreased due to the recent purchase of 84 pickup trucks. Average age was also affected by the reclassification of pickup trucks from light duty to medium duty.
- (cc) The increase is due to DPR’s packer fleet, which is all past replacement age. The replacement of these vehicles is underway and 20 percent of the fleet has been replaced to date.
- (dd) The number of alternative fuel vehicles increased beyond Plan because several client agencies replaced gasoline vehicles with alternative fuel vehicles. Additionally, 100 vehicles were authorized for the Department of Buildings.
- (ee) The addition of new vehicles reduced the average age.
- (ff) The Plan for Fiscal 2001 incorporated both direct and indirect costs. However, only direct costs were reported from agencies, making the actual costs reported lower than planned.
- (gg) The average age and mileage of medium duty vehicles decreased significantly due to the relinquishment of 42 more vehicles than originally planned and the addition of 26 new vehicles.
- (hh) The Department of Juvenile Justice has been unable to replace salvaged vehicles, affecting the current Fleet indicators.

H. AGENCY PROCUREMENT

There are eight Agency Procurement Indicators reported on in the Fiscal 2001 Mayor's Management Report:

- Prompt Payment
- Contract Performance Evaluations and Defaulted Contracts
- Procurements Awarded
- Procurement Processing Cycle Times
- Competitiveness in Procurements
- Performance-Based Contracting
- Retroactive Contracts
- Agency Procurement Actions

Each of these Indicators is more fully described below. Data for the eight Indicators, which are presented in the Citywide Indicators section of Volume II of the Fiscal 2001 Mayor's Management Report, include all Mayoral operating agencies.

FISCAL 2001 AGENCY PROCUREMENT INDICATORS

Prompt Payment

This section includes Prompt Payment Indicators, which reflect agency timeliness in processing invoice payments to the City's contractors. This information, which is maintained in the City's Financial Management System (FMS), is provided by the Financial Information Services Agency (FISA) and includes all payments from both general and capital funds made during Fiscal 2001 for all Mayoral operating agencies. Agency specific data are provided for the percent of all invoice payments, both in terms of the dollar value and the number of invoices, which were paid "on time" (i.e., generally within 30 calendar days of the required date) and the interest paid to contractors. Agency data are totaled to present an overall picture of prompt payment.

As required by the City Charter, the Procurement Policy Board (PPB) Rules (Rules) concerning prompt payment took effect in 1991, requiring all City agencies to include in their contracts a standard clause stating the City's policy to pay invoices in a timely fashion, or to pay interest on the amounts not paid on time. Certain categories of payment, however, are not subject to interest even if not paid on time (e.g., advance payments to not-for-profit human service contractors). The Rules establish the maximum time for payment to a contractor from the point when the contractor has completed performance, the agency has declared that performance was satisfactory and the contractor has submitted an invoice with appropriate supporting documentation. The Rules also specify the length of time allowed to determine that performance is acceptable and to determine that invoices are properly submitted. After these determinations, the City, in most cases, is permitted 30 calendar days to process payments. The Rules allow 60 days for contract changes and 60 days for substantial completion payments or final payments for construction contracts. If disputes arise between the agency and the contractor concerning payment documents or performance, the time required to make corrections or resolve disputes is excluded from the permitted agency processing time. Up until December 31, 1999, the Rules provided a 15-day grace period. If a payment was not made on time, but within the grace period, there was no interest paid. The PPB adopted a change in its Rules which phased out this "interest-free" 15-day grace period by reducing it to 7 days, effective January 1, 2000, and eliminated it entirely, effective July 1, 2000. The PPB works with agencies that are having problems making timely contractor payments to increase the efficiency of their invoice and payment processing.

As evidenced in the data presentations below and in the separate chart which follows, since the introduction of the Prompt Payment Indicators in the Preliminary Fiscal 1996 Mayor's Management Report through Fiscal 1999, agencies, overall, had shown steady improvement in the timeliness of contractor payments. This trend, however, did not continue for Fiscal 2000 and was attributable to a number of systemic factors, some of which have continued into Fiscal 2001:

H. AGENCY PROCUREMENT

- As a result of the transition to a Y2K compliant City Financial Management System (FMS), the City in general and the agencies in particular needed to adapt to the protocols of the new system, and go through the normal learning curve experience which typically results in a temporary decrease in performance. This was the reason that Prompt Payment Indicator data was not able to be presented in the Preliminary Fiscal 2000 Mayor's Management Report.
- The PPB Rules were changed to reduce the "interest-free" grace period from 15 days to 7 days, effective January 1, 2000. This 8 day reduction in the grace period meant that interest began to accrue within 37 days of the required payment date rather than within 45 days. The Prompt Payment Indicators were further impacted in Fiscal 2001 when the grace period was eliminated in its entirety on July 1, 2000 and interest therefore began to accrue within just 30 days of the required payment date.

Agencies are continuing to successfully adapt to the use of FMS as well as to the elimination of the grace period, which in total reduced the "interest-free" payment period by 33%, from 45 days to 30 days. This is evidenced by the fact that the \$144,457 in interest paid during Fiscal 2001 represents a reduction of \$234,305 or 62% from the \$378,762 paid during Fiscal 2000. If performance for the first six months of Fiscal 2001 is calculated by applying the original 15 day grace period, which was in effect for the comparable July – December period in Fiscal 2000, and performance for the second six months of Fiscal 2001 is calculated by applying the subsequent 7 day grace period, which was in effect for the comparable January – June period in Fiscal 2000, the interest paid would decrease by \$21,796 to \$122,661, resulting in a reduction of \$256,101 or 68% compared to Fiscal 2000.

Trend in Prompt Payment Performance (a)(b)(c)

	Fiscal 1995	Fiscal 1996	Fiscal 1997	Fiscal 1998	Fiscal 1999	Fiscal 2000	Fiscal 2001
Invoice Dollar Value Paid on Time	84%	85%	91%	92%	95%	90%	98%
Invoice Dollar Value Paid by Grace Period	95%	96%	98%	99%	99%	98%	NA (d)
Number of Invoices Paid on Time	58%	60%	81%	81%	85%	78%	92%
Number of Invoices Paid by Grace Period	91%	88%	95%	94%	96%	91%	NA (d)
Interest Paid to Contractors	\$603,600	\$395,400	\$290,900	\$272,786	\$118,318	\$378,762 (e)	\$144,457 (f)

- (a) Figures are rounded to the nearest whole number.
- (b) Due to the factors cited above, prompt payment performance levels for Fiscal 2000 and Fiscal 2001 are not fully comparable to prior fiscal years.
- (c) Data for Fiscal 1995 and 1996 reflect data for all City agencies; data for Fiscal 1997, 1998, 1999, 2000 and 2001 reflect data for Mayoral operating agencies only. Data for Fiscal 2001 was provided by FISA on 8/28/01.
- (d) NA – Not Applicable since the grace period was eliminated effective July 1, 2000.
- (e) If performance for Fiscal 2000 is calculated by applying the original 15 day grace period, the amount of interest that would be paid to contractors would decrease by approximately \$24,000.
- (f) If performance for Fiscal 2001 is calculated by applying the original 15 day grace period, the amount of interest that would be paid to contractors would decrease by approximately \$25,486; if performance for Fiscal 2001 is calculated by applying the subsequent 7 day grace period, the amount of interest that would be paid to contractors would decrease by approximately \$11,436.

H. AGENCY PROCUREMENT

Contract Performance Evaluations and Defaulted Contracts

This section includes the following Contract Performance Evaluation Indicators for all Mayoral operating agencies for those contracts for which comprehensive, fully documented Evaluations of Contractor Performance were required to be completed (i.e., submitted and entered into the VENDEX System) for Fiscal 2001 (i.e., the contract expiration, completion or termination date, as applicable, or the last day of the twelve-month contract period, occurred between March 3, 2000 and March 2, 2001): Total Number of Contract Performance Evaluations which were Required to be Completed for Fiscal 2001; Number and Percent of Total Required Contract Performance Evaluations which were Completed; and Number and Percent of Total Contract Performance Evaluations Completed which were Rated as Unsatisfactory. Agency data are totaled to present an overall picture of contract performance evaluation. (Evaluations submitted outside of the 120-day period, described below, are also entered into the VENDEX System and included in the data reported below.) In addition, data, which was provided by the agencies, is presented on the number of contracts which were defaulted.

As required by the City Charter, the Administrative Code and the Procurement Policy Board (PPB) Rules, agencies are responsible for monitoring and evaluating the performance of all contractors. Pursuant to established Mayor's Office of Contracts procedures:

- Contract performance evaluations are required to be completed for the categories listed below only where vendor performance is unsatisfactory, in which case a Report of Unsatisfactory Vendor Performance (i.e., "Form 5") is to be filed for entry into the VENDEX System.
 - Procurements of goods by means of competitive sealed bidding.
 - Procurements at or below the small purchase limits set forth in the PPB Rules.
- Contract performance evaluations are required to be completed for all other procurements as follows:
 - A comprehensive, fully documented Evaluation of Contractor Performance (i.e., "Form 1, 2, 2S, 3 or 4", as applicable) is to be filed for entry into the VENDEX System within 120 days, prior in certain cases, or subsequent to the contract's expiration, completion or termination date.
 - In addition, for each such contract with an original or amended term of greater than 12 months, a comprehensive, fully documented Evaluation of Contractor Performance also is to be filed for entry into the VENDEX System within 120 days, prior in certain cases, or subsequent to the last day of each twelve-month period following the contract's effective date. (Where the interim and final performance evaluations are due within the same 120-day period, only one evaluation need be prepared.)
 - Agencies monitor and conduct performance evaluations in order to have the requisite information timely available for determining whether an existing contract should be renewed, continued, or terminated or whether a corrective action plan is needed to assure that the contractor fully complies with its contractual responsibilities. This is particularly relevant for the renewal of human service contracts, where evaluations may be conducted prior to the expiration/ anniversary date so that the results can be used to make the renewal determination.

The overall results from this Indicator, which are presented in the separate chart which follows, remain positive. Although the percentage of contracts for which performance evaluations were required and completed decreased from Fiscal 2000, the absolute number of contracts for which evaluations were completed increased from approximately 4,000 to almost 4,250.

H. AGENCY PROCUREMENT

Procurements Awarded (See Notes)

This section includes data on the number and total original maximum dollar amount of procurements awarded during the twelve-month period ending June 30, 2001, except as noted below, for all Mayoral operating agencies for all categories of contracts: goods, construction and/or construction-related services, human services and other. Agency data are totaled to present an overall picture of procurements awarded. However, the data presented, which are maintained in the City's Financial Management System (FMS), excludes small purchases, purchase orders, and the encumbrances imposed for multi-year contracts that had been awarded prior to Fiscal 2001. The data presented should therefore not be relied upon as a statement of the full level of goods, construction, and services actually funded by the City during Fiscal 2001.

Procurement Processing Cycle Times

This section includes data on the average number of calendar days that was required to process contracts awarded from "typical" procurements during the twelve-month period ending June 30, 2001 for all Mayoral operating agencies utilizing key methods of award (i.e., competitive sealed bids, competitive sealed proposals and negotiated acquisition). The data presented was provided by the agencies. Agency data are totaled to present an overall picture of procurement processing cycle times.

In order to meaningfully reflect the efficiency of the procurement process itself, processing cycle time is measured from the date that a solicitation was publicly released (i.e., the date on which the Invitation for Bids or Request for Proposals was issued; or, as applicable, the date on which a notice of intent to enter into negotiations was published in the City Record, or, where public notice was not required, the date on which vendors were solicited) through the date the procurement was completed by the agency (i.e., the date on which a contract was submitted to the Comptroller's Office for registration). The processing cycle time for contracts awarded from "atypical" procurements, for example, those that were substantially delayed due to litigation, court injunctions, vendor protests, vendor criminal investigations, adverse responsibility determinations or similarly aberrational circumstances, are excluded so that the average time calculations are representative of typical procurements.

The overall results from this Indicator, which are presented in the separate chart which follows, continue to be positive citywide regarding processing efficiencies for competitive sealed bids and negotiated acquisition. However, a single very large and complicated child welfare initiative undertaken by the Administration for Children Services (ACS) and a number of Department of Health (DOH) procurements for AIDS services and prevention that engendered complex and lengthy programmatic negotiations between DOH and the selected vendors had a profound impact on the City's overall average processing cycle time for competitive sealed proposals. If the processing cycle times for these ACS and DOH procurements are not considered, the City's overall average processing cycle time for competitive sealed proposals is approximately the same as in Fiscal 2000.

Competitiveness in Procurements (See Notes)

This section includes data reflecting the level of vendor competitiveness achieved by all Mayoral operating agencies for all contracts awarded by competitive sealed bids, competitive sealed proposals or negotiated acquisition*, and for construction and/or construction-related services contracts awarded by any method of award (e.g., competitive sealed bids, competitive sealed proposals, negotiated acquisition, sole source, renewal, line-item appropriation, etc.) during Fiscal 2001. The data, which are maintained in the City's Financial Management System (FMS), includes for each of these groupings: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded for which there were 3 or more Responses; and Dollar Value and Percent of Total Dollar Value of Contracts Awarded for which there were 3 or more Responses. Agency data are totaled to present an overall picture of vendor competitiveness. (*Excludes contracts for which negotiated acquisition was used to either extend an existing contract for a limited period of time, or to continue a multi-phase construction-related services contract for an ongoing complex construction project. Under these circumstances, the Procurement Policy Board Rules contemplate conducting negotiations with only the incumbent contractor.)

H. AGENCY PROCUREMENT

The overall results from this Indicator, which are presented in the separate charts which follow, continue to show a robust level of vendor competitiveness.

Performance-Based Contracting

Traditionally, City contracts have concentrated on operational details (e.g., how many contractor employees at what salary), which can be quite extensive, taking up many pages of the contract. This approach diverts the focus of both the contracting City agency and the contractor from the real issues: "What are the City contracting agency's goals and are they being achieved by the contractor?" Under performance-based contracting, the emphasis is shifted from the means employed by a contractor to reach desired goals, to whether the agency achieved its goals by contracting with the contractor. Correspondingly, the payment structure for performance-based contracts rewards contractors that achieve the agency's goals rather than just reimbursing them for expenditures made. An additional benefit of performance-based contracting is that as the City changes its focus from the means of performance to the outcome produced, the City's costs for managing contracts will decrease, while the quality of services will increase.

Typically, the critical question in determining if a contract is performance-based is whether the contract provides the contractor with financial incentives and/or disincentives that are tied to the goals that the agency is seeking to achieve through the contract. Thus, a contract is considered to be performance-based if it incorporates one or more of the following payment methods in whole or in large part:

- Performance-based outcome measures and related financial incentives and/or disincentives (e.g., the contractor would complete a construction project by a prescribed date but would receive a 10% bonus for completing it substantially ahead of schedule; the contractor would maintain a 95% occupancy level in an SRO but would be assessed a 2% penalty if that level was not maintained).
- Unit payments tied to outcomes (e.g., the contractor would receive a prescribed fee per foot of road reconstructed).
- Milestone payments tied to outcomes (e.g., the contractor would receive a prescribed payment when a client is placed in employment and another payment when the client has been retained in employment for 90 days).
- Liquidated damages tied to outcomes (e.g., the contractor would be penalized \$100 per day for each day that an audit report is not submitted by the prescribed due date).

This Indicator includes data reflecting the level of performance-based contracting for all Mayoral operating agencies for new contracts awarded for services and construction during Fiscal 2001. The data presented, which has been provided by the agencies, includes: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded which were Performance-Based; and Dollar Value and Percent of Total Dollar Value of Contracts Awarded which were Performance-Based.

The results from this Indicator, which are presented in the separate chart which follows, reflect positive progress in this emerging area. Although almost 5% fewer services and construction contracts were awarded in Fiscal 2001 than in Fiscal 2000, the number of these contracts that were performance based increased in Fiscal 2001 by 10% to 57%. Likewise, performance based contracts reflected 82% of the total dollar value of services and construction contracts awarded in Fiscal 2001 versus 75% in Fiscal

H. AGENCY PROCUREMENT

2000, an increase of 7%. In terms of real dollars, the value of performance based contracts increased in Fiscal 2001 to \$5.7 billion versus \$3.8 billion in Fiscal 2000.

Retroactive Contracts (See Notes)

This section includes data reflecting the level of contract retroactivity (i.e., contracts which started prior to the completion of the procurement process, that is, the date on which a contract was registered by the Comptroller's Office*) for all Mayoral operating agencies for all new and renewal contracts, except emergency contracts and accelerated procurement contracts, awarded during Fiscal 2001. The data presented, which are maintained in the City's Financial Management System (FMS), includes: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded which were Retroactive; Dollar Value and Percent of Total Dollar Value of Contracts Awarded which were Retroactive; and Average Number of Days that Elapsed Between the Contract Start Date and the Contract Registration Date. (*The date on which a contract was formally registered by the Comptroller's Office may be after the Charter legal registration date and, therefore, the contract may not be retroactive at all or may be less retroactive than reported.)

The results from this Indicator, which are presented in the separate chart which follows, reflect positive progress in this vital area. In addition, although not specifically reflected in the chart, it should be noted that strenuous efforts on the part of the human services agencies have resulted in a dramatic increase in both the number and the dollar value of human/client services contracts that are registered timely (i.e., on or before the contract start date), as indicated by the following data: of contracts with a July 1, 2000 start date, only 79 valued at \$63 million were registered timely; of contracts with a July 1, 2001 start date, 177 – or approximately 125% more than those with a July 1, 2000 start date - valued at over \$135 million were registered timely; and of the contracts with a July 1, 2002 start date, 461 – or almost 500% more than those with a July 1, 2000 start date - valued at over \$623 million were registered timely.

Given the importance of timely contracts to the City's procurement process, the Mayor's Office of Contracts (MOC) has been working with the agencies, and will continue to do so, in implementing the following approach to improve agency performance in this new area:

- Agencies with low performance rates develop corrective action plans.
- MOC provides agencies with regular status reports about their retroactive contracts so that progress can be monitored on an ongoing basis.

Agency Procurement Actions (See Notes)

Section 12c(5) of the Charter requires that the Mayor's Management Report include for each agency a summary of the contracts entered into during the previous fiscal year (e.g., Fiscal 2001), categorized by the method of award used and the dollar value. This information is maintained in the City's Financial Management System (FMS) and is presented in the separate chart which follows. It should be noted, however, that the manner in which contracting data are maintained in FMS precludes analysis or meaningful conclusions about contracting trends from use of that database alone. For example, FMS reflects the total dollar amount of a contract in whatever year the contract was registered. Thus, depending upon when particular multi-year contracts expire and need to be re-let, there will be an increase in contracting activity reflected in FMS for the year in which the new multi-year contracts are registered, with no contracting activity reflected during the intervening years even though the goods, services or construction provided for in these contracts continue to be funded and provided.

H. AGENCY PROCUREMENT

Notes:

- Information is from the City's Financial Management System (FMS) and reflects updates by the agencies.
- For all Indicators other than for retroactive contracts, contracts are included if the Contract ID includes the year 2001 and the Start Date is on or after 7/1/00. For retroactive contracts, contracts are included if the contract was registered between 7/2/00 and 7/1/01, inclusive.
- Small Purchase procurements are excluded.
- To exclude purchase orders from New York State requirements contracts while retaining contracts with other governments, contracts with Award Method 25 for "Intergovernmental Purchases" are excluded unless the Enterprise Information Number (EIN) of the contractor appears on a Mayor's Office of Contracts table of Government EINs.
- HPD: Certain HPD contracts showing NYCHA as the contractor were excluded because they are not procurements.
- All contract assignments were excluded, as these are not procurements, but a change in contractor pursuant to the terms of the original contract.
- Force Accounts were excluded because they are not procurements.
- Contracts that are payments to landlords pursuant to leases were excluded as being real property transactions, not procurements.

H. AGENCY PROCUREMENT

PROMPT PAYMENT Fiscal 2001

Agency	Invoice Value Paid On Time	Invoices Paid On Time	Interest Paid to Contractors
Police Department	88%	89%	\$39,592
Fire Department	97%	95%	\$3,013
Department of Correction	91%	92%	\$26,429
Department of Probation	100%	100%	\$156
Department of Juvenile Justice	94%	95%	\$1,941
Department of Transportation	94%	72%	\$3,129
Department of Environmental Protection	98%	99%	\$614
Department of Housing Preservation & Development	95%	94%	\$4,581
Department of Design and Construction	99%	99%	\$800
Department of Sanitation	99%	99%	\$50
Department of Parks and Recreation	95%	84%	\$7,866
Landmarks Preservation Commission	99%	98%	\$73
Department of Business Services	92%	99%	\$0
Department of Cultural Affairs	99%	99%	\$996
Department of Health/Office of the Chief Medical Examiner	99%	93%	\$322
Department of Mental Health	99%	100%	\$29
Human Resources Administration	100%	98%	\$9,150
Administration for Children's Services	97%	92%	\$7,314
Department of Homeless Services	94%	78%	\$36,194
Department of Employment	99%	99%	\$0
Department of Youth and Community Development	97%	96%	\$180
Department for the Aging	99%	99%	\$364
Department of Consumer Affairs	98%	99%	\$388
Department of Buildings	100%	100%	\$0
Taxi and Limousine Commission	100%	100%	\$0
Law Department	90%	96%	\$43
Department of Finance	97%	96%	\$217
Department of Citywide Administrative Services	95%	89%	\$773
Department of City Planning	99%	98%	\$47
Department of Records and Information Services	100%	99%	\$0
Department of Information Technology & Telecommunications	91%	85%	\$196
Commission on Human Rights	94%	99%	\$0
Department of Investigation	100%	100%	\$0
Total	98%	92%	\$144,457

H. AGENCY PROCUREMENT

CONTRACT PERFORMANCE EVALUATIONS* & DEFAULTED CONTRACTS Fiscal 2001

Agency	Total # of Contract Performance Evaluations Which Were Required to be Completed for Fiscal 2001	# and % of Total Required Contract Performance Evaluations Which Were Completed		# and % of Total Contract Performance Evaluations Completed Which Were Rated as Unsatisfactory		Number of Contracts Defaulted
		Quantity	Percent	Quantity	Percent	
Police Department	51	49	96%	0	0%	0
Fire Department	75	70	93%	3	4%	0
Department of Correction	38	35	92%	1	3%	0
Department of Probation	23	23	100%	0	0%	0
Department of Juvenile Justice	12	11	92%	0	0%	0
Civilian Complaint Review Board	0	NA	NA	NA	NA	0
Department of Transportation	317	305	96%	6	2%	1
Department of Environmental Protection	349	269	77%	9	3%	2
Department of Housing Preservation & Development	238	227	95%	9	4%	0
Department of Design & Construction	379	333	88%	10	3%	12
Department of Sanitation	117	33	28%	0	0%	1
Department of Parks & Recreation	299	219	73%	5	2%	3
Landmarks Preservation Commission	0	NA	NA	NA	NA	0
Department of Business Services	2	1	50%	0	0%	0
Department of Cultural Affairs	3	3	100%	0	0%	0
Department of Health	175	151	86%	1	1%	0
Department of Mental Health, Mental Retardation and Alcoholism Services	339	277	82%	0	0%	0
Human Resources Administration	316	190	60%	2	1%	0
Administration for Children's Services	825	238	29%	0	0%	0
Department of Homeless Services	237	214	90%	1	0%	0
Department of Employment	149	110	74%	3	3%	0
Department of Youth & Community Development	862	779	90%	1	0%	0
Department for the Aging	533	472	89%	3	1%	0

H. AGENCY PROCUREMENT

CONTRACT PERFORMANCE EVALUATIONS* & DEFAULTED CONTRACTS Fiscal 2001

Agency	Total # of Contract Performance Evaluations Which Were Required to be Completed for Fiscal 2001	# and % of Total Required Contract Performance Evaluations Which Were Completed		# and % of Total Contract Performance Evaluations Completed Which Were Rated as Unsatisfactory		Number of Contracts Defaulted
		Quantity	Percent	Quantity	Percent	
Department of Consumer Affairs	0	NA	NA	NA	NA	0
Department of Buildings	1	1	100%	0	0%	0
Taxi & Limousine Commission	4	4	100%	0	0%	0
Law Department	27	20	74%	0	0%	0
Department of Finance	40	27	68%	1	4%	0
Department of Citywide Administrative Services	123	119	97%	2	2%	5
Department of City Planning	1	1	100%	1	100%	0
Department of Records and Information Services	0	NA	NA	NA	NA	0
Department of Information Technology & Telecommunications	80	65	81%	0	0%	0
City Commission on Human Rights	0	NA	NA	NA	NA	0
Department of Investigation	0	NA	NA	NA	NA	0
City Civil Service Commission	0	NA	NA	NA	NA	0
TOTAL	5,615	4,246	76%	58	1%	24

* Includes contracts for which comprehensive, fully documented Evaluations of Contractor Performance were required to be completed.

H. AGENCY PROCUREMENT

PROCUREMENTS AWARDED* NUMBER OF AWARDS AND DOLLAR AMOUNTS Fiscal 2001

Agency	Contracts For:				TOTAL	
	Goods	Construction and/or Construction-Related Services	Human Services	Other		
Police Department	Quantity	0	9	1	21	31
	Value	\$0	\$8,399,360	\$1,008,000	\$20,855,380	\$30,262,740
Fire Department	Quantity	0	0	0	24	24
	Value	\$0	\$0	\$0	\$50,004,325	\$50,004,325
Department of Correction	Quantity	0	7	6	17	30
	Value	\$0	\$1,939,084	\$8,439,783	\$8,739,312	\$19,118,179
Department of Probation	Quantity	0	0	6	3	9
	Value	\$0	\$0	\$1,304,792	\$1,510,864	\$2,815,656
Department of Juvenile Justice	Quantity	0	0	8	2	10
	Value	\$0	\$0	\$13,350,000	\$128,000	\$13,478,000
Civilian Complaint Review Board	Quantity	0	0	0	0	0
	Value	\$0	\$0	\$0	\$0	\$0
Department of Transportation	Quantity	0	46	0	138	184
	Value	\$0	\$530,134,339	\$0	\$82,493,398	\$612,627,737
Department of Environmental Protection	Quantity	0	97	0	99	196
	Value	\$0	\$1,114,265,886	\$0	\$197,142,009	\$1,311,407,894
Department of Design & Construction	Quantity	0	215	0	25	240
	Value	\$0	\$809,502,711	\$0	\$96,046,820	\$905,549,531
Department of Housing Preservation & Development	Quantity	0	83	84	30	197
	Value	\$0	\$24,737,069	\$14,044,401	\$71,198,527	\$109,979,997
Department of Sanitation	Quantity	0	24	0	25	49
	Value	\$0	\$40,394,041	\$0	\$451,120,060	\$491,514,101
Department of Parks & Recreation	Quantity	0	272	2	28	302
	Value	\$0	\$221,311,578	\$175,000	\$12,654,948	\$234,141,526
Landmarks Preservation Commission	Quantity	0	0	0	0	0
	Value	\$0	\$0	\$0	\$0	\$0
Department of Business Services	Quantity	0	2	0	5	7
	Value	\$0	\$19,424,000	\$0	\$748,706,134	\$768,130,134
Department of Cultural Affairs	Quantity	0	0	0	1	1
	Value	\$0	\$0	\$0	\$200,000	\$200,000
Department of Health	Quantity	0	2	61	4	67
	Value	\$0	\$12,515,604	\$339,394,752	\$964,689	\$352,875,045
Department of Mental Health, Mental Retardation and Alcoholism Services	Quantity	0	0	147	12	159
	Value	\$0	\$0	\$177,061,424	\$2,061,487	\$179,122,911
Human Resources Administration	Quantity	0	3	59	33	95
	Value	\$0	\$4,604,682	\$151,166,493	\$96,232,659	\$252,003,834

H. AGENCY PROCUREMENT

PROCUREMENTS AWARDED* NUMBER OF AWARDS AND DOLLAR AMOUNTS Fiscal 2001

Agency	Contracts For:				TOTAL	
	Goods	Construction and/or Construction-Related Services	Human Services	Other		
Administration for Children's Services	Quantity	0	0	240	36	276
	Value	\$0	\$0	\$1,316,595,934	\$33,836,366	\$1,350,432,300
Department of Homeless Services	Quantity	0	16	52	19	87
	Value	\$0	\$6,610,840	\$315,927,104	\$28,410,915	\$350,948,859
Department of Employment	Quantity	0	0	40	2	42
	Value	\$0	0	\$15,957,237	\$147,594	\$16,104,831
Department of Youth & Community Development	Quantity	0	0	523	0	523
	Value	\$0	0	\$79,821,732	\$0	\$79,821,732
Department for the Aging	Quantity	14	3	344	28	389
	Value	\$645,375	\$507,000	\$205,131,646	\$2,958,574	\$209,242,595
Department of Buildings	Quantity	0	0	0	1	1
	Value	\$0	0	\$0	\$1,162,350	\$1,162,350
Taxi & Limousine Commission	Quantity	0	0	0	5	5
	Value	\$0	0	\$0	\$690,300	\$690,300
Department of Consumer Affairs	Quantity	0	0	0	0	0
	Value	\$0	0	\$0	\$0	\$0
Law Department	Quantity	0	0	0	65	65
	Value	\$0	0	\$0	\$16,374,625	\$16,374,625
Department of Finance	Quantity	0	0	0	8	8
	Value	\$0	0	\$0	\$111,307,542	\$111,307,542
Department of Citywide Administrative Services	Quantity	904	33	0	22	959
	Value	\$546,322,203	\$62,299,523	\$0	\$15,689,231	\$624,310,956
Department of City Planning	Quantity	0	0	0	1	1
	Value	\$0	0	\$0	\$49,500	\$49,500
Department of Records and Information Services	Quantity	0	0	0	0	0
	Value	\$0	0	\$0	\$0	\$0
Department of Information Technology & Telecommunications	Quantity	2	0	0	24	26
	Value	\$2,000,000	\$0	\$0	\$51,071,634	\$53,071,634
City Commission on Human Rights	Quantity	0	0	0	0	0
	Value	\$0	0	\$0	\$0	\$0
Department of Investigation	Quantity	0	0	0	0	0
	Value	\$0	0	\$0	\$0	\$0
City Civil Service Commission	Quantity	0	0	0	0	0
	Value	\$0	0	\$0	\$0	\$0
TOTAL	Quantity	920	812	1,573	678	3,983
	Value	\$548,967,578	\$2,856,645,715	\$2,639,378,298	\$2,101,757,243	\$8,146,748,833

* Excludes small purchases, purchase orders, and the encumbrances imposed for multi-year contracts that had been awarded prior to Fiscal 2001.

H. AGENCY PROCUREMENT

PROCUREMENT PROCESSING CYCLE TIMES AVERAGE NUMBER OF CALENDAR DAYS TO PROCESS CONTRACTS Fiscal 2001

Agency	Contracts Awarded via Competitive Sealed Bids		Contracts Awarded via Competitive Sealed Proposals		Contracts Awarded via Negotiated Acquisition	
	Contracts	Average Days	Contracts	Average Days	Contracts	Average Days
Police Department	15	125	3	197	3	225
Fire Department	24	114	0	0	0	0
Department of Correction	9	195	0	0	0	0
Department of Probation	0	0	0	0	0	0
Department of Juvenile Justice	0	0	0	0	3	273
Civilian Complaint Review Board	0	0	0	0	0	0
Department of Transportation	72	140	8	465	1	220
Department of Environmental Protection	90	170	13	422	2	347
Department of Housing Preservation and Development	32	116	9	194	0	0
Department of Design and Construction	102	89	39	260	1	322
Department of Sanitation	19	145	2	375	0	0
Department of Parks and Recreation	193	86	10	404	0	0
Landmarks Preservation Commission	0	0	0	0	0	0
Department of Business Services	0	0	0	0	0	0
Department of Cultural Affairs	0	0	0	0	0	0
Department of Health/Office of the Chief Medical Examiner	8	139	25	542	4	379
Department of Mental Health, Mental Retardation and Alcoholism Services	0	0	9	321	39	348
Human Resources Administration	16	112	11	486	25	167
Administration for Children's Services	42	175	145	501	207	64
Department of Homeless Services	25	131	6	310	11	203
Department of Employment	2	50	34	297	12	158

H. AGENCY PROCUREMENT

PROCUREMENT PROCESSING CYCLE TIMES AVERAGE NUMBER OF CALENDAR DAYS TO PROCESS CONTRACTS Fiscal 2001

Agency	Contracts Awarded via Competitive Sealed Bids		Contracts Awarded via Competitive Sealed Proposals		Contracts Awarded via Negotiated Acquisition	
	Contracts	Average Days	Contracts	Average Days	Contracts	Average Days
Department of Youth and Community Development	0	0	12	308	50	123
Department for the Aging	0	0	112	153	0	0
Department of Consumer Affairs	0	0	0	0	0	0
Department of Buildings	1	240	0	0	0	0
Taxi and Limousine Commission	3	50	1	270	0	0
Law Department	4	96	0	0	135	208
Department of Finance	6	120	0	0	0	0
Department of Citywide Administrative Services	1,073	59	3	408	0	0
Department of City Planning	0	0	1	155	0	0
Department of Records and Information Services	0	0	0	0	0	0
Department of Information Technology and Telecommunications	11	126	1	300	1	330
City Commission on Human Rights	0	0	0	0	0	0
Department of Investigation	0	0	0	0	0	0
Civil Service Commission	0	0	0	0	0	0
TOTAL CONTRACTS/OVERALL AVERAGE DAYS	1,747	82	444	351	494	150

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS AWARDED BY COMPETITIVE SEALED BID Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Police Department	23	\$14,799,674	15	65%	\$11,855,864	80%
Fire Department	24	\$50,004,325	15	63%	\$46,552,252	93%
Department of Correction	12	\$4,571,593	5	42%	\$1,266,111	28%
Department of Probation	0	\$0	NA	NA	NA	NA
Department of Juvenile Justice	1	\$63,000	0	0%	\$0	0%
Civilian Complaint Review Board	0	\$0	NA	NA	NA	NA
Department of Transportation	102	\$493,680,047	86	84%	\$450,155,283	91%
Department of Environmental Protection	114	\$1,113,850,423	93	82%	\$1,085,610,895	97%
Department of Housing Preservation & Development	39	\$76,691,977	28	72%	\$9,329,189	12%
Department of Design & Construction	155	\$398,263,483	146	94%	\$387,643,023	97%
Department of Sanitation	32	\$450,518,009	27	84%	\$438,922,792	97%
Department of Parks & Recreation	230	\$161,430,522	213	93%	\$155,114,913	96%
Landmarks Preservation Commission	0	\$0	NA	NA	NA	NA
Department of Business Services	0	\$0	NA	NA	NA	NA
Department of Cultural Affairs	0	\$0	NA	NA	NA	NA
Department of Health	14	\$11,823,866	3	21%	\$554,056	5%
Department of Mental Health, Mental Retardation and Alcoholism Services	0	\$0	NA	NA	NA	NA
Human Resources Administration	17	\$79,410,794	15	88%	\$78,306,173	99%
Administration for Children's Services	28	\$28,359,474	14	50%	\$12,139,749	43%
Department of Homeless Services	25	\$29,818,147	15	60%	\$7,088,268	24%
Department of Employment	2	\$147,594	0	0%	\$0	0%
Department of Youth & Community Development	0	\$0	NA	NA	NA	NA

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS AWARDED BY COMPETITIVE SEALED BID Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Department for the Aging	4	\$1,646,482	3	75%	\$651,250	40%
Department of Buildings	1	\$1,162,350	1	100%	\$1,162,350	100%
Taxi & Limousine Commission	3	\$111,096	2	67%	\$60,096	54%
Department of Consumer Affairs	0	\$0	NA	NA	NA	NA
Law Department	4	\$852,365	4	100%	\$852,365	100%
Department of Finance	6	\$7,424,060	3	50%	\$5,567,568	75%
Department of Citywide Administrative Services	891	\$541,862,741	844	95%	\$522,125,417	96%
Department of City Planning	0	\$0	NA	NA	NA	NA
Department of Records and Information Services	0	\$0	NA	NA	NA	NA
Department of Information Technology & Telecommunications	11	\$29,731,856	6	55%	\$2,037,932	7%
City Commission on Human Rights	0	\$0	NA	NA	NA	NA
Department of Investigation	0	\$0	NA	NA	NA	NA
City Civil Service Commission	0	\$0	NA	NA	NA	NA
TOTAL	1,738	\$3,496,223,876	1,538	88%	\$3,216,995,547	92%

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS AWARDED BY COMPETITIVE SEALED PROPOSAL Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Police Department	3	\$1,819,200	0	0%	\$0	0%
Fire Department	0	\$0	NA	NA	NA	NA
Department of Correction	1	\$5,200,000	1	100%	\$5,200,000	100%
Department of Probation	0	\$0	NA	NA	NA	NA
Department of Juvenile Justice	0	\$0	NA	NA	NA	NA
Civilian Complaint Review Board	0	\$0	NA	NA	NA	NA
Department of Transportation	12	\$82,338,572	12	100%	\$82,338,572	100%
Department of Environmental Protection	21	\$54,439,074	16	76%	\$38,773,986	71%
Department of Housing Preservation & Development	54	\$10,289,782	54	100%	\$10,289,782	100%
Department of Design & Construction	59	\$204,423,334	45	76%	\$160,768,534	79%
Department of Sanitation	4	\$5,484,244	4	100%	\$5,484,244	100%
Department of Parks & Recreation	12	\$37,308,875	12	100%	\$37,308,875	100%
Landmarks Preservation Commission	0	\$0	NA	NA	NA	NA
Department of Business Services	0	\$0	NA	NA	NA	NA
Department of Cultural Affairs	0	\$0	NA	NA	NA	NA
Department of Health	12	\$166,129,657	8	67%	\$163,239,316	98%
Department of Mental Health, Mental Retardation and Alcoholism Services	14	\$6,915,387	0	0%	\$0	0%
Human Resources Administration	26	\$49,473,491	5	19%	\$11,205,862	23%
Administration for Children's Services	72	\$774,434,490	70	97%	\$772,101,635	100%
Department of Homeless Services	6	\$26,344,828	5	83%	\$22,486,060	85%
Department of Employment	0	\$0	NA	NA	NA	NA
Department of Youth & Community Development	296	\$22,910,593	284	96%	\$16,660,774	73%

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS AWARDED BY COMPETITIVE SEALED PROPOSAL Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Department for the Aging	88	\$65,109,689	30	34%	\$17,044,866	26%
Department of Consumer Affairs	0	\$0	NA	NA	NA	NA
Department of Buildings	0	\$0	NA	NA	NA	NA
Taxi & Limousine Commission	1	\$283,483	1	100%	\$283,483	100%
Law Department	0	\$0	NA	NA	NA	NA
Department of Finance	1	\$71,141,671	0	0%	\$0	0%
Department of Citywide Administrative Services	7	\$33,085,986	7	100%	\$33,085,986	100%
Department of City Planning	1	\$49,500	1	100%	\$49,500	100%
Department of Records and Information Services	0	\$0	NA	NA	NA	NA
Department of Information Technology & Telecommunications	2	\$6,223,341	2	100%	\$6,223,341	100%
City Commission on Human Rights	0	\$0	NA	NA	NA	NA
Department of Investigation	0	\$0	NA	NA	NA	NA
City Civil Service Commission	0	\$0	NA	NA	NA	NA
TOTAL	692	\$1,623,405,197	557	80%	\$1,382,544,816	85%

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS AWARDED BY COMPETITIVE NEGOTIATED ACQUISITION* Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Police Department	3	\$11,550,000	3	100%	\$11,550,000	100%
Fire Department	0	\$0	NA	NA	NA	NA
Department of Correction	1	\$134,440	1	100%	\$134,440	100%
Department of Probation	0	\$0	NA	NA	NA	NA
Department of Juvenile Justice	1	\$800,000	1	100%	\$800,000	100%
Civilian Complaint Review Board	0	\$0	NA	NA	NA	NA
Department of Transportation	1	\$1,373,087	1	100%	\$1,373,087	100%
Department of Environmental Protection	2	\$628,252	0	0%	\$0	0%
Department of Housing Preservation & Development	2	\$37,250	0	0%	\$0	0%
Department of Design & Construction	6	\$174,276,142	6	100%	\$174,276,142	100%
Department of Sanitation	0	\$0	NA	NA	NA	NA
Department of Parks & Recreation	0	\$0	NA	NA	NA	NA
Landmarks Preservation Commission	0	\$0	NA	NA	NA	NA
Department of Business Services	0	\$0	NA	NA	NA	NA
Department of Cultural Affairs	0	\$0	NA	NA	NA	NA
Department of Health	1	\$986,000	0	0%	\$0	0%
Department of Mental Health, Mental Retardation, and Alcoholism Services	22	\$11,057,089	17	77%	\$8,319,292	75%
Human Resources Administration	3	\$56,544,930	3	100%	\$56,544,930	100%
Administration for Children's Services	4	\$6,772,715	3	75%	\$5,922,715	87%
Department of Homeless Services	1	\$175,902,570	1	100%	\$175,902,570	100%
Department of Employment	0	\$0	NA	NA	NA	NA
Department of Youth & Community Development	1	\$2,693,902	1	100%	\$2,693,902	100%

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS AWARDED BY COMPETITIVE NEGOTIATED ACQUISITION* Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Department for the Aging	0	\$0	NA	NA	NA	NA
Department of Consumer Affairs	0	\$0	NA	NA	NA	NA
Department of Buildings	0	\$0	NA	NA	NA	NA
Taxi & Limousine Commission	0	\$0	NA	NA	NA	NA
Law Department	55	\$3,120,141	0	0%	\$0	0%
Department of Finance	0	\$0	NA	NA	NA	NA
Department of Citywide Administrative Services	1	\$340,750	0	0%	\$0	0%
Department of City Planning	0	\$0	NA	NA	NA	NA
Department of Records and Information Services	0	\$0	NA	NA	NA	NA
Department of Information Technology & Telecommunications	1	\$1,500,000	0	0%	\$0	0%
City Commission on Human Rights	0	\$0	NA	NA	NA	NA
Department of Investigation	0	\$0	NA	NA	NA	NA
City Civil Service Commission	0	\$0	NA	NA	NA	NA
TOTAL	105	\$447,717,268	37	35%	\$437,517,078	98%

*Excludes 109 contracts with a value of \$99,650,993 for which negotiated acquisition was used either to extend an existing contract for a limited period of time or to continue a multi-phase construction-related contract for an on-going complex construction project. Under these circumstances, the PPB Rules contemplate negotiations with only the incumbent contractor.

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS FOR CONSTRUCTION AND/OR CONSTRUCTION-RELATED SERVICES AWARDED BY ANY METHOD OF AWARD Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Police Department	9	\$8,399,360	8	89%	\$8,320,910	99%
Fire Department	0	\$0	NA	NA	NA	NA
Department of Correction	7	\$1,939,084	3	43%	\$1,081,671	56%
Department of Probation	0	\$0	NA	NA	NA	NA
Department of Juvenile Justice	0	\$0	NA	NA	NA	NA
Civilian Complaint Review Board	0	\$0	NA	NA	NA	NA
Department of Transportation	46	\$530,134,339	34	74%	\$481,094,299	91%
Department of Environmental Protection	97	\$1,114,265,886	75	77%	\$1,068,963,827	96%
Department of Housing Preservation & Development	83	\$24,737,069	57	69%	\$13,142,124	53%
Department of Design & Construction	220	\$843,728,600	188	85%	\$696,365,455	83%
Department of Sanitation	24	\$40,394,041	19	79%	\$39,745,974	98%
Department of Parks & Recreation	272	\$221,311,578	220	81%	\$187,946,724	85%
Landmarks Preservation Commission	0	\$0	NA	NA	NA	NA
Department of Business Services	2	\$19,424,000	0	0%	\$0	0%
Department of Cultural Affairs	0	\$0	NA	NA	NA	NA
Department of Health	2	\$12,515,604	0	0%	\$0	0%
Department of Mental Health, Mental Retardation and Alcoholism Services	0	\$0	NA	NA	NA	NA
Human Resources Administration	3	\$4,604,682	3	100%	\$4,604,682	100%
Administration for Children's Services	0	\$0	NA	NA	NA	NA
Department of Homeless Services	16	\$6,610,840	9	56%	\$5,030,380	76%
Department of Employment	0	\$0	NA	NA	NA	NA

H. AGENCY PROCUREMENT

COMPETITIVENESS IN PROCUREMENTS: CONTRACTS FOR CONSTRUCTION AND/OR CONSTRUCTION-RELATED SERVICES AWARDED BY ANY METHOD OF AWARD Fiscal 2001

A G E N C Y	Total Contracts	Total Value	Contracts Awarded with 3 or More Responses			
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value
Department of Youth & Community Development	0	\$0	NA	NA	NA	NA
Department for the Aging	3	\$507,000	0	0%	\$0	0%
Department of Consumer Affairs	0	\$0	NA	NA	NA	NA
Department of Buildings	0	\$0	NA	NA	NA	NA
Taxi & Limousine Commission	0	\$0	NA	NA	NA	NA
Law Department	0	\$0	NA	NA	NA	NA
Department of Finance	0	\$0	NA	NA	NA	NA
Department of Citywide Administrative Services	33	\$62,299,523	30	91%	\$61,231,773	98%
Department of City Planning	0	\$0	NA	NA	NA	NA
Department of Records and Information Services	0	\$0	NA	NA	NA	NA
Department of Information Technology & Telecommunications	0	\$0	NA	NA	NA	NA
City Commission on Human Rights	0	\$0	NA	NA	NA	NA
Department of Investigation	0	\$0	NA	NA	NA	NA
City Civil Service Commission	0	\$0	NA	NA	NA	NA
TOTAL	817	\$2,890,871,604	646	79%	\$2,567,527,818	89%

H. AGENCY PROCUREMENT

PERFORMANCE-BASED CONTRACTING

Fiscal 2001

Agency	Service and Construction Contracts Awarded					
	Total Number	Number and Percent Performance- Based		Total Dollar Value	Dollar Value and Percent Performance- Based	
		Quantity	Percent		Value	Percent
Police Department	29	28	97%	\$33,962,767	\$32,954,767	97%
Fire Department	25	25	100%	\$50,391,669	\$50,391,669	100%
Department of Correction	16	5	31%	\$21,406,743	\$5,520,000	26%
Department of Probation	3	3	100%	\$502,056	\$502,056	100%
Department of Juvenile Justice	3	3	100%	\$760,000	\$760,000	100%
Civilian Complaint Review Board	0	0	0%	\$0	\$0	0%
Department of Transportation	104	89	86%	\$565,717,797	\$493,212,275	87%
Department of Environmental Protection	120	120	100%	\$1,103,772,250	\$1,103,772,250	100%
Department of Housing Preservation and Development	163	147	90%	\$35,732,263	\$28,134,583	79%
Department of Design and Construction	258	187	72%	\$1,014,000,000	\$724,782,117	71%
Department of Sanitation	65	65	100%	\$583,329,325	\$583,329,325	100%
Department of Parks and Recreation	243	226	93%	\$185,000,000	\$179,000,000	97%
Landmarks Preservation Commission	0	0	0%	\$0	\$0	0%
Department of Business Services	0	0	0%	\$0	\$0	0%
Department of Cultural Affairs	0	0	0%	\$0	\$0	0%
Department of Health/Office of the Chief Medical Examiner	135	60	44%	\$408,272,376	\$71,283,840	17%
Department of Mental Health, Mental Retardation and Alcoholism Services	52	27	52%	\$55,696,661	\$16,204,849	29%
Human Resources Administration	136	136	100%	\$428,724,485	\$428,724,485	100%
Administration for Children's Services	396	195	49%	\$1,583,620,672	\$1,296,429,856	82%
Department of Homeless Services	97	97	100%	\$361,085,207	\$361,085,207	100%
Department of Employment	48	46	96%	\$69,053,964	\$68,906,370	100%
Department of Youth and Community Development	517	14	3%	\$60,143,993	\$34,663,012	58%
Department for the Aging	112	0	0%	\$123,928,082	\$0	0%

H. AGENCY PROCUREMENT

PERFORMANCE-BASED CONTRACTING

Fiscal 2001

Agency	Service and Construction Contracts Awarded					
	Total Number	Number and Percent Performance- Based		Total Dollar Value	Dollar Value and Percent Performance- Based	
		Quantity	Percent		Value	Percent
Department of Consumer Affairs	0	0	0%	\$0	\$0	0%
Department of Buildings	1	1	100%	\$1,162,300	\$1,162,300	100%
Taxi and Limousine Commission	4	0	0%	\$639,300	\$0	0%
Law Department	139	27	19%	\$9,290,524	\$2,601,865	28%
Department of Finance	6	1	17%	\$78,024,016	\$71,141,671	91%
Department of Citywide Administrative Services*	51	40	78%	\$76,838,717	\$59,834,877	78%
Department of City Planning	1	1	100%	\$50,000	\$50,000	100%
Department of Records and Information Services	0	0	0%	\$0	\$0	0%
Department of Information Technology and Telecommunications	13	13	100%	\$39,533,181	\$39,533,181	100%
City Commission on Human Rights	0	0	0%	\$0	\$0	0%
Department of Investigation	0	0	0%	\$0	\$0	0%
Civil Service Commission	0	0	0%	\$0	\$0	0%
TOTAL	2,737	1,556	57%	\$6,890,638,348	\$5,653,980,555	82%

*Excludes contracts awarded by the Department of Citywide Administrative Services/Division of Municipal Supplies.

H. AGENCY PROCUREMENT

RETROACTIVE CONTRACTS* CONTRACTS WITH A START DATE ON OR BEFORE THEIR REGISTRATION DATE Fiscal 2001

AGENCY	Total Contracts	Total Value	Retroactive Contracts				
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value	Avg. Days Retro- active
Police Department	29	\$29,540,276	1	3%	\$64,750	0%	55
Fire Department	25	\$53,383,911	5	20%	\$17,558,006	33%	29
Department of Correction	29	\$19,023,539	18	62%	\$14,246,536	75%	73
Department of Probation	9	\$2,815,656	9	100%	\$2,815,656	100%	101
Department of Juvenile Justice	10	\$13,478,000	9	90%	\$13,415,000	100%	68
Civilian Complaint Review Board	0	\$0	NA	NA	NA	NA	NA
Department of Transportation	168	\$546,223,984	120	71%	\$113,146,993	21%	54
Department of Environmental Protection	185	\$1,228,689,219	37	20%	\$28,244,680	2%	124
Department of Housing Preservation & Development	273	\$1,083,884,591	4	1%	\$22,140,997	2%	53
Department of Design & Construction	159	\$111,735,936	96	60%	\$84,260,984	75%	102
Department of Sanitation	36	\$455,155,763	3	8%	\$15,083,000	3%	22
Department of Parks & Recreation	305	\$238,455,134	21	7%	\$15,239,956	6%	16
Landmarks Preservation Commission	0	\$0	NA	NA	NA	NA	NA
Department of Business Services	7	\$768,130,134	6	86%	\$278,834,134	36%	195
Department of Cultural Affairs	1	\$200,000	1	100%	\$200,000	100%	19
Department of Health	62	\$381,703,981	60	97%	\$338,583,175	89%	175
Department of Mental Health, Mental Retardation & Alcoholism Services	218	\$313,230,537	152	70%	\$169,692,109	54%	68
Human Resources Administration	109	\$290,437,794	80	73%	\$217,474,328	75%	118
Administration for Children's Services	380	\$1,453,461,431	262	69%	\$1,333,863,108	92%	50
Department of Homeless Services	112	\$532,201,567	72	64%	\$339,807,388	64%	69
Department of Employment	44	\$21,381,327	40	91%	\$15,957,237	75%	136
Department of Youth & Community Development	598	\$87,920,695	509	85%	\$78,928,037	90%	72

H. AGENCY PROCUREMENT

RETROACTIVE CONTRACTS* CONTRACTS WITH A START DATE ON OR BEFORE THEIR REGISTRATION DATE Fiscal 2001

AGENCY	Total Contracts	Total Value	Retroactive Contracts				
			Quantity	Pct. of Total Contracts	Value	Pct. of Total Value	Avg. Days Retro- active
Department for the Aging	384	\$182,929,078	219	57%	\$80,562,444	44%	86
Department of Consumer Affairs	0	\$0	NA	NA	NA	NA	NA
Department of Buildings	5	\$6,454,787	0	0%	\$0	0%	NA
Taxi & Limousine Commission	5	\$690,300	5	100%	\$690,300	100%	54
Law Department	55	\$15,920,120	54	98%	\$15,720,120	99%	124
Department of Finance	8	\$111,307,542	6	75%	\$110,893,765	100%	44
Department of Citywide Administrative Services	926	\$664,495,060	13	1%	\$21,849,218	3%	42
Department of City Planning	1	\$49,500	1	100%	\$49,500	100%	22
Department of Records and Information Services	0	\$0	NA	NA	NA	NA	NA
Department of Information Technology & Telecommunications	20	\$51,903,745	10	50%	\$39,345,177	76%	61
City Commission on Human Rights	0	\$0	NA	NA	NA	NA	NA
Department of Investigation	0	\$0	NA	NA	NA	NA	NA
City Civil Service Commission	0	\$0	NA	NA	NA	NA	NA
TOTAL	4,163	\$8,664,803,605	1,813	44%	\$3,368,666,596	39%	79

* Includes all new and renewal contracts except emergency contracts and accelerated procurement contracts.

H. AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
PUBLIC SAFETY		
Police Department		
Competitive Sealed Bid	23	\$14,815,674
Other Than Competitive Sealed Bid:		
Request for Proposal	3	\$1,819,200
Renewal	1	\$64,750
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	3	\$11,550,000
Other (b)	1	\$2,029,116
Fire Department		
Competitive Sealed Bid	24	\$50,004,325
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Department of Correction		
Competitive Sealed Bid	12	\$4,624,428
Other Than Competitive Sealed Bid:		
Request for Proposal	1	\$5,200,000
Renewal	10	\$4,864,489
Sole Source	2	\$1,427,657
Emergency	0	\$0
Line Item Appropriation (a)	4	\$2,920,000
Negotiated Acquisition	1	\$134,440
Other (b)	0	\$0
Department of Probation		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	6	\$2,313,600
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	3	\$370,316
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Department of Juvenile Justice		
Competitive Sealed Bid	1	\$63,000
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	8	\$12,615,000
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	1	\$800,000
Other (b)	0	\$0

H . AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
Civilian Complaint Review Board		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
INFRASTRUCTURE		
Department of Transportation		
Competitive Sealed Bid	99	\$472,708,211
Other Than Competitive Sealed Bid:		
Request for Proposal	12	\$82,338,572
Renewal	69	\$39,523,018
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	1	\$1,695,719
Other (b)	0	\$0
Department of Environmental Protection		
Competitive Sealed Bid	114	\$1,116,639,916
Other Than Competitive Sealed Bid:		
Request for Proposal	21	\$54,439,074
Renewal	41	\$34,433,785
Sole Source	1	\$1,416,527
Emergency	3	\$4,909,763
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	4	\$79,309,352
Other (b)	12	\$24,765,823
Department of Housing Preservation & Development		
Competitive Sealed Bid	39	\$76,710,742
Other Than Competitive Sealed Bid:		
Request for Proposal	54	\$10,289,782
Renewal	49	\$15,079,512
Sole Source	0	\$0
Emergency	40	\$4,748,671
Line Item Appropriation (a)	13	\$2,745,747
Negotiated Acquisition	2	\$37,250
Other (b)	0	\$0
Department of Design & Construction		
Competitive Sealed Bid	155	\$399,954,414
Other Than Competitive Sealed Bid:		
Request for Proposal	59	\$204,451,331
Renewal	1	\$4,000,000
Sole Source	18	\$124,547,872
Emergency	1	\$38,700
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	6	\$174,276,142
Other (b)	0	\$0

H. AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
COMMUNITY SERVICES		
Department of Sanitation		
Competitive Sealed Bid	32	\$450,548,086
Other Than Competitive Sealed Bid:		
Request for Proposal	4	\$5,484,244
Renewal	7	\$35,179,078
Sole Source	0	\$0
Emergency	5	\$902,539
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	1	\$31,300
Other (b)	0	\$0
Department of Parks & Recreation		
Competitive Sealed Bid	230	\$160,085,404
Other Than Competitive Sealed Bid:		
Request for Proposal	12	\$37,308,875
Renewal	31	\$14,286,691
Sole Source	2	\$6,500,000
Emergency	10	\$8,232,536
Line Item Appropriation (a)	17	\$7,648,507
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Landmark Preservation Commission		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
ECONOMIC DEVELOPMENT SERVICES		
Department of Business Services		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	1	\$10,008,834
Sole Source	6	\$774,415,300
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Department of Cultural Affairs		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	1	\$200,000
Negotiated Acquisition	0	\$0
Other (b)	0	\$0

H. AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
HEALTH SERVICES		
Department of Health		
Competitive Sealed Bid	14	\$11,823,866
Other Than Competitive Sealed Bid:		
Request for Proposal	12	\$166,136,657
Renewal	8	\$8,895,178
Sole Source	2	\$9,750,000
Emergency	0	\$0
Line Item Appropriation (a)	28	\$13,421,616
Negotiated Acquisition	1	\$986,000
Other (b)	2	\$141,943,712
Department of Mental Health, Mental Retardation & Alcoholism Services		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	14	\$6,908,562
Renewal	101	\$143,366,310
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	3	\$250,000
Negotiated Acquisition	23	\$11,993,663
Other (b)	18	\$23,809,322
HUMAN SERVICES AND EDUCATION		
Human Resources Administration		
Competitive Sealed Bid	17	\$79,410,794
Other Than Competitive Sealed Bid:		
Request for Proposal	26	\$49,561,491
Renewal	21	\$44,388,249
Sole Source	1	\$45,000
Emergency	0	\$0
Line Item Appropriation (a)	2	\$100,000
Negotiated Acquisition	12	\$58,941,770
Other (b)	12	\$17,246,672
Administration for Children's Services		
Competitive Sealed Bid	28	\$28,658,719
Other Than Competitive Sealed Bid:		
Request for Proposal	72	\$774,434,490
Renewal	139	\$508,687,824
Sole Source	3	\$10,077,416
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	30	\$20,270,902
Other (b)	2	\$2,450,000
Department of Homeless Services		
Competitive Sealed Bid	25	\$29,818,147
Other Than Competitive Sealed Bid:		
Request for Proposal	6	\$27,159,129
Renewal	34	\$104,307,725
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	5	\$3,981,417
Negotiated Acquisition	7	\$176,326,439
Other (b)	9	\$17,871,260

H. AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
Department of Employment		
Competitive Sealed Bid	2	\$147,594
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	24	\$11,606,896
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	4	\$485,000
Negotiated Acquisition	12	\$3,733,171
Other (b)	0	\$0
Department of Youth & Community Development		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	296	\$23,534,199
Renewal	39	\$31,824,000
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	139	\$23,900,051
Negotiated Acquisition	49	\$3,580,428
Other (b)	0	\$0
Department for the Aging		
Competitive Sealed Bid	4	\$1,715,907
Other Than Competitive Sealed Bid:		
Request for Proposal	88	\$70,102,677
Renewal	168	\$136,399,811
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	123	\$14,124,417
Negotiated Acquisition	0	\$0
Other (b)	1	\$225,000
REGULATORY SERVICES		
Department of Buildings		
Competitive Sealed Bid	1	\$1,162,350
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Taxi & Limousine Commission		
Competitive Sealed Bid	3	\$111,096
Other Than Competitive Sealed Bid:		
Request for Proposal	1	\$283,483
Renewal	1	\$295,721
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0

H . AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
Department of Consumer Affairs		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
CITYWIDE ADMINISTRATION		
Law Department		
Competitive Sealed Bid	4	\$852,365
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	5	\$12,415,769
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	55	\$3,245,141
Other (b)	0	\$0
Department of Finance		
Competitive Sealed Bid	6	\$7,424,060
Other Than Competitive Sealed Bid:		
Request for Proposal	1	\$71,141,671
Renewal	0	\$0
Sole Source	1	\$32,741,811
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Department of Citywide Administrative Services		
Competitive Sealed Bid	891	\$515,060,090
Other Than Competitive Sealed Bid:		
Request for Proposal	7	\$33,085,986
Renewal	5	\$2,095,684
Sole Source	7	\$39,738,358
Emergency	1	\$227,000
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	1	\$340,750
Other (b)	47	\$6,955,040
Department of City Planning		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	1	\$49,500
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0

H. AGENCY PROCUREMENT

AGENCY PROCUREMENT ACTIONS Fiscal 2001

Agency and Award Method	Number of Contract Actions	Contract Actions Revised Maximum Amount
Department of Records and Information Services		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Department of Information Technology & Telecommunications		
Competitive Sealed Bid	11	\$29,731,856
Other Than Competitive Sealed Bid:		
Request for Proposal	2	\$6,223,341
Renewal	7	\$13,311,484
Sole Source	1	\$36,045
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	1	\$1,500,000
Other (b)	3	\$2,147,400
City Commission on Human Rights		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
Department of Investigation		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0
City Civil Service Commission		
Competitive Sealed Bid	0	\$0
Other Than Competitive Sealed Bid:		
Request for Proposal	0	\$0
Renewal	0	\$0
Sole Source	0	\$0
Emergency	0	\$0
Line Item Appropriation (a)	0	\$0
Negotiated Acquisition	0	\$0
Other (b)	0	\$0

Notes:

(a) Allocation made during the budget process by Borough Presidents and Council Members for a contractor-specific line-item budget appropriation.

(b) Contract actions in this category may include the following methods of award: required/authorized source; required method, including awards to a preferred source; demonstration projects; and certain government-to-government procurements.

H. AGENCY PROCUREMENT

CAPITAL PROJECTS -- FINANCIAL COMMITMENTS

(All numbers in thousands)

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001		Fiscal 2002 Plan
		Annual Plan	Annual Actual	
POLICE DEPARTMENT	\$11,038	\$203,034	\$43,205	\$155,398
FIRE DEPARTMENT	\$48,696	\$186,016	\$120,319	\$246,308
DEPARTMENT OF CORRECTION o Managing Agency	\$59,418 \$8,321	\$176,618 \$39,349	\$107,669 \$11,722	\$187,626 \$28,003
DEPARTMENT OF JUVENILE JUSTICE	\$22	\$5,619	\$4,967	\$69,289
DEPARTMENT OF TRANSPORTATION o Managing Agency	\$516,962 \$376,920	\$940,224 \$386,455	\$775,713 \$480,639	\$1,484,402 \$1,171,216
DEPARTMENT OF ENVIRONMENTAL PROTECTION o Managing Agency	\$1,017,645 \$651,238	\$2,225,029 \$1,144,311	\$1,436,146 \$1,263,075	\$2,166,218 \$1,679,704
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT o Managing Agency	\$294,030 \$302,404	\$466,240 \$310,655	\$390,301 \$369,377	\$552,753 \$533,851
SCHOOL CONSTRUCTION AUTHORITY o Managing Agency	\$1,160,330 \$919,440	\$2,397,462 \$2,219,960	\$2,549,032 \$2,171,898	\$1,173,542 \$1,173,542
NEW YORK CITY HOUSING AUTHORITY	\$9,649	\$61,993	\$5,972	\$12,801
DEPARTMENT OF SANITATION o Managing Agency	\$197,612 \$193,750	\$217,540 \$121,998	\$139,908 \$137,596	\$292,810 \$275,971
DEPARTMENT OF PARKS AND RECREATION o Managing Agency	\$146,711 \$142,389	\$387,301 \$199,951	\$206,897 \$188,943	\$282,059 \$255,432
PUBLIC LIBRARIES	\$9,791	\$142,351	\$19,503	\$71,656
ECONOMIC DEVELOPMENT CORPORATION o Managing Agency	\$21,467 \$37,276	\$715,686 \$541,237	\$213,042 \$263,860	\$553,122 \$586,302
DEPARTMENT OF CULTURAL AFFAIRS	\$20,974	\$432,190	\$162,428	\$181,884

H. AGENCY PROCUREMENT

CAPITAL PROJECTS -- FINANCIAL COMMITMENTS

(All numbers in thousands)

INDICATORS	Fiscal	Fiscal Year 2001		Fiscal
	2000 Actual	Annual Plan	Annual Actual	2002 Plan
DEPARTMENT OF HEALTH	\$23,547	\$53,051	\$42,320	\$37,063
NEW YORK CITY HEALTH AND HOSPITALS CORPORATION	\$19,202	\$142,868	\$65,312	\$230,638
HUMAN RESOURCES ADMINISTRATION	\$53,417	\$85,945	\$60,847	\$45,688
DEPARTMENT OF HOMELESS SERVICES	\$10,743	\$23,909	\$16,896	\$57,012
DEPARTMENT FOR THE AGING	\$1,254	\$20,199	\$6,972	\$13,394
DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES	\$89,858	\$163,976	\$90,045	\$231,168
o Managing Agency	\$90,455	\$415,551	\$98,401	\$305,101
DEPARTMENT OF DESIGN AND CONSTRUCTION o Managing Agency	\$861,708	\$1,088,210	\$871,639	\$1,227,260

H. AGENCY PROCUREMENT

CAPITAL PROJECTS -- MANAGEMENT INDICATORS

INDICATORS	Fiscal	Fiscal Year 2001		Fiscal
	2000 Actual	Annual Plan	Annual Actual	2002 Plan
POLICE DEPARTMENT				
o Projects Started				
- Design	0	1	0	3
- Construction	20	44	34 a	38
o Projects Completed	52	43	43	141
FIRE DEPARTMENT				
o Projects Started				
- Design	0	0	0	1
- Construction	3	2	0 b	2
o Projects Completed	3	1	1	2
DEPARTMENT OF CORRECTION				
o Projects Started				
- Design	11	19	10 c	0
- Construction	14	10	17 d	10
o Projects Completed	2	1	5 e	2
DEPARTMENT OF TRANSPORTATION				
o Projects Started				
- Design	29	0	1	by 9/02
- Construction	12	49	19 f	by 9/02
o Projects Completed	32	36	21 g	by 9/02
DEPARTMENT OF ENVIRONMENTAL PROTECTION				
o Projects Started				
- Design	40	227	48 h	108
- Construction	75	134	77 h	102
o Projects Completed	52	89	54 (i)	82
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT				
o Projects Started				
- Design	118	206	151	166
- Construction	389	441	441	445
o Projects Completed	364	575	535	479

H. AGENCY PROCUREMENT

CAPITAL PROJECTS -- MANAGEMENT INDICATORS

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
NEW YORK CITY HOUSING AUTHORITY				
o Projects Started				
- Design	14	27	26	21
- Construction	17	22	11 j	18
o Projects Completed	20	13	13	13
SCHOOL CONSTRUCTION AUTHORITY				
o Projects Started				
- Design	55	15 k	24 (l)	2 m
- Construction	43	17 k	31 (l)	15 m
o Projects Completed	38	15 k	14 (l)	17 m
DEPARTMENT OF DESIGN AND CONSTRUCTION				
o Projects Started				
- Design	640	187	279	164
- Construction	591	304	339	203
o Projects Completed	641	325	369	255
DEPARTMENT OF SANITATION				
o Projects Started				
- Design	13	10	10	10
- Construction	16	13	13	13
o Projects Completed	13	12	12	10
DEPARTMENT OF PARKS AND RECREATION				
o Projects Started				
- Design	146	*	172	*
- Construction	86	*	187	*
o Projects Completed	156	*	133	*
ECONOMIC DEVELOPMENT CORPORATION				
o Projects Started				
- Design	5	7	7	3
- Construction	9	8	12	88
o Projects Completed	10	15	15	14

H. AGENCY PROCUREMENT

CAPITAL PROJECTS -- MANAGEMENT INDICATORS

INDICATORS	Fiscal 2000 Actual	Fiscal Year 2001 Annual Plan	Fiscal Year 2001 Annual Actual	Fiscal 2002 Plan
DEPARTMENT OF CULTURAL AFFAIRS				
o Projects Started				
- Design	3	6	2 n	6
- Construction	0	11	0 n	8
o Projects Completed	8	3	15 (o)	2
DEPARTMENT OF HEALTH				
o Projects Started				
- Design	0	0	0	0
- Construction	0	0	0	0
o Projects Completed	0	0	0	0
NEW YORK CITY HEALTH AND HOSPITALS CORPORATION				
o Projects Started				
- Design	4	0	3 p	4
- Construction	0	0	0	7
o Projects Completed	0	3	0 q	3
ADMINISTRATION FOR CHILDREN'S SERVICES				
o Projects Started				
- Design	NI	4	4	4
- Construction	NI	1	1	2
o Projects Completed	NI	1	1	2
DEPARTMENT OF HOMELESS SERVICES				
o Projects Started				
- Design	10	10	11	7
- Construction	3	7	8	9
o Projects Completed	7	7	5 r	5
DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES				
o Projects Started				
- Design	24	31	34	16
- Construction	43	42	39	34
o Projects Completed	24	26	34 s	25

H. AGENCY PROCUREMENT

CAPITAL PROJECTS – MANAGEMENT INDICATORS

- (a) Construction was started on fewer projects that planned due to the rollover of funding for major projects from Fiscal 2001 to Fiscal 2002.
- (b) Construction starts were delayed due to rehabilitation of Marine One Pier and replacement of apparatus doors.
- (c) Resources were redirected to larger, mandated capital improvements and to high-priority maintenance projects. Additionally, construction schedules on some projects were extended due to design changes and capital project modifications.
- (d) The Department was able to advance construction on mandated infrastructure to its facilities.
- (e) The Department completed mandated projects ahead of the schedule anticipated in the Plan.
- (f) Registration of two contracts, covering work on 21 bridges, did not occur as anticipated in Fiscal 2001. Registration of these two contracts is expected in Fiscal 2002. Other contracts were delayed for various reasons, including design changes and New York City Transit and VENDEX issues.
- (g) Completion of the final work item on the Queensboro Bridge, installation of necklace lighting, was postponed until completion of roadway work in December 2001. On the Manhattan Bridge, the painting and interim rehabilitation contractor did not complete the project on time. Completion of other projects were delayed for various reasons, including coordination with other governmental agencies, utilities, and contractors
- (h) Fewer projects than planned were started at both Design and Construction phases because many smaller pending designs were transferred to larger plant upgrade contracts. Additionally, many jobs were combined into groups and transferred to the Job Order Contracting (JOC) Program.
- (i) Fewer projects were completed than planned due to construction delays and the additional work required to complete some projects.
- (j) Two planned projects were deemed capital-ineligible in Fiscal 2001, and construction did not begin on the 8 smaller replacement added to the capital plan. Several other planned projects entailed building or extensively renovating Community Centers, for which the design and award process takes more than a year to complete, due to the extensiveness and complexity of the design specifications required for that type of work.
- (k) The Fiscal 2001 Plan has been revised to include transportable projects.
- (l) The Board of Education assigned more project designs, constructions and completions than originally planned. In part, this reflects plans for accelerated construction of 12 new school facilities before the end of Fiscal 2001.
- (m) The Fiscal 2002 Plan is subject to revision. During Fiscal 2002 the Board of Education is expected to amend its capital plan for Fiscal 2000-2004. Also, this Plan does not include transportable projects, which are assigned later in the fiscal year.
- (n) Delays in the submissions of final client scope of work and in the availability of total construction dollars resulted in the start of design and of construction on fewer projects than anticipated in the Plan for Fiscal 2001.

H. AGENCY PROCUREMENT

CAPITAL PROJECTS – MANAGEMENT INDICATORS

- (o) The Department had more emergency projects than anticipated and equipment purchases were processed faster than anticipated, resulting in additional projects completed.
- (p) Design work for three projects, including the Bellevue Major Renovation and Kings County Phase II, was started sooner than planned.
- (q) Three projects were delayed in the design phase or at a subsequent stage. The Corporation plans to complete these projects in Fiscal 2002 and Fiscal 2003.
- (r) The Department completed fewer projects than planned due to delays in reconciling scope and budget of some projects.
- (s) A number of projects started in prior years, and not reflected in the Plan for completions, were completed in Fiscal 2001.

IMPLEMENTATION OF THE CITYWIDE STATEMENT OF NEEDS

IMPLEMENTATION OF THE CITYWIDE STATEMENT OF NEEDS

Section 204 of the City Charter requires the Mayor to submit an annual Citywide Statement of Needs for City Facilities to the City Council, Borough Presidents, borough boards and community boards. The statement, prepared as part of the city's "fair share" siting process, identifies all new facilities the City plans to site and all existing facilities the City plans to close or to significantly expand or reduce in size during the ensuing two fiscal years. The Borough Presidents and community boards have the opportunity to comment on the proposed projects within 90 days of the statement's release.

Sections 12(c) and 204(h) of the Charter require that the Mayor's Management Report review the implementation of proposals in the statement. The following chart provides the status, as of June 30, 2001, of all actions proposed by city agencies in the Citywide Statement of Needs for Fiscal Years 2001 and 2002. Where appropriate, the locations of sited and implemented projects are indicated..

STATUS DEFINITIONS

Implemented	Proposal for which a ULURP or Section 195 application received final approval; or for which a contract for operation of a facility was approved; or for which a facility was located in existing city space; or for which an expansion, reduction or closing was completed.
Sited	ULURP or Section 195 application filed but not yet approved; or contractor selected but contract has not yet received final approval; or expansion/reduction of existing site is underway.
Active	City still actively seeking site for facility.
Modified	Proposal was modified and included in a later Statement.
Inactive/Withdrawn	City not actively seeking site or implementing proposal because of fiscal or programmatic considerations.

IMPLEMENTATION OF THE CITYWIDE STATEMENT OF NEEDS

STATUS OF PROPOSALS IN FY 2001-2002 CITYWIDE STATEMENT OF NEEDS

Proposed Action	Borough/CD	Proposed Status
Administration for Children's Services		
Relocation of Manhattan Field Office	MN 1-7 MN 8-11	Active Implemented (M 10 / 55 W. 125 St)
One Replacement Congregate Care Facility	MN 12 Any Boro	Active Active
Department of Health		
Headquarters Expansion	MN 1	Implemented (40 Worth St)
Relocation of Hunts Point Asthma Program	BX 2	Implemented (180 Rev. James Polite Av)
Department of Homeless Services		
Downsizing of 30 th Street Shelter	MN 6	Active
Human Resources Administration		
Three New MAP Regional Offices	BX BK QN	Active Implemented (Bk 6 / 35 4 th Av) Implemented (QN 2 / 32-20 Northern Blvd)
Replacement Home Care Services Executive Office	MN 8	Implemented (309 E 94 th St)
Replacement of Two CASA Offices	BK 2 MN 10	Withdrawn Implemented (132 W 125 th St)
New Supportive Housing for People with AIDS	All Boros	Active
Department of Mental Health, Mental Retardation and Alcoholism Services		
New Adolescent Skills Center	BX 5-8	Withdrawn
New Specialized Service Network	BX 1-4	Implemented (BX 2 / 890 Garrison Av)
Expansion of Clinic Program for Women	MN	Implemented (MN 3 / 227 Madison St)
Expansion of Clinic for One-Stop Treatment	MN 12	Active

IMPLEMENTATION OF THE CITYWIDE STATEMENT OF NEEDS

Proposed Action	Borough/CD	Proposed Status
Department of Youth and Community Development		
Relocation of NYC YOUTHLINE	MN 1	Implemented (161 William St)
Department of Sanitation		
Three Replacement Garages	BK 3 MN 10 SI 1	Sited (56-60 Nostrand Av) Active Modified
Reduction of Activity at Fresh Kills Landfill New Transfer Stations, including EBUFs...	SI TBD	Implemented Modified
Department of Transportation		
New Safety City Facility	MN 12	Sited (Riverside Dr/158 St)
Replacement Vehicle Maintenance and Sign Shops	BX 6	Sited (Webster & Park Av)
Relocation of Bridge Inspection Unit Field Office	BK or QN	Active (BK 1 / 75 Frost St under consideration)
Relocation/Consolidation of Bridge Painting Units	BK or QN	Inactive
Office of Criminal Justice Coordinator		
New Staten Island Criminal/Family Court Complex	SI 1	Active
Queens Criminal Court Addition (Kew 2)	QN 9	Sited
New Court Record Storage Facility	Undetermined	Sited (BK 7 / Brooklyn Army Terminal)
Fire Department		
New Combined Citywide Support Services Facility	Undetermined	Sited (QN 2 / Block 2573)
New EMS Response Facilities	BX 5, 6 or 7 BK 14 MN 7, 9 or 10 QN 8 / 12 QN 14	Modified Active Active Modified Modified
New Borough Preventive Maintenance Facilities	SI 3 BX 3 SI 2	Modified Sited Sited (Bathgate / Block 2905) Sited (Seaview Hospital)

IMPLEMENTATION OF THE CITYWIDE STATEMENT OF NEEDS

Proposed Action	Proposed Borough/CD	Status
Department of Juvenile Justice		
New Residential Facilities	Any Boro	Active
Additional Secure Detention Capacity	Any Boro	Sited (BX 1 and BK 16)
Police Department		
Consolidation of Two Traffic Enforcement Offices	BX 3, 6,7,9 or 11	Active
Off-Street Parking Facilities	BX 3 (42 nd Pct)	Inactive
	BX 4 (Bx TF)	Inactive
	BX 4 (TD#11)	Inactive
	BX 12 (Bx NI)	Sited (Bullard Av/241 St)
	BK 1 (90 th Pct)	Inactive
	BK 2 (84 th Pct)	Inactive
	BK 5 (BNN)	Active
	BK 7 (ID)	Inactive
	BK 8 (fmr 80 th Pct)	Inactive
	BK 13 (BSN)	Sited (Shore Pkwy/Stillwell Av)
	QN 2 (BMS)	Inactive
	SI 3 (123 rd Pct)	Active
DWI Seized Vehicles Storage Facility	Undetermined	Active
Replacement Barrier Shop	MN 1-6 or BK 1-4, 8 or 16	Active
Replacement Queens Health Care Facility	QN 4, 6, 8, 9 or 12	Sited (QN 4 / 96-05 Horace Harding Expwy)
Replacement Tow Pound	QN 12	Active
Department of Probation		
Relocation of Two Alternative to Detention Facilities	MN QN	Active Active
New York Public Library		
Replacement of Kingsbridge Branch Library	BX 8	Implemented (W 231 st St & Corlear Av)

IMPLEMENTATION OF THE CITYWIDE STATEMENT OF NEEDS

Proposed Action	Proposed Borough/CD	Status
Queens Borough Public Library		
Expansion of Corona Branch Library	QN 3	Sited
Department of Parks and Recreation		
Two New Natural Area Park Preserves	QN 11 SI 1	Sited (Old Oak Pond) Active (Jones Woods)
Seaside Waterfront Park Addition	SI 3	Active
Department of Citywide Administrative Services		
Relocation of Auto Auction Facility	TBD	Active
Department of Finance		
Relocation of Sheriff's Enforcement Unit	MN 1 or 2	Implemented (BK 2 / 210 Joralemon St)
Commission on Human Rights		
Expansion of Jamaica Field Services Office	QN 12	Active

BUDGETARY UNITS OF APPROPRIATION

Fiscal 2001

PUBLIC SAFETY

Police [056]

001	Operations
002	Executive Management
003	School Safety -PS
004	Administration - Personnel
006	Criminal Justice
007	Traffic Enforcement
008	Transit Police - PS
009	Housing Police - PS
100	Operations - OTPS
200	Executive Management - OTPS
300	School Safety - OTPS
400	Administration - OTPS
600	Criminal Justice - OTPS
700	Traffic Enforcement - OTPS

Fire [057]

001	Executive Administrative
002	Fire Extinguishment & Emergency Response
003	Fire Investigation
004	Fire Prevention
005	Executive Administrative - OTPS
006	Fire Extinguishment & Response - OTPS
007	Fire Investigation - OTPS
008	Fire Prevention - OTPS
009	Emergency Medical Services- PS
010	Emergency Medical Services- OTPS

Correction [072]

001	Administration
002	Operations
003	Operations - OTPS
004	Administration - OTPS

Probation [781]

001	Executive Management
002	Probation Services
003	Probation Services - OTPS
004	Executive Management - OTPS

Juvenile Justice [130]

001	Personal Services
002	Other than Personal Services

BUDGETARY UNITS OF APPROPRIATION

Civilian Complaint Review Board [054]

- 001 Personal Services
- 002 Other than Personal Services

INFRASTRUCTURE

Transportation [841]

- 001 Executive Administration and Planning Management
- 002 Highway Operations
- 003 Transit Operations
- 004 Traffic Operations
- 006 Bureau of Bridges
- 007 Bureau of Bridges - OTPS
- 011 Executive and Administration - OTPS
- 012 Highway Operations - OTPS
- 013 Transit Operations - OTPS
- 014 Traffic Operations - OTPS

Environmental Protection [826]

- 001 Executive and Support
- 002 Environmental Management
- 003 Water Supply and Wastewater Collection
- 004 Utility - OTPS
- 005 Environmental Management - OTPS
- 006 Executive and Support - OTPS
- 007 Central Utility
- 008 Wastewater Treatment

Housing Preservation and Development [806]

- 001 Office of Administration
- 002 Office of Development
- 004 Office of Housing Preservation
- 006 Housing Maintenance and Sales
- 008 Office of Administration - OTPS
- 009 Office of Development - OTPS
- 010 Housing Management and Sales - OTPS
- 011 Office of Housing Preservation - OTPS

Department of Design and Construction [850]

- 001 Personal Services
- 002 Other than Personal Services

Housing Authority [Miscellaneous Budget]

- 001 Personal Services
- 002 Other than Personal Services
- 003 Fringe Benefits
- 005 Indigent Defense Services

BUDGETARY UNITS OF APPROPRIATION

COMMUNITY SERVICES

Sanitation [827]

101	Executive Administrative
102	Cleaning and Collection
103	Waste Disposal
104	Building Management
105	Bureau of Motor Equipment
106	Executive and Administrative - OTPS
107	Snow Budget - Personal Services
109	Cleaning and Collection - OTPS
110	Waste Disposal - OTPS
111	Building Management - OTPS
112	Motor Equipment - OTPS
113	Snow - OTPS

Parks and Recreation [846]

001	Executive Management and Administration
002	Maintenance and Operations
003	Design and Engineering
004	Recreation Services
006	Maintenance and Operations - OTPS
007	Executive Management and Administrative Services - OTPS
009	Recreation Services - OTPS
010	Design and Engineering - OTPS

Landmarks Preservation [136]

001	Personal Services
002	Other than Personal Services

New York Public Library - The Research Libraries [035]

001	Lump Sum Appropriation
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New York Public Library [037]

003	Lump Sum - Borough of Manhattan
004	Lump Sum - Borough of the Bronx
005	Lump Sum - Borough of Staten Island
006	Systemwide Services
007	Consultant and Advisory Services

Brooklyn Public Library [038]

001	Lump Sum
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Queens Borough Public Library [039]

001	Lump Sum
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BUDGETARY UNITS OF APPROPRIATION

ECONOMIC DEVELOPMENT SERVICES

Department of Business Services [801]
(Economic Development Corporation
Mayor's Office of Film, Theater and Broadcasting)

001	Department of Business - PS
002	Department of Business - OTPS
004	Contract Compliance & Business Opportunity - PS
005	Contract Compliance & Business Opportunity - OTPS
006	Economic Development Corporation
008	Economic Planning/Film - PS
009	Economic Planning/Film - OTPS

Cultural Affairs [126]

001	Office of the Commissioner - PS
002	Office of the Commissioner - OTPS
003	Cultural Programs
004	Metropolitan Museum of Art
005	New York Botanical Garden
006	American Museum of Natural History
007	The Wildlife Conservation Society
008	Brooklyn Museum
009	Brooklyn Children's Museum
010	Brooklyn Botanical Garden
011	Queens Botanical Garden
012	New York Hall of Science
013	Staten Island Institute of Arts and Science
014	Staten Island Zoological Society
015	Staten Island Historical Society
016	Museum of the City of New York
017	Wave Hill
019	Brooklyn Academy of Music
020	Snug Harbor Cultural Center
021	Studio Museum in Harlem
022	Other Cultural Institutions
024	New York Shakespeare Festival

HEALTH SERVICES

Health [816]

101	Administration and Support Services
102	Health Related Services
103	Community Health Services
104	Environmental Health Services
106	Chief Medical Examiner
107	Health Care Access - PS
111	Management and Administration - OTPS
112	Health Related Services - OTPS
113	Community Health Services - OTPS

BUDGETARY UNITS OF APPROPRIATION

114 Environmental Health Services - OTPS
115 Prison Health Services - OTPS
116 Chief Medical Examiner - OTPS
117 Health Care Access - OTPS

Health and Hospitals Corporation [819]

001 Lump Sum

Mental Health [817]

001 Personal Services
002 Other than Personal Services
003 Mental Health Services
004 Mental Retardation Services
005 Alcoholism Services
006 Early Intervention - PS
007 Early Intervention - OTPS

HUMAN SERVICES AND EDUCATION

Human Resources [069]

101 Administration - OTPS
103 Public Assistance - OTPS
104 Medical Assistance - OTPS
105 Adult Services - OTPS
201 Administration
203 Public Assistance
204 Medical Assistance
205 Adult Services

Administration for Children's Services [068]

001 Personal Services
002 Other than Personal Services
003 Office of Child Support Enforcement/Head Start/Day Care - PS
004 Office of Child Support Enforcement/Head Start/Day Care - OTPS
005 Administrative - PS
006 Child Welfare - OTPS

Department of Homeless Services [071]

100 Personal Services
200 Other than Personal Services

Employment [094]

001 Personal Services
002 Other than Personal Services
770 Non-City-Provided Services - PS
773 Non-City-Provided Services - OTPS

BUDGETARY UNITS OF APPROPRIATION

Youth and Community Development [260]

002 Community Development - PS
005 Community Development - OTPS
311 Personal Services
312 Other than Personal Services

Aging [125]

001 Executive and Administrative Management
002 Community Programs
003 Community Programs - OTPS
004 Executive and Administrative Management - OTPS

Board of Education [040]

301 District Instruction/Instructional Support Services
302 District Instruction/Instructional Support Services - OTPS
303 District Special Education Instructional Services
304 District Special Education Instructional Services - OTPS
305 District Operations/Administration
306 District Operations/Administration - OTPS
311 High School Instruction/Instructional Support Services
312 High School Instruction/Instructional Support Services - OTPS
313 High School Special Education Instructional Services
314 High School Special Education Instructional Services - OTPS
315 High School Operations/Administration
316 High School Operations/Administration - OTPS
321 Special Education Citywide Instruction/Instructional Support Services
322 Special Education Citywide Instruction/Instructional Support Services - OTPS
323 Division of Special Education Instructional Support Services
324 Division of Special Education Instructional Support Services - OTPS
325 Division of Special Education Operations/Administration
326 Division of Special Education Operations/Administration - OTPS
327 Special Education Operations/Administration (District/High School/Citywide)
328 Special Education Operations/Administration (District/High School/Citywide) - OTPS
335 School Facilities Custodial Maintenance
336 School Facilities Custodial Maintenance - OTPS
338 Pupil Transportation
339 School Food Services
340 School Food Services - OTPS
341 School Safety
342 School Safety - OTPS
344 Energy and Leases
353 Central Administration
354 Central Administration - OTPS
361 Fringe Benefits
370 Non-Public School Payments
381 Categorical Programs
382 Categorical Programs – OTPS
391 Labor Reserve

BUDGETARY UNITS OF APPROPRIATION

City University of New York [042]

001	Community College - OTPS
002	Community College - PS
003	Hunter Schools - OTPS
004	Hunter Schools - PS
005	Educational Aid - OTPS
012	Senior College - OTPS

REGULATORY SERVICES

Consumer Affairs [866]

001	Administration
002	Licensing/Enforcement
003	Other than Personal Services
004	Adjudication

Buildings [810]

001	Personal Services
002	Other than Personal Services

Taxi and Limousine [156]

001	Personal Services
002	Other than Personal Services

CITYWIDE ADMINISTRATION

Law [025]

001	Personal Services
002	Other than Personal Services

Finance [836]

001	Administration and Planning
002	Operations
003	Property
004	Audit
005	Legal
006	Tax Appeals Tribunal
007	Parking Violations Bureau
009	City Sheriff
011	Administration - OTPS
022	Operations - OTPS
033	Property - OTPS
044	Audit - OTPS
055	Legal - OTPS

BUDGETARY UNITS OF APPROPRIATION

066 Tax Appeals Tribunal - OTPS
077 Parking Violations Bureau - OTPS
099 City Sheriff – OTPS

Citywide Administrative Services [856]

001 Division of Citywide Personnel Services
002 Division of Citywide Personnel Services - OTPS
003 Office of Administrative Trials and Hearings
004 Office of Administrative Trials and Hearings - OTPS
005 Board of Standards and Appeals
006 Board of Standards and Appeals - OTPS
100 Executive and Financial Administrative Services
190 Executive & Financial Administrative Services - OTPS
300 Division of Facilities Management
390 Division of Facilities Management - OTPS
400 Division of Municipal Supply Services
490 Division of Municipal Supply Services - OTPS
500 Division of Real Estate Services
590 Division of Real Estate Services - OTPS
600 Communications
690 Communications - OTPS

City Planning [030]

001 Personal Services
002 Other than Personal Services
003 Geographic Systems - PS
004 Geographic Systems - OTPS

Records and Information Services [860]

100 Personal Services
200 Other than Personal Services

Information Technology and Telecommunications [858]

001 Personal Services
002 Other than Personal Services

Commission on Human Rights [226]

001 Personal Services
002 Other than Personal Services
003 Community Development - PS
004 Community Development - OTPS

Investigation [032]

001 Personal Services
002 Other than Personal Services
003 Inspector General - PS
004 Inspector General - OTPS

INDICATORS ADDED TO AND DELETED FROM THE FISCAL 2001 MAYOR'S MANAGEMENT REPORT

NEW INDICATORS

The following table lists new performance measures appearing in the Fiscal 2001 Mayor's Management Report. A total of 62 indicators have been added to the Report.

I. PUBLIC SAFETY

Fire Department

- Civilians – Dept. of Buildings
(Staff detailed to Fire Department from Department of Buildings)

II. INFRASTRUCTURE AND COMMUNITY SERVICES

Department of Parks and Recreation

- Citywide Acceptability Rating by Feature (Large Parks):
 - Safety Surfaces
 - Play Equipment

III. REGULATORY AND ADMINISTRATIVE SERVICES

Landmarks Preservation Commission

- Corrective Action in Response to Warning Letters

Department of Buildings

- Licenses Issued:
(Each of the following categories is represented for Original Licenses and for Renewal Licenses)
 - Stationary Engineers
 - Hoist Machine Operators
 - Cherry Pickers
 - Welders
 - Fire Suppression Contractors
 - Oil Burner Installers
 - Riggers
 - Sign Hangers
 - Plumbers
 - Tower Climbers
 - Electricians
 - Site Safety Managers
 - Private Elevator Inspectors

INDICATORS ADDED TO AND DELETED FROM THE FISCAL 2001 MAYOR'S MANAGEMENT REPORT

IV. HEALTH AND HUMAN SERVICES

Department of Health

Laboratory Tests for Immunodeficiency:

- Number of Specimens Tested for HIV Screening

Maternal, Infant and Reproductive Health:

- Percent of Prenatal Care Appointments Made within 3 Weeks That Were Kept After Initial Contact

Lead Inspection Program:

(these indicators have been transferred from the Department of Environmental Protection)

- Complaints Received
- Complaints Responded To
- Total Inspections Conducted
- Total Violations Issued
- Case Resolution Rate

Health and Hospitals Corporation

Home Care:

- Caseload

Administration for Children's Services

- Median Time to Reunification for Children Entering Foster Care for the First Time
- Median Time to Adoption for Children Entering Foster Care

Human Resources Administration

Job Center Programs and Operations

Total Reported Job Placements

- Non-PA Food Stamps

Workforce Investment Act (WIA) Adult Programs and Participants:

- Total Enrollment
 - PA Participants
 - Non-PA Participants
 - New Enrollment
- Participant Outcomes
 - Percentage Placed into Employment (%)
 - Participants Working During the First Quarter After Exit from WIA Program (%)
 - Participants Placed Remaining on the Job During the Third Quarter After Exit from WIA Program (%)
 - Credentials Attained With Employment
 - Percentage of Credentials Attained (%)

INDICATORS ADDED TO AND DELETED FROM THE FISCAL 2001 MAYOR'S MANAGEMENT REPORT

Adult Protective Services

Referrals:

- APS Referrals of Ineligible Cases to Other Agencies

Legal Intervention:

- Total Number of Access Orders Requested
- Total Number of Temporary Restraining Orders Requested
- Total Number of Guardianship Orders Requested
- Total Number of Guardian Ad Litem Orders Requested for Representation in Eviction Cases
- Number of Active Guardianship Clients

Financial Services:

- Number of Active Financially Managed Cases

Eviction Services:

- Eviction Referral Found Eligible for Services
- Eviction Referral Found Ineligible for Services

Department of Homeless Services

Families in New START Center:

- Conditional Placement Facilities

Department of Employment

The following new indicators appear pursuant to the Workforce Investment Act.

Older Youth:

- Credentials Attained
- Percentage of Credentials Attained
- Percentage of Participants Employed During the First Quarter After Exit
- Percentage of Participants Employed During the First Quarter After Exit Who Remained Employed During the Third Quarter After Exit

Younger Youth:

- Enrollment
- High School Diplomas or Equivalent Attained
- Percentage of High School Diplomas or Equivalent Attained
- Percentage of Skills Attained

Dislocated Workers:

- New Enrollment
- Participants Who Received Training
- Percentage of Credentials Attained with Employment
- Participants Working at 90 Days
- Participants Employed During the First Quarter After Exit
- Percentage of Participants Employed During the First Quarter After Exit
- Participants Employed During the First Quarter After Exit Who Remained Employed During the Third Quarter After Exit
- Percentage of Participants Employed During the First Quarter After Exit Who Remained Employed During the Third Quarter After Exit

INDICATORS ADDED TO AND DELETED FROM THE FISCAL 2001 MAYOR'S MANAGEMENT REPORT

Board of Education

Summer School

Registered:

- Mandated (High School)
- Enrollment
- Attendance Rate

City University of New York

Percent of Undergraduate Instruction by Full-time Faculty:

- Senior Colleges
- Community Colleges

INDICATORS ADDED TO AND DELETED FROM THE FISCAL 2001 MAYOR'S MANAGEMENT REPORT

INDICATORS DELETED

The following table lists quantitative indicators deleted from the Fiscal 2001 Mayor's Management Report. For each indicator, the table lists the reason for removing the indicator and, where applicable, the name of the new or revised indicator which provides similar information. A total of nine indicators have been eliminated from the Report, of which two have been replaced by new indicators.

I. PUBLIC SAFETY

Fire Department

Fire Investigation:

- Total Responses

This indicator simply counted all actions taken by Fire Marshals, and did not measure Department performance against goals.

Department of Juvenile Justice

- Percent On-Time On-Trial Court Appearances (Juvenile Offenders)

Rather than create a new reporting system to generate data only for Juvenile Offenders, DJJ will work to capture this information within its existing indicator for On-Time Court Appearances, which currently includes data for Juvenile Delinquents.

II. INFRASTRUCTURE AND COMMUNITY SERVICES

Department of Parks and Recreation

Work Orders Completed:

- Fix-it Crews

The breakdown of completed work orders between the "Facility Repair" and "Fix-it Crew" categories was an unnecessary level of detail.

III. REGULATORY AND ADMINISTRATIVE SERVICES

Landmarks Preservation Commission

- Responses within 20-Day Grace Period

This indicator has been replaced by "Corrective Action in Response to Warning Letters," which provides better information on outcomes of the Commission's enforcement efforts.

INDICATORS ADDED TO AND DELETED FROM THE FISCAL 2001 MAYOR'S MANAGEMENT REPORT

IV. HEALTH AND HUMAN SERVICES

Health and Hospitals Corporation

Home Care:

- Visits

This indicator has been replaced by Home Care Caseload, reflecting a change in the manner in which home health agencies are compensated.

Human Resources Administration

Adult Protective Services:

- Evictions Prevented for Those Eligible for PSA Services
- Evictions of Households Eligible for PSA Services:
 - Rehoused
 - Institutionalized
 - Other

While APS continues efforts to forestall evictions in appropriate cases, the magnitude of these figures depend on court actions and are not a valid measure of this division's effectiveness. Two new indicators have been added on eviction referrals.

TECHNICAL NOTE

INTRODUCTION

Evaluating the performance of City government is a complex activity requiring large amounts of diverse data. Unlike the evaluation of private sector performance, which is often measured by a single summary indicator such as return on investment, the evaluation of City performance requires an array of measures. The range of these indicators must be broad enough to report the quantity and quality of service provided, the responsiveness of agencies to the needs of various communities, and productivity and workload factors associated with an agency's operations.

A further complication in this measurement is that performance is often greatly influenced by nonoperational and social factors beyond the City's control, and by shifting State and federal mandates. The less controllable indicators should be viewed as operating statistics rather than performance measures.

RESULTS AND TARGETS

Results ("Actuals") are given for Fiscal 2001 and for Fiscal 2000 if the indicator has been in use since that time. "Plan" is a general term covering targets or goals for performance measures and projections or expectations for workload or service demand measures. Changes in Fiscal 2001 plans or targets from past Reports are explained in the footnotes to the data tables.

The indicator tables reflect data for the 12-month period ending June 30, 2001. Wherever possible the text includes events and key issues through publication. Figures are rounded in the text for ease of reading.

NOTES ON TABLES

DNA -- Data not available.

NA -- Not applicable. No previous data exists because the program or activity is new, the program is ending and no target is projected, or the agency's planning cycle is not compatible with that of the Mayor's Management Report.

* -- Not subject to targeting. This symbol will appear when historical data does not provide a sufficient basis for targeting, or the activity is dependent upon factors not in the agency's control or the activity would otherwise be inappropriate to target.

[] -- Bracketed Numbers in the Indicator Tables. New York City Charter Section 12c(6) requires that the Mayor's Management Report reflect the relationship between programmatic indicators and budgetary appropriations. Bracketed [] numbers found in the indicator tables reflect 1) the agency code (found at the beginning of agency indicator tables) and 2) the unit of appropriation codes (reflected under each major mission heading). The Appendix to this Report contains a descriptive listing of each unit of appropriation by agency. For information concerning the specific dollar appropriations for these codes, please refer to the Mayor's Executive Budget.

NI -- New indicator. No reporting system or records exist for the period, but data will be collected in the future. A date in the "Plan" column indicates when the data will be available.

Footnotes -- As much as possible, indicators are self-explanatory. Footnotes provide additional explanations of terms where needed. In this Report footnotes provide definitions of all new indicators. However, if definitions have been printed in earlier Reports, they may not be repeated here. Footnotes explain changes in targets, variances from targets, important redefinitions and errors made in past Reports.

TECHNICAL NOTE

Percentages and Ratios -- Percentages and ratios are averages for the reporting period, except where otherwise specified.

Fiscal Year -- The City operates on a July 1 to June 30 fiscal year, but must coordinate its budget with at least two other fiscal years. The New York State fiscal year begins on April 1 and runs through March 31, and appropriations for certain reimbursable City expenditures are established on that basis. Many federally funded programs follow the federal fiscal year, which begins on October 1 and runs through September 30. Community development funds, which are federal block grant funds, do not conform with the City's fiscal year. Unless otherwise indicated, the City's fiscal year is used in the Report.

FINANCIAL RESOURCES

Expenses -- Expenses refer to planned and actual expenditures across all units of appropriation in an agency's expense budget. Fiscal 2000 Actual numbers may have changed since the Preliminary Fiscal 2001 Mayor's Management Report. The figures reported here reflect the "Comprehensive Annual Report of the Comptroller for the Fiscal Year Ended June 30, 2000." Figures may include funds encumbered during the reporting period to be paid out over the entire fiscal year.

Fiscal 2001 Annual Plan numbers for expenditures are consistent with the City's Financial Plan as submitted on January 25, 2001. Fiscal 2001 Actual data is consistent with the Fiscal 2001 forecast submitted to the Financial Control Board as of June 2001. Fiscal 2002 Plan figures are based on the Fiscal 2002 Adopted Budget. Any deviations from these sources will be footnoted.

Data is supplied by the Office of Management and Budget (OMB) unless otherwise noted.

Revenues -- Revenues, both actual and planned, include cash collections in the following classes unless otherwise footnoted: licenses, permits, privileges, fines, forfeitures, franchises, rental income, interest income, charges for services including general governmental charges and miscellaneous.

Fiscal 2000 Actual numbers for revenues may have changed since the Preliminary Fiscal 2001 Mayor's Management Report. The figures reported here reflect the "Comprehensive Annual Report of the Comptroller for the Fiscal Year Ended June 30, 2000." Fiscal 2001 Revenue Plan figures are based on the January 2001 Financial Plan. The Fiscal 2001 Actual data is reflected through June 30, 2001 including anticipated closing adjustments; it is derived from the City's Financial Management System (FMS) as of August 31, 2001, and is subject to adjustment by the City Comptroller. Fiscal 2002 Plan figures are based on the Fiscal 2002 Adopted Budget.

Data is supplied by the Office of Management and Budget (OMB) unless otherwise noted.

Overtime -- Overtime refers to fiscal-year-to-date overtime earned and hours worked as reported in the Payroll Management System (PMS) PECPM511 Total Monthly Overtime Report for the period ending June 30, 2001. Overtime figures for the Health and Hospitals Corporation and the New York City Housing Authority, which do not use PMS, are self-reported. These sources are consistent with the Mayor's Office of Operations' citywide overtime monitoring program.

TECHNICAL NOTE

EMPLOYEE INDICATORS

Full-Time Employees -- These positions include regular City employees and other personnel funded by federal and State funds. Data was obtained from OMB and represent the headcount existing on the final day of the period. For example, Fiscal 2000 data reflect the year-end full-time actual headcount as of June 30, 2000. This end-of-period count is consistent with OMB reporting techniques unless otherwise noted.

Data sources are as follows: Fiscal 2000 Annual Actual is based on the June 2000 FISA Report; Fiscal 2001 Annual Plan is based on the January 2001 Financial Plan; Fiscal 2001 Annual Actual is based on the June 2001 FISA Report; Fiscal 2002 Annual Plan data is based on the Fiscal 2002 Adopted Budget.

Agencies deriving their own headcount information are the New York City Housing Authority, the Board of Education, the School Construction Authority, the Health and Hospitals Corporation, the Brooklyn Public Library, the New York Public Library and the Queens Borough Public Library.

Major mission employee data represent the agencies' allocation of these personnel to specific missions or operating divisions. Not all missions or operating divisions are included; thus, in some cases the personnel allocations listed do not equal the total agency headcount.

Full-Time Equivalent of Part-Time Employees -- Agencies with significant numbers of part-time workers have computed the full-time equivalent of these personnel. Because of variations in the methods used by agencies, this data should not be used to compare agencies.

Other Personnel Resources -- This category describes those personnel resources not properly covered by either the "Full-Time Employee" or "Full-Time Equivalent" category, such as seasonal workers in the Department of Parks and Recreation, and school crossing guards in the Police Department.

Absence Rates -- Absence rates are compensated leave as a proportion of scheduled paid hours. Compensated leave for nonuniformed work forces includes sick leave and Workers' Compensation. Compensated leave for uniformed work forces includes sick leave, Line-of-Duty-Injury (LODI) absence at the Police, Fire, and Sanitation Departments, and Workers' Compensation for uniformed Correction personnel. In this Report, all Mayoral agencies report absence rates according to these standard definitions. In some cases, compensated leave components as shown do not add up to the total absence rate because of rounding to the nearest hundredth. Fiscal 2000 Annual Actual absence rates in some agencies may have been revised from those previously published, based on updated agency data.

CAPITAL INDICATORS

Agency commitment data is based on the City's Capital Commitment Plan, which lists the projects agencies are authorized to advance. The preliminary plan for the upcoming fiscal year is issued in April in conjunction with the Executive Budget, and modified in September and in January along with the Request Budget. The final plan is issued in conjunction with the Executive Budget for the next fiscal year.

Capital financial indicators by agency appear in the Citywide Indicators in Volume II of the Report. Programmatic indicators for selected agencies, based on Ten-Year Capital Strategy categories of work for infrastructure rehabilitation, facility reconstruction and expansion, and equipment purchases, appear in agency indicator tables. A complete listing of programmatic indicators, along with a summary of capital financial and management indicators, is published as part of the City's September Capital Commitment Plan.

The Office of Management and Budget has revised its Capital financial indicators to make the Authorized Commitment Plan congruent with the Target Commitment Plan.

TECHNICAL NOTE

Financial Commitments represent the contracts that the City registers during the current fiscal year and are shown as totals for City and non-City funds. Actual commitments are measured against the target amounts.

The Commitment Plan is the total level of commitments (all funds) the City plans to achieve in a fiscal year as established in the City's Financial Plan. Agency targets may be revised during the year to reflect significant program changes or modifications to non-City agreements.

A Capital Project is defined as a particular program of work at a single site, usually requiring one or more OMB approvals to proceed, or at multiple sites for which OMB grants blanket approval within a certain dollar limit, unless otherwise defined.

Project Designs Started are either the commencement of in-house design or the commitment of the project's first consultant design contract unless otherwise defined.

Construction Projects Started are defined as the commitment of the project's first major construction contract or the commencement of in-house construction, unless otherwise defined.

Projects Completed are those for which the last remaining major construction contract is declared substantially complete by the managing agency unless otherwise defined. Equipment purchases are counted only at the project completion stage.

Actual Managing Agency Commitments are commitments by the agency responsible for project advancement, adjusted for unplanned amounts. In some cases, an agency may be responsible for managing a project for work that would normally be within the jurisdiction of another agency, e.g., the Department of Environmental Protection's replacement of a section of the street after completing major sewer work. In this case, DEP is the managing agency even though street work is the Department of Transportation's responsibility.

LOCAL SERVICE DISTRICT REPORTING

To adhere to requirements in Section 12d of the revised City Charter, the Mayor's Management Report will break down indicators wherever practicable by local service district (borough, community board, health district, etc.). For a more extensive breakdown, refer to the Office of Management and Budget's annual Local District Resource Statement. The Mayor's Office of Operations will continue to refine choices of Report indicators based on its work with City agencies.

AGENCY INTERNAL CONTROLS

As required under Section 12c(3) of the New York City Charter, Mayoral agencies are required to report annually on the state of their internal controls. Internal controls comprise the plan of organization, procedures and practices adopted within an organization to ensure efficient and effective use of resources, protect against misuse of property, account properly for revenues and expenditures, and assure reliability of management information and adherence to laws and policies.

A summary of Mayoral agencies' internal control reports was included in the Preliminary Fiscal 2001 Mayor's Management Report. The next annual report on agency internal controls will be included in the Preliminary Fiscal 2002 Mayor's Management Report.

PROCUREMENT PERFORMANCE

Prompt Payment

The prompt payment indicators appearing in Section H of the Citywide Indicators in Volume II of this Report reflect agency timeliness in processing all payments to the City's contractors. Data is provided by the Financial Information Services Agency (FISA) and include all payments from both general and capital funds made during Fiscal 2001 for Mayoral agencies. Agency-specific data is provided for the percent of all payments, both in terms of the dollar value and the number of invoices, which were paid on time (generally within 30 calendar days of the required date) and by the grace period (generally within 45 calendar days of the required date), and the interest actually paid to contractors. It should be noted, however, that certain categories of payment are not subject to interest even if not paid on time (e.g., advance payments to not-for-profit human services contractors). Agency data is totaled to present an overall picture of prompt payment citywide.

Contract Performance Evaluations

As required by the City Charter, the Administrative Code, and the Procurement Policy Board (PPB) Rules, agencies are responsible for monitoring and evaluating the performance of all contractors. Pursuant to established procedures, contract performance evaluations are required for the following categories only where vendor performance is unsatisfactory:

- Goods procured by means of competitive sealed bidding
- Procurements at or below the small purchase limits set forth in the PPB rules.

The report of unsatisfactory vendor performance (i.e., Form 5) is to be filed for entry into the VENDEX System.

Contract performance evaluations are required for all other procurements as follows:

- A comprehensive, fully documented evaluation of contractor performance (i.e., Form 1, 2, 2S, 3, or 4, as applicable) is to be filed for entry into the VENDEX System within 120 days of the contract's expiration, completion, or termination date.
- In addition, for each such contract with an original or amended term of greater than 12 months, a comprehensive, fully documented evaluation of contractor performance also is to be filed for entry into the VENDEX System within 120 days of the last day of each 12-month period following the contract's effective date. (Where interim and final performance evaluations are due within the same 120-day period, only one evaluation need be prepared.)
- Agencies shall continue to monitor and conduct performance evaluations on an ongoing basis in order to have the requisite information available in a timely manner for determining whether an existing contract should be continued, renewed, or terminated, or whether a corrective action plan is needed to assure that the contractor fully complies with its contractual responsibilities.

Section H of the Citywide Indicators in Volume II of this Report includes the following Contract Performance Evaluation Indicators for all Mayoral operating agencies for those contracts for which comprehensive, fully documented evaluations of contractor performance were due to be completed within Fiscal 2001 (i.e., the contract expiration, completion or termination date, as applicable, or the last day of the 12-month contract period, occurred between March 3, 2000 and March 2, 2001): Number of Contract Performance Evaluations Due; Number and Percent Completed; and Number and Percent of Contract Performance Evaluations Rated Unsatisfactory.

TECHNICAL NOTE

Procurements Awarded

Section H of the Citywide Indicators in Volume II of this Report includes data on the number and the total original maximum dollar amount of procurements awarded during the 12-month period ending June 30, 2001, except as noted below, for all Mayoral operating agencies for all categories of contracts: goods, construction and/or construction-related services, human services, and other. The data presented, which is maintained in the City's Integrated Comprehensive Contract Information System (ICCIS), excludes all small purchases, purchase orders, and the encumbrances imposed for multi-year contracts awarded prior to Fiscal 2001. Therefore, the data presented does not reflect the full level of goods, construction, and services actually funded by the City during Fiscal 2001.

Procurement Processing Cycle Times

Section H of the Citywide Indicators in Volume II of this Report includes data on the average number of calendar days required to process contracts awarded from typical procurements during the 12-month period ending June 30, 2001 for all Mayoral operating agencies, utilizing key methods of award.

In order to reflect the efficiency of the procurement process meaningfully, processing cycle time is measured from the date that a solicitation was publicly released (i.e., the date on which the Invitation for Bids or Request for Proposals was issued; or, as applicable, the date on which a notice of intent to enter into negotiations was published in the City Record; or, where public notice was not required, the date on which vendors were solicited) through the date the procurement was completed (i.e., the date on which a contract was submitted to the Comptroller's Office for registration). The processing cycle time for contracts that resulted from atypical procurements, for example, those that were subject to litigation, court injunctions, vendor protests, vendor criminal investigations, adverse responsibility determinations or similarly aberrational circumstances, are excluded from the average procurement processing cycle time calculations.

Competitiveness in Procurements

Section H of the Citywide Indicators in Volume II of this Report includes an indicator reflecting the level of vendor competitiveness achieved by all Mayoral operating agencies for all contracts awarded via competitive sealed bidding or competitive sealed proposals and for construction and/or construction-related services contracts awarded via any method of award during Fiscal 2001. The data, which are maintained in ICCIS, include for each of these groupings: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded for which there were 3 or more Bids/Proposals; and Dollar Value and Percent of Total Dollar Value of Contracts Awarded for which there were 3 or more Bids/Proposals.

Agency Procurement Actions

Section 12c(5) of the City Charter requires that the Mayor's Management Report include for each agency a summary of the contracts entered into during the previous fiscal year, categorized by the method of award used and the dollar value. This information, which is maintained in ICCIS, is included annually in the September Mayor's Management Report, and appears in Section H of the Citywide Indicators in Volume II of this Report. It should be noted, however, that the manner in which contracting information is presented in ICCIS precludes analysis or meaningful conclusions about contracting trends from use of that database alone. For example, ICCIS reflects the total amount of a contract in whatever year the contract is registered. Thus, depending upon when particular multi-year contracts expire and need to be re-let, there will be an increase in contracting activity reflected in ICCIS for the year in which the new multi-year contracts are registered, with no contracting activity reflected during the intervening years, even though the goods, services, or construction provided for in these contracts continue to be funded and provided.

TECHNICAL NOTE

Performance-Based Contracting

This section includes data reflecting the level of performance-based contracting for all Mayoral operating agencies for new contracts awarded for services and construction during Fiscal 2001. A contract is considered to be performance-based if it incorporates one or more of the following payment methods in whole or in large part: performance-based outcome measures and related financial incentives and/or disincentives; unit payments tied to outcomes; milestone payments tied to outcomes; liquidated damages tied to outcomes. Data is furnished by the agencies and includes: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded which were Performance-Based; and Dollar Value and Percent of Total Dollar Value of Contracts Awarded which were Performance-Based. See Citywide Indicators Section H for more detail.

Retroactive Contracts

These indicators reflect the level of contract retroactivity (i.e., contracts which started prior to the completion of the procurement process, that is, the date on which a contract was registered by the Comptroller's Office) for all Mayoral operating agencies for all new and renewal contracts, except emergency contracts and accelerated procurement contracts, awarded during Fiscal 2001. The data, which are maintained in the City's Financial Management System (FMS), includes: Total Number of Contracts Awarded; Total Dollar Value of Contracts Awarded; Number and Percent of Total Contracts Awarded which were Retroactive; Dollar Value and Percent of Total Dollar Value of Contracts Awarded which were Retroactive; and Average Number of Days that Elapsed Between the Contract Start Date and the Contract Registration Date. See Citywide Indicators Section H for more detail.