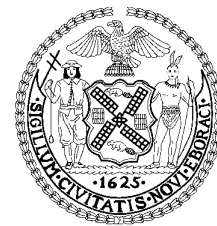
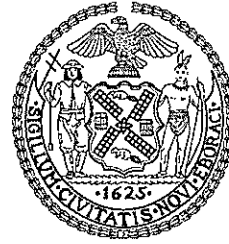


Financial Plan Statements
for
New York City
November 2009



The City of New York



This report contains Financial Plan Statements for November 2009 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

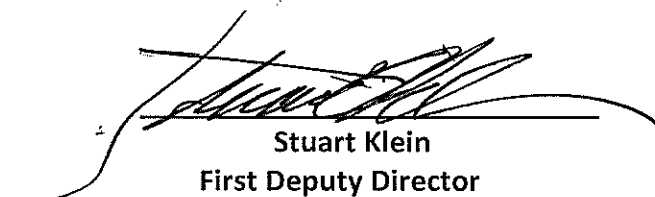
The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on November 16, 2009.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK

BY



Stuart Klein

**First Deputy Director
Office of Management and Budget**



Marcia J. Van Wagner

**Deputy Comptroller Budget
Office of the Comptroller**

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2009 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2010 for OTPS purchase orders and contracts expected to be received by June 30, 2010 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2010 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2010.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 67	\$ 59	\$ 8	\$ 8,836	\$ 8,828	\$ 8	\$ 16,064	\$ 16,064	\$ -
OTHER TAXES	872	911	(39)	6,507	6,546	(39)	19,929	19,929	-
MISCELLANEOUS REVENUES	491	388	103	1,969	1,866	103	6,135	6,135	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	340	340	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(158)	(99)	(59)	(251)	(192)	(59)	(1,768)	(1,768)	-
	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	1,272	1,259	13	17,061	17,048	13	40,685	40,685	-
OTHER CATEGORICAL GRANTS	16	90	(74)	359	433	(74)	1,163	1,163	-
CAPITAL INTER-FUND TRANSFERS	22	34	(12)	96	108	(12)	486	486	-
FEDERAL GRANTS	353	394	(41)	973	1,014	(41)	7,256	7,256	-
STATE GRANTS	1,119	1,115	4	2,980	2,976	4	11,518	11,518	-
TOTAL REVENUES	\$ 2,782	\$ 2,892	\$ (110)	\$ 21,469	\$ 21,579	\$ (110)	\$ 61,108	\$ 61,108	\$ -
EXPENDITURES:									
PS	\$ 2,662	\$ 2,717	\$ 55	\$ 12,194	\$ 12,083	\$ (111)	\$ 36,409	\$ 36,409	\$ -
OTPS	1,226	1,537	311	14,058	13,440	(618)	25,066	25,066	-
DEBT SERVICE	4	10	6	19	61	42	1,101	1,101	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	3,892	4,264	372	26,271	25,584	(687)	62,876	62,876	-
LESS: INTRA-CITY EXPENSES	(158)	(99)	59	(251)	(192)	59	(1,768)	(1,768)	-
TOTAL EXPENDITURES	\$ 3,734	\$ 4,165	\$ 431	\$ 26,020	\$ 25,392	\$ (628)	\$ 61,108	\$ 61,108	\$ -
SURPLUS/(DEFICIT)	\$ (952)	\$ (1,273)	\$ 321	\$ (4,551)	\$ (3,813)	\$ (738)	\$ -	\$ -	\$ -

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: NOVEMBER
FISCAL YEAR 2010

	ACTUAL					FORECAST											
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR			
REVENUES:																	
TAXES																	
GENERAL PROPERTY TAXES	\$ 7,382	\$ 37	\$ 648	\$ 702	\$ 67	\$ 2,774	\$ 3,249	\$ 47	\$ 806	\$ 333	\$ 7	\$ 43	\$ (31)	\$ 16,064			
OTHER TAXES	922	863	2,503	1,347	872	2,364	2,270	881	2,188	1,581	877	2,904	357	19,929			
MISCELLANEOUS REVENUES	588	292	255	343	491	363	488	348	604	544	672	749	398	6,135			
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	340	-	340			
LESS: INTRA-CITY REVENUES	(3)	(2)	(17)	(71)	(158)	(113)	(205)	(97)	(150)	(177)	(113)	(264)	(398)	(1,768)			
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)			
SUBTOTAL	8,889	1,190	3,389	2,321	1,272	5,388	5,802	1,179	3,448	2,281	1,438	3,762	326	40,685			
OTHER CATEGORICAL GRANTS	108	163	24	48	16	22	82	60	62	202	65	311	-	1,163			
CAPITAL INTER-FUND TRANSFERS	-	11	28	35	22	36	97	33	26	24	24	27	123	486			
FEDERAL GRANTS	9	22	159	430	353	435	691	722	634	652	659	642	1,848	7,256			
STATE GRANTS	65	25	1,571	200	1,119	945	926	1,067	1,114	1,190	1,015	997	1,284	11,518			
TOTAL REVENUES:	\$ 9,071	\$ 1,411	\$ 5,171	\$ 3,034	\$ 2,782	\$ 6,826	\$ 7,598	\$ 3,061	\$ 5,284	\$ 4,349	\$ 3,201	\$ 5,739	\$ 3,581	\$ 61,108			
EXPENDITURES:																	
PS	\$ 1,462	\$ 2,468	\$ 2,670	\$ 2,932	\$ 2,662	\$ 2,807	\$ 3,405	\$ 2,714	\$ 2,713	\$ 2,747	\$ 2,730	\$ 4,835	\$ 2,264	\$ 36,409			
OTPS	7,721	2,230	1,677	1,204	1,226	1,180	1,877	1,379	1,705	1,171	1,091	1,930	675	25,066			
DEBT SERVICE	45	(12)	(11)	(7)	4	3	37	41	20	184	85	712	-	1,101			
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300			
SUBTOTAL	9,228	4,686	4,336	4,129	3,892	3,990	5,319	4,134	4,438	4,102	3,906	7,477	3,239	62,876			
LESS: INTRA-CITY EXPENSES	(3)	(2)	(17)	(71)	(158)	(113)	(205)	(97)	(150)	(177)	(113)	(264)	(398)	(1,768)			
TOTAL EXPENDITURES	\$ 9,225	\$ 4,684	\$ 4,319	\$ 4,058	\$ 3,734	\$ 3,877	\$ 5,114	\$ 4,037	\$ 4,288	\$ 3,925	\$ 3,793	\$ 7,213	\$ 2,841	\$ 61,108			
SURPLUS/(DEFICIT)	\$ (154)	\$ (3,273)	\$ 852	\$ (1,024)	\$ (952)	\$ 2,949	\$ 2,484	\$ (976)	\$ 996	\$ 424	\$ (592)	\$ (1,474)	\$ 740	\$ -			

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

DESCRIPTION	INITIAL PLAN 6/23/2009	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,072	\$ (8)	\$ -
OTHER TAXES	19,128	801	-
MISCELLANEOUS REVENUES	5,973	162	-
UNRESTRICTED INTERGOVERNMENTAL AID	340	-	-
LESS:INTRA-CITY REVENUES	(1,669)	(99)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	39,829	856	-
OTHER CATEGORICAL GRANTS	1,053	110	-
CAPITAL INTERFUND TRANSFERS	486	-	-
FEDERAL GRANTS	6,600	656	-
STATE GRANTS	11,512	6	-
TOTAL REVENUES	\$ 59,480	\$ 1,628	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 35,949	\$ 460	\$ -
OTHER THAN PERSONAL SERVICE	24,423	643	-
DEBT SERVICE	477	624	-
GENERAL RESERVE	300	-	-
SUBTOTAL	61,149	1,727	-
LESS:INTRA-CITY EXPENDITURES	(1,669)	(99)	-
TOTAL EXPENDITURES	\$ 59,480	\$ 1,628	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 67	\$ 59	\$ 8	\$ 8,836	\$ 8,828	\$ 8	\$ 16,064	\$ 16,064	\$ -
PERSONAL INCOME TAX	311	358	(47)	2,360	2,407	(47)	6,278	6,278	-
GENERAL CORPORATION TAX	(2)	14	(16)	410	426	(16)	2,156	2,156	-
BANKING CORPORATION TAX	(9)	-	(9)	169	178	(9)	674	674	-
UNINCORPORATED BUSINESS TAX	(6)	(4)	(2)	328	330	(2)	1,534	1,534	-
GENERAL SALES TAX	386	357	29	1,848	1,819	29	4,789	4,789	-
REAL PROPERTY TRANSFER TAX	47	50	(3)	236	239	(3)	566	566	-
MORTGAGE RECORDING TAX	29	39	(10)	162	172	(10)	448	448	-
COMMERCIAL RENT TAX	7	3	4	154	150	4	563	563	-
UTILITY TAX	26	22	4	111	107	4	376	376	-
OTHER TAXES	44	43	1	243	242	1	889	889	-
TAX AUDIT REVENUES *	39	29	10	265	255	10	746	746	-
TAX PROGRAM	-	-	-	-	-	-	-	-	-
STAR PROGRAM	-	-	-	221	221	-	910	910	-
TOTAL TAXES	\$ 939	\$ 970	\$ (31)	\$ 15,343	\$ 15,374	\$ (31)	\$ 35,993	\$ 35,993	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	88	90	(2)	218	220	(2)	479	479	-
INTEREST INCOME	3	2	1	8	7	1	30	30	-
CHARGES FOR SERVICES	82	35	47	250	203	47	737	737	-
WATER AND SEWER CHARGES	63	65	(2)	695	697	(2)	1,369	1,369	-
RENTAL INCOME	6	10	(4)	91	95	(4)	220	220	-
FINES AND FORFEITURES	64	68	(4)	336	340	(4)	899	899	-
MISCELLANEOUS	27	19	8	120	112	8	633	633	-
INTRA-CITY REVENUE	158	99	59	251	192	59	1,768	1,768	-
TOTAL MISCELLANEOUS	\$ 491	\$ 388	\$ 103	\$ 1,969	\$ 1,866	\$ 103	\$ 6,135	\$ 6,135	\$ -

* The financial plan as submitted on November 16, 2009 reflects \$746 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH	YEAR-TO-DATE	FISCAL YEAR 2010
	ACTUAL	ACTUAL	PLAN
SALES TAX	\$ 1	\$ 4	\$ 20
PERSONAL INCOME TAX	5	7	25
GENERAL CORPORATION TAX	15	128	411
COMMERCIAL RENT TAX	3	5	15
FINANCIAL CORPORATION TAX	13	109	201
UTILITY TAX	-	2	8
UNINCORPORATED BUSINESS TAX	2	8	49
REAL PROPERTY TRANSFER	-	1	7
OTHER TAXES	-	1	10
TOTAL	\$ 39	\$ 265	\$ 746

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	327	327	-
OTHER INTGOVT. AID	-	-	-	-	-	-	13	13	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 340	\$ 340	\$ -
OTHER CATEGORICAL GRANTS	16	90	(74)	359	433	(74)	1,163	1,163	-
CAPITAL INTER-FUND TRANSFERS	22	34	(12)	96	108	(12)	486	486	-
LESS: INTRA-CITY REVENUES	(158)	(99)	(59)	(251)	(192)	(59)	(1,768)	(1,768)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	23	25	(2)	77	79	(2)	307	307	-
WELFARE	263	218	45	693	648	45	2,715	2,715	-
EDUCATION	20	26	(6)	47	53	(6)	2,773	2,773	-
OTHER	47	125	(78)	156	234	(78)	1,461	1,461	-
TOTAL FEDERAL GRANTS	\$ 353	\$ 394	\$ (41)	\$ 973	\$ 1,014	\$ (41)	\$ 7,256	\$ 7,256	\$ -
STATE GRANTS									
WELFARE	215	173	42	449	407	42	1,978	1,978	-
EDUCATION	841	821	20	2,428	2,408	20	8,186	8,186	-
HIGHER EDUCATION	41	-	41	41	-	41	198	198	-
HEALTH AND MENTAL HYGIENE	2	102	(100)	3	103	(100)	490	490	-
OTHER	20	19	1	59	58	1	666	666	-
TOTAL STATE GRANTS	\$ 1,119	\$ 1,115	\$ 4	\$ 2,980	\$ 2,976	\$ 4	\$ 11,518	\$ 11,518	\$ -
TOTAL REVENUES	\$ 2,782	\$ 2,892	\$ (110)	\$ 21,469	\$ 21,579	\$ (110)	\$ 61,108	\$ 61,108	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 341	\$ 340	\$ (1)	\$ 1,821	\$ 1,798	\$ (23)	\$ 4,596	\$ 4,596	\$ -
FIRE DEPT.	132	130	(2)	679	741	62	1,730	1,730	-
DEPT. OF CORRECTION	77	76	(1)	406	397	(9)	1,016	1,016	-
SANITATION DEPT.	112	182	70	737	687	(50)	1,304	1,304	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	189	139	(50)	1,786	1,695	(91)	2,687	2,687	-
DEPT. OF SOCIAL SERVICES	303	578	275	3,495	3,471	(24)	8,017	8,017	-
DEPT. OF HOMELESS SERVICES	68	57	(11)	676	386	(290)	854	854	-
HEALTH & MENTAL HYGIENE	43	47	4	1,182	1,177	(5)	1,726	1,726	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	51	49	(2)	379	262	(117)	626	626	-
ENVIRONMENTAL PROTECTION	84	55	(29)	479	482	3	1,043	1,043	-
TRANSPORTATION DEPT.	69	51	(18)	434	426	(8)	829	829	-
PARKS & RECREATION DEPT.	27	25	(2)	178	169	(9)	359	359	-
DEPT. OF CITYWIDE ADMIN. SERVICES	16	24	8	1,011	931	(80)	1,190	1,190	-
ALL OTHER	171	221	50	1,765	1,919	154	3,281	3,281	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,307	1,309	2	6,382	6,417	35	18,455	18,455	-
HIGHER EDUCATION	54	50	(4)	218	265	47	779	779	-
HEALTH & HOSPITALS CORP.	11	2	(9)	27	20	(7)	110	110	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	167	246	79	1,226	1,043	(183)	3,615	3,615	-
TRANSIT SUBSIDIES	29	51	22	70	79	9	349	349	-
JUDGMENTS & CLAIMS	39	36	(3)	258	133	(125)	663	663	-
OTHER	45	34	(11)	280	261	(19)	1,546	1,546	-
PENSION CONTRIBUTIONS	553	552	(1)	2,763	2,764	1	6,700	6,700	-
DEBT SERVICE	4	10	6	19	61	42	1,101	1,101	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 3,892	\$ 4,264	\$ 372	\$ 26,271	\$ 25,584	\$ (687)	\$ 62,576	\$ 62,576	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(158)	(99)	59	(251)	(192)	59	(1,768)	(1,768)	-
TOTAL EXPENDITURES	\$ 3,734	\$ 4,165	\$ 431	\$ 26,020	\$ 25,392	\$ (628)	\$ 61,108	\$ 61,108	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS			
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010 PROJECTIONS		FISCAL YEAR 2010 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES														
POLICE DEPT.	51,697	51,911	\$ 326	\$ 322	\$ (4)	\$ 1,634	\$ 1,621	\$ (13)	49,218	49,218	-	\$ 4,224	\$ 4,224	\$ -
FIRE DEPT.	16,195	16,361	113	118	5	572	595	23	15,998	15,998	-	1,540	1,540	-
DEPT. OF CORRECTION	10,568	10,604	69	66	(3)	339	334	(5)	10,510	10,510	-	884	884	-
SANITATION DEPT.	9,544	9,660	56	58	2	292	300	8	9,585	9,585	-	777	777	-
HEALTH & WELFARE														
ADMIN. FOR CHILD SERVICES	6,191	6,310	31	30	(1)	159	151	(8)	6,262	6,262	-	379	379	-
DEPT. OF SOCIAL SERVICES	14,073	14,583	56	55	(1)	286	286	-	14,596	14,596	-	723	723	-
DEPT. OF HOMELESS SERVICES	1,955	1,885	9	9	-	48	45	(3)	1,886	1,886	-	115	115	-
HEALTH & MENTAL HYGIENE	6,384	6,853	33	31	(2)	157	166	9	7,017	7,017	-	427	427	-
OTHER AGENCIES														
ENVIRONMENTAL PROTECTION	5,985	6,191	32	32	-	165	166	1	6,315	6,315	-	418	418	-
TRANSPORTATION DEPT.	4,848	5,017	29	28	(1)	151	140	(11)	5,034	5,034	-	378	378	-
PARKS & RECREATION DEPT.	6,605	6,812	25	20	(5)	134	120	(14)	6,809	6,809	-	279	279	-
CITYWIDE ADMIN. SERVICES	2,479	2,409	12	12	-	62	60	(2)	2,505	2,505	-	152	152	-
ALL OTHER	31,224	29,697	159	147	(12)	783	774	(9)	29,800	29,800	-	1,999	1,999	-
COVERED ORGANIZATIONS														
DEPT. OF EDUCATION	136,247	139,766	992	991	(1)	3,423	3,508	85	138,822	138,822	-	13,053	13,053	-
OTHER														
MISCELLANEOUS BUDGET	-	-	167	246	79	1,226	1,053	(173)	-	-	-	4,361	4,361	-
PENSION CONTRIBUTIONS	-	-	553	552	(1)	2,763	2,764	1	-	-	-	6,700	6,700	-
TOTAL	303,995	308,059	\$ 2,662	\$ 2,717	\$ 55	\$ 12,194	\$ 12,083	\$ (111)	304,357	304,357	-	\$ 36,409	\$ 36,409	\$ -

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: NOVEMBER
FISCAL YEAR 2010**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	50,275	49,996	(279)	47,491	47,491	-
FIRE DEPT.	16,121	16,297	176	15,929	15,929	-
DEPT. OF CORRECTION	10,522	10,553	31	10,457	10,457	-
SANITATION DEPT.	9,454	9,531	77	9,450	9,450	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	6,140	6,249	109	6,200	6,200	-
DEPT. OF SOCIAL SERVICES	14,049	14,582	533	14,582	14,582	-
DEPT. OF HOMELESS SERVICES	1,954	1,884	(70)	1,884	1,884	-
HEALTH & MENTAL HYGIENE	5,198	5,503	305	5,625	5,625	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,827	6,034	207	6,155	6,155	-
TRANSPORTATION DEPT.	4,441	4,747	306	4,819	4,819	-
PARKS & RECREATION DEPT.	3,722	3,572	(150)	3,417	3,417	-
CITYWIDE ADMIN. SERVICES	2,151	2,031	(120)	2,132	2,132	-
ALL OTHER	25,979	25,891	(88)	26,059	26,059	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	121,545	122,796	1,251	121,852	121,852	-
TOTAL	277,378	279,666	2,288	276,052	276,052	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on November 16, 2009.

There are 303,995 filled positions as of November of which 277,378 are full-time positions and 26,617 are full-time equivalent positions. Of the 303,995 filled positions, 264,748 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2010) 276,052 of the 304,357 positions are full-time and 260,419 of the 304,357 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2010 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(23) million year-to-date variance is primarily due to:

- \$(37) million in accelerated encumbrances, including \$(10) million for special expense, \$(5) million for data processing equipment, \$(3) million for professional computer services and \$(3) million for motor vehicle fuel.
- \$27 million in delayed encumbrances, including \$10 million for motor vehicles, \$6 million for general contractual services and \$3 million for general supplies and materials.
- \$(13) million in personal services, including \$(35) million in overtime and \$(7) million for backpay that will be journaled back to prior years, offset by \$10 million in full-time normal gross, \$10 million for fringe benefits and \$8 million in differentials.

Fire Department: The \$62 million year-to-date variance is primarily due to:

- \$64 million in delayed encumbrances, primarily for general contractual services.

- \$(25) million in accelerated encumbrances, including \$(4) million in general maintenance and repairs, \$(4) million in medical, surgical and lab supplies, \$(3) million in general supplies and materials and \$(3) million in automotive supplies and materials.
- \$23 million in personal services primarily due to overtime.

Department of Sanitation: The \$(50) million year-to-date variance is primarily due to:

- \$(71) million in accelerated encumbrances, including \$(48) million in municipal waste export, \$(12) million in general contractual services and \$(7) million in general supplies and materials.
- \$13 million in delayed encumbrances, primarily for heat, light and power and automotive supplies and materials.
- \$8 million in personal services.

Administration for Children's Services: The \$(91) million year-to-date variance is primarily due to:

- \$(117) million in accelerated encumbrances, including \$(13) million for direct foster care of children, \$(19) million for special educational facilities for the institutionalized and foster care, \$(17) million for child welfare services, \$(16) million for homemaking services, \$(16) million for general fixed charges, \$(10) million for day care of children and \$(10) million for children charitable institutions.
- \$34 million in delayed encumbrances, including \$9 million for subsidized adoption, \$7 million for Head Start and \$3 million for rental of land, buildings and structures.
- \$(8) million in personal services, primarily for full-time normal gross.

Department of Social Services: The \$(24) million year-to-date variance is primarily due to:

- \$(57) million in accelerated encumbrances, including \$(19) million for AIDS services, \$(7) million for non-grant charges, \$(6) million for homeless family services and \$(4) million for protective services for adults.
- \$33 million in delayed encumbrances, including \$6 million for children's voluntary agency Medicaid, \$5 million for home care services, \$4 million for rentals of land, buildings and structures and \$3 million for data processing equipment.

Department of Homeless Services: The \$(290) million year-to-date variance is primarily due to:

- \$(389) million in accelerated encumbrances, primarily for homeless family and individual services.
- \$102 million in delayed encumbrances, primarily for general contractual services.
- \$(3) million in personal services.

Department of Housing Preservation and Development: The \$(117) million year-to-date variance is primarily due to:

- \$(120) million in accelerated encumbrances, including \$(69) million for Federal Section 8 Rent Subsidy, \$(37) million for general contractual services and \$(6) million for general maintenance and repair.
- \$5 million in delayed encumbrances, primarily for heat, light and power and other general expenses.
- \$(2) million in personal services.

Department of Citywide Administrative Services: The \$(80) million year-to-date variance is primarily due to:

- \$(87) million in accelerated encumbrances, including \$(78) million for heat, light and power and \$(3) million

for general supplies and materials.

- \$9 million in delayed encumbrances, primarily for rentals of land, buildings and structures and general contractual services.
- \$(2) million in personal services.

Department of Education: The \$35 million year-to-date variance is primarily due to:

- \$(50) million in OTPS, primarily due to accelerated encumbrances of \$(175) million for payments to contract schools and corporate schools, \$(46) million for maintenance and operation of infrastructure, \$(37) million for direct educational services to students, \$(36) million for other professional services, \$(22) million for curriculum and professional development, \$(13) million for food and forage supplies, \$(8) million for data processing equipment, \$(5) million for MTA Payroll Tax, \$(5) million for fuel oil, \$(4) million for payments to Fashion Institute of Technology, \$(3) million for training program for city employees and \$(3) million for data processing equipment offset by delayed encumbrances of \$159 million for general supplies and materials, \$36 million for transportation of pupils, \$27 million for heat, light and power, \$21 million for books, \$10 million for rentals of land, buildings and structures, \$8 million for telecommunications maintenance, \$7 million for general contractual services, \$7 million for payments for surety bonds and insurance premiums, \$6 million for library books, \$6 million for telephone and other communications, \$3 million for non overnight travel expenditures, \$3 million for printing contracts and \$3 million for office equipment maintenance.
- \$85 million in personal services, of which \$(65) million represents backpay that will be journaled to prior years and \$150 million represents the current year spending variance.

Higher Education: The \$47 million year-to-date variance is primarily due to:

- \$67 million in delayed encumbrances, primarily for advance to State of New York for CUNY senior college expenditures and heat, light and power.

- \$(31) million in accelerated encumbrances, primarily for security services and general supplies and materials.
- \$11 million in personal services, primarily for fringe benefits.

Miscellaneous: The \$(318) million year-to-date variance is primarily due to:

- \$(183) million in fringe benefits reflecting accelerated encumbrances primarily due to prior year charges and an unplanned collectively bargained welfare fund lump sum payment.
- \$9 million in transit subsidies for delayed encumbrances.
- \$(125) million in judgments and claims reflecting accelerated encumbrances primarily due to prior year charges.
- \$(19) million in other.

Debt Service: The \$42 million year-to-date variance is primarily due to:

- \$55 million in delayed encumbrances primarily for general interest on bonds and payments to counterparties.
- \$(13) million in accelerated encumbrances primarily for blended component units and costs associated with financing.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR: 2010		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$5.0 (C)	\$0.0 (C)	\$40.0 (C)	\$0.0 (C)	\$144.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	32.2 (N)
HIGHWAY AND STREETS	14.1 (C)	0.0 (C)	127.8 (C)	57.3 (C)	562.7 (C)
	3.8 (N)	23.6 (N)	6.1 (N)	47.6 (N)	188.2 (N)
HIGHWAY BRIDGES	1.8 (C)	0.0 (C)	132.3 (C)	24.6 (C)	411.9 (C)
	0.0 (N)	0.0 (N)	264.9 (N)	0.0 (N)	340.2 (N)
WATERWAY BRIDGES	8.1 (C)	0.0 (C)	18.0 (C)	14.2 (C)	312.4 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	344.8 (N)
WATER SUPPLY	6.0 (C)	0.0 (C)	47.8 (C)	0.0 (C)	162.3 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
WATER MAINS, SOURCES & TREATMENT	63.0 (C)	3.7 (C)	211.7 (C)	10.5 (C)	781.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	(5.9) (N)	(4.3) (N)
SEWERS	1.7 (C)	1.5 (C)	12.3 (C)	8.7 (C)	303.7 (C)
	0.1 (N)	0.0 (N)	0.1 (N)	0.0 (N)	0.0 (N)
WATER POLLUTION CONTROL	56.1 (C)	0.0 (C)	916.3 (C)	140.9 (C)	1,365.4 (C)
	0.0 (N)	0.0 (N)	7.5 (N)	0.0 (N)	225.5 (N)
ECONOMIC DEVELOPMENT	86.5 (C)	0.0 (C)	207.6 (C)	9.7 (C)	1,213.6 (C)
	1.3 (N)	0.0 (N)	29.8 (N)	0.0 (N)	252.0 (N)
EDUCATION	10.0 (C)	42.7 (C)	284.4 (C)	467.8 (C)	1,326.7 (C)
	10.0 (N)	42.7 (N)	523.3 (N)	651.1 (N)	1,180.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR: 2010		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	0.4 (C) 0.0 (N)	0.0 (C) 0.0 (N)	12.4 (C) 0.0 (N)	225.5 (C) 3.8 (N)	295.9 (C) 3.8 (N)
SANITATION	4.6 (C) 0.0 (N)	169.3 (C) 0.0 (N)	223.6 (C) 0.0 (N)	516.3 (C) 0.0 (N)	953.6 (C) 4.7 (N)
POLICE	56.8 (C) 0.0 (N)	0.0 (C) 0.0 (N)	97.1 (C) 0.0 (N)	77.4 (C) 0.0 (N)	1,080.7 (C) 0.0 (N)
FIRE	26.8 (C) 1.3 (N)	0.0 (C) 0.0 (N)	48.7 (C) 3.8 (N)	0.0 (C) 2.3 (N)	209.9 (C) 23.7 (N)
HOUSING	1.6 (C) 8.6 (N)	0.0 (C) 0.0 (N)	20.5 (C) 13.9 (N)	2.6 (C) (0.2) (N)	710.7 (C) 194.0 (N)
HOSPITALS	28.1 (C) 0.0 (N)	8.4 (C) 0.0 (N)	61.1 (C) 0.0 (N)	24.8 (C) 0.0 (N)	251.9 (C) 0.0 (N)
PUBLIC BUILDINGS	5.5 (C) 0.0 (N)	0.0 (C) 0.0 (N)	34.4 (C) 0.0 (N)	13.1 (C) 0.0 (N)	428.3 (C) (0.1) (N)
PARKS	19.1 (C) 2.5 (N)	0.0 (C) 0.0 (N)	161.5 (C) 8.5 (N)	17.5 (C) 0.0 (N)	1,131.7 (C) 189.4 (N)
ALL OTHER DEPARTMENTS	118.9 (C) 0.4 (N)	2.4 (C) 1.2 (N)	1,042.5 (C) 145.7 (N)	25.9 (C) 7.1 (N)	4,038.9 (C) 382.1 (N)
TOTAL	\$514.2 (C) \$28.1 (N)	\$228.0 (C) \$67.5 (N)	\$3,699.9 (C) \$1,003.5 (N)	\$1,636.7 (C) \$705.7 (N)	\$15,685.2 (C) \$3,356.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: November

Fiscal Year: 2010

City Funds:

Total Authorized Commitment Plan	\$15,685
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,542)</u>
	<u>\$11,143</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,356
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$3,356</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2010 September Capital Commitment Plan of \$15,685 million rather than the Financial Plan level of \$11,143 million. The additional \$4,542 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through November are primarily due to timing differences.

- | | | |
|----------------------|---|---|
| Correction | - | Adolescent Reception Detention Center, Riker's Island, totaling \$6.0 million, slipped from July and August 2009 to February 2010. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$75.6 million, slipped from July and August 2009 to February 2010. Purchase of equipment for other use by the Department of Correction, totaling \$2.1 million, slipped from July 2009 to February 2010. Acquisition and construction of the supplementary housing program and support facilities, totaling \$68.0 million, slipped from July 2009 to February 2010. Purchase of computer equipment, totaling \$17.2 million, slipped from July thru September 2009 to February 2010. Riker's Island Infrastructure, totaling \$43.2 million, slipped from July thru November 2009 to February 2010. Various slippages and advances account for the remaining variance. |
| Education | - | Construction and equipment purchase in addition to the Five Year Plan, totaling \$7.3 million, slipped from October 2009 to February 2010. Five-Year Educational Capital Plan, totaling \$176.1 million, slipped from September thru November 2009 to February 2010. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition and site development for commercial redevelopment, City-wide, totaling \$130.1 million, advanced from June 2010 to July thru November 2009. Brooklyn Navy Yard, totaling \$37.1 million, advanced from June 2010 to November 2009. Economic development for industrial, waterfront and commercial purposes, totaling \$14.9 million, advanced from June 2010 to September 2009. Modernization and reconstruction of piers, City-wide, totaling \$9.1 million, advanced from June 2010 to August, October and November 2009. Various slippages and advances account for the remaining variance. |

- Fire

 - Fire alarm communication systems, totaling \$2.3 million, advanced from June 2010 to July 2009. Acquisition of vehicles for the Fire Department, totaling \$17.6 million, advanced from June 2010 to July thru November 2009. Fire Department facility improvements, City-wide, totaling \$23.5 million, advanced from June 2010 to July thru November 2009. Management information and Control System, totaling \$4.0 million, advanced from June 2010 to July thru November 2009. Various slippages and advances account for the remaining variance.

- Highway Bridges

 - Reconstruction of highway bridges and structures, City-wide, totaling \$7.6 million, slipped from July and August 2009 to February 2010. Design cost for bridge facilities, City-wide, totaling \$14.7 million, advanced from June 2010 to September thru November 2009. Reconstruction of Bruckner Expressway Bridge, totaling \$3.4 million, advanced from June 2010 to October 2009. Reconstruction of the Belt Parkway, totaling \$94.7 million, Brooklyn, advanced from April thru June 2010 to September 2009. Reconstruction of Wards Island Pedestrian Bridge, Manhattan, totaling \$2.4 million, advanced from June 2010 to August 2009. Various slippages and advances account for the remaining variance.

- Highways

 - Construction and reconstruction of highways, totaling \$12.3 million, slipped from July thru November 2009 to February 2010. Repaving and resurfacing of streets, City-wide, totaling \$97.8 million, advanced from June 2010 to October 2009. Sidewalk reconstruction, totaling \$3.5 million, slipped from September 2009 to February 2010. Reconstruction of Paulding Avenue, totaling \$2.7 million, slipped from September 2009 to February 2010. Reconstruction of Columbia Street, totaling \$2.6 million, slipped from September and October 2009 to February 2010. Reconstruction of City-owned retaining walls, City-wide, totaling \$4.4 million, slipped from September and October 2009 to February 2010. Various slippages and advances account for the remaining variance.

- Housing

 - City capital subsidizes Housing Authority projects, totaling \$13.0 million, advanced from June 2010 to October and November 2009. Tenant interim lease program, totaling \$3.8 million, advanced from June 2010 to July 2009. Deregistration of contracts for Neighborhood redevelopment, totaling, \$3.0 million, occurred in November 2009. Deregistration of contracts for Housing Stabilization, totaling \$4.3 million, occurred in November 2009. Queens's west program, totaling \$4.0 million, advanced from May 2010 to October 2009. Various slippages and advances account for the remaining variance.

- Parks
- Miscellaneous parks and parkways, City-wide, totaling \$11.6 million, advanced from June 2010 to August thru November 2009. Reconstruction of Park Building, Marine Park, totaling \$4.6 million, advanced from June 2010 to September 2009. Construction and reconstruction of playgrounds and recreation facilities, related to PLANYC, totaling \$21.3 million, advanced from May and June 2010 to September and October 2009. Construction and reconstruction of Ferry Point Park, totaling \$53.9 million, advanced from June 2010 to September 2009. Street and park tree planting, City-wide, totaling \$22.2 million, advanced from June 2010 to August thru November 2009. Purchase of equipment for the use by the Department of Parks and Recreation, totaling \$2.3 million, advanced from March 2010 to July thru November 2009. Improvements to Downing Stadium, totaling \$14.7 million, advanced from June 2010 to August and November 2009. Park improvements, City-wide, totaling \$4.7 million, advanced from May and June 2010 to October and November 2009. Infrastructure improvements in the area of the new Yankee Stadium, totaling \$4.2 million, advanced from June 2010 to August thru October 2009. Various slippages and advances account for the remaining variance.
- Police
- Purchase of Ultra high frequency radio telephone equipment, totaling \$19.8 million, slipped from September and October 2009 to February 2010. Improvements to Police Department property, City-wide, totaling \$6.6 million, advanced from December 2009 and January 2010 to August thru October 2009. Marine launches for Harbor Unit, totaling \$2.8 million, slipped from September 2009 to February 2010. Acquisition and installation of computer equipment, City-wide, totaling \$3.9 million, slipped from October 2009 to February 2010. New public answering center, totaling \$7.1 million, slipped from September 2009 to February 2010. New Staten Island Police Precinct, totaling \$51.8 million, advanced from March and May 2010 to November 2009. Various slippages and advances account for the remaining variance.
- Public Buildings
- Reconstruction of Police Plaza, totaling \$2.5 million, advanced from June 2010 to August 2009. Deregistration of contracts for installation of fuel facility vapor control systems, totaling \$2.4 million, occurred in August and September 2009. Reconstruction and improvements at 253 Broadway, Manhattan, totaling \$7.8 million, advanced from May and June 2010 to September 2009. Construction and reconstruction of public buildings, City-wide, totaling \$5.8 million, advanced from April thru June 2010 to July thru November 2009. Various slippages and advances account for the remaining variance.

- Sanitation - Purchase of collection trucks and equipment, totaling \$13.4 million, advanced from January 2010 to September thru November 2009. Improvements to garages and other facilities, totaling \$13.9 million, slipped from July thru November 2009 to February 2010. Sanitation garages, City-wide, totaling \$152.7 million, slipped from September and November 2009 to February 2010. Construction of salt storage sheds, City-wide, totaling \$18.7 million, slipped from July thru November 2009 to February 2010. Construction of Marine Transfer Station, totaling \$116.3 million, slipped from July 2009 to February 2010. Purchase of electronic data processing equipment for the Department of Sanitation, totaling \$2.4 million, slipped from September 2009 to February 2010. Various slippages and advances account for the remaining variance.

- Transit - Improvements to Rapid Transit System, totaling \$4.0 million, advanced from June 2010 to November 2009. Miscellaneous reconstruction of lines under operation, totaling \$35.0 million, advanced from June 2010 to September 2009. Various slippages and advances account for the remaining variance.

- Water Supply - Additional water supply emergency and permanent, totaling \$30.5 million, advanced from June 2010 to July 2009. City tunnel number 3, stage 1, totaling \$4.4 million, advanced from June 2010 to September and October 2009. Contract for City tunnel number 3, stage 2, totaling \$13.0 million, advanced from June 2010 to July thru November 2009. Various slippages and advances account for the remaining variance.

- Water Mains - Water main extensions, City-wide, totaling \$2.5 million, slipped from November 2009 to February 2010. Construction of the Croton Filtration Plant, totaling \$58.9 million, advanced from April thru June 2010 to July thru November 2009. Improvements to structures on watersheds outside the City, totaling \$145.0 million, advanced from June 2010 to July thru November 2009. Various slippages and advances account for the remaining variance.

- Water Pollution Control - Hunts Point Water Pollution Control Plant, totaling \$4.1 million, advanced from June 2010 to August thru November 2009. Ward's Island Water Pollution Control Plant, totaling \$20.4 million, advanced from June 2010 to July thru November 2009. Reconstruction of North River water pollution control plant, totaling \$6.3 million, advanced from June 2010 to July thru September and November 2009. Reconstruction of

Water Pollution Control Plant Projects, totaling \$30.9 million, advanced from December 2009 and June 2010 to August thru November 2009. Construction of combined sewer overflow abatement, totaling \$8.4 million, advanced from June 2010 to September thru November 2009. Twenty Sixth Ward Water Pollution Control Plant, totaling \$6.5 million, advanced from June 2010 to July thru September and November 2009. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$4.5 million, advanced from June 2010 to July, August, October and November 2009. Construction and reconstruction of pumping stations, City-wide, totaling \$65.3 million, advanced from June 2010 to July thru September and November 2009. Engineering, architecture and administrative cost associated with construction at the Department of Environmental Protection, totaling \$17.2 million, advanced from June 2010 to July thru November 2009. Upgrade of Jamaica Water Pollution Control Plant, totaling \$53.2 million, advanced from June 2010 to September thru November 2009. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling \$165.5 million, advanced from June 2010 to July thru November 2009. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$383.0 million, advanced from June 2010 to July thru November 2009. Bionutrient removal facilities, City-wide, totaling \$8.8 million, advanced from June 2010 to August thru November 2009. Various slippages and advances account for the remaining variance.

Others

- Purchase of EDP equipment, totaling \$36.7 million, advanced from June 2010 to July thru November 2009. Emergency communication system and facilities, totaling \$600.3 million, advanced from June 2010 to July thru October 2009.
- Purchase of equipment for the use of The Department of Environmental Protection, totaling \$2.6 million, advanced from June 2010 to July thru November 2009. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$7.9 million, advanced from June 2010 to November 2009. Remedial action at closed landfills, totaling \$150.7 million, advanced from June 2010 to August thru September 2009. Deregistration of contracts for the installation of water measuring devices, City-wide, totaling \$12.3 million, occurred in November 2009.
- Construction and reconstruction for the Department of Homeless, totaling \$5.8 million, advanced from December 2009 thru June 2010 to July thru November 2009.

- Improvements to health facilities, City-wide, totaling \$8.6 million, advanced from January and June 2010 to July thru November 2009.
- City University improvements, City-wide, totaling \$4.3 million, advanced from June 2010 to July thru November 2009. Equipment purchase for Manhattan CUNY schools, totaling \$3.0 million, advanced from June 2010 to October 2009. Improvements to CUNY Community College, totaling \$16.0 million, advanced from June 2010 to August thru November 2009.
- Reconstruction and renovations of the New York State Theatre, totaling \$23.3 million, advanced from June 2010 to October and November 2009.
- Communication and other equipment, totaling, \$3.4 million, advanced from June 2010 to July thru November 2009. Purchase of electronic data processing equipment, totaling \$127.1 million, advanced from June 2010 to July thru November 2009. Purchase of electronic data processing equipment for FISA, totaling \$11.4 million, advanced from June 2010 to July thru November 2009. Judgments and settlements in connection with capital projects, totaling \$4.4 million, slipped from August 2009 to February 2010. Financing capital expenditures, totaling \$8.2 million, occurred from August thru November 2009.
- Computer equipment for the Department of Transportation, totaling \$9.4 million, slipped from August 2009 to February 2010.
- Installation of traffic signals, City-wide, totaling \$6.5 million, advanced from June 2010 to July and November 2009. Street lighting draw down, City-wide, totaling \$4.7 million, advanced from June 2010 to September thru November 2009.

3. Variances in year-to-date commitments of non-City funds through November occurred in the Department of Education, Department of Business Services, the Department of Environmental Protection, the Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation and others.

- Education - Five-Year Educational Capital Plan, 2005 to 2009, totaling \$48.3 million, advanced from June 2010 to October 2009. The New Five-Year Educational Capital plan 2010-2014, totaling \$176.1 million, slipped from September, October and November 2009 to February 2010. Various slippages and advances account for the remaining variance.
- Economic Development - Acquisition, site development, construction and reconstruction related to Economic development, totaling \$29.6 million, advanced from February and June 2010 to August thru November 2009. Various slippages and advances account for the remaining variance.
- Housing - Deregistration of contracts for Neighborhood Redevelopment, City-wide, totaling \$7.4 million, occurred in November 2009. Assisted living and Senior housing, City-wide, totaling \$4.8 million, advanced from June 2010 to October 2009. Low income rental program, totaling \$2.4 million, advanced from June 2010 to October 2009. The Supportive Housing Program, totaling \$16.0 million, advanced from June 2010 to November 2009. Various slippages and advances account for the remaining variance.
- Parks - Miscellaneous parks, and playgrounds, City-wide, totaling \$5.6 million, advanced from June 2010 to August thru November 2009. Park improvements, City-wide, totaling \$2.7 million, advanced from June 2010 to October 2009.
- Highway Bridges - Reconstruction of the Belt Parkway, Brooklyn, totaling \$264.9 million, advanced from June 2010 to September 2009. Various slippages and advances account for the remaining variance.
- Highways - Construction and reconstruction of highways, City-wide, totaling \$6.6 million, slipped from August and September 2009 to February 2010. Sidewalk reconstruction, totaling \$2.2 million, advanced from December 2009 and June 2010 to November 2009. Private portion for highway projects, City-wide, totaling \$27.2 million, slipped from August thru November 2009 to February 2010. Hazard elimination program, City-wide, totaling \$5.6 million, slipped from July 2009 to February 2010. Reconstruction of all streets related to World Trade Center Cleanup, City-wide, totaling \$5.5 million, slipped from September and November 2009 to February 2010. Various slippages and advances account for the remaining variance.

- Water Mains
 - Planned deregistration of contracts for Water Supply Improvements, City-wide, totaling \$5.9 million, slipped from July 2009 to February 2010. Various slippages and advances account for the remaining variance.
- Water Pollution Control
 - Upgrade of Tallmans Water Pollution Control Plant, totaling \$7.5 million, advanced from June 2010 to August 2009. Various slippages and advances account for the remaining variance.
- Others
 - Remedial action at closed landfills, totaling \$100.0 million, advanced from June 2010 to September 2009.
 - Renovation of Lincoln Center, totaling \$18.6 million, advanced from June 2010 to September 2009.
 - Installation of traffic signals, City-wide, totaling \$20.7 million, advanced from June 2010 to July thru October 2009.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR: 2010	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$0.0 (C) 0.0 (N)	\$38.5 (C) 7.6 (N)
HIGHWAY AND STREETS	21.6 (C) 2.5 (N)		119.6 (C) 15.8 (N)	296.8 (C) 65.8 (N)
HIGHWAY BRIDGES	11.4 (C) 0.1 (N)		61.4 (C) 13.3 (N)	281.0 (C) 129.4 (N)
WATERWAY BRIDGES	10.2 (C) 15.2 (N)		54.4 (C) 53.2 (N)	209.2 (C) 162.3 (N)
WATER SUPPLY	4.9 (C) 0.0 (N)		27.6 (C) 0.0 (N)	164.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	117.1 (C) 0.0 (N)		564.1 (C) 0.0 (N)	957.6 (C) (0.9) (N)
SEWERS	17.6 (C) 0.0 (N)		76.9 (C) 0.1 (N)	130.0 (C) 0.2 (N)
WATER POLLUTION CONTROL	138.7 (C) 0.5 (N)		490.4 (C) 3.4 (N)	884.1 (C) 63.6 (N)
ECONOMIC DEVELOPMENT	45.1 (C) 3.1 (N)		149.4 (C) 21.9 (N)	274.5 (C) 80.3 (N)
EDUCATION	150.5 (C) 0.0 (N)		1,172.7 (C) 178.0 (N)	2,333.2 (C) 236.3 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: NOVEMBER		FISCAL YEAR: 2010	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	2.8 (C)		21.7 (C)	78.6 (C)
	0.0 (N)		0.0 (N)	0.9 (N)
SANITATION	14.7 (C)		72.1 (C)	260.2 (C)
	0.0 (N)		0.0 (N)	1.9 (N)
POLICE	10.5 (C)		34.8 (C)	235.7 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
FIRE	13.5 (C)		41.1 (C)	97.4 (C)
	0.0 (N)		3.3 (N)	7.2 (N)
HOUSING	18.5 (C)		96.9 (C)	229.8 (C)
	13.7 (N)		34.5 (N)	79.4 (N)
HOSPITALS	16.6 (C)		85.3 (C)	87.6 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	11.1 (C)		55.8 (C)	159.6 (C)
	0.1 (N)		0.2 (N)	0.2 (N)
PARKS	41.0 (C)		197.8 (C)	495.9 (C)
	2.1 (N)		12.2 (N)	59.2 (N)
ALL OTHER DEPARTMENTS	108.0 (C)		628.1 (C)	1,498.0 (C)
	12.9 (N)		37.1 (N)	123.9 (N)
TOTAL	\$753.6 (C)		\$3,950.0 (C)	\$8,712.6 (C)
	\$50.2 (N)		\$372.9 (N)	\$1,017.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: NOVEMBER
FISCAL YEAR 2010

	ACTUAL					FORECAST							12	ADJUST-	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$2,754	\$37	\$648	\$702	\$67	\$2,774	\$3,249	\$47	\$806	\$333	\$7	\$2,843	\$14,267	\$1,797	\$16,064
OTHER TAXES	495	855	2,486	1,329	895	2,394	2,205	975	2,029	1,711	872	3,041	19,287	642	19,929
FEDERAL GRANTS	272	102	64	273	264	407	642	669	709	631	641	755	5,429	1,827	7,256
STATE GRANTS	208	309	639	509	393	771	254	223	3,144	616	1,534	1,118	9,718	1,800	11,518
OTHER CATEGORICAL	152	208	25	78	78	19	44	58	40	182	35	58	977	186	1,163
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	(5)	(10)	(15)	340	325
MISCELLANEOUS REVENUES	585	290	238	272	333	250	283	251	454	367	559	485	4,367	-	4,367
CAPITAL INTER-FUND TRANSFERS	-	11	28	35	22	36	97	33	26	24	24	27	363	123	486
SUBTOTAL	4,466	1,812	4,128	3,198	2,052	6,651	6,774	2,256	7,208	3,864	3,667	8,317	54,393	6,715	61,108
PRIOR															
OTHER TAXES	627	268	15	-	-	-	-	-	-	-	-	-	910	-	910
FEDERAL GRANTS	255	244	368	131	170	76	63	41	23	18	15	38	1,442	788	2,230
STATE GRANTS	326	234	709	319	81	214	58	71	155	41	68	73	2,349	892	3,241
OTHER CATEGORICAL	15	24	(28)	101	(15)	6	17	17	16	16	14	20	203	350	553
UNRESTRICTED	-	-	63	-	-	215	-	-	-	-	-	24	302	25	327
MISC. REVENUE/CAPITAL IFA	87	-	-	-	-	-	-	-	-	-	-	-	87	(87)	-
SUBTOTAL	1,310	770	1,127	551	236	511	138	129	194	75	97	155	5,293	1,968	7,261
CAPITAL															
CAPITAL TRANSFERS	363	962	427	1,044	1,026	1,051	359	1,043	1,007	573	940	963	9,758	(1,045)	8,713
FEDERAL AND STATE	205	20	9	75	81	86	110	86	78	63	70	134	1,017	-	1,017
OTHER															
SENIOR COLLEGES	747	1	-	11	154	282	3	243	511	1	191	530	2,674	(747)	1,927
HOLDING ACCT. & OTHER ADJ.	21	6	(7)	12	8	(15)	(25)	-	-	-	-	-	-	-	-
OTHER SOURCES	-	186	-	373	125	-	-	-	-	-	-	-	684	-	684
TOTAL INFLOWS	\$7,112	\$3,757	\$5,684	\$5,264	\$3,682	\$8,566	\$7,359	\$3,757	\$8,998	\$4,576	\$4,965	\$10,099	\$73,819	\$6,891	80,710
CASH OUTFLOWS															
CURRENT															
PS	\$1,451	\$1,908	\$2,598	\$3,469	\$2,655	\$2,871	\$2,854	\$2,778	\$2,777	\$3,298	\$2,794	\$4,117	\$33,570	\$2,839	\$36,409
OTPS	1,146	1,865	1,935	1,894	1,434	1,940	1,842	1,740	2,080	1,813	1,712	2,428	21,829	1,769	23,598
DEBT SERVICE	13	1	-	1	12	4	20	24	51	201	77	697	1,101	-	1,101
SUBTOTAL	2,610	3,774	4,533	5,364	4,101	4,815	4,716	4,542	4,908	5,312	4,583	7,242	56,500	4,608	61,108
PRIOR															
PS	1,369	834	38	41	10	148	30	30	30	30	30	30	2,620	-	2,620
OTPS	853	393	29	3	545	137	30	30	30	25	400	25	2,500	-	2,500
OTHER TAXES	91	137	-	-	-	-	-	-	-	-	-	-	228	-	228
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,113	1,113
SUBTOTAL	2,313	1,364	67	44	555	285	60	60	60	55	430	55	5,348	1,113	6,461
CAPITAL															
CITY DISBURSEMENTS	722	569	1,004	901	754	914	829	409	771	526	773	541	8,713	-	8,713
FEDERAL AND STATE	198	39	32	53	50	86	166	88	80	65	72	88	1,017	-	1,017
OTHER															
SENIOR COLLEGES	145	120	104	157	139	299	148	148	148	222	148	149	1,927	-	1,927
OTHER USES	94	-	74	-	-	-	-	-	-	-	-	516	684	-	684
TOTAL OUTFLOWS	\$6,082	\$5,866	\$5,814	\$6,519	\$5,599	\$6,399	\$5,919	\$5,247	\$5,967	\$6,180	\$6,006	\$8,591	\$74,189	\$5,721	\$79,910
NET CASH FLOW	\$1,030	(\$2,109)	(\$130)	(\$1,255)	(\$1,917)	\$2,167	\$1,440	(\$1,490)	\$3,031	(\$1,604)	(\$1,041)	\$1,508	(\$370)	\$1,170	\$800
BEGINNING BALANCE	\$5,805	\$6,835	\$4,726	\$4,596	\$3,341	\$1,424	\$3,591	\$5,031	\$3,541	\$6,572	\$4,968	\$3,927	\$5,805		
ENDING BALANCE	\$6,835	\$4,726	\$4,596	\$3,341	\$1,424	\$3,591	\$5,031	\$3,541	\$6,572	\$4,968	\$3,927	\$5,435	\$5,435		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: NOVEMBER
FISCAL YEAR 2010

	ACTUAL					FORECAST							12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(145)	(120)	(104)	(157)	(139)	(299)	(148)	(148)	(148)	(222)	(148)	(149)	(1,927)	-	(1,927)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	100	3	243	511	1	191	530	1,579	348	1,927
SENIOR COLLEGES INFLOW - PRIOR	747	1	-	11	154	182	-	-	-	-	-	-	1,095	(1,095)	-
NET SENIOR COLLEGES	602	(119)	(104)	(146)	15	(17)	(145)	95	363	(221)	43	381	747	(747)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	900	200	100	1,356	166	848	-	1,675	1,321	-	977	675	8,218	-	8,218
(INC)/DEC RESTRICTED CASH	(780)	642	207	(472)	733	113	279	(702)	(314)	573	(37)	288	530	(1,045)	(515)
SUBTOTAL	120	842	307	884	899	961	279	973	1,007	573	940	963	8,748	(1,045)	7,703
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	-	-	389	334	-	-	-	-	-	-	-	723	-	723
(INC)/DEC RESTRICTED CASH	243	120	120	(229)	(207)	90	80	70	-	-	-	-	287	-	287
SUBTOTAL	243	120	120	160	127	90	80	70	-	-	-	-	1,010	-	1,010
TOTAL CITY CAPITAL TRANSFERS	363	962	427	1,044	1,026	1,051	359	1,043	1,007	573	940	963	9,758	(1,045)	8,713
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	17	20	9	75	81	86	110	86	78	63	70	134	829	188	1,017
PRIOR	188	-	-	-	-	-	-	-	-	-	-	-	188	(188)	-
TOTAL FEDERAL AND STATE INFLOWS	205	20	9	75	81	86	110	86	78	63	70	134	1,017	-	1,017
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(722)	(569)	(1,004)	(901)	(754)	(914)	(829)	(409)	(771)	(526)	(773)	(541)	(8,713)	-	(8,713)
FEDERAL AND STATE	(198)	(39)	(32)	(53)	(50)	(86)	(166)	(88)	(80)	(65)	(72)	(88)	(1,017)	-	(1,017)
TOTAL OUTFLOWS	(920)	(608)	(1,036)	(954)	(804)	(1,000)	(995)	(497)	(851)	(591)	(845)	(629)	(9,730)	-	(9,730)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(359)	393	(577)	143	272	137	(470)	634	236	47	167	422	1,045	(1,045)	-
NET NON-CITY CAPITAL	7	(19)	(23)	22	31	-	(56)	(2)	(2)	(2)	(2)	46	-	-	-
NET TOTAL CAPITAL	(352)	374	(600)	165	303	137	(526)	632	234	45	165	468	1,045	(1,045)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2009 beginning balance is consistent with the FY 2009 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2010 ending balance includes deferred revenue from FY 2011 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds and NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.