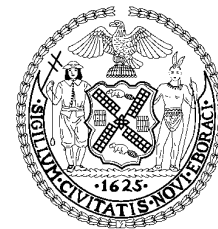


Financial Plan Statements
for
New York City
March 2013



The City of New York



This report contains the Financial Plan Statements for March 2013 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on January 29, 2013.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK
BY

A handwritten signature in black ink, appearing to read 'John Grathwol', written over a horizontal line.

John Grathwol
Deputy Director for Budget Resources,
Accounting and Workforce
Office of Management and Budget

A handwritten signature in black ink, appearing to read 'Ari Hoffnung', written over a horizontal line.

Ari Hoffnung
Deputy Comptroller for Budget and Public Affairs
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2012 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2013 for OTPS purchase orders and contracts expected to be received by June 30, 2013 are treated as expenditures.

(d) Risk Management

The City generally assumes the risk of its own losses with respect to most types of risks, including, but not limited to, property damage (both claims against the City and damage to the City's own property), personal injury, and workers' compensation; any losses incurred are paid out of the City's budget. The City's budgets and financial plans include estimates of judgments and claims to be settled annually, but there are no cash reserves for estimated losses incurred. Settlements reached or judgments entered during FY 2013 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2013.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The reporting of actual capital commitments, as well as sources and uses of capital expenditures, are based upon the accounting period of the transaction.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1 & 1A

Revenue and Obligation Forecast

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
REVENUES:							
TAXES							
GENERAL PROPERTY TAX	\$ 972	\$ 933	\$ 39	\$ 18,261	\$ 18,135	\$ 126	\$ 18,440
OTHER TAXES	2,972	2,678	294	18,379	17,974	405	25,631
SUBTOTAL: TAXES	\$ 3,944	\$ 3,611	\$ 333	\$ 36,640	\$ 36,109	\$ 531	\$ 44,071
MISCELLANEOUS REVENUES	609	412	197	4,435	4,178	257	6,586
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(130)	(151)	21	(752)	(802)	50	(1,777)
	-	-	-	-	-	-	(15)
SUBTOTAL: CITY FUNDS	\$ 4,423	\$ 3,872	\$ 551	\$ 40,323	\$ 39,485	\$ 838	\$ 48,865
OTHER CATEGORICAL GRANTS	41	78	(37)	425	494	(69)	981
INTER-FUND REVENUES	30	46	(16)	231	256	(25)	571
FEDERAL CATEGORICAL GRANTS	629	783	(154)	3,081	3,652	(571)	8,655
STATE CATEGORICAL GRANTS	1,097	1,075	22	6,167	6,511	(344)	11,301
TOTAL REVENUES	\$ 6,220	\$ 5,854	\$ 366	\$ 50,227	\$ 50,398	\$ (171)	\$ 70,373
EXPENDITURES:							
PERSONAL SERVICE	\$ 2,900	\$ 2,895	\$ (5)	\$ 24,875	\$ 24,871	\$ (4)	\$ 37,593
OTHER THAN PERSONAL SERVICE	1,572	1,611	39	22,269	22,623	354	29,728
DEBT SERVICE	161	118	(43)	1,998	1,826	(172)	4,729
GENERAL RESERVE	-	-	-	-	-	-	100
SUBTOTAL	\$ 4,633	\$ 4,624	\$ (9)	\$ 49,142	\$ 49,320	\$ 178	\$ 72,150
LESS: INTRA-CITY EXPENSES	(130)	(151)	(21)	(752)	(802)	(50)	(1,777)
TOTAL EXPENDITURES	\$ 4,503	\$ 4,473	\$ (30)	\$ 48,390	\$ 48,518	\$ 128	\$ 70,373
NET TOTAL	\$ 1,717	\$ 1,381	\$ 336	\$ 1,837	\$ 1,880	\$ (43)	\$ -

Note: For additional details on revenues, see Report No. 3. For additional details on expenditures, see Report No. 4 and the corresponding notes.

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2013

	ACTUAL									FORECAST				
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAX	\$ 8,582	\$ 198	\$ 790	\$ 684	\$ 78	\$ 4,124	\$ 2,747	\$ 86	\$ 972	\$ 324	\$ (7)	\$ 14	\$ (152)	\$ 18,440
OTHER TAXES	1,057	1,110	3,164	1,487	1,292	2,889	3,100	1,308	2,972	2,531	1,127	3,631	(37)	25,631
SUBTOTAL: TAXES	\$ 9,639	\$ 1,308	\$ 3,954	\$ 2,171	\$ 1,370	\$ 7,013	\$ 5,847	\$ 1,394	\$ 3,944	\$ 2,855	\$ 1,120	\$ 3,645	\$ (189)	\$ 44,071
MISCELLANEOUS REVENUES	624	398	327	398	573	320	689	497	609	435	424	931	361	6,586
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE	(1)	(16)	(37)	(68)	(185)	(22)	(225)	(68)	(130)	(185)	(59)	(411)	(370)	(1,777)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	-	-	(15)	(15)
SUBTOTAL: CITY FUNDS	\$ 10,262	\$ 1,690	\$ 4,244	\$ 2,501	\$ 1,758	\$ 7,311	\$ 6,311	\$ 1,823	\$ 4,423	\$ 3,105	\$ 1,485	\$ 4,165	\$ (213)	\$ 48,865
OTHER CATEGORICAL GRANTS	17	26	137	75	24	20	48	37	41	30	31	495	-	981
INTER-FUND REVENUES	-	-	52	32	28	26	20	43	30	104	52	79	105	571
FEDERAL CATEGORICAL GRANTS	30	33	94	638	268	319	444	626	629	883	800	1,131	2,760	8,655
STATE CATEGORICAL GRANTS	5	9	1,475	444	697	913	822	705	1,097	1,398	973	1,138	1,625	11,301
TOTAL REVENUES	\$ 10,314	\$ 1,758	\$ 6,002	\$ 3,690	\$ 2,775	\$ 8,589	\$ 7,645	\$ 3,234	\$ 6,220	\$ 5,520	\$ 3,341	\$ 7,008	\$ 4,277	\$ 70,373
EXPENDITURES:														
PERSONAL SERVICE	\$ 1,996	\$ 2,107	\$ 2,827	\$ 2,852	\$ 2,884	\$ 3,446	\$ 2,980	\$ 2,883	\$ 2,900	\$ 2,790	\$ 2,826	\$ 5,132	\$ 1,970	\$ 37,593
OTHER THAN PERSONAL SERVICE	8,241	3,262	1,643	1,621	1,092	1,435	2,102	1,301	1,572	1,911	1,442	2,199	1,907	29,728
DEBT SERVICE	120	356	161	326	125	249	115	385	161	221	238	2,272	-	4,729
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
SUBTOTAL	\$ 10,357	\$ 5,725	\$ 4,631	\$ 4,799	\$ 4,101	\$ 5,130	\$ 5,197	\$ 4,569	\$ 4,633	\$ 4,922	\$ 4,506	\$ 9,603	\$ 3,977	\$ 72,150
LESS: INTRA-CITY EXPENSES	(1)	(16)	(37)	(68)	(185)	(22)	(225)	(68)	(130)	(185)	(59)	(411)	(370)	(1,777)
TOTAL EXPENDITURES	\$ 10,356	\$ 5,709	\$ 4,594	\$ 4,731	\$ 3,916	\$ 5,108	\$ 4,972	\$ 4,501	\$ 4,503	\$ 4,737	\$ 4,447	\$ 9,192	\$ 3,607	\$ 70,373
NET TOTAL	\$ (42)	\$ (3,951)	\$ 1,408	\$ (1,041)	\$ (1,141)	\$ 3,481	\$ 2,673	\$ (1,267)	\$ 1,717	\$ 783	\$ (1,106)	\$ (2,184)	\$ 670	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2013**

DESCRIPTION	INITIAL PLAN 6/28/2012	1st QUARTER MOD CHANGES	PRELIMINARY BUDGET CHANGES	EXECUTIVE BUDGET CHANGES	ADOPTED BUDGET CHANGES	CURRENT PLAN 1/29/2013
REVENUES:						
TAXES						
GENERAL PROPERTY TAX	\$ 18,417	\$ 13	\$ 10	\$ -	\$ -	\$ 18,440
OTHER TAXES	25,227	182	222	-	-	25,631
SUBTOTAL: TAXES	<u>\$ 43,644</u>	<u>\$ 195</u>	<u>\$ 232</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 44,071</u>
MISCELLANEOUS REVENUES	6,949	(443)	80	-	-	6,586
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(1,631) (15)	(73) -	(73) -	- -	- -	(1,777) (15)
SUBTOTAL: CITY FUNDS	<u>\$ 48,947</u>	<u>\$ (321)</u>	<u>\$ 239</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 48,865</u>
OTHER CATEGORICAL GRANTS	924	54	3	-	-	981
INTER-FUND REVENUES	539	(1)	33	-	-	571
FEDERAL CATEGORICAL GRANTS	6,661	601	1,393	-	-	8,655
STATE CATEGORICAL GRANTS	11,430	136	(265)	-	-	11,301
TOTAL REVENUES	<u><u>\$ 68,501</u></u>	<u><u>\$ 469</u></u>	<u><u>\$ 1,403</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 70,373</u></u>
EXPENDITURES:						
PERSONAL SERVICE	\$ 37,292	\$ 64	\$ 237	\$ -	\$ -	\$ 37,593
OTHER THAN PERSONAL SERVICE	28,642	511	575	-	-	29,728
DEBT SERVICE	3,898	(33)	864	-	-	4,729
GENERAL RESERVE	300	-	(200)	-	-	100
SUBTOTAL	<u>\$ 70,132</u>	<u>\$ 542</u>	<u>\$ 1,476</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 72,150</u>
LESS: INTRA-CITY EXPENSES	(1,631)	(73)	(73)	-	-	(1,777)
TOTAL EXPENDITURES	<u><u>\$ 68,501</u></u>	<u><u>\$ 469</u></u>	<u><u>\$ 1,403</u></u>	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 70,373</u></u>

Report No. 3

Revenue Activity by Major Area

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2013

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
TAXES:							
GENERAL PROPERTY TAX	\$ 972	\$ 933	\$ 39	\$ 18,261	\$ 18,135	\$ 126	\$ 18,440
PERSONAL INCOME TAX	512	414	98	6,213	6,016	197	8,488
GENERAL CORPORATION TAX	868	774	94	1,972	1,873	99	2,493
BANKING CORPORATION TAX	420	360	60	977	935	42	1,361
UNINCORPORATED BUSINESS TAX	30	41	(11)	975	972	3	1,763
GENERAL SALES TAX	586	581	5	4,515	4,491	24	6,061
REAL PROPERTY TRANSFER TAX	110	78	32	846	834	12	1,036
MORTGAGE RECORDING TAX	67	50	17	554	535	19	656
COMMERCIAL RENT TAX	159	150	9	485	475	10	653
UTILITY TAX	38	37	1	257	253	4	382
OTHER TAXES	129	122	7	657	649	8	1,071
TAX AUDIT REVENUES	53	71	(18)	521	534	(13)	838
STAR PROGRAM	-	-	-	407	407	-	829
SUBTOTAL TAXES	\$ 3,944	\$ 3,611	\$ 333	\$ 36,640	\$ 36,109	\$ 531	\$ 44,071
MISCELLANEOUS REVENUES:							
LICENSES/FRANCHISES/ETC.	\$ 29	\$ 29	\$ -	\$ 427	\$ 426	\$ 1	\$ 554
INTEREST INCOME	1	2	(1)	10	11	(1)	17
CHARGES FOR SERVICES	139	125	14	615	612	3	876
WATER AND SEWER CHARGES	165	-	165	1,514	1,284	230	1,505
RENTAL INCOME	23	18	5	191	186	5	303
FINES AND FORFEITURES	74	67	7	605	600	5	800
MISCELLANEOUS	48	20	28	321	257	64	754
INTRA-CITY REVENUE	130	151	(21)	752	802	(50)	1,777
SUBTOTAL MISCELLANEOUS REVENUES	\$ 609	\$ 412	\$ 197	\$ 4,435	\$ 4,178	\$ 257	\$ 6,586
UNRESTRICTED INTGVT. AID							
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-
OTHER INTGVT. AID	-	-	-	-	-	-	-
SUBTOTAL UNRESTRICTED INTGVT. AID	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LESS: INTRA-CITY REVENUES	(130)	(151)	21	(752)	(802)	50	(1,777)
DISALLOWANCES	-	-	-	-	-	-	(15)
SUBTOTAL CITY FUNDS	\$ 4,423	\$ 3,872	\$ 551	\$ 40,323	\$ 39,485	\$ 838	\$ 48,865

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2013

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
OTHER CATEGORICAL GRANTS	\$ 41	\$ 78	\$ (37)	\$ 425	\$ 494	\$ (69)	\$ 981
INTER-FUND REVENUES	30	46	(16)	231	256	(25)	571
FEDERAL CATEGORICAL GRANTS							
COMMUNITY DEVELOPMENT	\$ 12	\$ 18	\$ (6)	\$ 128	\$ 132	\$ (4)	\$ 229
WELFARE	301	377	(76)	1,523	1,672	(149)	3,293
EDUCATION	110	271	(161)	258	615	(357)	1,956
OTHER	206	117	89	1,172	1,233	(61)	3,177
SUBTOTAL FEDERAL CATEGORICAL GRANTS	\$ 629	\$ 783	\$ (154)	\$ 3,081	\$ 3,652	\$ (571)	\$ 8,655
STATE CATEGORICAL GRANTS							
WELFARE	\$ 126	\$ 179	\$ (53)	\$ 672	\$ 763	\$ (91)	\$ 1,495
EDUCATION	811	627	184	5,079	5,067	12	8,084
HIGHER EDUCATION	85	46	39	154	144	10	235
HEALTH AND MENTAL HYGIENE	43	35	8	94	222	(128)	582
OTHER	32	188	(156)	168	315	(147)	905
SUBTOTAL STATE CATEGORICAL GRANTS	\$ 1,097	\$ 1,075	\$ 22	\$ 6,167	\$ 6,511	\$ (344)	\$ 11,301
TOTAL REVENUES	\$ 6,220	\$ 5,854	\$ 366	\$ 50,227	\$ 50,398	\$ (171)	\$ 70,373

Report No. 4 & 4A

Obligation Analysis and Personnel Control Report

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
UNIFORM FORCES							
POLICE DEPT.	\$ 360	\$ 365	\$ 5	\$ 3,623	\$ 3,619	\$ (4)	\$ 4,953
FIRE DEPT.	140	131	(9)	1,359	1,423	64	1,900
DEPT. OF CORRECTION	76	75	(1)	802	789	(13)	1,070
SANITATION DEPT.	106	83	(23)	1,138	1,220	82	1,476
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	20	52	32	2,276	2,216	(60)	2,872
DEPT. OF SOCIAL SERVICES	704	814	110	6,996	6,875	(121)	9,356
DEPT. OF HOMELESS SERVICES	40	50	10	777	800	23	955
HEALTH & MENTAL HYGIENE	121	86	(35)	1,208	1,270	62	1,681
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	55	30	(25)	554	552	(2)	660
ENVIRONMENTAL PROTECTION	122	110	(12)	1,204	1,327	123	1,694
TRANSPORTATION DEPT.	61	70	9	696	717	21	913
PARKS & RECREATION DEPT.	29	30	1	302	320	18	451
DEPT. OF CITYWIDE ADMIN. SERVICES	196	13	(183)	1,190	1,037	(153)	1,242
ALL OTHER	147	197	50	2,564	2,796	232	3,476
MAJOR ORGANIZATIONS							
DEPT. OF EDUCATION	1,202	1,244	42	12,286	12,275	(11)	19,223
HIGHER EDUCATION	76	54	(22)	541	521	(20)	865
HEALTH & HOSPITALS CORP.	31	27	(4)	194	177	(17)	299
OTHER							
MISCELLANEOUS BUDGET:							
FRINGE BENEFITS	250	252	2	2,269	2,273	4	3,973
TRANSIT SUBSIDIES	(13)	19	32	552	577	25	786
JUDGMENTS & CLAIMS	50	59	9	277	286	9	735
OTHER	22	62	40	361	435	74	1,179
PENSION CONTRIBUTIONS	677	683	6	5,975	5,989	14	8,062
DEBT SERVICE	161	118	(43)	1,998	1,826	(172)	4,729
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)
SUBTOTAL	\$ 4,633	\$ 4,624	\$ (9)	\$ 49,142	\$ 49,320	\$ 178	\$ 72,050
PLUS GENERAL RESERVE	-	-	-	-	-	-	100
LESS: INTRA-CITY EXPENSES	(130)	(151)	(21)	(752)	(802)	(50)	(1,777)
TOTAL EXPENDITURES	\$ 4,503	\$ 4,473	\$ (30)	\$ 48,390	\$ 48,518	\$ 128	\$ 70,373

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: MARCH
FISCAL YEAR 2013**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN ¹	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
UNIFORM FORCES									
POLICE DEPT.	50,839	51,667	\$ 330	\$ 323	\$ (7)	\$ 3,273	\$ 3,254	\$ (19)	\$ 4,433
FIRE DEPT.	15,452	15,506	125	121	(4)	1,181	1,186	5	1,646
DEPT. OF CORRECTION	10,184	10,459	72	70	(2)	705	682	(23)	946
SANITATION DEPT.	9,180	9,335	84	75	(9)	654	704	50	909
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	6,128	6,603	30	31	1	283	285	2	393
DEPT. OF SOCIAL SERVICES	13,879	14,386	56	59	3	535	550	15	759
DEPT. OF HOMELESS SERVICES	1,819	1,948	9	10	1	85	88	3	120
HEALTH & MENTAL HYGIENE	5,631	6,420	29	31	2	263	287	24	397
OTHER AGENCIES									
ENVIRONMENTAL PROTECTION	5,644	6,084	36	37	1	328	340	12	464
TRANSPORTATION DEPT.	4,713	4,617	29	28	(1)	277	267	(10)	374
PARKS & RECREATION DEPT.	5,864	5,458	21	21	-	219	214	(5)	313
CITYWIDE ADMIN. SERVICES	2,023	2,427	11	11	-	103	106	3	143
ALL OTHER	30,954	29,681	171	159	(12)	1,497	1,503	6	2,141
MAJOR ORGANIZATIONS									
DEPT. OF EDUCATION	132,715	131,470	970	976	6	7,228	7,123	(105)	12,414
OTHER									
MISCELLANEOUS BUDGET	-	-	250	260	10	2,269	2,293	24	4,079
PENSION CONTRIBUTIONS	-	-	677	683	6	5,975	5,989	14	8,062
TOTAL	295,025	296,061	\$ 2,900	\$ 2,895	\$ (5)	\$ 24,875	\$ 24,871	\$ (4)	\$ 37,593

¹ Includes planned full-time headcount and estimates of planned FTEs.

NOTES TO REPORTS NO. 4 AND 4A

The current month, year-to-date and fiscal year plan data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on January 29, 2013.

In some instances prior year charges are reflected in FY 2013 year-to-date expenses. These will be journaled back to prior years at a later date.

Fire Department: The \$64 million year-to-date variance is primarily due to:

- \$59 million in delayed encumbrances, including \$44 million for other services and charges, \$9 million for contractual services, \$3 million for supplies and materials and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$5 million in personal services, including \$17 million for overtime and \$3 million for fringe benefits, offset by \$(8) million for full-time normal gross, \$(3) million for differentials, \$(2) million for other salaried positions, \$(1) million for prior year charges and \$(1) million for holiday pay.

Department of Correction: The \$(13) million year-to-date variance is primarily due to:

- \$(7) million in accelerated encumbrances, including \$(3) million for contractual services and \$(3) million for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$17 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$(23) million in personal services, including \$(64) million for overtime, offset by \$29 million for full-time normal gross, \$7 million for differentials, \$3 million for fringe benefits and \$2 million for holiday pay.

Sanitation Department: The \$82 million year-to-date variance is primarily due to:

- \$32 million in delayed encumbrances, including \$15 million for supplies and materials and \$14 million for other services and charges, that will be obligated later in the fiscal year.
- \$50 million in personal services, including \$24 million for all other, \$17 million for overtime and \$17 million for full-time normal gross, offset by \$(5) million for differentials and \$(4) million for holiday pay.

Administration for Children's Services: The \$(60) million year-to-date variance is primarily due to:

- \$(92) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later

in the fiscal year.

- \$30 million in delayed encumbrances, including \$22 million for other services and charges and \$7 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$2 million in personal services.

Department of Social Services: The \$(121) million year-to-date variance is primarily due to:

- \$(149) million in accelerated encumbrances, including \$(138) million for medical assistance and \$(11) million for public assistance, that was planned to be obligated later in the fiscal year.
- \$13 million in delayed encumbrances, including \$6 million for supplies and materials, \$5 million for contractual services and \$2 million for social services, that will be obligated later in the fiscal year.
- \$15 million in personal services, including \$29 million for full-time normal gross, offset by \$(13) million for differentials and \$(2) million for other salaried positions.

Department of Homeless Services: The \$23 million year-to-date variance is primarily due to:

- \$20 million in delayed encumbrances, including \$11 million for contractual services, \$6 million for other services and charges and \$2 million for supplies and materials, that will be obligated later in the fiscal year.
- \$3 million in personal services.

Department of Health and Mental Hygiene: The \$62 million year-to-date variance is primarily due to:

- \$38 million in delayed encumbrances, including \$13 million for other services and charges, \$10 million for contractual services, \$6 million for supplies and materials, \$5 million for property and equipment and \$4 million for social services, that will be obligated later in the fiscal year.
- \$24 million in personal services, including \$18 million for other salaried positions and \$17 million for full-time normal gross, offset by \$(7) million for differentials, \$(3) million for holiday pay and \$(2) million for overtime.

Environmental Protection: The \$123 million year-to-date variance is primarily due to:

- \$111 million in delayed encumbrances, including \$82 million for other services and charges, \$15 million for fixed and miscellaneous charges, \$8 million for contractual services, \$4 million for supplies and materials and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$12 million in personal services, including \$23 million for full-time normal gross and \$2 million for fringe benefits, offset by \$(7) million for overtime, \$(4) million for differentials and \$(2) million for holiday pay.

Transportation Department: The \$21 million year-to-date variance is primarily due to:

- \$31 million in delayed encumbrances, \$17 million for supplies and materials, \$9 million for other services and charges and \$4 million for contractual services, that will be obligated later in the fiscal year.
- \$(10) million in personal services, including \$(6) million for differentials, \$(4) million for overtime and \$(2) million for holiday pay, offset by \$2 million for full-time normal gross.

Department of Parks and Recreation: The \$18 million year-to-date variance is primarily due to:

- \$23 million in delayed encumbrances, including \$11 million for contractual services and \$10 million for supplies and materials, that will be obligated later in the fiscal year.
- \$(5) million in personal services, including \$(16) million for other salaried positions and \$(8) million for overtime, offset by \$19 million for all other.

Department of Citywide Administrative Services: The \$(153) million year-to-date variance is primarily due to:

- \$(164) million in accelerated encumbrances, including \$(155) million for other services and charges and \$(8) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$8 million in delayed encumbrances, primarily for supplies and materials, that will be obligated later in the fiscal year.
- \$3 million in personal services.

Department of Education: The \$(11) million year-to-date variance is primarily due to:

- \$(77) million in accelerated encumbrances, including \$(52) million for supplies and materials and \$(25) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$171 million in delayed encumbrances, including \$121 million for other services and charges, \$34 million for contractual services and \$16 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$(105) million in personal services, including \$(111) million for full-time normal gross, \$(75) million for fringe benefits, \$(10) million for differentials and \$(6) million for overtime, offset by \$47 million for all other, \$43 million for other salaried positions and \$8 million for terminal leave.

Higher Education: The \$(20) million year-to-date variance is primarily due to:

- \$17 million in delayed encumbrances, including \$12 million for other services and charges and \$5 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$(37) million in personal services, including \$(22) million for full-time normal gross and \$(12) million for fringe benefits.

Health and Hospitals Corporation: The \$(17) million year-to-date variance is primarily due to:

- \$(17) million in accelerated encumbrances, primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.

Miscellaneous Budget: The \$112 million year-to-date variance is primarily due to:

- \$4 million in fringe benefits reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$25 million in transit subsidies reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$9 million in judgments and claims reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$74 million in other reflecting delayed encumbrances, that will be obligated later in the fiscal year.

Pension Contributions: The \$14 million year-to-date variance is primarily due to:

- \$14 million in delayed encumbrances in pension contributions, that will be obligated later in the fiscal year.

Debt Service: The \$(172) million year-to-date variance is primarily due to:

- \$(433) million in accelerated encumbrances, including \$(289) million for general interest on bonds, \$(130) million for blended component units and \$(14) million for costs associated with financing, that was planned to be obligated later in the fiscal year.
- \$261 million in delayed encumbrances, including \$257 million for redemption of general obligation bonds and \$4 million for payments to counterparties, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2013		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$83.4 (C) 2.0 (N)	\$0.0 (C) 0.0 (N)	\$278.4 (C) 2.0 (N)
HIGHWAY AND STREETS	7.6 (C) 1.6 (N)	19.7 (C) 4.8 (N)	76.4 (C) 49.9 (N)	88.0 (C) 54.1 (N)	638.6 (C) 315.1 (N)
HIGHWAY BRIDGES	2.7 (C) 0.0 (N)	0.4 (C) 0.0 (N)	50.4 (C) 98.4 (N)	94.9 (C) 0.0 (N)	581.4 (C) 333.5 (N)
WATERWAY BRIDGES	0.9 (C) 2.2 (N)	0.0 (C) 0.0 (N)	13.7 (C) (0.0) (N)	5.5 (C) 0.0 (N)	193.7 (C) 22.8 (N)
WATER SUPPLY	20.8 (C) 0.0 (N)	0.0 (C) 0.0 (N)	245.1 (C) 0.0 (N)	27.7 (C) 0.0 (N)	315.1 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	15.9 (C) 0.0 (N)	1.3 (C) 0.0 (N)	173.8 (C) 0.5 (N)	93.0 (C) 0.2 (N)	643.2 (C) 33.5 (N)
SEWERS	23.7 (C) 2.1 (N)	7.4 (C) 0.0 (N)	248.0 (C) 4.1 (N)	162.9 (C) 2.0 (N)	436.6 (C) 2.0 (N)
WATER POLLUTION CONTROL	20.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	168.8 (C) (0.2) (N)	33.0 (C) 0.0 (N)	698.1 (C) 11.6 (N)
ECONOMIC DEVELOPMENT	34.6 (C) 1.6 (N)	0.0 (C) 0.0 (N)	208.4 (C) 5.5 (N)	39.3 (C) 2.8 (N)	1,082.5 (C) 137.6 (N)
EDUCATION	150.0 (C) 92.4 (N)	100.0 (C) 100.0 (N)	854.8 (C) 681.4 (N)	787.1 (C) 688.9 (N)	1,641.6 (C) 987.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2013		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	2.2 (C) 0.0 (N)	2.6 (C) 0.0 (N)	92.0 (C) 0.0 (N)	205.5 (C) 0.0 (N)	461.1 (C) 0.0 (N)
SANITATION	13.2 (C) 0.0 (N)	0.7 (C) 0.0 (N)	340.3 (C) 5.7 (N)	265.9 (C) 6.8 (N)	838.6 (C) 6.8 (N)
POLICE	6.9 (C) 0.0 (N)	30.4 (C) 0.0 (N)	52.4 (C) 0.0 (N)	113.8 (C) 0.0 (N)	296.2 (C) 0.0 (N)
FIRE	30.4 (C) 0.0 (N)	0.0 (C) 0.0 (N)	91.5 (C) 2.8 (N)	9.1 (C) (0.0) (N)	278.3 (C) 9.3 (N)
HOUSING	(0.3) (C) 0.0 (N)	0.0 (C) 0.0 (N)	163.4 (C) 20.3 (N)	98.8 (C) 2.2 (N)	1,293.8 (C) 129.3 (N)
HOSPITALS	18.7 (C) 0.0 (N)	41.1 (C) 0.0 (N)	172.8 (C) 0.0 (N)	204.8 (C) 0.0 (N)	824.2 (C) 0.0 (N)
PUBLIC BUILDINGS	5.5 (C) 0.0 (N)	1.1 (C) 0.0 (N)	93.5 (C) 0.0 (N)	74.8 (C) 0.0 (N)	685.9 (C) 0.0 (N)
PARKS	87.6 (C) 1.7 (N)	13.5 (C) 0.0 (N)	266.7 (C) 21.6 (N)	59.8 (C) 0.3 (N)	1,193.0 (C) 190.2 (N)
ALL OTHER DEPARTMENTS	59.2 (C) 1.3 (N)	2.4 (C) 0.0 (N)	447.6 (C) 68.7 (N)	234.4 (C) 42.0 (N)	4,122.3 (C) 343.5 (N)
TOTAL	\$499.9 (C) \$102.9 (N)	\$220.5 (C) \$104.8 (N)	\$3,843.2 (C) \$960.6 (N)	\$2,598.2 (C) \$799.3 (N)	\$16,502.4 (C) \$2,524.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: March

Fiscal Year: 2013

City Funds:

Total Authorized Commitment Plan	\$16,502
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,999)</u>
	<u>\$11,503</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,524
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$2,524</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2013 January Capital Commitment Plan of \$16,502 million rather than the Financial Plan level of \$11,503 million. The additional \$4,999 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through March are primarily due to timing differences.

- | | | |
|----------------------|---|--|
| Waterway Bridges | - | Reconstruction of the Willis Avenue Bridge, totaling \$3.2 million, advanced from June 2013 to November and December 2012 thru March 2013. Reconstruction of the Williamsburg Bridge, totaling \$4.3 million, advanced from June 2013 to January and March 2013. Various slippages and advances account for the remaining variance. |
| Correction | - | Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$97.7 million, slipped from July 2012 thru March 2013 to May 2013. Acquisition and construction of the supplementary housing program and support facilities, totaling \$7.8 million, slipped from July thru December 2012 to May 2013. Purchase of computer equipment for use by the Department of Correction, totaling \$6.2 million, slipped from October 2012 and March 2013 to May 2013. Various slippages and advances account for the remaining variance. |
| Education | - | Funding for Education, totaling \$6.0 million, slipped from January 2013 to May 2013. Five Year educational facilities Capital Plan totaling \$50.0 million, advanced from April 2013 to March 2013. Hurricane Sandy projects, totaling \$23.7 million, advanced from June 2013 to February 2013. |
| Economic Development | - | Brooklyn Navy Yard, totaling \$18.5 million, advanced from June 2013 to October 2012 thru March 2013. Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$144.3 million, advanced from June 2013 to July 2012 thru March 2013. Industrial and Commercial |

Development, City-wide, totaling \$2.9 million, advanced from June 2013 to February 2013. Various slippages and advances account for the remaining variance.

- Fire
 - Vehicle acquisition, City-wide, totaling \$59.6 million, advanced from June 2013 to July 2012 thru March 2013. Facility improvements, City-wide, totaling \$14.1 million, advanced from June 2013 to July 2012 thru March 2013. Management Information and Control System, totaling \$7.0 million, advanced from June 2013 to August 2012 thru March 2013. Various slippages and advances account for the remaining variance.

- Housing
 - Housing Authority projects, totaling \$18.1 million, advanced from June 2013 to July 2012 thru March 2013. Four Twenty One Trust Fund, totaling \$19.0 million, advanced from June 2013 to November and December 2012 and January 2013. Associated Costs, totaling \$2.8 million, slipped from January 2013 to May 2013. Spring Creek, totaling \$4.9 million, advanced from June 2013 to November 2012 and January 2013. Deregistration of contracts for the Third Party Transfer Program, totaling \$4.0 million, occurred in March 2013. HUD multi-family program, City-wide, totaling \$6.7 million, advanced from June 2013 to December 2012. Low income rental, totaling \$8.8 million, advanced from June 2013 to November and December 2012 and January 2013. Mixed income rental, totaling \$3.0 million, slipped from December 2012 to May 2013. Various slippages and advances account for the remaining variance.

- Highway Bridges
 - Improvements to Highway Bridges, totaling \$77.6 million, slipped from August thru December 2012 to May 2013. Reconstruction of Gerritsen Inlet Bridge, totaling \$6.5 million, advanced from June 2013 to February and March 2013. Planned deregistration of contracts, for design costs for bridge facilities, City-wide, totaling \$3.0 million, slipped from August and November 2012 to May 2013, while other projects, totaling \$6.8 million, advanced from June 2013 to August thru December 2012 and March 2013. Bridge Painting, City-wide, totaling \$6.0 million, advanced from June 2013 to July 2012 and January and March 2013. Reconstruction of Belt Shore Parkway, totaling \$8.4 million, advanced from June 2013 to July, August, October and December 2012, February and March 2013. Union Port Road Bridge, over Westchester Creek, Bronx, totaling \$6.9 million, advanced from June 2013 to July 2012. Reconstruction of ramps at St. George Ferry Terminal, totaling \$10.6 million, slipped from December 2012 to May 2013. Various slippages and advances account for the remaining variance.

- Highways
- Resurfacing of streets, City-wide, totaling \$4.9 million, slipped from July thru December 2012 to May 2013. Repaving and resurfacing streets of Brooklyn, totaling \$2.1 million, slipped from August and December 2012 to May 2013. Reconstruction of highways, City-wide, totaling \$18.0 million, advanced from June 2013 to August 2012 thru March 2013. Land Acquisition for streets and sewers, totaling \$25.9 million, slipped from August and December 2012 thru March 2013 to May 2013. Sidewalk reconstruction, totaling \$7.3 million, slipped from January, February and March 2013 to May 2013. Reconstruction of streets within areas bounded by South Conduit Avenue, Queens, totaling \$7.3 million, advanced from June 2013 to July 2012 and February 2013. Reconstruction of Woodrow Road, totaling \$2.4 million, slipped from March 2013 to May 2013. Reconstruction of Arthur Kill Road, totaling \$2.4 million, slipped from January 2013 to May 2013. Reconstruction of Duffy Square Area, totaling \$5.5 million, advanced from June 2013 to February and March 2013. Reconstruction of streets, malls, etc. totaling \$2.3 million, slipped from December 2012 thru March 2013 to May 2013. Various slippages and advances account for the remaining variance.
- Parks
- Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$36.5 million, advanced from April and June 2013 to July thru December 2012 and January thru March 2013. Highbridge over the Harlem River, totaling \$11.2 million, slipped from March 2013 to May 2013. Ocean Breeze reconstruction, Staten Island, totaling \$33.5 million, advanced from June 2013 to October and December 2012. Ferry Point Park, totaling \$17.2 million, advanced from June 2013 to January thru March 2013. Street and park tree planting, City-wide, totaling \$32.0 million, advanced from June 2013 to July thru November 2012 and January thru March 2013. Park improvements, City-wide, totaling \$37.3 million, advanced from June 2013 to July 2012 thru March 2013. Brooklyn Bridge Park development, totaling \$36.0 million, advanced from June 2013 to March 2013. Improvements to Central Park, totaling \$7.9 million, advanced from June 2013 to August 2012 and February 2013. Various slippages and advances account for the remaining variance.
- Police
- Purchase of ultra-high frequency radio telephone equipment, totaling \$21.2 million, slipped from December 2012 and January and March 2013 to May 2013. Improvements to Police Department Property, City-wide, totaling \$11.7 million, slipped from March 2013 to May 2013. Sites for Police capital projects, totaling \$2.5 million, advanced from June 2013 to February 2013. Acquisition and installation of computers for the Police Department, totaling \$23.8 million, slipped from December 2012 and March 2013 to May 2013. Acquisition of vehicles, totaling \$13.1 million, slipped from September 2012 thru

March 2013 to May 2013. Construction of the New Police Academy, totaling \$7.3 million, advanced from June 2013 to October 2012. Various slippages and advances account for the remaining variance.

- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$27.9 million, advanced from June 2013 to September 2012 thru March 2013. Reconstruction of 345 Adams street, totaling \$5.2 million, advanced from June 2013 to February and March 2013. Abatement of unsafe conditions, totaling \$2.9 million, slipped from December 2012 thru January 2013 to May 2013. Various slippages and advances account for the remaining variance.
- Sanitation - Collection trucks and other equipment, totaling \$40.2 million, slipped from January 2013 to May 2013. Improvements to garages and other facilities, totaling \$10.4 million, slipped from October 2012 thru February 2013 to May 2013 and deregistration, totaling \$3.3 million, occurred in January and February 2013. Sites for Sanitation Garages, totaling \$20.0 million, slipped from November 2012 to May 2013. Construction of Marine Transfer Stations, totaling \$178.2 million, advanced from June 2013 to December 2012 thru January and March 2013. Purchase of electronic data processing equipment, totaling \$41.8 million, slipped from October 2012 thru January 2013 to May 2013. Recycling facility development, totaling \$11.3 million, advanced from June 2013 to February 2013. Various slippages and advances account for the remaining variance.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$46.2 million, advanced from June 2013 to July 2012 thru March 2013. Construction and reconstruction of storm sewers, City-wide, totaling \$19.1 million, advanced from June 2013 to July 2012 thru January and March 2013. Engineering, architect and other administrative costs associated with the Department of Environmental Protection, totaling \$3.2 million, slipped from July and August 2012 to May 2013. Acquisition of land, pursuant to storm water management program, totaling \$14.3 million, advanced from June 2013 to July thru September and December 2012 thru February 2013. Guniting of Sewers, Citywide, totaling \$6.3 million, advanced from June 2013 to February 2013. Various slippages and advances account for the remaining variance.
- Water Supply - Additional Water Supply Emergency, totaling \$210.3 million, advanced from June 2013 to July thru December 2012 and February and March 2013. City Tunnel Number Three, Stage One, totaling \$2.7

million, advanced from June 2013 to September, November and December 2012 thru February 2013. City Tunnel Number Three, Stage Two, totaling \$4.3 million, advanced from June 2013 to November, December 2012 thru March 2013. Various slippages and advances account for the remaining variance.

Water Mains - Trunk main extensions and improvements, totaling \$9.2 million, advanced from June 2013 to November, December 2012 thru February 2013. Croton Filtration Plant, totaling \$24.6 million, advanced from June 2013 to December 2012 thru March 2013. Improvements to structures on watersheds outside the City, totaling \$45.8 million, advanced from June 2013 to December 2012 thru March 2013. Various slippages and advances account for the remaining variance.

Water Pollution Control - Hunts Point Water Pollution Control Plant registrations, totaling \$3.5 million, advanced from June 2013 to July 2012 thru October 2012 and January 2013. Reconstruction of Water Pollution Control Projects, totaling \$40.2 million, advanced from June 2013 to July thru December 2012 and thru March 2013. Combined Sewer overflow abatement, totaling \$42.2 million, advanced from May and June 2013 to December 2012 thru March 2013. Twenty Sixth Ward Water Pollution Control Plant, totaling \$5.0 million, advanced from June 2013 to August thru October 2012. Upgrade of Bowery Bay Water Pollution Control Plant, totaling \$3.8 million, advanced from July 2013 to June 2012 thru March 2013. Reconstruction of Jamaica Water Pollution Control Plant, totaling \$10.6 million, advanced from June 2013 to July 2012 thru January and March 2013. Reconstruction of Tallmans Island Water Pollution Control Plant, totaling \$6.3 million, advanced from June 2013 to July 2012 thru March 2013. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$17.2 million, advanced from June 2013 to November and December 2012 thru February 2013. Upgrade of Creek Water Pollution Control Plant, totaling \$7.3 million, advanced from June 2013 to February 2013. Bionutrient removal facilities, City-wide, totaling \$3.2 million, advanced from June 2013 to August 2012 thru March 2013. Various slippages and advances account for the remaining variance.

Others - Purchase of EDP equipment for DoITT, totaling \$20.5 million, advanced from June 2013 to November 2012 thru March 2013.

- Purchase of DEP equipment, totaling \$5.3 million, advanced from June 2013 to October, December 2012 and January 2013. Deregistration of contracts for acquisition, construction and reconstruction to leased spaces, totaling \$6.7 million, occurred in October 2012 thru January 2013. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$16.4 million, advanced from June 2013 to January thru March 2013. Installation of water measuring devices, totaling \$13.7 million, advanced from June 2013 to January thru March 2013.
- Reconstruction of Ferry Vessels, totaling \$4.8 million, advanced from June 2013 to September 2012 thru March 2013.
- Purchase of equipment for the use by the Department of Homeless Services, totaling \$4.9 million, advanced from June 2013 to July thru December 2012 and February and March 2013. Congregate facilities for homeless, totaling \$3.6 million, advanced from June 2013 to July 2012 thru March 2013.
- Planned deregistration of contracts for improvements to health facilities, totaling \$4.6 million, slipped from August thru September 2012 to May 2013.
- City University improvements, City-wide, totaling \$12.6 million, advanced from June 2013 to July 2012 thru March 2013. Construction and purchase of computers, totaling \$2.2 million, advanced from June 2013 to August 2012 thru March 2013. Medgar Evers College improvements, totaling \$2.1 million, advanced from June 2013 to October 2012. Construction of City University Building, City-wide, totaling \$6.7 million, advanced from June 2013 to July 2012 thru March 2013.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens Branch libraries, totaling \$27.2 million, slipped from July 2012 thru March 2013 to May 2013.
- Purchase of electronic data processing equipment, totaling \$27.8 million, advanced from June 2013 to November 2012 thru March 2013. Purchase of Electronic Data Processing for FISA, totaling \$2.1 million, advanced from June 2013 to November 2012 thru March 2013. Purchase of communication and other equipment, totaling \$2.7 million, advanced from June 2013 to December 2012 thru March 2013. Judgements and Settlements in connection with capital projects, totaling \$35.1 million, occurred in

February 2013. Energy efficiency and sustainability, totaling \$2.2 million, advanced from April thru June 2013 to December 2012 thru March 2013.

- Improvements to Brooklyn Children’s Museum, totaling \$5.8 million, advanced from May and June 2013 to February 2013. Improvements to the Museum of Modern Art, totaling \$2.4 million, advanced from June 2013 to February 2013. Brooklyn Academy of Music, totaling \$5.6 million, advanced from June 2013 to December 2012. Improvements to the New York Aquarium, totaling \$11.5 million, advanced from June 2013 to December 2012.
- Various improvements for Surface Transit, totaling \$6.2 million, advanced from June 2013 to September 2012.
- Development of City owned Property, totaling \$5.6 million, slipped from January and March 2013 to May 2013.
- Planned deregistration of contracts for Rapid and Surface Transit improvements, totaling \$24.4 million, slipped from December 2012 to May 2013. Various transit capital projects, totaling \$60.1 million, advanced from June 2013 to August 2012.
- Installation of traffic signals, City-wide, totaling \$13.8 million, advanced from June 2013 to September and December 2012 and January thru March 2013. Parking Meters, totaling \$3.1 million, advanced from June 2013 to October 2012 and March 2013.

3. Variances in year-to-date commitments of non-City funds through March occurred in the Department of Education, the Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation and others.

- Education
- Deregistration of funds for the Five Year educational facilities Capital Plan, totaling \$7.6 million, occurred in March 2013.

- Highway Bridges - Reconstruction of Gerritsen Inlet Bridge, totaling \$98.4 million, advanced from June 2013 to February 2013.

- Housing - Assisted living and senior housing, totaling \$6.9 million, advanced from June 2013 to December 2012. Low income rental, totaling \$4.5 million, advanced from June 2013 to December 2012 and January 2013. Supportive housing, totaling \$6.8 million, advanced from June 2013 to October 2012. Various slippages and advances account for the remaining variance.

- Parks - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$11.0 million, advanced from June 2013 to July 2012 thru March 2013. Park improvements, totaling \$9.1 million, advanced from June 2013 to July 2012 thru February 2013. Various slippages and advances account for the remaining variance.

- Others - Reconstruction of Ferry Vessels, totaling \$5.3 million, advanced from June 2013 to August 2012 thru March 2013.

- Improvements for surface transit service, totaling \$6.2 million, advanced from June 2013 to September 2012.

- Deregistration of contracts for street lighting, City-wide, totaling \$2.2 million, occurred in March 2013. Bus rapid transit, totaling \$13.2 million, advanced from June 2013 to November and December 2012.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2013	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$83.4 (C) 2.0 (N)	\$149.5 (C) 4.5 (N)
HIGHWAY AND STREETS	9.1 (C) 1.5 (N)		134.5 (C) 29.8 (N)	335.1 (C) 149.3 (N)
HIGHWAY BRIDGES	9.1 (C) 3.7 (N)		101.3 (C) 94.9 (N)	209.7 (C) 205.4 (N)
WATERWAY BRIDGES	16.3 (C) 38.6 (N)		73.0 (C) 125.6 (N)	144.7 (C) 140.2 (N)
WATER SUPPLY	8.5 (C) 0.0 (N)		70.5 (C) 0.0 (N)	217.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	60.5 (C) 0.1 (N)		580.9 (C) 1.1 (N)	670.3 (C) 13.1 (N)
SEWERS	19.6 (C) 0.5 (N)		166.6 (C) 4.3 (N)	249.4 (C) 0.4 (N)
WATER POLLUTION CONTROL	49.8 (C) 5.5 (N)		542.6 (C) 36.4 (N)	656.5 (C) 61.3 (N)
ECONOMIC DEVELOPMENT	29.3 (C) 1.6 (N)		167.2 (C) 18.0 (N)	271.1 (C) 64.0 (N)
EDUCATION	174.0 (C) 151.0 (N)		934.0 (C) 851.5 (N)	1,014.8 (C) 1,089.5 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH	FISCAL YEAR: 2013	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	10.6 (C)	77.1 (C)	143.4 (C)
	0.0 (N)	0.0 (N)	15.0 (N)
SANITATION	12.9 (C)	238.6 (C)	334.0 (C)
	0.0 (N)	0.1 (N)	2.7 (N)
POLICE	25.9 (C)	269.3 (C)	285.2 (C)
	0.0 (N)	0.0 (N)	3.0 (N)
FIRE	8.8 (C)	75.8 (C)	85.4 (C)
	0.0 (N)	0.3 (N)	11.3 (N)
HOUSING	8.3 (C)	271.2 (C)	288.7 (C)
	0.0 (N)	46.7 (N)	161.1 (N)
HOSPITALS	15.2 (C)	162.2 (C)	137.8 (C)
	0.0 (N)	1.6 (N)	55.6 (N)
PUBLIC BUILDINGS	11.1 (C)	92.3 (C)	205.7 (C)
	0.0 (N)	0.0 (N)	0.5 (N)
PARKS	57.3 (C)	287.0 (C)	384.7 (C)
	1.2 (N)	13.0 (N)	103.6 (N)
ALL OTHER DEPARTMENTS	74.8 (C)	771.5 (C)	1,455.8 (C)
	9.7 (N)	98.6 (N)	254.2 (N)
TOTAL	\$600.9 (C)	\$5,099.1 (C)	\$7,239.7 (C)
	\$213.3 (N)	\$1,324.0 (N)	\$2,334.6 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

Report No. 6

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: MARCH
FISCAL YEAR 2013

	JUL	AUG	SEP	OCT	ACTUAL NOV	DEC	JAN	FEB	MAR	APR	FORECAST MAY	JUN	12 Months	ADJUST- MENTS	TOTAL
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$ 3,091	\$ 198	\$ 790	\$ 784	\$ 78	\$ 4,124	\$ 2,647	\$ 86	\$ 972	\$ 324	\$ (7)	\$ 3,514	\$ 16,601	\$ 1,839	\$ 18,440
OTHER TAXES	495	1,120	3,013	1,622	1,239	2,854	2,986	1,513	2,773	2,740	1,128	3,651	25,134	497	25,631
FEDERAL CATEGORICAL GRANTS	112	161	30	151	239	290	652	407	693	711	791	1,179	5,416	3,239	8,655
STATE CATEGORICAL GRANTS	289	663	1,149	120	586	619	63	184	3,425	71	1,400	837	9,406	1,895	11,301
OTHER CATEGORICAL GRANTS	61	163	213	(113)	(12)	53	38	20	45	104	37	266	875	106	981
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	-	-	-	(15)	(15)
MISCELLANEOUS REVENUES	623	382	290	330	388	298	464	429	479	250	365	520	4,818	(9)	4,809
INTER-FUND REVENUES	-	-	52	32	28	26	20	43	30	104	52	79	466	105	571
SUBTOTAL	\$ 4,671	\$ 2,687	\$ 5,537	\$ 2,926	\$ 2,546	\$ 8,264	\$ 6,870	\$ 2,682	\$ 8,417	\$ 4,304	\$ 3,766	\$ 10,046	\$ 62,716	\$ 7,657	\$ 70,373
PRIOR															
OTHER TAXES	781	217	-	-	-	-	-	-	-	-	-	-	998	-	998
FEDERAL CATEGORICAL GRANTS	39	460	465	160	363	362	181	107	62	9	38	149	2,395	761	3,156
STATE CATEGORICAL GRANTS	142	357	96	487	270	145	30	83	88	76	19	200	1,993	1,438	3,431
OTHER CATEGORICAL GRANTS	4	32	21	177	14	2	15	1	2	1	2	4	275	47	322
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	5	5
MISC. REVENUE/IFA	2	-	128	-	-	-	-	-	-	-	-	-	130	(130)	-
SUBTOTAL	\$ 968	\$ 1,066	\$ 710	\$ 824	\$ 647	\$ 509	\$ 226	\$ 191	\$ 152	\$ 86	\$ 59	\$ 353	\$ 5,791	\$ 2,121	\$ 7,912
CAPITAL															
CAPITAL TRANSFERS	766	174	1,085	469	559	337	815	455	586	445	1,084	1,166	7,941	(701)	7,240
FEDERAL AND STATE	243	37	401	6	143	31	185	75	109	214	239	890	2,573	(238)	2,335
OTHER															
SENIOR COLLEGES	313	-	-	-	416	-	-	263	681	1	5	657	2,336	(313)	2,023
HOLDING ACCT. & OTHER ADJ.	3	-	15	(4)	3	(3)	(5)	5	74	(88)	-	-	-	-	-
OTHER SOURCES	-	-	16	315	34	10	-	118	481	-	-	-	974	-	974
TOTAL INFLOWS	\$ 6,964	\$ 3,964	\$ 7,764	\$ 4,536	\$ 4,348	\$ 9,148	\$ 8,091	\$ 3,789	\$ 10,500	\$ 4,962	\$ 5,153	\$ 13,112	\$ 82,331	\$ 8,526	\$ 90,857
CASH OUTFLOWS															
CURRENT															
PERSONAL SERVICE	\$ 1,395	\$ 2,685	\$ 2,754	\$ 2,875	\$ 2,657	\$ 3,128	\$ 2,935	\$ 2,873	\$ 3,532	\$ 2,790	\$ 2,826	\$ 4,234	\$ 34,684	\$ 2,909	\$ 37,593
OTHER THAN PERSONAL SERVICE	1,624	1,659	2,131	2,048	1,375	2,258	1,942	1,916	1,727	2,294	1,933	2,606	23,513	4,538	28,051
DEBT SERVICE	522	240	195	375	254	116	651	267	396	342	150	1,221	4,729	-	4,729
SUBTOTAL	\$ 3,541	\$ 4,584	\$ 5,080	\$ 5,298	\$ 4,286	\$ 5,502	\$ 5,528	\$ 5,056	\$ 5,655	\$ 5,426	\$ 4,909	\$ 8,061	\$ 62,926	\$ 7,447	\$ 70,373
PRIOR															
PERSONAL SERVICE	1,570	786	17	11	32	30	5	3	8	71	99	90	2,722	1,083	3,805
OTHER THAN PERSONAL SERVICE	595	490	-	2	398	93	54	275	158	243	126	155	2,589	2,688	5,277
OTHER TAXES	59	135	-	-	-	-	-	-	-	-	-	-	194	-	194
DISALLOWANCE RESERVE	10	-	-	-	-	-	10	-	-	-	-	-	20	977	997
SUBTOTAL	\$ 2,234	\$ 1,411	\$ 17	\$ 13	\$ 430	\$ 123	\$ 69	\$ 278	\$ 166	\$ 314	\$ 225	\$ 245	\$ 5,525	\$ 4,748	\$ 10,273
CAPITAL															
CITY DISBURSEMENTS	582	435	664	511	523	500	729	553	601	697	726	719	7,240	-	7,240
FEDERAL AND STATE	231	51	235	72	206	57	216	41	213	242	492	279	2,335	-	2,335
OTHER															
SENIOR COLLEGES	119	116	166	165	165	220	110	165	110	217	217	253	2,023	-	2,023
OTHER USES	439	45	-	-	-	-	332	-	-	-	-	158	974	-	974
TOTAL OUTFLOWS	\$ 7,146	\$ 6,642	\$ 6,162	\$ 6,059	\$ 5,610	\$ 6,402	\$ 6,984	\$ 6,093	\$ 6,745	\$ 6,896	\$ 6,569	\$ 9,715	\$ 81,023	\$ 12,195	\$ 93,218
NET CASH FLOW	\$ (182)	\$ (2,678)	\$ 1,602	\$ (1,523)	\$ (1,262)	\$ 2,746	\$ 1,107	\$ (2,304)	\$ 3,755	\$ (1,934)	\$ (1,416)	\$ 3,397	\$ 1,308	\$ (3,669)	\$ (2,361)
BEGINNING BALANCE	\$ 7,493	\$ 7,311	\$ 4,633	\$ 6,235	\$ 4,712	\$ 3,450	\$ 6,196	\$ 7,303	\$ 4,999	\$ 8,754	\$ 6,820	\$ 5,404	\$ 7,493		
ENDING BALANCE	\$ 7,311	\$ 4,633	\$ 6,235	\$ 4,712	\$ 3,450	\$ 6,196	\$ 7,303	\$ 4,999	\$ 8,754	\$ 6,820	\$ 5,404	\$ 8,801	\$ 8,801		

NOTES TO REPORT #6

1. **Beginning Balance**

The July 2012 beginning balance is consistent with the FY 2012 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2013 ending balance includes deferred revenue from FY 2014 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

5. **Cash Flow Realignments**

Taxes reflect revenue net of refunds which may result in a negative cash flow in certain months. For Federal, State and Other Categorical Grants, a negative cash flow may result from corrections to certain grants that occur after the monthly accounting close in which cash is moved between grants and/or between current year/prior year classification. These negative cash flow transactions are accounting transactions reflected in the City's Financial Management System.