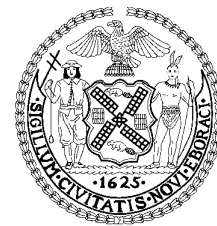


Financial Plan Statements
for
New York City
December 2010



The City of New York



This report contains Financial Plan Statements for December 2010 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on November 18, 2010.

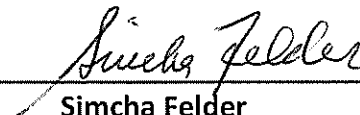
The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**



Stuart Klein
First Deputy Director
Office of Management and Budget



Simcha Felder
Deputy Comptroller of Accountancy and Budget
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2010 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

Covered Organization Financial Plans are issued quarterly.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2011 for OTPS purchase orders and contracts expected to be received by June 30, 2011 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2011 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2011.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: DECEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 3,842	\$ 2,927	\$ 915	\$ 13,054	\$ 12,123	\$ 931	\$ 16,787	\$ 16,787	\$ -
OTHER TAXES	2,808	2,511	297	9,813	9,473	340	22,202	22,202	-
MISCELLANEOUS REVENUES	361	422	(61)	2,510	2,560	(50)	6,177	6,177	-
UNRESTRICTED INTGOVT. AID	-	1	(1)	-	2	(2)	14	14	-
LESS: INTRA-CITY REVENUES DISALLOWANCES	(129)	(73)	(56)	(327)	(271)	(56)	(1,824)	(1,824)	-
	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	6,882	5,788	1,094	25,050	23,887	1,163	43,341	43,341	-
OTHER CATEGORICAL GRANTS	38	93	(55)	312	372	(60)	1,330	1,330	-
CAPITAL INTER-FUND TRANSFERS	103	29	74	230	193	37	559	559	-
FEDERAL GRANTS	396	442	(46)	1,466	1,488	(22)	7,871	7,871	-
STATE GRANTS	942	857	85	3,637	3,723	(86)	11,475	11,475	-
TOTAL REVENUES	\$ 8,361	\$ 7,209	\$ 1,152	\$ 30,695	\$ 29,663	\$ 1,032	\$ 64,576	\$ 64,576	\$ -
EXPENDITURES:									
PS	\$ 2,767	\$ 2,850	\$ 83	\$ 14,965	\$ 15,123	\$ 158	\$ 36,049	\$ 36,049	\$ -
OTPS	1,869	1,962	93	16,299	16,115	(184)	26,798	26,798	-
DEBT SERVICE	(5)	139	144	142	534	392	3,253	3,253	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	4,631	4,951	320	31,406	31,772	366	66,400	66,400	-
LESS: INTRA-CITY EXPENSES	(129)	(73)	56	(327)	(271)	56	(1,824)	(1,824)	-
TOTAL EXPENDITURES	\$ 4,502	\$ 4,878	\$ 376	\$ 31,079	\$ 31,501	\$ 422	\$ 64,576	\$ 64,576	\$ -
SURPLUS/(DEFICIT)	\$ 3,859	\$ 2,331	\$ 1,528	\$ (384)	\$ (1,838)	\$ 1,454	\$ -	\$ -	\$ -

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: DECEMBER
FISCAL YEAR 2011

	ACTUAL						FORECAST						POST JUNE	TOTAL YEAR
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN		
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 7,652	\$ 110	\$ 980	\$ 413	\$ 57	\$ 3,842	\$ 2,354	\$ 58	\$ 912	\$ 379	\$ 15	\$ 30	\$ (15)	\$ 16,787
OTHER TAXES	915	938	3,075	982	1,095	2,808	2,565	1,133	2,403	2,043	845	3,225	175	22,202
MISCELLANEOUS REVENUES	613	293	433	419	391	361	625	341	514	471	603	597	516	6,177
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	2	-	-	-	12	-	14
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(3)	(30)	(70)	(92)	(129)	(253)	(107)	(124)	(167)	(107)	(223)	(516)	(1,824)
	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	9,177	1,338	4,458	1,744	1,451	6,882	5,291	1,427	3,705	2,726	1,351	3,631	160	43,341
OTHER CATEGORICAL GRANTS	6	116	63	34	55	38	178	57	67	84	56	576	-	1,330
CAPITAL INTER-FUND TRANSFERS	-	-	56	38	33	103	16	16	118	18	15	25	121	559
FEDERAL GRANTS	30	28	159	327	526	396	580	629	1,064	621	661	731	2,119	7,871
STATE GRANTS	25	2	1,525	221	922	942	1,099	903	1,055	978	949	1,227	1,627	11,475
TOTAL REVENUES:	\$ 9,238	\$ 1,484	\$ 6,261	\$ 2,364	\$ 2,987	\$ 8,361	\$ 7,164	\$ 3,032	\$ 6,009	\$ 4,427	\$ 3,032	\$ 6,190	\$ 4,027	\$ 64,576
EXPENDITURES:														
PS	\$ 2,014	\$ 1,971	\$ 2,741	\$ 2,800	\$ 2,672	\$ 2,767	\$ 3,553	\$ 2,741	\$ 2,760	\$ 2,782	\$ 2,745	\$ 4,957	\$ 1,546	\$ 36,049
OTPS	7,123	2,334	2,328	1,461	1,184	1,869	1,797	1,449	1,874	1,359	1,431	2,019	570	26,798
DEBT SERVICE	95	16	(1)	10	27	(5)	273	323	389	434	220	1,472	-	3,253
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	9,232	4,321	5,068	4,271	3,883	4,631	5,623	4,513	5,023	4,575	4,396	8,448	2,416	66,400
LESS: INTRA-CITY EXPENSES	(3)	(3)	(30)	(70)	(92)	(129)	(253)	(107)	(124)	(167)	(107)	(223)	(516)	(1,824)
TOTAL EXPENDITURES	\$ 9,229	\$ 4,318	\$ 5,038	\$ 4,201	\$ 3,791	\$ 4,502	\$ 5,370	\$ 4,406	\$ 4,899	\$ 4,408	\$ 4,289	\$ 8,225	\$ 1,900	\$ 64,576
SURPLUS/(DEFICIT)	\$ 9	\$ (2,834)	\$ 1,223	\$ (1,837)	\$ (804)	\$ 3,859	\$ 1,794	\$ (1,374)	\$ 1,110	\$ 19	\$ (1,257)	\$ (2,035)	\$ 2,127	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: DECEMBER
FISCAL YEAR 2011**

DESCRIPTION	INITIAL PLAN 7/13/2010	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,780	\$ 7	\$ -
OTHER TAXES	22,126	76	-
MISCELLANEOUS REVENUES	5,912	265	-
UNRESTRICTED INTERGOVERNMENTAL AID	14	-	-
LESS:INTRA-CITY REVENUES	(1,616)	(208)	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	43,201	140	-
OTHER CATEGORICAL GRANTS	1,235	95	-
CAPITAL INTERFUND TRANSFERS	558	1	-
FEDERAL GRANTS	6,813	1,058	-
STATE GRANTS	11,352	123	-
TOTAL REVENUES	\$ 63,159	\$ 1,417	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 36,462	\$ (413)	\$ -
OTHER THAN PERSONAL SERVICE	25,783	1,015	-
DEBT SERVICE	2,093	1,160	-
GENERAL RESERVE	437	(137)	-
SUBTOTAL	64,775	1,625	-
LESS:INTRA-CITY EXPENDITURES	(1,616)	(208)	-
TOTAL EXPENDITURES	\$ 63,159	\$ 1,417	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: DECEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 3,842	\$ 2,927	\$ 915	\$ 13,054	\$ 12,123	\$ 931	\$ 16,787	\$ 16,787	\$ -
PERSONAL INCOME TAX	720	746	(26)	2,984	2,988	(4)	7,533	7,533	-
GENERAL CORPORATION TAX	405	493	(88)	939	1,013	(74)	2,307	2,307	-
BANKING CORPORATION TAX	191	209	(18)	654	674	(20)	1,095	1,095	-
UNINCORPORATED BUSINESS TAX	179	105	74	515	447	68	1,588	1,588	-
GENERAL SALES TAX	587	530	57	2,701	2,617	84	5,285	5,285	-
REAL PROPERTY TRANSFER TAX	91	51	40	372	326	46	669	669	-
MORTGAGE RECORDING TAX	32	35	(3)	202	202	-	415	415	-
COMMERCIAL RENT TAX	143	139	4	292	289	3	566	566	-
UTILITY TAX	28	29	(1)	152	155	(3)	383	383	-
OTHER TAXES	152	132	20	404	387	17	953	953	-
TAX AUDIT REVENUES *	280	42	238	496	273	223	628	628	-
TAX PROGRAM	-	-	-	-	-	-	-	-	-
STAR PROGRAM	-	-	-	102	102	-	780	780	-
TOTAL TAXES	\$ 6,650	\$ 5,438	\$ 1,212	\$ 22,867	\$ 21,596	\$ 1,271	\$ 38,989	\$ 38,989	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	21	19	2	265	255	10	495	495	-
INTEREST INCOME	1	1	-	9	8	1	21	21	-
CHARGES FOR SERVICES	38	75	(37)	281	321	(40)	755	755	-
WATER AND SEWER CHARGES	70	65	5	860	858	2	1,331	1,331	-
RENTAL INCOME	28	27	1	128	127	1	235	235	-
FINES AND FORFEITURES	65	67	(2)	412	416	(4)	858	858	-
MISCELLANEOUS	9	95	(86)	228	304	(76)	658	658	-
INTRA-CITY REVENUE	129	73	56	327	271	56	1,824	1,824	-
TOTAL MISCELLANEOUS	\$ 361	\$ 422	\$ (61)	\$ 2,510	\$ 2,560	\$ (50)	\$ 6,177	\$ 6,177	\$ -

* The financial plan as submitted on November 18, 2010 reflects \$628 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2011 PLAN
GENERAL SALES TAX	\$ 1	\$ 5	\$ 21
PERSONAL INCOME TAX	5	9	29
GENERAL CORPORATION TAX	263	321	381
COMMERCIAL RENT TAX	3	13	15
BANKING CORPORATION TAX	2	98	99
UTILITY TAX	1	32	37
UNINCORPORATED BUSINESS TAX	5	13	30
REAL PROPERTY TRANSFER TAX	-	1	7
OTHER TAXES	-	4	9
TOTAL	\$ 280	\$ 496	\$ 628

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)

MONTH: DECEMBER
FISCAL YEAR 2011

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-	-	-
OTHER INTGOVT. AID	-	1	(1)	-	2	(2)	14	14	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ 1	\$ (1)	\$ -	\$ 2	\$ (2)	\$ 14	\$ 14	\$ -
OTHER CATEGORICAL GRANTS	38	93	(55)	312	372	(60)	1,330	1,330	-
CAPITAL INTER-FUND TRANSFERS	103	29	74	230	193	37	559	559	-
LESS: INTRA-CITY REVENUES	(129)	(73)	(56)	(327)	(271)	(56)	(1,824)	(1,824)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	15	20	(5)	92	97	(5)	279	279	-
WELFARE	209	202	7	871	693	178	2,931	2,931	-
EDUCATION	78	144	(66)	145	260	(115)	2,834	2,834	-
OTHER	94	76	18	358	438	(80)	1,827	1,827	-
TOTAL FEDERAL GRANTS	\$ 396	\$ 442	\$ (46)	\$ 1,466	\$ 1,488	\$ (22)	\$ 7,871	\$ 7,871	\$ -
STATE GRANTS									
WELFARE	155	140	15	565	479	86	2,098	2,098	-
EDUCATION	709	690	19	2,859	3,028	(169)	7,981	7,981	-
HIGHER EDUCATION	-	-	-	66	66	-	186	186	-
HEALTH AND MENTAL HYGIENE	59	-	59	66	68	(2)	452	452	-
OTHER	19	27	(8)	81	82	(1)	758	758	-
TOTAL STATE GRANTS	\$ 942	\$ 857	\$ 85	\$ 3,637	\$ 3,723	\$ (86)	\$ 11,475	\$ 11,475	\$ -
TOTAL REVENUES	\$ 8,361	\$ 7,209	\$ 1,152	\$ 30,695	\$ 29,663	\$ 1,032	\$ 64,576	\$ 64,576	\$ -

NOTES TO REPORT #3

Taxes:

Year-to-date taxes are \$1.3 billion above plan. This results primarily from \$931 million in earlier than anticipated collections from the real property taxes and \$223 million in audits which was originally expected to settle in FY 2010.

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: DECEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 383	\$ 357	\$ (26)	\$ 2,277	\$ 2,177	\$ (100)	\$ 4,645	\$ 4,645	\$ -
FIRE DEPT.	127	140	13	835	871	36	1,734	1,734	-
DEPT. OF CORRECTION	80	85	5	483	503	20	1,024	1,024	-
SANITATION DEPT.	75	83	8	819	761	(58)	1,323	1,323	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	155	209	54	1,882	1,658	(224)	2,729	2,729	-
DEPT. OF SOCIAL SERVICES	1,048	922	(126)	4,648	4,340	(308)	8,687	8,687	-
DEPT. OF HOMELESS SERVICES	38	77	39	760	718	(42)	1,016	1,016	-
HEALTH & MENTAL HYGIENE	111	54	(57)	1,212	1,194	(18)	1,659	1,659	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	56	32	(24)	407	345	(62)	729	729	-
ENVIRONMENTAL PROTECTION	73	57	(16)	517	542	25	1,033	1,033	-
TRANSPORTATION DEPT.	52	50	(2)	483	468	(15)	798	798	-
PARKS & RECREATION DEPT.	23	23	-	193	182	(11)	338	338	-
DEPT. OF CITYWIDE ADMIN. SERVICES	28	13	(15)	938	1,033	95	1,159	1,159	-
ALL OTHER	93	172	79	1,732	1,994	262	3,218	3,218	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,329	1,412	83	8,258	8,529	271	18,710	18,710	-
HIGHER EDUCATION	68	46	(22)	318	341	23	772	772	-
HEALTH & HOSPITALS CORP.	34	18	(16)	75	56	(19)	185	185	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	195	225	30	1,318	1,290	(28)	3,959	3,959	-
TRANSIT SUBSIDIES	-	144	144	140	268	128	462	462	-
JUDGMENTS & CLAIMS	45	41	(4)	280	179	(101)	687	687	-
OTHER	26	51	25	207	301	94	968	968	-
PENSION CONTRIBUTIONS	597	601	4	3,482	3,488	6	7,012	7,012	-
DEBT SERVICE	(5)	139	144	142	534	392	3,253	3,253	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 4,631	\$ 4,951	\$ 320	\$ 31,406	\$ 31,772	\$ 366	\$ 66,100	\$ 66,100	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(129)	(73)	56	(327)	(271)	56	(1,824)	(1,824)	-
TOTAL EXPENDITURES	\$ 4,502	\$ 4,878	\$ 376	\$ 31,079	\$ 31,501	\$ 422	\$ 64,576	\$ 64,576	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: DECEMBER
FISCAL YEAR 2011**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS				
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011 PROJECTIONS		FISCAL YEAR 2011 PROJECTIONS				
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	
UNIFORM FORCES															
POLICE DEPT.	51,135	51,191	\$ 363	\$ 343	\$ (20)	\$ 2,044	\$ 1,963	\$ (81)	50,159	50,159	-	\$ 4,243	\$ 4,243	\$ -	
FIRE DEPT.	15,931	16,170	122	127	5	723	715	(8)	15,805	15,805	-	1,536	1,536	-	
DEPT. OF CORRECTION	9,939	10,096	78	78	-	413	417	4	10,235	10,235	-	902	902	-	
SANITATION DEPT.	9,174	9,482	64	70	6	367	378	11	9,217	9,217	-	796	796	-	
HEALTH & WELFARE															
ADMIN. FOR CHILD SERVICES	5,781	6,041	28	28	-	172	173	1	5,879	5,879	-	358	358	-	
DEPT. OF SOCIAL SERVICES	13,889	14,505	56	61	5	344	358	14	14,512	14,512	-	773	773	-	
DEPT. OF HOMELESS SERVICES	1,897	2,122	9	10	1	55	58	3	2,122	2,122	-	122	122	-	
HEALTH & MENTAL HYGIENE	6,184	6,331	29	31	2	177	185	8	6,482	6,482	-	404	404	-	
OTHER AGENCIES															
ENVIRONMENTAL PROTECTION	5,856	6,028	35	35	-	213	216	3	6,127	6,127	-	458	458	-	
TRANSPORTATION DEPT.	4,976	4,974	31	28	(3)	184	169	(15)	4,928	4,928	-	373	373	-	
PARKS & RECREATION DEPT.	5,336	5,033	19	18	(1)	148	128	(20)	5,930	5,930	-	256	256	-	
CITYWIDE ADMIN. SERVICES	2,226	2,362	11	11	-	67	66	(1)	2,283	2,283	-	140	140	-	
ALL OTHER	31,206	33,618	167	159	(8)	968	948	(20)	30,668	30,668	-	2,036	2,036	-	
COVERED ORGANIZATIONS															
DEPT. OF EDUCATION	133,795	135,991	963	1,019	56	4,290	4,565	275	135,777	135,777	-	12,627	12,627	-	
OTHER															
MISCELLANEOUS BUDGET	-	-	195	231	36	1,318	1,296	(22)	-	-	-	4,013	4,013	-	
PENSION CONTRIBUTIONS	-	-	597	601	4	3,482	3,488	6	-	-	-	7,012	7,012	-	
TOTAL	297,325	303,944	\$ 2,767	\$ 2,850	\$ 83	\$ 14,965	\$ 15,123	\$ 158	300,124	300,124	-	\$ 36,049	\$ 36,049	\$ -	

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: DECEMBER
FISCAL YEAR 2011**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	49,551	49,355	(196)	48,617	48,617	-
FIRE DEPT.	15,838	16,105	267	15,739	15,739	-
DEPT. OF CORRECTION	9,897	10,047	150	10,186	10,186	-
SANITATION DEPT.	9,113	9,342	229	9,079	9,079	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	5,733	5,973	240	5,818	5,818	-
DEPT. OF SOCIAL SERVICES	13,861	14,465	604	14,465	14,465	-
DEPT. OF HOMELESS SERVICES	1,896	2,121	225	2,121	2,121	-
HEALTH & MENTAL HYGIENE	4,815	5,102	287	5,249	5,249	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,707	5,920	213	6,007	6,007	-
TRANSPORTATION DEPT.	4,540	4,692	152	4,695	4,695	-
PARKS & RECREATION DEPT.	3,443	3,230	(213)	3,180	3,180	-
CITYWIDE ADMIN. SERVICES	2,036	2,072	36	1,988	1,988	-
ALL OTHER	25,430	25,264	(166)	25,555	25,555	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	119,430	120,944	1,514	119,083	119,083	-
TOTAL	271,290	274,632	3,342	271,782	271,782	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on November 18, 2010.

There are 297,325 filled positions as of December of which 271,290 are full-time positions and 26,035 are full-time equivalent positions. Of the 297,325 filled positions, 258,662 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2011) 271,782 of the 300,124 positions are full-time and 259,339 of the 300,124 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2011 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(100) million year-to-date variance is primarily due to:

- \$(45) million in accelerated encumbrances, including \$(26) million for other services and charges and \$(18) million for contractual services.
- \$26 million in delayed encumbrances, including \$17 million for property and equipment and \$8 million for supplies and materials.
- \$(81) million in personal services, including \$(62) million for overtime, \$(15) million for other adjustments, \$(5) million for differentials and \$(4) million for full-time normal gross offset by \$2 million for unsalaried positions and \$2 million for fringe benefits.

Fire Department: The \$36 million year-to-date variance is primarily due to:

- \$(2) million in accelerated encumbrances, primarily for property and equipment.
- \$46 million in delayed encumbrances, including \$29 million for other services and charges and \$17 million for

contractual services.

- \$(8) million in personal services, including \$(11) million for overtime, \$(3) million for other adjustments and \$(3) million for differentials, offset by \$8 million for full-time normal gross.

Department of Correction: The \$20 million year-to-date variance is primarily due to:

- \$16 million in delayed encumbrances, including \$10 million for other services and charges and \$3 million for contractual services.
- \$4 million in personal services, including \$5 million for differentials, \$5 million for holiday pay and \$3 million for fringe benefits, offset by \$(10) million for overtime.

Sanitation Department: The \$(58) million year-to-date variance is primarily due to:

- \$(84) million in accelerated encumbrances, primarily for contractual services.
- \$15 million in delayed encumbrances, including \$12 million for supplies and materials and \$3 million for other services and charges.
- \$11 million in personal services, including \$7 million for full-time normal gross.

Administration for Children's Services: The \$(224) million year-to-date variance is primarily due to:

- \$(246) million in accelerated encumbrances, including \$(219) million for contractual services and \$(26) million for social services.
- \$21 million in delayed encumbrances, including \$15 million for other services and charges and \$5 million for fixed and miscellaneous charges.

- \$1 million in personal services.

Department of Social Services: The \$(308) million year-to-date variance is primarily due to:

- \$(336) million in accelerated encumbrances, including \$(237) million for Medical Assistance, \$(45) million for social services, \$(37) million for Public Assistance and \$(17) million for contractual services.
- \$14 million in delayed encumbrances, primarily for other services and charges.
- \$14 million in personal services, including \$23 million for full-time normal gross, offset by \$(6) million for differentials.

Department of Homeless Services: The \$(42) million year-to-date variance is primarily due to:

- \$(46) million in accelerated encumbrances, primarily for contractual services.
- \$1 million in delayed encumbrances, primarily for other services and charges.
- \$3 million in personal services.

Department of Health and Mental Hygiene: The \$(18) million year-to-date variance is primarily due to:

- \$(43) million in accelerated encumbrances, primarily for contractual services and social services.
- \$17 million in delayed encumbrances, including \$10 million for other services and charges, \$4 million for supplies and materials and \$3 million for property and equipment.
- \$8 million in personal services, including \$7 million for unsalaried positions and \$5 million for full-time normal gross, offset by \$(4) million for differentials.

Department of Housing Preservation and Development: The \$(62) million year-to-date variance is primarily due to:

- \$(71) million in accelerated encumbrances, including \$(41) million for fixed and miscellaneous charges and \$(29) million for contractual services.
- \$9 million in delayed encumbrances, including \$5 million for other services and charges and \$4 million for supplies and materials.

Department of Environmental Protection: The \$25 million year-to-date variance is primarily due to:

- \$(13) million in accelerated encumbrances, including \$(9) million for fixed and miscellaneous charges and \$(4) million for contractual services.
- \$35 million in delayed encumbrances, including \$30 million for other services and charges and \$3 million for property and equipment.
- \$3 million in personal services, including \$10 million for full-time normal gross, offset by \$2 million in overtime, \$2 million in differentials and \$2 million in other adjustments.

Department of Transportation: The \$(15) million year-to-date variance is primarily due to:

- \$(16) million in accelerated encumbrances primarily for supplies and materials.
- \$16 million in delayed encumbrances, including \$8 million for other services and charges and \$7 million for contractual services.
- \$(15) million in personal services, primarily for unsalaried positions and overtime.

Department of Parks and Recreation: The \$(11) million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for contractual services.
- \$10 million in delayed encumbrances, including \$5 million for other services and charges and \$4 million for supplies and materials.
- \$(20) million in personal services, primarily for full-time normal gross, other salaried positions and differentials.

Department of Citywide Administrative Services: The \$95 million year-to-date variance is primarily due to:

- \$(3) million in accelerated encumbrances, primarily for supplies and materials.
- \$99 million in delayed encumbrances, primarily for other services and charges.
- \$(1) million in personal services.

Department of Education: The \$271 million year-to-date variance is primarily due to:

- \$(267) million in accelerated encumbrances, including \$(252) million for contractual services and \$(15) million for other services and charges.
- \$263 million in delayed encumbrances, including \$193 million for supplies and materials, \$50 million for fixed and miscellaneous charges and \$19 million for property and equipment.
- \$275 million in personal services, of which \$(37) million represents backpay that will be journaled to prior years and \$312 million represents the current year spending variance.

Higher Education: The \$23 million year-to-date variance is primarily due to:

- \$(3) million in accelerated encumbrances, primarily for other services and charges.
- \$41 million in delayed encumbrances, including \$37 million for fixed and miscellaneous charges and \$3 million for contractual services.
- \$(15) million in personal services primarily due \$(11) million for fringe benefits and \$(5) million for unsalaried positions offset by \$2 million for full-time normal gross.

Health and Hospitals Corporation: The \$(19) million year-to-date variance is primarily due to:

- \$(19) million in accelerated encumbrances primarily for fixed and miscellaneous charges.

Miscellaneous: The \$93 million year-to-date variance is primarily due to:

- \$(28) million in fringe benefits reflecting accelerated encumbrances.
- \$128 million in transit subsidies reflecting delayed encumbrances.
- \$(101) million in judgments and claims reflecting prior year charges.
- \$94 million in other.

Debt Service: The \$392 million year-to-date variance is primarily due to:

- \$411 million in delayed encumbrances primarily for general interest on bonds and payments to counterparties.

- \$(19) million in accelerated encumbrances primarily for costs associated with financing and blended component units.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: DECEMBER		FISCAL YEAR: 2011		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C)	\$0.0 (C)	\$35.0 (C)	\$0.0 (C)	\$183.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	32.2 (N)
HIGHWAY AND STREETS	104.3 (C)	63.3 (C)	167.4 (C)	117.3 (C)	568.7 (C)
	(0.6) (N)	0.8 (N)	2.5 (N)	6.7 (N)	257.6 (N)
HIGHWAY BRIDGES	18.4 (C)	0.0 (C)	43.5 (C)	20.9 (C)	358.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	65.0 (N)
WATERWAY BRIDGES	5.6 (C)	0.0 (C)	13.4 (C)	3.7 (C)	35.8 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	20.8 (N)
WATER SUPPLY	(2.3) (C)	0.7 (C)	(5.4) (C)	0.7 (C)	22.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
WATER MAINS, SOURCES & TREATMENT	124.1 (C)	17.0 (C)	281.7 (C)	65.9 (C)	988.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	4.9 (N)
SEWERS	3.8 (C)	70.2 (C)	36.8 (C)	125.8 (C)	433.1 (C)
	0.0 (N)	0.4 (N)	11.4 (N)	11.8 (N)	63.2 (N)
WATER POLLUTION CONTROL	43.6 (C)	0.0 (C)	181.0 (C)	0.0 (C)	631.4 (C)
	0.0 (N)	0.0 (N)	8.0 (N)	0.0 (N)	8.9 (N)
ECONOMIC DEVELOPMENT	6.8 (C)	0.0 (C)	96.3 (C)	12.0 (C)	938.3 (C)
	1.5 (N)	0.0 (N)	13.1 (N)	1.7 (N)	189.1 (N)
EDUCATION	109.0 (C)	0.0 (C)	583.0 (C)	274.0 (C)	1,358.7 (C)
	75.0 (N)	0.0 (N)	504.9 (N)	329.9 (N)	1,063.1 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: DECEMBER		FISCAL YEAR: 2011		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	21.1 (C) 0.0 (N)	0.0 (C) 0.0 (N)	28.5 (C) 0.0 (N)	103.6 (C) 0.0 (N)	400.7 (C) 0.0 (N)
SANITATION	3.4 (C) 0.0 (N)	19.1 (C) 0.0 (N)	416.1 (C) 0.3 (N)	385.9 (C) 0.3 (N)	834.6 (C) 4.9 (N)
POLICE	9.7 (C) 0.0 (N)	64.5 (C) 0.0 (N)	37.3 (C) 0.0 (N)	153.6 (C) 0.0 (N)	322.7 (C) 0.0 (N)
FIRE	(0.0) (C) 0.0 (N)	(4.5) (C) 0.0 (N)	23.6 (C) 2.7 (N)	11.0 (C) 0.0 (N)	214.4 (C) 17.2 (N)
HOUSING	50.2 (C) 4.0 (N)	(0.8) (C) (0.9) (N)	62.9 (C) (0.6) (N)	10.4 (C) (0.9) (N)	693.9 (C) 225.9 (N)
HOSPITALS	10.8 (C) 0.0 (N)	19.0 (C) 0.0 (N)	73.0 (C) 0.0 (N)	81.9 (C) 2.5 (N)	222.3 (C) 3.2 (N)
PUBLIC BUILDINGS	3.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	71.1 (C) 0.0 (N)	14.7 (C) 0.0 (N)	495.2 (C) 0.3 (N)
PARKS	18.5 (C) 0.6 (N)	0.3 (C) 10.1 (N)	190.7 (C) 11.9 (N)	28.0 (C) 12.6 (N)	1,085.8 (C) 208.3 (N)
ALL OTHER DEPARTMENTS	95.1 (C) 15.3 (N)	19.2 (C) 1.8 (N)	507.0 (C) 26.0 (N)	101.5 (C) 29.9 (N)	3,523.3 (C) 456.7 (N)
TOTAL	\$625.4 (C) \$95.9 (N)	\$268.1 (C) \$12.2 (N)	\$2,843.0 (C) \$580.2 (N)	\$1,511.0 (C) \$394.4 (N)	\$13,309.9 (C) \$2,621.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: December

Fiscal Year: 2011

City Funds:

Total Authorized Commitment Plan	\$13,310
Less: Reserve for Unattained Commitments Commitment Plan	<u>(3,875)</u> <u>\$9,435</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,621
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u> <u>\$2,621</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2011 September Capital Commitment Plan of \$13,310 million rather than the Financial Plan level of \$9,435 million. The additional \$3,875 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through December are primarily due to timing differences.

- | | | |
|------------------|---|--|
| Waterway Bridges | - | Reconstruction of the Williamsburg Bridge, totaling \$7.4 million, advanced from June 2011 to November and December 2010. |
| Correction | - | Adolescent Reception Detention Center, Riker's Island, totaling \$9.5 million, slipped from July and August 2010 to February 2011. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$58.0 million, slipped from July and September 2010 to February 2011. Purchase of equipment for other use by the Department of Correction, totaling \$5.1 million, slipped from July 2010 to February 2011. Acquisition and construction of the supplementary housing program and support facilities, totaling \$2.2 million, slipped from July 2010 to February 2011 and planned deregistration, totaling \$1.8 million, slipped from October 2010 to February 2011. Purchase of computer equipment, totaling \$13.2 million, slipped from July 2010 to February 2011. Rikers Island infrastructure, totaling \$19.0 million, advanced from June 2011 to December 2010. Construction of High Security Institution, Rikers Island, totaling \$2.2 million, slipped from July 2010 to February 2011. Various slippages and advances account for the remaining variance. |
| Education | - | Funds for School Construction, totaling \$32.0 million, advanced from June 2011 to December 2010. Five-Year Educational Capital Plan, totaling \$275.0 million, advanced from June 2011 to August, October and December 2010. Various slippages and advances account for the remaining variance. |

- Economic Development - Brooklyn Navy Yard, totaling \$9.0 million, advanced from June 2011 to August 2010. Acquisition and site development for commercial redevelopment, City-wide, totaling \$66.0 million, advanced from June 2011 to August thru December 2010. Modernization and reconstruction of piers, City-wide, totaling \$4.8 million, advanced from June 2011 to July thru December 2010. Various slippages and advances account for the remaining variance.
- Fire - New training center for the New York City Fire Department, totaling \$6.2 million, advanced from June 2011 to August, September and December 2010. Management information and Control System, totaling \$5.4 million, advanced from June 2011 to July 2010 and September thru December 2010.
- Highway Bridges - Deregistration of contracts for the reconstruction of Gerritsen Bridge, totaling \$2.0 million, occurred in September 2010. Reconstruction of Madison Avenue Bridge, totaling \$5.0 million, advanced from June 2011 to December 2010. Design cost for bridge facilities, City-wide, totaling \$2.5 million, advanced from June 2011 to July thru December 2010. Reconstruction of the Belt Parkway Bridge over Rockaway Parkway, Brooklyn, totaling \$4.5 million, advanced from June 2011 to July thru December 2010. Bridge Painting, City-wide, totaling \$6.2 million, advanced from June 2011 to November and December 2010. Deregistration of contracts for the reconstruction of Mill Basin Bridge, totaling \$4.0 million, occurred in September 2010. Reconstruction of the Belt Shore Parkway over Fresh Creek, Brooklyn, totaling \$4.7 million, advanced from June 2011 to July and December 2010. Reconstruction of Bryant Avenue Bridge, totaling \$2.3 million, advanced from June 2011 to July and November 2010. Various slippages and advances account for the remaining variance.
- Housing - Affordable Housing Recovery Program, totaling \$2.4 million, advanced from June 2011 to December 2010. Computer purchases, City-wide, totaling \$2.0 million, advanced from June 2011 to August thru December 2010. Low and mixed income rental, totaling \$5.0 million, advanced from June 2011 to December 2010. Participation loan program, totaling \$12.3 million, advanced from June 2011 to August thru December 2010. Edgemere programs, totaling \$9.7 million, slipped from August 2010 to February 2011. Multi Family New Construction, City-wide, totaling \$2.7 million, advanced from June 2011 to July 2010. Low income rental, totaling \$26.0 million, advanced from June 2011 to July and December 2010. Moderate to

Middle mixed income rental, totaling \$5.0 million, advanced from June 2011 to December 2010. Various slippages and advances account for the remaining variance.

Highways

- Construction and reconstruction of highways, totaling \$7.6 million, slipped from October thru December 2010 to February 2011. Repaving and resurfacing of streets, City-wide, totaling \$11.6 million, advanced from June 2011 to July thru November 2010. Deregistration of contracts for the Department of Transportation facilities, totaling \$8.1 million, occurred in October 2010. Land acquisition for streets and sewers, totaling \$2.5 million, slipped from December 2010 to February 2011. Sidewalk reconstruction, totaling \$31.6 million, slipped from December 2010 to February 2011. Repaving and resurfacing of streets, in-house, totaling \$102.9 million, advanced from June 2011 to December 2010. Reconstruction of Nassau Avenue, totaling \$2.9 million, slipped from December 2010 to February 2011. Reconstruction of City-owned retaining walls, City-wide, totaling \$5.9 million, slipped from November and December 2010 to February 2011. Various slippages and advances account for the remaining variance.

Parks

- Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$20.6 million, advanced from January and June 2011 to July thru December 2010. Acquisition of property for playgrounds, City-wide, totaling \$6.4 million, advanced from June 2011 to August and September 2010. Deregistration of construction contracts for Rockaway Beach Boardwalk, totaling \$8.8 million, occurred in August 2010 and the remaining plan totaling \$8.8 million, slipped from July 2010 to February 2011. Orchard Beach Expansion, totaling \$3.8 million, advanced from June 2011 to September 2010. Street and park tree planting, City-wide, totaling \$13.9 million, advanced from June 2011 to August thru December 2010. Improvements to Waterfront Park, totaling \$94.6 million, advanced from June 2011 to August 2010. Purchase of equipment for the use by the Department of Parks and Recreation, totaling \$2.0 million, advanced from March and June 2011 to August thru December 2010. Downing stadium construction, totaling \$3.7 million, advanced from June 2011 to August and December 2010. Improvements to Central Park, Manhattan, totaling \$2.9 million, advanced from June 2011 to December 2010. Improvements to the New Yankee Stadium, totaling \$12.1 million, advanced from June 2011 to July, September and November 2010. Various slippages and advances account for the remaining variance.

Police

- Purchase of ultra high frequency radio telephone equipment, totaling \$49.1 million, slipped from July thru September and December 2010 to February 2011. Improvements to Police Department property, City-

wide, totaling \$8.6 million, advanced from February and April 2011 to July thru December 2010. Marine launches for Harbor Unit, totaling \$2.8 million, slipped from September and December 2010 to February 2011. Acquisition and installation of computer equipment, City-wide, totaling \$4.0 million, slipped from November 2010 to February 2011. Acquisition of vehicles, totaling \$14.0 million, slipped from September and December 2010 to February 2011. Purchase of new equipment for the Police Department, totaling \$2.0 million, slipped from September and December 2010 to February 2011. Construction of a New Police Training Facility, totaling \$51.0 million, slipped from September and December 2010 to February 2011. Various slippages and advances account for the remaining variance.

- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$45.4 million, advanced from April thru June 2011 to July thru December 2010. Reconstruction and improvements to long term leased facilities, City-wide, totaling \$2.4 million, slipped from September 2010 to February 2011, and deregistration of contracts, totaling \$2.1 million, occurred in October 2010. Board of Elections, totaling \$7.1 million, advanced from April and June 2011 to August thru October 2010. Installation of fuel facility vapor control systems, totaling \$4.9 million, advanced from June 2011 to September and October 2010. Various slippages and advances account for the remaining variance.
- Sanitation - Purchase of collection trucks and equipment, totaling \$59.7 million, advanced from January 2011 to October thru December 2010. Improvements to garages and other facilities, totaling \$4.7 million, slipped from July thru December 2010 to February 2011. Sites for sanitation garage, City-wide, totaling \$21.4 million, slipped from September and December 2010 to February 2011. Construction of sanitation garage for District 1/2/5 Manhattan, totaling \$2.1 million, slipped from October 2010 to February 2011. Various slippages and advances account for the remaining variance.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$61.8 million, slipped from July thru December 2010 to February 2011. Construction and reconstruction of storm sewers, City-wide, totaling \$31.2 million, slipped from July thru December 2010 to February 2011. Acquisition of land, pursuant to storm water management program, totaling \$9.4 million, advanced from June 2011 to July thru December 2010. Guniting of sewers, City-wide, totaling \$5.4 million, slipped from December 2010 to February 2011. Various slippages and advances account for the remaining variance.

- Transit - Reconstruction of Transit lines under operation, City-wide, totaling \$35.0 million, advanced from June 2011 to November 2010.

- Water Supply - Deregistration of contracts for the City Tunnel Number 3, Stage 2, totaling \$6.2 million, occurred in November 2010. Deregistration of contracts for the construction of the Kensico City Tunnel, totaling \$2.1 million, occurred in December 2010. Various slippages and advances account for the remaining variance.

- Water Mains - Water main extensions, City-wide, totaling \$22.3 million, slipped from July thru December 2010 to February 2011. Trunk main extensions and improvements, totaling \$87.3 million, advanced from January, April and June 2011 to December 2010. Construction of the Croton Filtration Plant, totaling \$66.6 million, advanced from June 2011 to July thru December 2010. Improvements to structures on watersheds outside the City, totaling \$81.0 million, advanced from June 2011 to July thru December 2010. Water supply improvements, totaling \$3.4 million, advanced from June 2011 and FY 2012 to August thru December 2010. Various slippages and advances account for the remaining variance.

- Water Pollution Control - Reconstruction of Ward's Island Water Pollution Control Plant, totaling \$7.1 million, advanced from June 2011 to August thru December 2010. Reconstruction of Water Pollution Control Projects, totaling \$33.1 million, advanced from June 2011 to July thru December 2010. Construction of combined sewer overflow abatement, totaling \$32.7 million, advanced from June 2011 to July thru December 2010. Upgrade of the Twenty Sixth Water Pollution Control Plant, totaling \$10.0 million, advanced from June 2011 to July thru December 2010. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$11.0 million, advanced from June 2011 to July thru December 2010. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling \$16.5 million, advanced from June 2011 to August thru December 2010. Construction and reconstruction of pumping stations, City-wide, totaling \$9.8 million, advanced from June 2011 to July thru December 2010. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$11.0 million, advanced from June 2011 to September thru December 2010. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$37.4 million, advanced from June 2011 to July thru December 2010. Bionutrient removal facilities, City-wide, totaling \$5.7 million, advanced from June 2011 to July, September and December 2010. Various slippages and advances account for the remaining variance.

Others

- Purchase of EDP equipment for the Department of Information Technology and Telecommunications, totaling \$38.5 million, advanced from June 2011 to July thru December 2010. Emergency communications system and facilities, totaling \$34.4 million, advanced from June 2011 to July thru December 2010.
- Purchase of DEP equipment, totaling \$5.6 million, advanced from June 2011 to July thru December 2010. Purchase of electronic data processing equipment, totaling \$24.0 million, advanced from June 2011 to July thru December 2010. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$12.2 million, advanced from June 2011 to July thru December 2010. Remedial action at closed landfill, totaling \$9.2 million, advanced from June 2011 to September and November 2010. Installation of water measuring devices, City-wide, totaling \$19.8 million, advanced from June 2011 to July thru December 2010.
- Purchase of equipment for use by the Homeless, City-wide, totaling \$2.0 million, advanced from January and June 2011 to July, October and November 2010. Congregate facilities for the Homeless, City-wide, totaling \$4.9 million, advanced from February thru June 2011 to July thru December 2010.
- Improvements to health facilities, City-wide, totaling \$109.3 million, advanced from January, April, May and June 2011 to July thru December 2010.
- City University improvements, City-wide, totaling \$5.4 million, advanced from June 2011 to July thru December 2010. Community College improvements, totaling \$12.4 million, advanced from June 2011 to October thru December 2010.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens's libraries, totaling \$14.3 million, slipped from July thru October 2010 to February 2011.
- Purchase of electronic data processing equipment, totaling \$80.3 million, advanced from June 2011 to July thru December 2010. Purchase of electronic data processing equipment for FISA, totaling \$20.3 million, advanced from June 2011 to July thru December 2010. Financing capital expenditures, totaling \$7.9 million, occurred in October and December 2010. Energy efficiency and sustainability, totaling \$8.4 million, advanced from January and June 2011 to July thru December 2010.

- Installation of traffic signals, City-wide, totaling \$20.7 million, advanced from June 2011 to July thru December 2010. Street lighting draw down, City-wide, totaling \$5.7 million, slipped from July and December 2010 to February 2011. Bus rapid transit, City-wide, totaling \$3.5 million, slipped from October thru December 2010 to February 2011.

3. Variances in year-to-date commitments of non-City funds through December occurred in the Department of Education, Department of Business Services, the Department of Environmental Protection and the Department of Transportation.

- | | | |
|-------------------------|---|---|
| Education | - | Five-Year Educational Capital Plan, totaling \$175.0 million, advanced from June 2011 to August and December 2010. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$11.4 million, advanced from January and June 2011 to July thru December 2010. Various slippages and advances account for the remaining variance. |
| Water Pollution Control | - | Reconstruction of Water Pollution Control Plant Projects, totaling \$8.1 million, advanced from June 2011 to October 2010. Various slippages and advances account for the remaining variance. |
| Others | - | Private ferry facilities, totaling \$6.5 million, advanced from June 2011 to December 2010. Reconstruction of ferry vessels, totaling \$3.9 million, advanced from June 2011 to September thru December 2010. |
| | - | Installation of traffic signals, totaling \$3.2 million, advanced from June to December 2010. Street lighting, City-wide, totaling \$22.0 million, slipped from July 2010 to February 2011. |

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: DECEMBER		FISCAL YEAR: 2011	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$40.6 (C) 0.0 (N)	\$92.4 (C) 6.3 (N)
HIGHWAY AND STREETS	69.0 (C) 2.0 (N)		139.8 (C) 17.6 (N)	281.7 (C) 73.2 (N)
HIGHWAY BRIDGES	20.7 (C) 6.9 (N)		105.5 (C) 63.9 (N)	289.8 (C) 120.2 (N)
WATERWAY BRIDGES	11.5 (C) 8.6 (N)		89.9 (C) 50.9 (N)	205.1 (C) 124.4 (N)
WATER SUPPLY	9.7 (C) 0.0 (N)		51.2 (C) 0.0 (N)	145.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	110.3 (C) 0.0 (N)		688.1 (C) 0.0 (N)	988.2 (C) 1.0 (N)
SEWERS	15.4 (C) 0.4 (N)		97.8 (C) 0.4 (N)	148.2 (C) 14.1 (N)
WATER POLLUTION CONTROL	88.4 (C) 2.2 (N)		606.2 (C) 19.1 (N)	911.8 (C) 51.2 (N)
ECONOMIC DEVELOPMENT	9.8 (C) 4.1 (N)		127.8 (C) 31.7 (N)	250.6 (C) 54.8 (N)
EDUCATION	0.0 (C) 0.0 (N)		995.7 (C) 204.6 (N)	1,783.2 (C) 690.1 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: DECEMBER	FISCAL YEAR: 2011	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	2.9 (C)	17.9 (C)	94.6 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
SANITATION	11.6 (C)	215.5 (C)	358.2 (C)
	0.0 (N)	1.3 (N)	1.7 (N)
POLICE	18.3 (C)	84.4 (C)	298.7 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
FIRE	10.4 (C)	46.5 (C)	86.3 (C)
	0.6 (N)	8.7 (N)	8.2 (N)
HOUSING	34.9 (C)	128.5 (C)	225.0 (C)
	3.3 (N)	22.2 (N)	70.1 (N)
HOSPITALS	16.6 (C)	88.5 (C)	64.1 (C)
	0.0 (N)	0.0 (N)	0.6 (N)
PUBLIC BUILDINGS	13.9 (C)	72.4 (C)	171.3 (C)
	0.0 (N)	0.0 (N)	0.1 (N)
PARKS	58.3 (C)	358.4 (C)	496.6 (C)
	1.7 (N)	12.0 (N)	53.4 (N)
ALL OTHER DEPARTMENTS	127.4 (C)	789.2 (C)	1,532.8 (C)
	7.8 (N)	49.9 (N)	146.8 (N)
TOTAL	\$629.1 (C)	\$4,743.8 (C)	\$8,424.6 (C)
	\$37.5 (N)	\$482.3 (N)	\$1,416.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: DECEMBER
FISCAL YEAR 2011

	ACTUAL						FORECAST					12	ADJUST-	TOTAL	
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months		MENTS
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,122	\$110	\$980	\$413	\$57	\$3,842	\$2,354	\$58	\$912	\$379	\$15	\$2,502	\$14,744	\$2,043	\$16,787
OTHER TAXES	444	953	2,896	1,142	1,070	2,774	2,600	1,177	2,293	2,144	863	3,255	21,611	591	22,202
FEDERAL GRANTS	107	431	(77)	406	101	890	134	445	1,227	526	569	812	5,571	2,300	7,871
STATE GRANTS	361	67	840	189	522	992	332	196	2,113	290	1,692	2,218	9,812	1,663	11,475
OTHER CATEGORICAL	48	218	60	108	11	166	20	10	57	32	30	295	1,055	275	1,330
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	2	-	-	(5)	(10)	(13)	12	(1)
MISCELLANEOUS REVENUES	610	290	403	349	299	232	372	234	390	304	496	374	4,353	-	4,353
CAPITAL INTER-FUND TRANSFERS	-	-	56	38	33	103	16	16	118	18	15	25	438	121	559
SUBTOTAL	4,692	2,069	5,158	2,645	2,093	8,999	5,828	2,138	7,110	3,693	3,675	9,471	57,571	7,005	64,576
PRIOR															
OTHER TAXES	760	232	-	-	-	-	-	-	-	-	-	-	992	-	992
FEDERAL GRANTS	325	378	725	71	326	125	212	158	246	86	19	124	2,795	769	3,564
STATE GRANTS	19	498	534	289	116	(17)	217	77	300	52	39	161	2,285	1,816	4,101
OTHER CATEGORICAL	(3)	126	18	11	81	11	63	18	18	18	18	18	397	38	435
UNRESTRICTED	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MISC. REVENUE/CAPITAL IFA	2	32	53	-	-	-	-	-	-	-	-	-	87	(87)	-
SUBTOTAL	1,103	1,266	1,330	371	523	119	492	253	564	156	76	303	6,556	2,536	9,092
CAPITAL															
CAPITAL TRANSFERS	605	1,251	1,029	1,017	554	619	796	652	768	892	872	783	9,838	(1,413)	8,425
FEDERAL AND STATE	3	4	68	19	366	10	40	123	60	37	308	378	1,416	-	1,416
OTHER															
SENIOR COLLEGES	288	2	1	143	256	1	-	267	487	26	4	669	2,144	(287)	1,857
HOLDING ACCT. & OTHER ADJ.	-	-	1	-	1	(4)	2	-	-	-	-	-	-	-	-
OTHER SOURCES	1	320	-	233	-	161	-	-	-	-	-	-	715	-	715
TOTAL INFLOWS	\$6,692	\$4,912	\$7,587	\$4,428	\$3,793	\$9,905	\$7,158	\$3,433	\$8,989	\$4,804	\$4,935	\$11,604	\$78,240	\$7,841	86,081
CASH OUTFLOWS															
CURRENT															
PS	\$1,436	\$1,971	\$2,659	\$3,333	\$2,712	\$2,353	\$3,396	\$2,741	\$2,760	\$3,399	\$2,745	\$4,310	\$33,815	\$2,234	\$36,049
OTPS	1,188	1,643	1,754	1,758	1,855	1,984	2,007	1,873	2,270	1,829	1,876	2,494	22,531	2,743	25,274
DEBT SERVICE	57	144	14	21	96	118	324	258	313	357	177	1,374	3,253	-	3,253
SUBTOTAL	2,681	3,758	4,427	5,112	4,663	4,455	5,727	4,872	5,343	5,585	4,798	8,178	59,599	4,977	64,576
PRIOR															
PS	1,784	780	33	9	30	4	50	50	40	40	50	30	2,900	-	2,900
OTPS	958	395	3	13	166	65	270	175	325	250	40	40	2,700	-	2,700
OTHER TAXES	82	179	-	-	-	-	-	-	-	-	-	-	261	-	261
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,093	1,093
SUBTOTAL	2,824	1,354	36	22	196	69	320	225	365	290	90	70	5,861	1,093	6,954
CAPITAL															
CITY DISBURSEMENTS	905	764	1,061	537	848	629	613	399	663	635	783	588	8,425	-	8,425
FEDERAL AND STATE	114	52	56	29	194	38	280	78	224	56	217	78	1,416	-	1,416
OTHER															
SENIOR COLLEGES	215	57	134	126	128	95	170	170	170	252	170	170	1,857	-	1,857
OTHER USES	-	-	62	-	191	-	-	-	-	-	-	462	715	-	715
TOTAL OUTFLOWS	\$6,739	\$5,985	\$5,776	\$5,826	\$6,220	\$5,286	\$7,110	\$5,744	\$6,765	\$6,818	\$6,058	\$9,546	\$77,873	\$6,070	\$83,943
NET CASH FLOW	(\$47)	(\$1,073)	\$1,811	(\$1,398)	(\$2,427)	\$4,619	\$48	(\$2,311)	\$2,224	(\$2,014)	(\$1,123)	\$2,058	\$367	\$1,771	\$2,138
BEGINNING BALANCE	\$4,659	\$4,612	\$3,539	\$5,350	\$3,952	\$1,525	\$6,144	\$6,192	\$3,881	\$6,105	\$4,091	\$2,968	\$4,659		
ENDING BALANCE	\$4,612	\$3,539	\$5,350	\$3,952	\$1,525	\$6,144	\$6,192	\$3,881	\$6,105	\$4,091	\$2,968	\$5,026	\$5,026		

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: DECEMBER
FISCAL YEAR 2011

	ACTUAL						FORECAST						12 Months	ADJUST-	
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN		MENTS	TOTAL
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(215)	(57)	(134)	(126)	(128)	(95)	(170)	(170)	(170)	(252)	(170)	(170)	(1,857)	-	(1,857)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	-	-	104	487	26	4	669	1,290	567	1,857
SENIOR COLLEGES INFLOW - PRIOR	288	2	1	143	256	1	-	163	-	-	-	-	854	(854)	-
NET SENIOR COLLEGES	73	(55)	(133)	17	128	(94)	(170)	97	317	(226)	(166)	499	287	(287)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	1,050	300	675	1,070	1,525	913	1,175	400	-	890	-	8,198	-	8,198
(INC)/DEC RESTRICTED CASH	200	72	254	77	(666)	(1,056)	(217)	(623)	233	827	(53)	783	(169)	(1,413)	(1,582)
SUBTOTAL	400	1,122	554	752	404	469	696	552	633	827	837	783	8,029	(1,413)	6,616
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	150	250	250	-	-	-	-	-	-	-	-	650	-	650
(INC)/DEC RESTRICTED CASH	205	(21)	225	15	150	150	100	100	135	65	35	-	1,159	-	1,159
SUBTOTAL	205	129	475	265	150	150	100	100	135	65	35	-	1,809	-	1,809
TOTAL CITY CAPITAL TRANSFERS	605	1,251	1,029	1,017	554	619	796	652	768	892	872	783	9,838	(1,413)	8,425
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	3	4	68	19	98	10	40	102	60	37	308	378	1,127	289	1,416
PRIOR	-	-	-	-	268	-	-	21	-	-	-	-	289	(289)	-
TOTAL FEDERAL AND STATE INFLOWS	3	4	68	19	366	10	40	123	60	37	308	378	1,416	-	1,416
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(905)	(764)	(1,061)	(537)	(848)	(629)	(613)	(399)	(663)	(635)	(783)	(588)	(8,425)	-	(8,425)
FEDERAL AND STATE	(114)	(52)	(56)	(29)	(194)	(38)	(280)	(78)	(224)	(56)	(217)	(78)	(1,416)	-	(1,416)
TOTAL OUTFLOWS	(1,019)	(816)	(1,117)	(566)	(1,042)	(667)	(893)	(477)	(887)	(691)	(1,000)	(666)	(9,841)	-	(9,841)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(300)	487	(32)	480	(294)	(10)	183	253	105	257	89	195	1,413	(1,413)	-
NET NON-CITY CAPITAL	(111)	(48)	12	(10)	172	(28)	(240)	45	(164)	(19)	91	300	-	-	-
NET TOTAL CAPITAL	(411)	439	(20)	470	(122)	(38)	(57)	298	(59)	238	180	495	1,413	(1,413)	-

NOTES TO REPORT #6/6A

1. **Beginning Balance**

The July 2010 beginning balance is consistent with the FY 2010 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2011 ending balance includes deferred revenue from FY 2012 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.