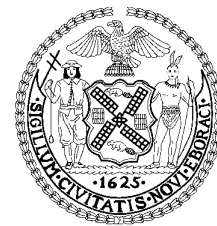
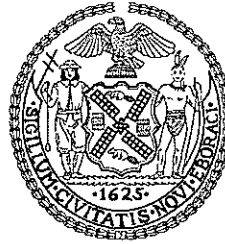


Financial Plan Statements
for
New York City
August 2009



The City of New York



This report contains Financial Plan Statements for August 2009 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on June 23, 2009.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**

A handwritten signature in black ink, appearing to read "Stuart Klein", written over a horizontal line.

**Stuart Klein
First Deputy Director
Office of Management and Budget**

A handwritten signature in black ink, appearing to read "Marcia J. Van Wagner", written over a horizontal line.

**Marcia J. Van Wagner
Deputy Comptroller Budget
Office of the Comptroller**

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

Covered Organization Financial Plans are issued quarterly.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are derived from preliminary FY 2009 balances and are subjected to audit adjustments. Amounts reported may be subject to reclassification or adjustments arising from management review and audits of the City's FY 2009 and FY 2010 Financial Statements. The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

Debt Service expenditures are net of Transitional Finance Authority (TFA) debt service and City personal income taxes (PIT) are net of PIT deposited into the TFA Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2010 for OTPS purchase orders and contracts expected to be received by June 30, 2010 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2010 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2010.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In

prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Report No. 1

Financial Plan Summary

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 37	\$ 114	\$ (77)	\$ 7,419	\$ 7,457	\$ (38)	\$ 16,072	\$ 16,072	\$ -
OTHER TAXES	863	843	20	1,785	1,629	156	19,128	19,128	-
MISCELLANEOUS REVENUES	292	323	(31)	880	911	(31)	5,973	5,973	-
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	340	340	-
LESS: INTRA-CITY REVENUES	(2)	(3)	1	(5)	(6)	1	(1,669)	(1,669)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	1,190	1,277	(87)	10,079	9,991	88	39,829	39,829	-
OTHER CATEGORICAL GRANTS	163	163	-	271	271	-	1,053	1,053	-
CAPITAL INTER-FUND TRANSFERS	11	11	-	11	11	-	486	486	-
FEDERAL GRANTS	22	22	-	31	31	-	6,600	6,600	-
STATE GRANTS	25	25	-	90	90	-	11,512	11,512	-
TOTAL REVENUES	\$ 1,411	\$ 1,498	\$ (87)	\$ 10,482	\$ 10,394	\$ 88	\$ 59,480	\$ 59,480	\$ -
EXPENDITURES:									
PS	\$ 2,468	\$ 2,502	\$ 34	\$ 3,930	\$ 3,987	\$ 57	\$ 35,949	\$ 35,949	\$ -
OTPS	2,230	1,873	(357)	9,951	8,366	(1,585)	24,423	24,423	-
DEBT SERVICE	(13)	20	33	32	36	4	477	477	-
GENERAL RESERVE	-	-	-	-	-	-	300	300	-
SUBTOTAL	4,685	4,395	(290)	13,913	12,389	(1,524)	61,149	61,149	-
LESS: INTRA-CITY EXPENSES	(2)	(3)	(1)	(5)	(6)	(1)	(1,669)	(1,669)	-
TOTAL EXPENDITURES	\$ 4,683	\$ 4,392	\$ (291)	\$ 13,908	\$ 12,383	\$ (1,525)	\$ 59,480	\$ 59,480	\$ -
SURPLUS/(DEFICIT)	\$ (3,272)	\$ (2,894)	\$ (378)	\$ (3,426)	\$ (1,989)	\$ (1,437)	\$ -	\$ -	\$ -

Report No. 1A

Month-by-Month Revenue and Obligation Forecast

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: AUGUST
FISCAL YEAR 2010

	ACTUAL		FORECAST										POST JUNE	TOTAL YEAR
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN		
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 7,382	\$ 37	\$ 648	\$ 402	\$ 47	\$ 3,148	\$ 3,023	\$ 43	\$ 805	\$ 380	\$ 20	\$ 33	\$ 104	\$ 16,072
OTHER TAXES	922	863	2,543	1,034	1,050	2,199	1,972	910	1,973	1,704	985	2,997	(24)	19,128
MISCELLANEOUS REVENUES	588	292	237	426	403	375	503	347	595	476	669	668	394	5,973
UNRESTRICTED INTGOVT. AID	-	-	-	-	-	-	-	-	-	-	-	340	-	340
LESS: INTRA-CITY REVENUES	(3)	(2)	(17)	(125)	(112)	(129)	(192)	(84)	(124)	(152)	(100)	(235)	(394)	(1,669)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	8,889	1,190	3,411	1,737	1,388	5,593	5,306	1,216	3,249	2,408	1,569	3,793	80	39,829
OTHER CATEGORICAL GRANTS	108	163	23	106	96	92	126	41	98	53	87	60	-	1,053
CAPITAL INTER-FUND TRANSFERS	-	11	21	19	38	31	100	35	30	26	24	28	123	486
FEDERAL GRANTS	9	22	151	331	430	360	648	712	612	606	643	594	1,482	6,600
STATE GRANTS	65	25	1,570	222	1,124	924	1,013	1,022	1,050	1,128	1,009	990	1,370	11,512
TOTAL REVENUES:	\$ 9,071	\$ 1,411	\$ 5,176	\$ 2,415	\$ 3,076	\$ 7,000	\$ 7,193	\$ 3,026	\$ 5,039	\$ 4,221	\$ 3,332	\$ 5,465	\$ 3,055	\$ 59,480
EXPENDITURES:														
PS	\$ 1,462	\$ 2,468	\$ 2,613	\$ 2,702	\$ 2,709	\$ 2,757	\$ 3,358	\$ 2,685	\$ 2,683	\$ 2,704	\$ 2,692	\$ 4,865	\$ 2,251	\$ 35,949
OTPS	7,721	2,230	2,216	1,632	1,225	1,213	1,542	1,364	1,213	1,041	1,075	1,369	582	24,423
DEBT SERVICE	45	(12)	15	18	13	15	60	35	63	73	67	85	-	477
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	300	300
SUBTOTAL	9,228	4,686	4,844	4,352	3,947	3,985	4,960	4,084	3,959	3,818	3,834	6,319	3,133	61,149
LESS: INTRA-CITY EXPENSES	(3)	(2)	(17)	(125)	(112)	(129)	(192)	(84)	(124)	(152)	(100)	(235)	(394)	(1,669)
TOTAL EXPENDITURES	\$ 9,225	\$ 4,684	\$ 4,827	\$ 4,227	\$ 3,835	\$ 3,856	\$ 4,768	\$ 4,000	\$ 3,835	\$ 3,666	\$ 3,734	\$ 6,084	\$ 2,739	\$ 59,480
SURPLUS/(DEFICIT)	\$ (154)	\$ (3,273)	\$ 349	\$ (1,812)	\$ (759)	\$ 3,144	\$ 2,425	\$ (974)	\$ 1,204	\$ 555	\$ (402)	\$ (619)	\$ 316	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

DESCRIPTION	INITIAL PLAN 6/23/2009	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,072	\$ -	\$ -
OTHER TAXES	19,128	-	-
MISCELLANEOUS REVENUES	5,973	-	-
UNRESTRICTED INTERGOVERNMENTAL AID	340	-	-
LESS:INTRA-CITY REVENUES	(1,669)	-	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	39,829	-	-
OTHER CATEGORICAL GRANTS	1,053	-	-
CAPITAL INTERFUND TRANSFERS	486	-	-
FEDERAL GRANTS	6,600	-	-
STATE GRANTS	11,512	-	-
TOTAL REVENUES	\$ 59,480	\$ -	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 35,949	\$ -	\$ -
OTHER THAN PERSONAL SERVICE	24,423	-	-
DEBT SERVICE	477	-	-
GENERAL RESERVE	300	-	-
SUBTOTAL	61,149	-	-
LESS:INTRA-CITY EXPENDITURES	(1,669)	-	-
TOTAL EXPENDITURES	\$ 59,480	\$ -	\$ -

Report No. 3

Revenue Activity by Major Area

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 37	\$ 114	\$ (77)	\$ 7,419	\$ 7,457	\$ (38)	\$ 16,072	\$ 16,072	\$ -
PERSONAL INCOME TAX	374	392	(18)	825	809	16	5,877	5,877	-
GENERAL CORPORATION TAX	-	-	-	-	-	-	2,024	2,024	-
BANKING CORPORATION TAX	-	-	-	-	-	-	478	478	-
UNINCORPORATED BUSINESS TAX	-	-	-	-	-	-	1,455	1,455	-
GENERAL SALES TAX	351	267	84	689	535	154	4,069	4,069	-
REAL PROPERTY TRANSFER TAX	48	40	8	102	80	22	613	613	-
MORTGAGE RECORDING TAX	36	30	6	71	60	11	475	475	-
COMMERCIAL RENT TAX	-	-	-	-	-	-	543	543	-
UTILITY TAX	29	31	(2)	29	31	(2)	391	391	-
OTHER TAXES	25	23	2	69	54	15	826	826	-
TAX AUDIT REVENUES *	-	-	-	-	-	-	596	596	-
TAX PROGRAM	-	60	(60)	-	60	(60)	879	879	-
STAR PROGRAM	-	-	-	-	-	-	902	902	-
TOTAL TAXES	\$ 900	\$ 957	\$ (57)	\$ 9,204	\$ 9,086	\$ 118	\$ 35,200	\$ 35,200	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	60	60	-	91	91	-	479	479	-
INTEREST INCOME	-	-	-	1	1	-	30	30	-
CHARGES FOR SERVICES	36	36	-	87	87	-	674	674	-
WATER AND SEWER CHARGES	104	104	-	478	478	-	1,369	1,369	-
RENTAL INCOME	7	7	-	33	33	-	220	220	-
FINES AND FORFEITURES	67	67	-	139	139	-	899	899	-
MISCELLANEOUS	16	46	(30)	46	76	(30)	633	633	-
INTRA-CITY REVENUE	2	3	(1)	5	6	(1)	1,669	1,669	-
TOTAL MISCELLANEOUS	\$ 292	\$ 323	\$ (31)	\$ 880	\$ 911	\$ (31)	\$ 5,973	\$ 5,973	\$ -

* The financial plan as submitted on June 23, 2009 reflects \$596 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2010 PLAN
SALES TAX	\$ -	\$ -	\$ 20
PERSONAL INCOME TAX	-	-	25
GENERAL CORPORATION TAX	-	-	362
COMMERCIAL RENT TAX	-	-	15
FINANCIAL CORPORATION TAX	-	-	101
UTILITY TAX	-	-	8
UNINCORPORATED BUSINESS TAX	-	-	49
REAL PROPERTY TRANSFER	-	-	7
OTHER TAXES	-	-	9
TOTAL	\$ -	\$ -	\$ 596

**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	327	327	-
OTHER INTGOVT. AID	-	-	-	-	-	-	13	13	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 340	\$ 340	\$ -
OTHER CATEGORICAL GRANTS	163	163	-	271	271	-	1,053	1,053	-
CAPITAL INTER-FUND TRANSFERS	11	11	-	11	11	-	486	486	-
LESS: INTRA-CITY REVENUES	(2)	(3)	1	(5)	(6)	1	(1,669)	(1,669)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	18	18	-	24	24	-	305	305	-
WELFARE	-	-	-	-	-	-	2,562	2,562	-
EDUCATION	3	3	-	6	6	-	2,746	2,746	-
OTHER	1	1	-	1	1	-	987	987	-
TOTAL FEDERAL GRANTS	\$ 22	\$ 22	\$ -	\$ 31	\$ 31	\$ -	\$ 6,600	\$ 6,600	\$ -
STATE GRANTS									
WELFARE	-	-	-	-	-	-	1,947	1,947	-
EDUCATION	21	21	-	86	86	-	8,186	8,186	-
HIGHER EDUCATION	-	-	-	-	-	-	198	198	-
HEALTH AND MENTAL HYGIENE	3	3	-	3	3	-	480	480	-
OTHER	1	1	-	1	1	-	701	701	-
TOTAL STATE GRANTS	\$ 25	\$ 25	\$ -	\$ 90	\$ 90	\$ -	\$ 11,512	\$ 11,512	\$ -
TOTAL REVENUES	\$ 1,411	\$ 1,498	\$ (87)	\$ 10,482	\$ 10,394	\$ 88	\$ 59,480	\$ 59,480	\$ -

Report No. 4

Obligation Analysis

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 510	\$ 488	\$ (22)	\$ 776	\$ 730	\$ (46)	\$ 4,358	\$ 4,358	\$ -
FIRE DEPT.	181	178	(3)	306	301	(5)	1,617	1,617	-
DEPT. OF CORRECTION	111	118	7	192	170	(22)	1,002	1,002	-
SANITATION DEPT.	54	91	37	464	377	(87)	1,303	1,303	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	153	178	25	1,386	1,200	(186)	2,675	2,675	-
DEPT. OF SOCIAL SERVICES	839	583	(256)	1,911	1,684	(227)	7,888	7,888	-
DEPT. OF HOMELESS SERVICES	105	90	(15)	522	475	(47)	765	765	-
HEALTH & MENTAL HYGIENE	224	149	(75)	1,020	584	(436)	1,647	1,647	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	70	62	(8)	190	127	(63)	583	583	-
ENVIRONMENTAL PROTECTION	73	86	13	220	223	3	1,025	1,025	-
TRANSPORTATION DEPT.	140	61	(79)	221	234	13	734	734	-
PARKS & RECREATION DEPT.	48	46	(2)	95	85	(10)	348	348	-
DEPT. OF CITYWIDE ADMIN. SERVICES	51	36	(15)	955	853	(102)	1,183	1,183	-
ALL OTHER	366	322	(44)	1,145	1,224	79	3,150	3,150	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	731	953	222	2,492	2,234	(258)	18,381	18,381	-
HIGHER EDUCATION	117	69	(48)	132	108	(24)	697	697	-
HEALTH & HOSPITALS CORP.	-	-	-	-	-	-	101	101	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	292	278	(14)	443	409	(34)	3,559	3,559	-
TRANSIT SUBSIDIES	12	-	(12)	27	21	(6)	344	344	-
JUDGMENTS & CLAIMS	53	22	(31)	105	35	(70)	663	663	-
OTHER	15	12	(3)	174	173	(1)	1,649	1,649	-
PENSION CONTRIBUTIONS	553	553	-	1,105	1,106	1	6,700	6,700	-
DEBT SERVICE	(13)	20	33	32	36	4	477	477	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 4,685	\$ 4,395	\$ (290)	\$ 13,913	\$ 12,389	\$ (1,524)	\$ 60,849	\$ 60,849	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	300	300	-
LESS INTRA-CITY EXPENSES	(2)	(3)	(1)	(5)	(6)	(1)	(1,669)	(1,669)	-
TOTAL EXPENDITURES	\$ 4,683	\$ 4,392	\$ (291)	\$ 13,908	\$ 12,383	\$ (1,525)	\$ 59,480	\$ 59,480	\$ -

Report No. 4A & 4B

Personnel Control Reports

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS			
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2010 PROJECTIONS		FISCAL YEAR 2010 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES														
POLICE DEPT.	51,373	51,151	\$ 467	\$ 455	\$ (12)	\$ 652	\$ 652	\$ -	49,167	49,167	-	\$ 4,096	\$ 4,096	\$ -
FIRE DEPT.	16,265	16,418	167	169	2	232	235	3	15,971	15,971	-	1,487	1,487	-
DEPT. OF CORRECTION	10,475	10,694	98	94	(4)	137	134	(3)	10,436	10,436	-	871	871	-
SANITATION DEPT.	9,670	9,728	72	75	3	113	120	7	9,587	9,587	-	776	776	-
HEALTH & WELFARE														
ADMIN. FOR CHILD SERVICES	6,625	6,374	47	44	(3)	67	63	(4)	6,250	6,250	-	378	378	-
DEPT. OF SOCIAL SERVICES	14,065	14,584	83	83	-	118	119	1	14,586	14,586	-	722	722	-
DEPT. OF HOMELESS SERVICES	1,995	1,887	14	14	-	20	19	(1)	1,886	1,886	-	114	114	-
HEALTH & MENTAL HYGIENE	5,758	6,255	44	44	-	61	65	4	6,714	6,714	-	406	406	-
OTHER AGENCIES														
ENVIRONMENTAL PROTECTION	6,088	6,030	46	44	(2)	67	69	2	6,277	6,277	-	413	413	-
TRANSPORTATION DEPT.	4,968	4,397	44	37	(7)	61	52	(9)	4,454	4,454	-	335	335	-
PARKS & RECREATION DEPT.	9,681	8,912	45	39	(6)	61	55	(6)	6,763	6,763	-	272	272	-
CITYWIDE ADMIN. SERVICES	2,485	2,510	18	18	-	25	25	-	2,522	2,522	-	152	152	-
ALL OTHER	29,437	29,461	216	221	5	317	319	2	29,530	29,530	-	1,918	1,918	-
COVERED ORGANIZATIONS														
DEPT. OF EDUCATION	139,153	140,164	262	335	73	451	546	95	138,822	138,822	-	13,004	13,004	-
OTHER														
MISCELLANEOUS BUDGET	-	-	292	277	(15)	443	408	(35)	-	-	-	4,305	4,305	-
PENSION CONTRIBUTIONS	-	-	553	553	-	1,105	1,106	1	-	-	-	6,700	6,700	-
TOTAL	308,038	308,565	\$ 2,468	\$ 2,502	\$ 34	\$ 3,930	\$ 3,987	\$ 57	302,965	302,965	-	\$ 35,949	\$ 35,949	\$ -

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: AUGUST
FISCAL YEAR 2010**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2010		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	50,569	50,502	(67)	47,383	47,383	-
FIRE DEPT.	16,183	16,359	176	15,911	15,911	-
DEPT. OF CORRECTION	10,418	10,644	226	10,386	10,386	-
SANITATION DEPT.	9,574	9,600	26	9,450	9,450	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	6,568	6,316	(252)	6,190	6,190	-
DEPT. OF SOCIAL SERVICES	14,043	14,582	539	14,582	14,582	-
DEPT. OF HOMELESS SERVICES	1,994	1,884	(110)	1,884	1,884	-
HEALTH & MENTAL HYGIENE	5,195	5,154	(41)	5,337	5,337	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,798	5,872	74	6,115	6,115	-
TRANSPORTATION DEPT.	4,439	4,128	(311)	4,242	4,242	-
PARKS & RECREATION DEPT.	3,727	3,575	(152)	3,345	3,345	-
CITYWIDE ADMIN. SERVICES	2,123	2,057	(66)	2,132	2,132	-
ALL OTHER	25,746	25,848	102	25,887	25,887	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	123,671	123,194	(477)	121,852	121,852	-
TOTAL	280,048	279,715	(333)	274,696	274,696	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year plan data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on June 23, 2009.

There are 308,038 filled positions as of August of which 280,048 are full-time positions and 27,990 are full-time equivalent positions. Of the 308,038 filled positions, 267,520 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2010) 274,696 of the 302,965 positions are full-time and 260,657 of the 302,965 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2010 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(46) million year-to-date variance is primarily due to:

- \$(67) million in accelerated encumbrances, including \$(23) million for rental of land buildings and structures, \$(16) million for special expense, \$(4) million for general contractual services, \$(4) million for professional computer services, \$(4) million for data processing equipment, \$(3) million for motor vehicle fuel and \$(3) million for general equipment.
- \$21 million in delayed encumbrances, primarily for motor vehicles and general supplies and materials.

Department of Correction: The \$(22) million year-to-date variance is primarily due to:

- \$(28) million in accelerated encumbrances, primarily for food and forage supplies and expenses relative to commissaries.
- \$9 million in delayed encumbrances, primarily for rental of land, buildings and structures and heat, light and power.

- \$(3) million in personal services.

Department of Sanitation: The \$(87) million year-to-date variance is primarily due to:

- \$(106) million in accelerated encumbrances, including \$(88) million in municipal waste export, \$(7) million in motor vehicle fuel and \$(6) million in general contractual services.
- \$12 million in delayed encumbrances, primarily for heat, light and power and rental of land, buildings and structures.
- \$7 million in personal services.

Administration for Children's Services: The \$(186) million year-to-date variance is primarily due to:

- \$(237) million in accelerated encumbrances, including \$(99) million for day-care of children, \$(37) million for direct foster care of children, \$(36) million for children charitable institutions, \$(35) million for special educational facilities for the institutionalized and foster care, and \$(21) million for homemaking services.
- \$55 million in delayed encumbrances, including \$19 million for general fixed charges, \$12 million for child welfare services, \$9 million for Head Start, \$5 million for rental of land, buildings and structures, \$3 million for direct foster care of children and \$3 million for subsidized adoption.
- \$(4) million in personal services.

Department of Social Services: The \$(227) million year-to-date variance is primarily due to:

- \$(278) million in accelerated encumbrances, including \$(167) million for medical assistance, \$(83) million for aid to dependent children, \$(7) million for AIDS services, \$(6) million for employment services, \$(3) million for general contractual services and \$(3) million for adult protective services.

- \$50 million in delayed encumbrances, including \$15 million for home relief payments, \$11 million for home care services, \$5 million for security services, \$5 million for contractual AIDS services, \$4 million for rentals of land, buildings and structures and \$3 million for homeless family services.
- \$1 million in personal services.

Department of Homeless Services: The \$(47) million year-to-date variance is primarily due to:

- \$(64) million in accelerated encumbrances, primarily for homeless individual and family services.
- \$18 million in delayed encumbrances, primarily for general contractual services.
- \$(1) million in personal services.

Department of Health and Mental Hygiene: The \$(436) million year-to-date variance is primarily due to:

- \$(492) million in accelerated encumbrances, including \$(410) million for mental hygiene services, \$(70) million for general contractual services and \$(3) million for advertising.
- \$52 million in delayed encumbrances, including \$35 million for AIDS services, \$5 million for hospitals contracts and \$4 million for general supplies and materials.
- \$4 million in personal services.

Department of Housing Preservation and Development: The \$(63) million year-to-date variance is primarily due to:

- \$(74) million in accelerated encumbrances, including \$(32) million for Federal Section 8 Rent Subsidy, \$(27) million for general contractual services, \$(7) million for general maintenance and repair and \$(4) million for

contractual in-rem maintenance costs.

- \$11 million in delayed encumbrances, primarily for general contractual services and heat, light and power.

Department of Citywide Administrative Services: The \$(102) million year-to-date variance is primarily due to:

- \$(117) million in accelerated encumbrances, primarily for heat, light and power and general supplies and materials.
- \$15 million in delayed encumbrances, primarily for rentals of land, buildings and structures.

Department of Education: The \$(258) million year-to-date variance is primarily due to:

- \$(353) million in OTPS, reflecting accelerated encumbrances of \$(594) million for payments to contract schools and corporate schools, \$(147) million for direct educational services to students, \$(16) million for fuel oil, \$(15) million for maintenance and operation of infrastructure, \$(12) million for other professional services, \$(9) million for computer services, \$(8) million for curriculum and professional development, \$(7) million for data processing equipment maintenance, \$(7) million for food and forage supplies, \$(5) million for training program for city employees, \$(5) million for data processing supplies and \$(4) million for payments to Fashion Institute of Technology offset by delayed encumbrances of \$297 million for transportation of pupils, \$62 million for general supplies and materials, \$38 million for general contractual services, \$20 million for heat, light and power, \$13 million for payments for surety bonds and insurance premiums, \$11 million for telecommunications maintenance, \$11 million for taxes and licenses, \$9 million for rentals of land, buildings and structures, \$7 million for books and \$4 million for library books.
- \$95 million in personal services, of which \$(3) million represents backpay that will be journaled to prior years and \$98 million represents the current year spending variance.

Higher Education: The \$(24) million year-to-date variance is primarily due to:

- \$(39) million in accelerated encumbrances, primarily for advance to State of New York for CUNY Senior College Expenditures.
- \$8 million in delayed encumbrances, primarily for contractual services.
- \$7 million in personal services.

Miscellaneous: The \$(111) million year-to-date variance is primarily due to:

- \$(34) million in fringe benefits for earlier than expected encumbrances.
- \$(70) million in judgment and claims for earlier than expected encumbrances.
- \$(6) million in transit subsidies for earlier than expected encumbrances.
- \$(1) million in other.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

MONTH: AUGUST

FISCAL YEAR: 2010

DESCRIPTION	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR	
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN	PLAN
TRANSIT	\$0.0 (C)	\$0.0 (C)	\$0.0 (C)	\$0.0 (C)	\$144.0 (C)	
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	32.2 (N)	
HIGHWAY AND STREETS	(10.2) (C)	(7.6) (C)	(10.1) (C)	(6.7) (C)	562.7 (C)	
	0.4 (N)	13.5 (N)	0.4 (N)	19.2 (N)	188.2 (N)	
HIGHWAY BRIDGES	(4.8) (C)	20.6 (C)	(4.6) (C)	24.6 (C)	411.9 (C)	
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	340.2 (N)	
WATERWAY BRIDGES	4.3 (C)	6.8 (C)	5.4 (C)	13.0 (C)	312.4 (C)	
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	344.8 (N)	
WATER SUPPLY	6.1 (C)	0.0 (C)	36.4 (C)	0.0 (C)	162.3 (C)	
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	
WATER MAINS, SOURCES & TREATMENT	13.2 (C)	0.1 (C)	39.8 (C)	2.0 (C)	781.1 (C)	
	0.0 (N)	0.0 (N)	0.0 (N)	(5.9) (N)	(4.3) (N)	
SEWERS	1.7 (C)	1.6 (C)	3.9 (C)	2.1 (C)	303.7 (C)	
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	
WATER POLLUTION CONTROL	143.2 (C)	0.0 (C)	354.8 (C)	0.0 (C)	1,365.4 (C)	
	0.0 (N)	0.0 (N)	8.0 (N)	0.0 (N)	225.5 (N)	
ECONOMIC DEVELOPMENT	47.9 (C)	5.2 (C)	49.3 (C)	5.7 (C)	1,213.6 (C)	
	18.0 (N)	0.0 (N)	18.0 (N)	0.0 (N)	252.0 (N)	
EDUCATION	77.7 (C)	77.7 (C)	264.3 (C)	274.3 (C)	1,326.7 (C)	
	77.2 (N)	77.2 (N)	463.3 (N)	463.3 (N)	1,180.2 (N)	

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST		FISCAL YEAR: 2010		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	0.4 (C) 0.0 (N)	51.1 (C) 3.8 (N)	2.3 (C) 0.0 (N)	224.4 (C) 3.8 (N)	295.9 (C) 3.8 (N)
SANITATION	163.4 (C) 0.0 (N)	12.2 (C) 0.0 (N)	164.4 (C) 0.0 (N)	329.0 (C) 0.0 (N)	953.6 (C) 4.7 (N)
POLICE	32.3 (C) 0.0 (N)	12.4 (C) 0.0 (N)	35.1 (C) 0.0 (N)	25.8 (C) 0.0 (N)	1,080.7 (C) 0.0 (N)
FIRE	3.8 (C) 2.1 (N)	0.0 (C) 0.8 (N)	15.0 (C) 2.1 (N)	0.0 (C) 2.3 (N)	209.9 (C) 23.7 (N)
HOUSING	4.4 (C) 0.0 (N)	2.6 (C) 1.3 (N)	5.6 (C) 0.0 (N)	(1.9) (C) (7.6) (N)	710.7 (C) 194.0 (N)
HOSPITALS	3.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	4.8 (C) 0.0 (N)	1.5 (C) 0.0 (N)	251.9 (C) 0.0 (N)
PUBLIC BUILDINGS	4.4 (C) 0.0 (N)	6.3 (C) 0.0 (N)	11.1 (C) 0.0 (N)	12.6 (C) 0.0 (N)	428.3 (C) (0.1) (N)
PARKS	15.1 (C) 0.9 (N)	7.4 (C) 0.0 (N)	20.7 (C) 0.9 (N)	13.6 (C) 0.0 (N)	1,131.7 (C) 189.4 (N)
ALL OTHER DEPARTMENTS	15.3 (C) 5.2 (N)	19.6 (C) 1.2 (N)	52.8 (C) 14.7 (N)	(1.3) (C) 3.9 (N)	4,038.9 (C) 382.1 (N)
TOTAL	\$521.2 (C) \$103.9 (N)	\$216.0 (C) \$97.7 (N)	\$1,051.0 (C) \$507.4 (N)	\$918.7 (C) \$478.8 (N)	\$15,685.2 (C) \$3,356.2 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: August

Fiscal Year: 2010

City Funds:

Total Authorized Commitment Plan	\$15,685
Less: Reserve for Unattained Commitments Commitment Plan	<u>(4,542)</u>
	<u>\$11,143</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,356
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u>
	<u>\$3,356</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2010 September Capital Commitment Plan of \$15,685 million rather than the Financial Plan level of \$11,143 million. The additional \$4,542 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST		FISCAL YEAR: 2010	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$0.0 (C) 0.0 (N)	\$38.5 (C) 7.6 (N)
HIGHWAY AND STREETS	47.3 (C) 3.6 (N)		60.8 (C) 6.4 (N)	296.8 (C) 65.8 (N)
HIGHWAY BRIDGES	11.3 (C) 0.1 (N)		22.1 (C) 0.1 (N)	281.0 (C) 129.4 (N)
WATERWAY BRIDGES	13.2 (C) 11.2 (N)		26.4 (C) 20.4 (N)	209.2 (C) 162.3 (N)
WATER SUPPLY	7.1 (C) 0.0 (N)		11.6 (C) 0.0 (N)	164.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	96.7 (C) 0.0 (N)		210.9 (C) 0.0 (N)	957.6 (C) (0.9) (N)
SEWERS	12.5 (C) 0.1 (N)		22.1 (C) 0.1 (N)	130.0 (C) 0.2 (N)
WATER POLLUTION CONTROL	94.7 (C) 0.8 (N)		182.6 (C) 1.2 (N)	884.1 (C) 63.6 (N)
ECONOMIC DEVELOPMENT	43.0 (C) 4.7 (N)		52.5 (C) 5.9 (N)	274.5 (C) 80.3 (N)
EDUCATION	0.2 (C) 0.0 (N)		226.7 (C) 173.5 (N)	2,333.2 (C) 236.3 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: AUGUST	FISCAL YEAR: 2010	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	8.7 (C)	11.6 (C)	78.6 (C)
	0.0 (N)	0.0 (N)	0.9 (N)
SANITATION	17.2 (C)	25.6 (C)	260.2 (C)
	0.0 (N)	0.0 (N)	1.9 (N)
POLICE	4.3 (C)	8.9 (C)	235.7 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
FIRE	6.4 (C)	13.6 (C)	97.4 (C)
	(2.4) (N)	(2.1) (N)	7.2 (N)
HOUSING	23.2 (C)	48.3 (C)	229.8 (C)
	5.5 (N)	10.7 (N)	79.4 (N)
HOSPITALS	16.6 (C)	28.0 (C)	87.6 (C)
	0.0 (N)	0.0 (N)	0.0 (N)
PUBLIC BUILDINGS	16.0 (C)	23.7 (C)	159.6 (C)
	0.0 (N)	0.1 (N)	0.2 (N)
PARKS	46.7 (C)	86.6 (C)	495.9 (C)
	5.4 (N)	6.8 (N)	59.2 (N)
ALL OTHER DEPARTMENTS	103.9 (C)	229.3 (C)	1,498.0 (C)
	10.1 (N)	14.1 (N)	123.9 (N)
TOTAL	\$568.8 (C)	\$1,291.2 (C)	\$8,712.6 (C)
	\$38.9 (N)	\$237.3 (N)	\$1,017.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through August are primarily due to timing differences.

- | | | |
|----------------------|---|--|
| Waterway Bridges | - | Reconstruction of the Williamsburg Bridge, totaling \$6.7 million, slipped from July and August 2009 to November 2009. Various slippages and advances account for the remaining variance. |
| Correction | - | Adolescent Reception Detention Center, Riker's Island, totaling \$6.0 million, slipped from July and August 2009 to November 2009. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$85.2 million, slipped from July and August 2009 to November 2009. Purchase of equipment for other use by the Department of Correction, totaling \$3.3 million, slipped from July 2009 to November 2009. Acquisition and construction of the supplementary housing program and support facilities, totaling \$68.0 million, slipped from July 2009 to November 2009. Purchase of computer equipment, totaling \$16.4 million, slipped from July and August 2009 to November 2009. Riker's Island Infrastructure, totaling \$43.0 million, slipped from July and August 2009 to November 2009. Various slippages and advances account for the remaining variance. |
| Education | - | Construction contracts for the Department of Education, totaling \$10.0 million, slipped from July 2009 to November 2009. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition and site development for commercial redevelopment, City-wide, totaling \$42.0 million, advanced from June 2010 to July and August 2009. Various slippages and advances account for the remaining variance. |

- Fire

 - Fire alarm communication systems, totaling \$2.3 million, advanced from June 2010 to July 2009. Acquisition of vehicles for the Fire Department, totaling \$7.3 million, advanced from June 2010 to July and August 2009. Fire Department facility improvements, City-wide, totaling \$2.7 million, advanced from June 2010 to July and August 2009. Management information and Control System, totaling \$2.7 million, advanced from June 2010 to July and August 2009. Various slippages and advances account for the remaining variance.

- Highway Bridges

 - Reconstruction of highway bridges and structures, City-wide, totaling \$7.6 million, slipped from July and August 2009 to November 2009. Deregistration of contracts for improvements to the ramp of East 8th Street, totaling \$11.3 million, occurred in August 2009. Painting and protective treatment to preserve waterway and highway bridges, City-wide, totaling \$2.1 million, slipped from August 2009 to November 2009. Design cost for bridge facilities, City-wide, totaling \$5.4 million, slipped from August 2009 to November 2009. Reconstruction of Wards Island Pedestrian Bridge, Manhattan, totaling \$2.4 million, advanced from June 2010 to August 2009. Various slippages and advances account for the remaining variance.

- Housing

 - Deregistration of construction contracts for Article 7A for Anti-Abandonment, totaling \$2.1 million, occurred in July 2009. Tenant interim lease program, totaling \$3.8 million, advanced from June 2010 to July 2009. Planned deregistration of contracts for the neighborhood entrepreneur program, City-wide, totaling \$4.6 million, slipped from July 2009 to November 2009. Various slippages and advances account for the remaining variance.

- Parks

 - Improvements to Downing Stadium, totaling \$2.3 million, advanced from March and June 2010 to August 2009. Various slippages and advances account for the remaining variance.

- Police

 - Improvements to Police Department property, City-wide, totaling \$11.4 million, advanced from September thru December 2009 to August 2009. New Staten Island Precinct, totaling \$4.3 million, slipped from August 2009 to November 2009. Various slippages and advances account for the remaining variance.

- Sanitation

 - Improvements to garages and other facilities, totaling \$3.0 million, slipped from July and August 2009 to November 2009. Construction of salt storage sheds, City-wide, totaling \$6.2 million, slipped from July and

August 2009 to November 2009. Construction of Marine Transfer Station, totaling \$154.6 million, slipped from July 2009 to November 2009. Various slippages and advances account for the remaining variance.

- Water Supply - Additional water supply emergency and permanent, totaling \$30.5 million, advanced from June 2010 to July 2009. Contract for City tunnel number 3, stage 2, totaling \$6.1 million, advanced from June 2010 to July and August 2009. Various slippages and advances account for the remaining variance.
- Water Mains - Construction of the Croton Filtration Plant, totaling \$21.3 million, advanced from April thru June 2010 to July and August 2009. Improvements to structures on watersheds outside the City, totaling \$16.2 million, advanced from June 2010 to July and August 2009. Various slippages and advances account for the remaining variance.
- Water Pollution Control - Ward's Island Water Pollution Control Plant, totaling \$18.4 million, advanced from June 2010 to July 2009. Reconstruction of North River water pollution control plant, totaling \$4.6 million, advanced from June 2010 to July and August 2009. Construction of combined sewer overflow abatement, totaling \$118.3 million, advanced from September to August 2009. Twenty Sixth Ward Water Pollution Control Plant, totaling \$2.6 million, advanced from June 2010 to July and August 2009. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$2.8 million, advanced from June 2010 to July and August 2009. Construction and reconstruction of pumping stations, City-wide, totaling \$37.4 million, advanced from June 2010 to July and August 2009. Engineering, architecture and administrative cost associated with construction at the Department of Environmental Protection, totaling \$7.6 million, advanced from June 2010 to July and August 2009. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling \$155.7 million, advanced from June 2010 to July and August 2009. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$5.0 million, advanced from June 2010 to July and August 2009. Various slippages and advances account for the remaining variance.
- Others - Purchase of EDP equipment, totaling \$3.4 million, advanced from June 2010 to July and August 2009. Emergency communication system and facilities, totaling \$47.0 million, advanced from June 2010 to July thru September 2009.

- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for libraries, totaling \$7.7 million, slipped from July and August 2009 to November 2009.
- Purchase of electronic data processing equipment, totaling \$24.1 million, advanced from June 2010 to July and August 2009. Judgments and settlements in connection with capital projects, totaling \$4.4 million, slipped from August 2009 to November 2009.
- Computer equipment for the Department of Transportation, totaling \$9.4 million, slipped from August 2009 to December 2009.

3. Variances in year-to-date commitments of non-City funds through August occurred in the Department of Business Services, the Department of Environmental Protection, the Department of Housing Preservation and Development, and the Department of Transportation.

Economic
Development

- Acquisition, site development, construction and reconstruction related to Economic development, totaling \$18.0 million, advanced from February and June 2010 to August 2009. Various slippages and advances account for the remaining variance.

Housing

- Planned deregistration of contracts for the neighborhood entrepreneur Program, City-wide, totaling \$8.9 million, slipped from July 2009 to November 2009.

Highways

- Construction and reconstruction of highways, City-wide totaling \$5.7 million, slipped from August 2009 to November 2009. Private portion for highway projects, City-wide, totaling \$7.3 million, slipped from August 2009 to November 2009. Hazard elimination program, City-wide, totaling \$5.6 million, slipped from July 2009 to November 2009.

Water Mains

- Planned deregistration of contracts for Water Supply Improvements, City-wide, totaling \$5.9 million, slipped from July 2009 to November 2009.

Water Pollution
Control

- Upgrade of Tallmans Water Pollution Control Plant, totaling \$8.0 million, advanced from June 2010 to August 2009

.

Others

- Installation of traffic signals, City-wide, totaling \$10.3 million, advanced from June 2010 to July and August 2009.

Report No. 6 & 6A

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: AUGUST
FISCAL YEAR 2010

	ACTUAL		FORECAST										12 Months	ADJUST- MENTS	TOTAL	
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN				
CASH INFLOWS																
CURRENT																
GENERAL PROPERTY TAX	\$2,882	\$37	\$648	\$402	\$47	\$3,148	\$3,023	\$43	\$805	\$380	\$20	\$2,833	\$14,268	\$1,804	\$16,072	
OTHER TAXES	495	855	2,490	1,145	1,038	2,212	1,904	989	1,827	1,817	985	3,078	18,835	293	19,128	
FEDERAL GRANTS	272	102	59	333	300	442	551	607	634	529	554	633	5,016	1,584	6,600	
STATE GRANTS	208	309	637	177	406	1,037	148	314	3,144	702	1,551	954	9,587	1,925	11,512	
OTHER CATEGORICAL	152	208	24	75	88	85	101	53	91	44	81	112	1,114	(61)	1,053	
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	(5)	(10)	(15)	340	325	
MISCELLANEOUS REVENUES	585	290	220	301	291	246	311	263	471	324	569	433	4,304	-	4,304	
CAPITAL INTER-FUND TRANSFERS	-	11	21	19	38	31	100	35	30	26	24	28	363	123	486	
SUBTOTAL	4,594	1,812	4,099	2,452	2,208	7,201	6,138	2,304	7,002	3,822	3,779	8,061	53,472	6,008	59,480	
PRIOR																
OTHER TAXES	621	194	17	-	-	-	-	-	-	-	-	-	832	-	832	
FEDERAL GRANTS	255	244	334	268	98	136	59	51	56	35	11	59	1,606	917	2,523	
STATE GRANTS	326	234	646	48	44	268	13	46	183	49	55	134	2,046	1,533	3,579	
OTHER CATEGORICAL	15	24	17	121	12	58	19	12	12	18	12	10	330	222	552	
UNRESTRICTED	-	-	63	-	-	264	-	-	-	-	-	-	327	13	340	
MISC. REVENUE/CAPITAL IFA	87	-	-	-	-	-	-	-	-	-	-	-	87	(87)	-	
SUBTOTAL	1,304	696	1,077	437	154	726	91	109	251	102	78	203	5,228	2,598	7,826	
CAPITAL																
CAPITAL TRANSFERS	363	962	427	1,317	726	592	831	873	745	887	845	932	9,500	(787)	8,713	
FEDERAL AND STATE	205	20	65	57	136	73	96	73	65	49	57	136	1,032	(15)	1,017	
OTHER																
SENIOR COLLEGES	747	1	3	143	1	1	154	243	511	-	191	679	2,674	(747)	1,927	
HOLDING ACCT. & OTHER ADJ.	21	6	(7)	(20)	-	-	-	-	-	-	-	-	-	-	-	
OTHER SOURCES	-	238	-	-	-	-	-	-	-	-	-	65	303	-	303	
TOTAL INFLOWS	\$7,234	\$3,735	\$5,664	\$4,386	\$3,225	\$8,593	\$7,310	\$3,602	\$8,574	\$4,860	\$4,950	\$10,076	\$72,209	\$7,057	79,266	
CASH OUTFLOWS																
CURRENT																
PS	\$1,451	\$1,908	\$2,602	\$3,240	\$2,739	\$2,787	\$2,837	\$2,715	\$2,728	\$3,285	\$2,722	\$4,147	\$33,161	\$2,788	\$35,949	
OTPS	1,146	1,865	1,931	1,645	1,528	1,877	1,810	1,790	1,899	1,842	1,673	2,421	21,427	1,627	23,054	
DEBT SERVICE	13	1	4	7	2	4	47	24	51	282	27	15	477	-	477	
SUBTOTAL	2,610	3,774	4,537	4,892	4,269	4,668	4,694	4,529	4,678	5,409	4,422	6,583	55,065	4,415	59,480	
PRIOR																
PS	1,369	834	57	30	30	30	25	25	25	25	25	25	2,500	-	2,500	
OTPS	853	393	30	330	100	100	75	75	400	100	25	19	2,500	-	2,500	
OTHER TAXES	65	115	-	-	-	-	-	-	-	-	-	-	180	-	180	
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,115	1,115	
SUBTOTAL	2,287	1,342	87	360	130	130	100	100	425	125	50	44	5,180	1,115	6,295	
CAPITAL																
CITY DISBURSEMENTS	722	569	901	421	929	459	932	512	875	629	1,119	645	8,713	-	8,713	
FEDERAL AND STATE	198	39	62	55	196	70	94	70	62	47	54	70	1,017	-	1,017	
OTHER																
SENIOR COLLEGES	145	120	180	222	148	148	148	148	148	222	148	150	1,927	-	1,927	
OTHER USES	276	-	27	-	-	-	-	-	-	-	-	-	303	-	303	
TOTAL OUTFLOWS	\$6,238	\$5,844	\$5,794	\$5,950	\$5,672	\$5,475	\$5,968	\$5,359	\$6,188	\$6,432	\$5,793	\$7,492	\$72,205	\$5,530	\$77,735	
NET CASH FLOW	\$996	(\$2,109)	(\$130)	(\$1,564)	(\$2,447)	\$3,118	\$1,342	(\$1,757)	\$2,386	(\$1,572)	(\$843)	\$2,584	\$4	\$1,527	\$1,531	
BEGINNING BALANCE	\$5,839	\$6,835	\$4,726	\$4,596	\$3,032	\$585	\$3,703	\$5,045	\$3,288	\$5,674	\$4,102	\$3,259	\$5,839			
ENDING BALANCE	\$6,835	\$4,726	\$4,596	\$3,032	\$585	\$3,703	\$5,045	\$3,288	\$5,674	\$4,102	\$3,259	\$5,843	\$5,843			

**NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)**

**MONTH: AUGUST
FISCAL YEAR 2010**

	ACTUAL		FORECAST										ADJUST- MENTS	TOTAL	
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			12 Months
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(145)	(120)	(180)	(222)	(148)	(148)	(148)	(148)	(148)	(222)	(148)	(150)	(1,927)	-	(1,927)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	-	-	198	511	-	191	679	1,579	348	1,927
SENIOR COLLEGES INFLOW - PRIOR	747	1	3	143	1	1	154	45	-	-	-	-	1,095	(1,095)	-
NET SENIOR COLLEGES	602	(119)	(177)	(79)	(147)	(147)	6	95	363	(222)	43	529	747	(747)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	900	200	100	1,041	466	400	1,200	800	1,200	-	800	822	7,929	-	7,929
(INC)/DEC RESTRICTED CASH	(780)	642	207	116	133	102	(449)	3	(455)	887	45	110	561	(787)	(226)
SUBTOTAL	120	842	307	1,157	599	502	751	803	745	887	845	932	8,490	(787)	7,703
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	-	-	389	334	-	-	-	-	-	-	-	723	-	723
(INC)/DEC RESTRICTED CASH	243	120	120	(229)	(207)	90	80	70	-	-	-	-	287	-	287
SUBTOTAL	243	120	120	160	127	90	80	70	-	-	-	-	1,010	-	1,010
TOTAL CITY CAPITAL TRANSFERS	363	962	427	1,317	726	592	831	873	745	887	845	932	9,500	(787)	8,713
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	17	20	65	57	136	73	96	73	65	49	57	136	844	173	1,017
PRIOR	188	-	-	-	-	-	-	-	-	-	-	-	188	(188)	-
TOTAL FEDERAL AND STATE INFLOWS	205	20	65	57	136	73	96	73	65	49	57	136	1,032	(15)	1,017
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(722)	(569)	(901)	(421)	(929)	(459)	(932)	(512)	(875)	(629)	(1,119)	(645)	(8,713)	-	(8,713)
FEDERAL AND STATE	(198)	(39)	(62)	(55)	(196)	(70)	(94)	(70)	(62)	(47)	(54)	(70)	(1,017)	-	(1,017)
TOTAL OUTFLOWS	(920)	(608)	(963)	(476)	(1,125)	(529)	(1,026)	(582)	(937)	(676)	(1,173)	(715)	(9,730)	-	(9,730)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(359)	393	(474)	896	(203)	133	(101)	361	(130)	258	(274)	287	787	(787)	-
NET NON-CITY CAPITAL	7	(19)	3	2	(60)	3	2	3	3	2	3	66	15	(15)	-
NET TOTAL CAPITAL	(352)	374	(471)	898	(263)	136	(99)	364	(127)	260	(271)	353	802	(802)	-

NOTES TO REPORT #6/6A

1. Beginning Balance

The July 2009 beginning balance is preliminary and subject to the FY 2009 audited Comprehensive Annual Financial Report (CAFR).

2. Ending Balances

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations and the FY 2009 audited Comprehensive Annual Financial Report (CAFR). The June 2010 ending balance includes deferred revenue from FY 2011 prepaid Real Estate Taxes.

3. Long Term Borrowings

Long Term Borrowings are comprised of proceeds of City general obligation bonds and NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. Restricted Cash Bond Proceeds

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.