Preface to the New York City WIOA Local Plan

This Local Plan is a compliance requirement stipulated by the federal Workforce Innovation and Opportunity Act (WIOA). WIOA requires the local Workforce Development Board in areas like New York City to respond to a lengthy set of questions as part of its Local Plan. Furthermore, the New York State Department of Labor – as the state entity responsible for administering WIOA in the state – has provided its own Local Plan template for addressing the questions posed by WIOA.

But a full response to all of the questions is lengthy: the complete document with attachments spans nearly 80 pages, and the questions span high-level strategy to minute operational details. Consequently, the New York City Workforce Development Board has opted to provide a more concise version intended to communicate its vision and strategic priorities more succinctly and at a high level to a broad audience. The Board welcomes feedback on any and all components of this Local Plan document. But it has chosen to provide an approximately 20-page statement of key information about the local area, including its workforce assets and challenges, and the Board's top strategic priorities.

Under WIOA, the New York City Workforce Development Board has oversight over adult and youth employment programs managed by the NYC Department of Small Business Services and the NYC Department of Youth and Community Development. The Board does not have oversight over the other public entities that are important partners in this work. As a result, this Local Plan primarily focuses on strategic priorities relevant to overseeing these employment programs.

This document contains two sections:

1. The WIOA Local Plan for New York City

2. Appendices

   a. Appendix I: Local Plan for WIOA Title II Adult Education Request for Proposals: A narrowly targeted and early version of the Local Plan released on August 31, 2017 to assist organizations applying for Title II adult education funding.

   b. Appendix II: Complete Set of Responses to New York State Local Plan Template – A complete set of responses to the New York State Department of Labor’s required format for the Local Plan, including several attachments.

Submitting Feedback on the Plan

The Board invites feedback from the public on this Local Plan. There are two avenues for providing feedback:

1. Provide written feedback no later than January 12, 2018: The Board staff has set up a form for collecting feedback on the Local Plan. Please use the form linked here: https://goo.gl/forms/wo2IKLWpkg9sL1653

2. Attend a public information session on January 5, 2018: The Board staff will convene a public information session meant to solicit feedback on the plan. This session will be held:

   2:30pm to 4pm, Friday, January 5
   110 William Street, 4th Floor
   New York, NY 10038
A. Strategic Priorities

In *Career Pathways: One City Working Together*, the City of New York announced a new guiding strategy and framework describing how elements of the City’s workforce system should align and connect to one another – supporting individuals along an established route to stable, living wage jobs with benefits and opportunities to advance. Members of the New York City Workforce Development Board contributed to this framework, and the full board has adopted it. Consistent with the Career Pathways approach, the Board has identified the following four strategic priorities for the WIOA investments in New York City over the next four years:

1. **Increase the number of adults and youth who develop skills and experience in line with employers’ needs and then find relevant jobs.** Better align education and training investments with employer needs.

2. **Increase the number of New Yorkers who earn basic educational credentials or develop English proficiency.** Increase funding, particularly investments in bridge programs. Additionally, build strong connections between adult education and employment programs.

3. **Increase the number of individuals from target populations connected to jobs.** Tailor services to meet the needs of target populations and ensure they find jobs with the potential for advancement.

4. **Improve coordination across programs to build a stronger career pathway system.** Improve coordination in both the adult workforce system and the “mainstream” in-school educational system.
The Board staff and other staff of the NYC Mayor’s Office of Workforce Development will work with key partners to design and implement initiatives, establish goals, monitor progress, and report results to the Board.

B. Introduction to the New York City Workforce Development Board
The New York City Workforce Development Board, a board mandated by federal law and appointed by the Mayor, is responsible for ensuring that investments of federal Workforce Innovation and Opportunity Act (WIOA) dollars in adult and youth workforce development services are strategic, fiscally sound, and effective. WIOA designates funding and responsibilities to both states and local areas. New York City, the largest U.S. city with a population of more than 8.5 million people, is also the largest local area recognized by WIOA and has the largest budget, $57 million in Program Year 2017. The New York City Workforce Development Board is comprised of 30 members who represent private sector employers, labor unions, nonprofit organizations, and government agencies.

C. The Board’s Vision, Mission, Considerations, and Guiding Principles
Vision: The New York City Workforce Development Board has the following vision of workforce development in New York City:

All New Yorkers develop the right skills, experience, and credentials to find stable, living wage jobs with benefits and opportunities to advance. The public workforce development system aligns its services with the needs of employers, particularly when offering education and training services, and ensures that all jobseekers are able to benefit from those services. As a result, New York City employers are easily able to find the qualified talent they need.

Mission: The Board leverages the knowledge, skills, and networks of its members to ensure that the City’s investment of federal WIOA funding successfully prepares and connects adult and youth New Yorkers to employment and meets the talent needs of employers.

Key Considerations: The New York City Workforce Development Board recognizes the importance of monitoring forces that affect the nature and structure of work, with specific emphasis on:

- Maintaining an awareness of how the nature of work is changing as a result of technology or other forces and ensuring that programs are responsive to these changes; and
- Recognizing that the structure of work is changing in terms of the nature of the “social contract” between employee and employer: from compensation and management, to culture, to learning and development.¹

Guiding Principles: The Board further states a set of broad guiding principles in three primary areas of focus:

1. Jobseekers and Workers: All jobseekers and workers – and particularly individuals with barriers to employment and other target populations – can easily access the full array of WIOA services to access employment and progress along a career pathway. Services for individuals with barriers to employment and other target populations are tailored to their needs.
2. **Skill Building**: WIOA programs invest in quality education and training programs that support participants during training, are aligned to employer needs, and help individuals connect to the jobs they prepare customers for.

3. **Employers**: WIOA programs coordinate their outreach efforts, ensure that their services are business-friendly, target their engagements to employers that provide stable, living wage jobs with benefits and opportunities to advance, and deliver what they promise.

D. **Partnership Among “Core” WIOA Programs**

Under WIOA, there is a strong emphasis on coordination and alignment among the “core” programs of WIOA. These include:

**Table 1: WIOA Core Programs by Title**

<table>
<thead>
<tr>
<th>WIOA Section</th>
<th>Program(s)</th>
<th>Description</th>
<th>Agencies Responsible</th>
</tr>
</thead>
</table>
| Title I      | Adults and Youth | • SBS serves adults through Workforce1 Career Centers and training programs  
• DYCD serves in school and out of school youth | • NYC Dept. of Small Business Services (SBS)  
• NYC Dept. of Youth and Community Development (DYCD) |
| Title II     | Adult Education and Literacy | • Help participants earn a High School Equivalency  
• Help immigrants learn English and become citizens | New York State Education Dept. |
| Title III    | Wagner-Peyser | • Employment services (provided by NYS Department of Labor) | New York State Dept. of Labor |
| Title IV     | Vocational Rehabilitation | • Education, training, and employment services for individuals with disabilities | • New York State Education Dept.  
• New York State Office of Children and Family Services |

The New York City Workforce Development Board is responsible for developing and implementing a plan that brings these partners together in ways that benefit both jobseekers and employers. However, the Board has direct oversight only over the adult and youth programs, circled in red above. Consequently, this local plan will place a strong emphasis on these programs. Nonetheless, this plan will also articulate goals for increasing the level of coordination and alignment among these core WIOA partners and other partners with the purpose of improving services and outcomes for jobseekers and employers and evolving towards a true workforce development system.

Over a period of several months in 2016, the Workforce Development Board staff convened representatives from the WIOA core programs to engage in preliminary local planning efforts. The participants provided basic information about their programs and discussed potential areas of agreement in serving jobseekers, serving businesses, and providing training. The Guiding Principles established by the Board reflect these conversations.
E. The New York City Economy and Labor Market

Broader Economic Trends
In June 2017, the City of New York released New York Works, a plan to create 100,000 good-paying jobs over ten years. This report included an insightful summary of the current state of New York City’s economy:

New York City is witnessing a time of historic growth.

Today, New York City has a population of just over 8.5 million residents—and that number is expected to reach 9 million by 2040. Since 2014, the city has added over 300,000 jobs. And over the last three years, employment growth in the city has outperformed the nation in almost every sector, and unemployment has fallen to as low as 4 percent.

New York continues to be the place where companies and people choose to locate. Our city remains the global financial capital of the world and is home to more Fortune 500 companies than any other U.S. city. The city continues to grow through economic diversification. From 2007-2015, nearly 50 percent of employment growth came from the education, health, and technology industries. The city’s diverse talent pool attracts companies looking for employees with global understanding, and demographics reflective of their customers. Foreign born workers in New York make up 45 percent of the workforce and over 200 languages are spoken across the five boroughs...

Although the city’s resurgence has been dramatic, these gains have not been distributed evenly across the five boroughs. The unemployment rate for New Yorkers without a college degree is almost double that of the working age population as a whole. Nearly 95 percent of the country’s income gains are claimed by the top 1 percent of households. Over the past 10 years, the percentage of New Yorkers earning middle income wages dropped from 46 percent to 43 percent, impacting a quarter million people. This crisis of affordability has put tremendous strain on families whose wages have barely recovered from the recession.

Concentrations of Jobs in the New York City Economy
The New York City economy includes more than 4.4 million jobs in the following super sectors:

Table 2: New York City Employment by Economic Supersector² (as of July 2017)

<table>
<thead>
<tr>
<th>Supersectors</th>
<th>Number of Jobs</th>
<th>% of NYC Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Education and Health Services</td>
<td>955,600</td>
<td>21%</td>
</tr>
<tr>
<td>(includes the Health Care and Social Assistance sector)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Professional and Business Services</td>
<td>758,700</td>
<td>17%</td>
</tr>
<tr>
<td>Trade, Transportation, and Utilities</td>
<td>619,600</td>
<td>14%</td>
</tr>
<tr>
<td>(includes the Retail Trade sector)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Government</td>
<td>564,600</td>
<td>13%</td>
</tr>
<tr>
<td>Financial Activities</td>
<td>481,500</td>
<td>11%</td>
</tr>
<tr>
<td>Leisure and Hospitality</td>
<td>459,300</td>
<td>10%</td>
</tr>
<tr>
<td>(includes the Accommodation and Food Services sector)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Supersectors</td>
<td>Number of Jobs</td>
<td>% of NYC Employment</td>
</tr>
<tr>
<td>----------------------</td>
<td>----------------</td>
<td>---------------------</td>
</tr>
<tr>
<td>Other Services</td>
<td>193,100</td>
<td>4%</td>
</tr>
<tr>
<td>Information</td>
<td>189,500</td>
<td>4%</td>
</tr>
<tr>
<td>Construction</td>
<td>154,900</td>
<td>3%</td>
</tr>
<tr>
<td>Manufacturing</td>
<td>74,100</td>
<td>2%</td>
</tr>
<tr>
<td><strong>Total Employment (Nonfarm)</strong></td>
<td><strong>4,450,900</strong></td>
<td><strong>100%</strong></td>
</tr>
</tbody>
</table>

Data Sources:

Six Target Sectors
The Career Pathways report identified six sectors to target:
- Healthcare
- Technology
- Industrial/Manufacturing
- Food service
- Construction
- Retail

Together these six sectors account for approximately half of all jobs in New York City. The sectors were selected as the result of an analysis of scale, opportunity, and impact. The City selected the sectors using data pertaining to tax revenue, recent job growth, forecasted job growth, total employment, job multipliers, wages, and wage distribution indicators. These sectors currently offer the strongest prospects for economic mobility and mutual “employer-worker” benefits through job quality improvements.

- The City’s analysis revealed that healthcare and technology are both characterized by high growth, higher-wage, middle-skill occupations and solid job multipliers, with every direct job created in healthcare generating an additional 0.4 jobs, and each new job in technology adding 1.4 additional jobs. In addition, a systematic skills gap in hiring impedes growth in both of these sectors.
- The industrial/manufacturing and construction sectors have lower growth projections, but these jobs tend to offer relatively high income while not requiring a high level of formal education. In addition, the City makes or influences a large investment in these sectors, and thus they represent a unique way to leverage the purchasing power of the City for the good of policy and the City’s workers.
- Finally, the retail and food service sectors are among the largest in New York City. They represent the entry-way to a lifetime of work for many of the City’s residents, including young...
adults and foreign-born New Yorkers. Because service plays such a key role in business profit and competitive advantage in both sectors, human capital is critical.

There are certain dynamics and market forces shaping each of these six sectors.

- **The healthcare sector** continues to transform as a result of healthcare reform, from an industry driven by volume to a system focused on increased quality of patient care, better population health, and lower costs. As a result, there is an increased focus on the role of technology and on primary care services and lower-cost strategies for the management of chronic illness. It is predicted that the continued growth in the sector will be focused on ambulatory care sector. There are opportunities to enhance the role of frontline workers in addressing the new priorities of the sector, as well as to apply technology to support alignment across care providers, patients, and insurers. The healthcare sector and social assistance will also be impacted by the changing needs of New Yorkers. For the first time in the City’s history, there are now more people over the age of 65 than there are children ages 10 and younger. Furthermore, the share of this population is increasingly diverse, with nearly half of older New Yorkers born outside of the U.S.

- **The technology industry** is among the fastest growing and highest paying sectors. Between 2010 and 2016, employment in the New York City tech sector increased by 57 percent, or a total of 46,900 jobs, growing more than three times faster than the rest of the private sector. Yet many tech employers report a shortage in the number of qualified homegrown candidates for in-demand roles. Part of this gap can be attributed to the rapid rate of job growth in fields like software engineering, where employment is expected to expand by more than 30 percent in New York City between 2012 and 2022. However, employer feedback from 150 tech sector employers convened by the NYC Tech Talent Pipeline suggests that degrees alone are not to blame for a dearth of employable talent. Companies reported that, to be competitive in the labor market, job seekers increasingly need to demonstrate their ability to apply these skills. Work experience—often gained on-the-job and through projects—is critical for workers pursuing and advancing in careers in the technology sector.

- **The construction sector** has grown alongside New York City’s population and business growth, accelerated by ambitious public investments to create and preserve 300,000 units of affordable housing across New York City by 2026. To ensure the safety of workers, and to address preventable injuries and deaths on construction sites, the New York City Council and the Mayor passed legislation that requires workers to receive at least 40 hours of construction site safety training. As more than half the City’s construction workers are over the age of 40, it is also increasingly important to cultivate a new pipeline of homegrown talent to meet burgeoning demand.

- **The industrial and manufacturing sector** is undergoing a modern revival in New York City as firms leverage advanced technologies to create new products, improve their processes, and meet the demands of a growing consumer base. Investments in new industrial spaces, equipment, and business programming support 21st century manufacturing jobs. According to a recent survey of local businesses by the New York City Economic Development Corporation (NYCEDC), 50 percent of industrial and manufacturing firms expect to increase employment in the near future. However, nearly half the firms surveyed reported that their biggest challenge is finding skilled employees.

- **New York City’s retail sector** has experienced growth, particularly in low-wage employment, concurrent with national projections of growth in the sector. The retail sector is continuously responding to the growth of online shopping and adopting in-store and operational technology. Workers increasingly work unstable and unpredictable hours, as businesses seek to respond to
changing consumer demand. Research in 2016 indicated that more than one out of three employed New Yorkers had been given their work schedules less than two weeks in advance.  
In May 2017, the City of New York adopted “Fair Workweek” policies to ensure predictable hours and paychecks in the fast food and retail sectors. 

- The accommodation and food services sector have seen record growth in the aftermath of the Great Recession; however these jobs have generally been characterized by low wages, detrimental work conditions, and limited workplace benefits. The industry is facing a skills gap and labor shortage, particularly in the hospitality sector. Industry leaders are providing insights into the development of training opportunities that will meet this skills gap and also open the door to greater upward mobility for workers. In 2017, New York City launched Stage NYC, the first culinary-training and apprenticeship program for out-of-school and out-of-work young adults, to build career pathways into the culinary industry.

F. The New York City Labor Force

Employment

The City’s economy continues to grow: New York City is home to a record total of 4.4 million jobs. Moreover, the City’s unemployment rate of 4.9 percent (as of August 2017) has stayed consistent or declined since December 2016. Wages rose by four percent in 2014, the first such improvement since 2010, and nearly all sectors of the economy contributed to that growth.

<table>
<thead>
<tr>
<th>Table 3: New York City Employment as of July 2017</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Jobs</td>
</tr>
<tr>
<td>Job Growth July 2015 to July 2017</td>
</tr>
<tr>
<td>Unemployment Rate</td>
</tr>
</tbody>
</table>

Data Sources:


However, not all New Yorkers benefit equally from the City’s strong economy. Individuals with barriers to employment experience higher levels of unemployment. Each group of individuals represents an untapped pool of talent, and WIOA seeks to address these disparities. (See Target Populations section below).

Education

New York City has a high number of workers with postsecondary and advanced degrees. The share of people ages 23 to 29 with at least some college education has increased from 61 percent in 2000 to 65 percent in 2014.
However, there are also a large number of adults without high school or postsecondary training or education. Twenty percent of New York City’s population aged 18 to 64 – more than 1.1 million New Yorkers – lack a high school credential. Adults who lack a high school diploma are not necessarily a short step away from earning that credential. Many struggle with less than functional literacy levels. As an example, the Office of Adult and Continuing Education at the Department of Education (OACE), the largest provider of adult literacy services in the City, offers classes to more than 25,000 people a year. Roughly 10,000 adults enroll in basic education classes with OACE each year. Sixty percent of those learners enter classes reading at or below the 6th grade level. Twenty-six percent enter reading between the 6th and 9th grade level. These adults—particularly those reading below the 6th-grade level—face extraordinary barriers to employment and advancement.

<table>
<thead>
<tr>
<th>Education Level</th>
<th>Number</th>
<th>Percent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than high school graduate</td>
<td>1,145,000</td>
<td>20%</td>
</tr>
<tr>
<td>High school graduate (includes equivalency)</td>
<td>1,395,000</td>
<td>24%</td>
</tr>
<tr>
<td>Some college or associate’s degree</td>
<td>1,178,000</td>
<td>21%</td>
</tr>
<tr>
<td>Bachelor’s degree, graduate or professional degree</td>
<td>1,989,000</td>
<td>35%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>5,706,000</td>
<td>100%</td>
</tr>
</tbody>
</table>

Data Source:
- Data are from the Migration Policy Institute, pulled from the American Community Survey, 2010-2014

The results in Table 5 are consistent with the overwhelming research that earnings increase with education level.

<table>
<thead>
<tr>
<th>Education Attainment</th>
<th>Average Annual Salary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than HS diploma</td>
<td>$25,636</td>
</tr>
<tr>
<td>With HS diploma</td>
<td>$35,256</td>
</tr>
<tr>
<td>Some college, no degree</td>
<td>$38,376</td>
</tr>
<tr>
<td>Associate’s degree</td>
<td>$41,496</td>
</tr>
<tr>
<td>Bachelor’s degree</td>
<td>$59,124</td>
</tr>
<tr>
<td>Master’s degree</td>
<td>$69,732</td>
</tr>
<tr>
<td>Professional degree</td>
<td>$89,960</td>
</tr>
<tr>
<td>Doctorate</td>
<td>$84,396</td>
</tr>
</tbody>
</table>

Data Source:

Target Populations:
The Local Board recognizes the importance of identifying New Yorkers in particular need of preparation for and connection to good jobs with the potential for advancement. The Board seeks to prioritize at a minimum the following target populations, which are not mutually exclusive:
Veterans: Veterans and their families have served their country. Moreover, the U.S. Department of Labor identifies veterans as a priority population across all of their employment and training programs, including WIOA. There more than 179,000 veterans living in New York City as of 2015. The labor force participation rate in October 2017 for veterans was 50 percent, compared to the City’s overall employment rate of 60 percent. Although veterans are under-employed, employers often rank veterans high in the areas of self-discipline, teamwork, attention to detail, respect, and leadership. The employment rate in 2016 for veterans in New York was 42 percent compared to a 6 percent unemployment rate overall.

Young Adults: In 2015, among New Yorkers aged 18 to 24 years old, 17 percent of young adults, or nearly 140,000, were both out of school and out of work. This level of “disconnectedness” among youth exceeds the national average of 13 percent. Moreover, the race of a young person impacts their likelihood of being unemployed: black men and women ages 16 to 24 are unemployed at respective rates that are 1.6 and 2.3 times higher than their unemployed white peers. WIOA prioritizes serving young adults, particularly those who are Out-of-School Youth.

Individuals with Disabilities: More than one in ten New Yorkers has a disability. Individuals with disabilities have much lower levels of labor force attachment than the general population. Although the labor force participation rate in New York City is 60 percent overall, for people with disabilities that figure is just 32 percent. Moreover, WIOA explicitly emphasizes the need to increase the physical and programmatic accessibility of all programs for people with disabilities.

Foreign-born New Yorkers: The levels of educational attainment described above also vary across the diverse New York City population. Approximately 44 percent of the City’s working-age population is foreign-born. Of those foreign-born New Yorkers, a full 50 percent have limited English proficiency, and nearly a third lack a high school credential. Lack of functional English closes the door on nearly all good jobs with growth potential. Coupling lack of English proficiency with limited educational attainment makes the path into the middle class nearly impossible to navigate for some of these New Yorkers. In addition, 38 percent of foreign-born New Yorkers with limited English proficiency have earned college degrees in their home countries. That level of education should enable these individuals to access high-quality jobs across a number of sectors. Without English language skills, however, these individuals are forced to take lower-wage, low-skilled employment. “Brain waste” is the term used to describe the situation that arises when people with a Bachelor’s degree or higher work in low-skilled jobs or are under- or unemployed, and these New Yorkers’ lack of English proficiency puts them at the highest risk for brain waste.

Individuals with a Lack of Basic Educational Credentials and/or Lack of English Proficiency: Employers increasingly use educational attainment as a proxy for skill level when making hiring decisions, and individuals without technical training or substantial work history often have a difficult time securing employment, and securing family sustaining wages. Table 5 above lists the median earnings by educational attainment in the U.S. The results are consistent with the overwhelming research that earnings increase with education level. Economically, the difference in salary for someone without a high school credential compared to someone who has attained the credential is roughly $10,000, a significant difference for a low-income worker.

Individuals with Arrest or Conviction Records: Nationally, an estimated 70 million people—nearly one in three adults—have a prior arrest or conviction record. People with criminal records deserve an opportunity to pursue a career that can lead them on a pathway to success. In addition, studies indicate that high-quality jobs diminish the likelihood of recidivism for those...
released from state and federal prison. However, those with criminal records often face significant barriers to obtain employment. One study showed that those with criminal records are at least 50% less likely to be called back after an interview than those without records. According to a series of studies published in recent years, white men with criminal records are more likely to receive a call back for a job interview than black men with no criminal record. In 2011 in New York City, nearly 250,000 people were convicted of crimes serious enough to warrant fingerprinting. In 2016, roughly 45,000 New Yorkers returned to their communities from jail and prison making the issue of how to successfully reintegrate those with arrest and conviction records an important issue for the local workforce system to consider.

G. Local Assets

New York City boasts the largest population of any U.S. city: 8.5 million people, including roughly 6.5 million adults. The City is also notable for the sheer volume of individuals it serves with employment, education, and training programs.

Table 6: Employment Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>Number Served / Enrolled Annually</th>
</tr>
</thead>
<tbody>
<tr>
<td>Workforce1 Career Centers</td>
<td>100,000</td>
</tr>
<tr>
<td>WIOA Youth Programs</td>
<td>3,000</td>
</tr>
<tr>
<td>NYSDOL Career Centers</td>
<td>100,000</td>
</tr>
<tr>
<td>ACCES-VR</td>
<td>22,000</td>
</tr>
<tr>
<td>NYS Commission for the Blind</td>
<td>3,500</td>
</tr>
<tr>
<td>Subtotal (may include duplicates)</td>
<td>228,500</td>
</tr>
<tr>
<td>Other City Programs</td>
<td>397,000</td>
</tr>
<tr>
<td>Total (may include duplicates)</td>
<td>625,500</td>
</tr>
</tbody>
</table>

Table 7: Education and Training Programs

<table>
<thead>
<tr>
<th>Program Type</th>
<th>Approximate Number Enrolled in City Public Institutions (NYCDOE or CUNY)</th>
<th>Approximate Total Number Enrolled</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adult Education Providers</td>
<td>20,000</td>
<td>70,000</td>
</tr>
<tr>
<td>College Degree Programs</td>
<td>275,000</td>
<td>600,000</td>
</tr>
<tr>
<td>Vocational Training</td>
<td>250,000</td>
<td>250,000</td>
</tr>
<tr>
<td>Subtotal Post-Secondary Education and Training</td>
<td>545,000</td>
<td>920,000</td>
</tr>
<tr>
<td>K-12 Education System</td>
<td>1,100,000</td>
<td>1,400,000</td>
</tr>
</tbody>
</table>

WIOA Programs with Direct Board Oversight:

Title I Adult Programs / Workforce (SBS)
The NYC Department of Small Business Services administers the Workforce1 Career Center system. There are 21 Workforce1 Career Centers, including a number of specialized Centers which service
specific industries such as healthcare, industrial and transportation and special populations such as foreign-born New Yorkers, young adults who are out of work and out of school, New Yorkers impacted by Hurricane Sandy, and individuals with criminal backgrounds. The network of Centers fulfill new and expanding businesses’ hiring and training needs by finding, preparing, and connecting the most qualified local residents to their available job opportunities. In calendar year 2016, the Workforce1 system leveraged its strong relationships with its business customers to successfully connect jobseekers to fill over 26,000 job opportunities.

Since 2007, Workforce1 has maintained a strong Community Partners program to better coordinate with the workforce community. This benefits the local Workforce1 system by drawing a larger group of job-ready candidates to meet business needs; improves the ability of the Workforce1 system to serve jobseekers and businesses; and improves coordination of public workforce services. Individual community organizations are able to access the public workforce system more efficiently, receive information on employer demands, and get feedback on candidates who they refer to the Workforce1 system.

The mission of the Community Partners program is to increase the capacity of the New York City public workforce development system by connecting more New Yorkers to job opportunities. In addition, the goal is to ensure that there is a continuum of services for jobseekers and businesses. Finally, the program aspires to facilitate community connections to the public workforce system.

The diverse partner network includes NYC job training organizations, educational institutions, and community-based local service providers. Workforce1 provides networking and outbound referral information to partner clients with barriers to employment. Workforce1 reaches out to partners through direct outreach, community events and member organizations.

Title I Youth Programs (DYCD): The NYC Department of Youth and Community Development (DYCD) manages the City’s WIOA programs for In-School Youth (ISY) and Out-of-School Youth (OSY).

Out-of-School Youth Program:

Goals
Aligned with the Career Pathways framework, the goals of WIOA OSY program are to:

- Provide foundational work readiness, academic and occupational skills that will significantly improve a participant’s ability to obtain and maintain employment;
- Expose participants to promising industries and careers and provide youth with work-based learning opportunities in these sectors;
- Connect youth to productive career pathways that bridge education, training and in-demand credentials; and
- Utilize principles of youth development to support the holistic needs of youth and address barriers to employment through case management, comprehensive guidance, and counseling.

Programs
DYCD has 20 contracts with 13 provider organizations to deliver Out-of-School Youth programs. In Program Year 2017, these providers will enroll 1,231 new OSY youth.
In-School Youth Programs:

Goals
The goals of the program are to:

- Facilitate participants’ successful completion of high school and attainment of a high school Regents diploma through the provision of various academic supports such as tutoring, study skills and test preparation;
- Expose youth to a range of postsecondary options. Two and four year college degree programs are the primary emphasis. For those not interested in college, the program provides opportunities for participants to enroll in occupational training programs which lead to non-degree industry recognized certificates and help them identify and apply for financial assistance that fit their needs and goals, including but not limited to scholarships, other forms of financial aid, and learning how to manage post-secondary debt;
- Expose youth to promising industries and careers in priority and other sectors of the City’s economy and provide youth with meaningful work-based learning opportunities including paid and unpaid internships, along with work readiness training;
- Utilize principles of youth development to support the holistic needs of youth and address barriers to completing high school and advancing to post-secondary study, occupational training, or securing employment through case management, comprehensive guidance, and counseling.

Programs
DYCD has 16 contracts with 15 provider organizations to deliver In-School Youth programs. In Program Year 2017, these providers will enroll 518 new ISY youth.

WIOA Programs without Direct Board Oversight:

Title II Adult Education (NYSED)
The New York State Education Department (NYSED), through its Adult Career and Continuing Education Services team, administers WIOA Title II adult education in New York City through contracted providers.

Title III Wagner-Peyser Program (NYSDOL)
The New York State Department of Labor (NYSDOL) administers the Wagner-Peyser program in New York City with dedicated staff in seven career centers. Wagner-Peyser’s core functions within the Career Centers in the NYC region include:

Universal Access to Labor Exchange Services
- Tier I - Self Service: These services are typically provided by electronic means and are accessed by customers without staff assistance. In New York, these services are provided through the NYSDOL’s Jobs Express site and the JobZone system’s electronic self-service resources. Jobs Express allows customers to view current job openings. Customers can use this site in the Centers or from home to seek open jobs in their region, or view industries that are in-demand. The NY Talent website is for businesses to access and find qualified job seekers. Access to this site allows businesses to view resumes of qualified candidates for their job orders.
- Tier 2 - Facilitated Self-Help: Resources are available in the Career Centers and include access to self-service tools, including computers, resume writing software, fax machines, photocopiers, and internet based tools. The resource room staff interacts with the customers to facilitate the resources available.
• **Tier 3 - Staff Assisted Services**: Staff assisted services are provided to customers both one-on-one and in groups. Services can include assessment, assistance with filing claims for UI, career counseling, development of an Individual Service Plan, intensive job search assistance, workshops, and job clubs. Staff may also assess customers to determine their training needs and make appropriate referrals to other partners. Other services include: Assessments, Assistance with Filing UI Claims, Career Counseling, and Intensive Job Search Assistance.

**Reemployment Services**
NYSDOL provides reemployment services to Unemployment Insurance (UI) claimants and monitors the UI claimant’s work search efforts.

Customers who are collecting UI benefits make up the largest percentage of customers utilizing the career centers in New York State. NYSDOL schedules UI customers for multiple, mandatory appointments to assist them in returning to work. Co-enrolled as participants in Wagner-Peyser and WIOA at the time of registration, UI customers must receive the full range of labor exchange services necessary and appropriate to facilitate their earliest return to work. These customers receive the necessary guidance and counseling to ensure they engage in a meaningful and realistic job search. Staff must ensure the UI program staff receive information about UI customers’ ability and availability for work, or the suitability of work offered them. UI customers must be ready, willing and able to work in order to continue receiving benefits. Staff play a crucial role in ensuring jobseekers maintain quality work searches in order to maintain their benefits.

**Rapid Response**
SBS and Workforce Development Board staff regularly review the list of WARNs (Worker Adjustment and Retraining Notification) on the NYSDOL website for potential opportunity, with WKDEV also forwarding WARNs to SBS to draw attention to large scale downsizings and closures with significant layoffs.

As SBS identifies opportunities matching larger employer needs within the Workforce1 system, SBS coordinates with the NYSDOL Rapid Response team to explore opportunities to collaborate, particularly as NYSDOL manages all the employer/employee information for each WARN.

In the past, the collaboration between SBS and NYSDOL on rapid response has been via coordination to attend outreach meetings with employees at an impacted employer site. These outreach meetings are to advise affected employees of the coordinated resources, mainly: Unemployment Insurance Benefits, job searches and recruitment events. And invited Workforce1 staff speak to specific opportunities currently available, particularly those that are similar, to provide a more concrete connection for the affected employees. Staff can also provide the affected worker with a referral ticket to a recruitment event. And if the pool of affected worker is significant SBS and NYSDOL have coordinated targeted recruitment events for the affected employees.

After the company closes, SBS can coordinate with the Rapid Response team to gain access to information directly from the Unemployment Insurance system, such as: names, addresses, and telephone numbers for affected workers to be used to continue connecting affected employees to training and employment services.
Title IV Vocational Rehabilitation (ACCES-VR, NYSCB)
The New York State Education Department, through its Adult Career and Continuing Education Services-Vocational Rehabilitation (ACCES-VR) team, administers WIOA Title IV in New York City through dedicated staff and through contracted providers.

The Office for Children and Family Services (OCFS)/New York State Commission for the Blind (NYSCB) administers WIOA Title IV in New York City for legally blind New Yorkers through dedicated Vocational Rehabilitation Counselors at two district offices and contracted providers.

Education and Training Programs

Colleges and Universities: There are approximately 600,000 college students in New York City attending roughly 110 universities and colleges. The City University of New York (CUNY) enrolls nearly half (275,000) of these students, making it the nation’s largest public university. CUNY’s 24 institutions span the five boroughs. The system is striking for its diversity: 42 percent of students are first generation college attendees, 76 percent are from minority or underrepresented groups, 43 percent speak a native language other than English, and 39 percent come from households with an income less than $20,000 per year.

Vocational Training Programs: CUNY serves 250,000 students annually through its Adult and Continuing Education programs. Community-based organizations and for-profit companies also offer training to thousands of New Yorkers every year.

Adult Education: The adult literacy landscape in New York City includes programs and services offered through the NYC Department of Education, the City University of New York, the three public library systems, and the many community-based organizations that contract with the NYC Department of Youth and Community Development. Funding to support these programs comes from city, state, and federal funding streams as well as private foundations. In total, these programs enable approximately 70,000 New Yorkers to receive adult education services annually.

Adult literacy services offered include:
- English for Speakers of Other Languages (ESOL).
- Young Adult Literacy and Adult Basic Education (ABE) – classes providing basic skills instruction for youth and adults with reading and math levels below 9th grade.
- High School Equivalency (HSE) – classes for youth and adults with 10th to 12th grade reading and math levels prepares students to earn their HSE diploma.

H. Performance Management and Continuous Improvement

The New York City Workforce Development Board is committed to the rigorous collection, tracking, and analysis of data and performance. Both the NYC Department of Small Business Services and the NYC Department of Youth and Community Development utilize data to track and manage the performance of their contracted service providers. Both agencies also regularly review best practices among their providers to help all providers improve the quality of their approach and elevate their performance as a result.
I. Local Gaps

Key Challenges in the Local System

1. Many jobseekers lack the skills and experience sought by employers. At the same time, many employers cannot find the skilled talent they need for certain positions.

   a. Adult Workforce System
   - Training and education investments are not sufficiently aligned with employers’ needs and thus do not adequately prepare participants for labor market success.
   - The City’s workforce development system does not invest sufficiently in helping New Yorkers develop the skills and experience sought by employers. The amount invested is too little and the number trained is too small.

   b. Mainstream Educational System
   - Both the NYC Department of Education (NYCDOE) and CUNY have identified students’ career success as a major goal and are making substantial investments in improving student outcomes related to college and career preparation. However, there are still gaps in ensuring that all students transition successfully from NYCDOE and CUNY into the workforce.

2. The local system lacks the resources to address the large number of New Yorkers who lack the basic skills or education required for a good job.
   - The vast majority of jobs require at least a High School Diploma or Equivalency, yet more than 1.1 million New Yorkers aged 25 or older lack this basic credential. Moreover, recent research suggests that two-thirds of jobs will require at least some postsecondary education or training. However, more than 2.5 million New Yorkers aged 25 or older lack this level of education. Adult education programs, which provide literacy, ESOL, and High School Equivalency programs, are severely limited in number relative to the need: the City makes roughly 70,000 seats available each year for the more than 2.2 million adults who lack a High School Diploma or full English proficiency or both.

3. The large number of individuals in target populations who need assistance developing their skills and connecting to good jobs.
   - The “Target Populations” section above describes some of the unique barriers to employment faced by individuals who are part of a target population, such as veterans, individuals with disabilities, and individuals with arrest or conviction records.

4. The local system lacks strong levels of coordination among partners.
   - This issue is one that pertains to each of the three major weaknesses described above. The WIOA programs still operate largely in silos. The one notable exception is New York State Department of Labor and Workforce1, which are co-located in four major borough centers and share job opportunities to maximize the options for their customers. Additionally, ACCES-VR, which serves people with disabilities, and Workforce1 have initiated a number of pilot opportunities to recruit more ACCES-VR customers for job opportunities developed by Workforce1.
J. Description of Strategic Priorities

1. Increase the number of adults and youth who develop skills and experience in line with employers’ needs and then find relevant jobs. Better align education and training investments with employer needs.
   - **Scale industry-informed trainings in six target sectors:** Increase the number of adults and youth who develop skills and experience in line with employers’ needs by scaling industry-informed trainings through the work of the Industry Partnerships for adults. Measure success by the number and percentage of training graduates who find jobs and earn a good wage.

The City of New York developed **Industry Partnerships** with the goal of supporting the economic vitality of New York City through quality job opportunities for New Yorkers and quality talent for local businesses. Each industry partnership focuses on one of the six sectors listed above and is designed to work collaboratively with a diverse set of stakeholders – including employers, community-based organizations, organized labor, philanthropy, government, training providers and educational institutions – to support systems change. The Industry Partnerships identify the most pressing needs that employers have for qualified talent, then revise or design training curricula with employer input or develop other solutions to address those needs.

2. Increase the number of New Yorkers who earn basic educational credentials or English proficiency. Increase funding, particularly investments in bridge programs. Additionally, build strong connections between adult education and employment programs.

3. Increase the number of individuals from target populations connected to good jobs.
   The Board recognizes the need to ensure that jobseekers from target populations are better served and are connected to good jobs with the potential for advancement. These target populations include, but are not limited to, the following:
   - **Veterans**
   - **Young adults**
   - **Individuals with disabilities**
   - **Immigrants / Foreign-born New Yorkers**
   - **Individuals with a lack of basic educational credentials and/or limited English proficiency**
   - **Individuals with arrest or conviction records**

The Workforce1 Career Centers have begun to build their capacity to serve more target populations. But this work needs to go further. More specifically, the centers need to ensure that they are equipped to provide services to meet their needs, and to connect a higher number to good jobs. Moreover, the connections with other key WIOA program partners – adult education, vocational rehabilitation, and Wagner-Peyser – must be strengthened to help realize this goal.

4. Improve coordination across programs to build a stronger career pathway system:
   a. **Adult Workforce System:** Support the creation of a true adult education system that has strong connections across organizations both among adult education providers serving different proficiency levels as well as with employment and training programs.
**Vision of Adult Education**

The City views “skill building” in very broad terms as the development of English speaking skills, academic skills (literacy level, progress towards a High School Equivalency), or occupational skills. Ultimately, programs should help ensure that their customers have the right skills and experience sought by employers.

The following five elements summarize the elements that all adult education programs should include:

- Help students identify a **long-term career goal**, the key **steps** required to achieve that goal, and the immediate **next step** on their career pathway, which could include a higher level adult education program; a training program; college enrollment; or a job.
- Be **contextualized** to maximize student engagement: contextualization can be to a theme, project, or economic sector and should be related to the **primary** next step of a given class. “Primary” next step means the next step that the largest number of individuals in a class are pursuing.
- Ensure students **understand, are prepared for, and are connected to their immediate next step**. Programs should be designed around the primary next step of a given class, incorporating links to that next step throughout the program.
- Demonstrate **good performance** or a **demonstrated ability** to design and implement successful programs.
- Focus as a system on getting **more** students to **completion**.

One of the major challenges in adult education is the prevalence of such a large number of individuals with very low literacy or English proficiency. The Board seeks a balanced approach to adult education in which services are provided to customers with a range of skill levels, with **completion** and **successful transition to a next step** being the overarching goals. The Board will ensure that its staff support the creation of a true adult education **system**. Such a system would have the following characteristics:

- Customers develop literacy and/or language skills and progress to either a job or a next step in building their skills through training or education
  - All programs would lead to clear **next steps** for customers
  - There would be strong connections among adult education programs and appropriate next step partners, including connections to jobs, training, and college
  - Strong need to strengthen two-way referrals relationships between the **Workforce1 Career Centers** (connections to both jobs and training opportunities) and **adult education providers**
- Customers are able to seamlessly progress from a low level to a high level
  - There would be strong connections among programs with expertise in serving individuals at low levels of literacy or English and programs with expertise in serving individuals with higher skill levels
- Central body to build and maintain an adult education **system**
  - Composition
    - **Literacy Advisor**
    - **Adult Education Board**
    - **NYS Education Department**: WIOA Title II adult education funding is administered by the New York State Education Department. Other
programs – including the RAEN (Regional Adult Education Network), capacity-building services (Literacy Assistance Center), and others – must be aligned and part of the broader conversation.

- **Key Roles**
  - Design and implement connections across programs
  - Identify gaps, develop possible solutions
  - Monitor system performance

b. “Mainstream” In-School System: The Mayor’s Office is working with NYCDOE, CUNY, community-based organizations, private funders, and employers to increase the coordination among these stakeholders in preparing young people for careers. New York City is participating in Pathways to Prosperity, a program model developed by the Harvard Graduation School of Education and Jobs for the Future, a national nonprofit organization. The model seeks to infuse secondary and postsecondary curricula with career exploration, exposure to work, and work-based learning experiences, with the ultimate goal of ensuring young people are well prepared for future careers. The New York City vision is as follows:

- **Young Adults** in New York City who attend public high school and CUNY complete their education with the skills, experience, and credentials to find a good job, advance in their careers, and earn a family-supporting income.
- **Employers** help guide, inform and shape how public educational institutions and youth employment programs equip young people with the skills and experiences that will make them strong candidates for job openings.
- **New York City** supports a seamless, integrated, and highly effective system of academic preparation, sequential and progressive work experiences, and customized support services that help every young New Yorker achieve career success.

The **Summer Youth Employment Program** is a key strategy for realizing this vision. NYCDOE and the NYC Department of Youth and Community Development are partnering more closely than ever on a program design that would connect directly with public high schools.

K. **Next Steps**

The Board expects that the Board staff will work with the partner agencies to translate its Strategic Priorities listed in Section A above into a plan of action. The Board staff and other staff of the NYC Mayor’s Office of Workforce Development will work with key partners to design and implement initiatives, establish goals, monitor progress, and report results to the Board.

2 Supersectors refer to groups of sectors that have common traits as determined by the Bureau of Labor Statistics.


16 Ibid.


28 Ibid.


31 Ibid


39 Most of these programs offer access to training or college. For the purposes of simplicity, however, these programs have been classified as employment services, not education and training.

40 The total count of New Yorkers participating in for-profit and nonprofit vocational training programs was not available.


There are additional students who participate in public charter schools and nonpublic schools.

42 Ibid.

43 Currently, there are Industry Partnerships for five out of these six sectors – in all but retail.
APPENDIX I:
Local Plan for WIOA Title II
Adult Education
Request for Proposals
Workforce Innovation and Opportunity Act
New York City Local Plan:
Elements Relevant to Title II Programs

August 2017

The following is a portion of the New York City Workforce Development Board (WDB) Local Plan related to the provision of adult education services.
VISION AND GOALS

Provide a description of the LWDB’s strategic vision and goals for preparing an educated and skilled workforce, specifically addressing how to improve access to activities leading to a recognized post-secondary credential, as well as other strategies for serving out-of-school youth and adults who have low literacy skills, are English Language Learners, or lack a high school diploma or the equivalent.

System Vision

In 2014 the City of New York announced a career pathways vision for workforce development in the report: “Career Pathways: One City Working Together.”

Career Pathways is a guiding strategy and framework describing how elements of the City’s workforce system should align and connect to one another – supporting individuals along progressive levels of education and training that lead to stable employment offering family-supporting wages. The city’s vision of career pathways contains three central pillars:

- **Building Skills Employers Seek:** Shifting away from the former priority of placing as many people into jobs as quickly as possible, workforce programs now focus on connecting New Yorkers to quality jobs with family-supporting wages and career advancement potential. To ensure that its training investments are closely aligned with employer needs, the City is creating strong industry partnerships that provide robust feedback loops with companies in priority sectors of New York’s economy.

- **Improving Job Quality:** The City is acting to support New Yorkers in lower-wage jobs through initiatives that reward worker-friendly business practices such as consistent scheduling, access to commuter benefits and financial empowerment services. In addition to providing stability for workers, these common-sense practices support the bottom line for employers by lowering turnover costs and helping to ensure better-trained workers.

- **Increasing System and Policy Coordination:** The City is committed to better aligning its workforce and economic development initiatives, utilizing local legislation and administrative policies as key levers to promote career pathway development and implementation. Workforce programs should also function as a coherent system by using system-wide common metrics, shared definitions and data tracking tools, and applying a unified approach to employer and jobseeker customers.

Alignment with the City’s Vision of Adult Education

The City views “skill building” in very broad terms as the development of English speaking skills, academic skills (literacy level, progress towards a High School Equivalency), or occupational
skills. Ultimately, programs should help ensure that their customers have the right skills and experience sought by employers.

A. The City expects that all adult education programs would do the following:

1. Help students identify a long-term career goal, the key steps required to achieve that goal, and the immediate next step on their career pathway, which could include:
   - a higher level adult education program;
   - a training program;
   - college enrollment; or
   - a job

   Programs should strongly emphasize participants’ advancement along a career pathway, both in messaging and in coaching for participants.

2. Be contextualized to maximize student engagement: contextualization can be to a theme, project, or economic sector and should be related to the primary next step of a given class. “Primary” next step means the next step that the largest number of individuals in a class are pursuing.

3. Ensure students understand, are prepared for, and are connected to their immediate next step. Programs should be designed around the primary next step of a given class, incorporating links to that next step throughout the program. Examples include: incorporate relevant content and exercises into the curriculum, hold site visits (to high level adult education programs, to colleges, to employers, etc.), or invite guest speakers into the class. Finally, programs should ensure they have strong connections to the primary next step: either within their own organization to a higher level adult education class or training program if one exists, or relationships with outside organizations, including higher level adult education programs, training programs, colleges, or employers. Programs should identify any external partners before a program begins.

4. Demonstrate good performance or a demonstrated ability to design and implement successful programs. Key performance measures would include skill gains, individuals successfully progressing to their immediate next step, and employment.

5. Focus as a system on getting more students to completion. As the chart below illustrates, the vast majority of individuals served annually in New York City through Adult Basic Education (ABE) and English for Speakers of Other Language (ESOL) were in low levels. Although there is clearly an enormous need to serve individuals with low
literacy and English proficiency levels, the City would like to increase the proportion of individuals served at levels 5 and 6. Individuals close to attaining a High School Equivalency or ESOL level 6 will likely be able to apply their new skill level immediately to their next step. Some adult education providers will need to offer more advanced classes through their programs. Other providers may continue to target lower level students, but will need to strengthen their connections with other programs offering advanced classes and ensure more students make that next step transition successfully.

B. The City expects that integrated education and training programs would be aligned with the City’s definition of bridge programs.

Bridge programs prepare individuals with low educational attainment and limited skills for entry into a higher education level, occupational skills training, or career-track jobs – building the competencies necessary for work and education alongside career and supportive services. Bridge programs contextualize programming to specific industry sectors and have established relationships with partners (occupational skills training, education, and/or specific sector employment) who inform program design and serve as the primary “next step” destination for program participants.

Bridge programs pair educational instruction and workforce development services using one of two approaches:

- **Contextualized Bridge Programs** teach foundational skills (ESOL, literacy and numeracy instruction) against the backdrop of a sector-specific context. This sector focus and content serve as an engagement technique that grounds coursework in workforce applications, and
importantly complements career counseling services to support participants in exploring occupations in the given sector throughout the duration of the program.

- **Integrated Bridge Programs** incorporate foundational skills (ESOL, literacy and numeracy instruction) into an occupation-specific training or certification course. This integration supports individuals learning basic education skills that complement other occupation-specific hard skills, supporting individuals to succeed in the training program and subsequent employment.

**Bridge Program Components**

- Sector-focused instruction developed in partnership with employers and/or higher education providers
- Strong “bridge” between the basic skills provider and next-step / destination program(s)
- Support services, including college & career advisement and transition support
- Clear, measurable outcomes for evaluation, program improvement, and accountability.

**Coordination Between Title I and Title II**

Provide a description of how the LWDB will expand access to employment, training, education, and supportive services provided through the NYS One-Stop Career Center system for Title II participants with barriers to employment.

Workforce1 is the local New York City brand for one-stop career centers, also known as American Job Centers. Workforce1 Career Centers provide employers with a strong resource to
connect to local talent. They also prepare and connect qualified candidates to job opportunities in New York City through:

- Resume and interviewing workshops that support the development of job search skills;
- Training to acquire skills in growing fields; and
- Opportunities to connect to employers with open positions.

The Workforce1 system maintains an extensive network of Community Partners – organizations throughout the City that refer individuals to Workforce1 for employment services or to which Workforce1 refers customers for other services. To expand access to Title II participants with barriers to employment, Workforce1 staff will ensure that targeted Title II adult education programs become Community Partners or remind existing partners of the opportunities for cross-referral.

**CAREER PATHWAYS AND CO-ENROLLMENT**

Identify how the LWDB will facilitate the development of career pathways and co-enrollment in academic and training programs.

As previously stated, the City of New York has adopted career pathways as a guiding strategy and framework for all workforce development programs and policies.

Co-enrollment means helping individuals receive services from both Title II adult education programs and – simultaneously or sequentially – from Title I employment programs. Referrals and co-enrollments should help customers seamlessly access the services and resources they need from the right partners in the workforce system. Ultimately, the goal is to help customers prepare for and connect to a good job.

As stated in the previous section, the Community Partners program is an appropriate vehicle for co-enrolling individuals in adult education and employment services. Workforce1 staff will work with adult education providers to determine the best timing for providing information about available services and for making referrals to one another.
LOCAL WORKFORCE DEVELOPMENT SYSTEM

Provide a description of how the LWDB will “support the strategy identified in the State Plan and work with the entities carrying out core programs and other workforce development programs, including those authorized under the Carl D. Perkins Career and Technical Education Act to support service alignment.”

The City’s Career Pathways vision complements priorities articulated in the Workforce Innovation and Opportunity Act and the New York State Plan\(^1\) to:

- Help jobseekers and workers access the education, training, and support services they need to enter or advance in the labor market;
- Improve the relevance of workforce investment and education;
- Improve the structure and delivery of services in the system;
- Increase the prosperity of jobseekers, workers, and employers and the global competitiveness of the U.S.

In 2016, the NYC LWDB convened representatives of the core WIOA programs\(^2\) to develop a joint strategic vision for providing New Yorkers with opportunities to access career pathways.

This vision shifts away from a “work first” approach and instead towards one that invests substantially in helping New Yorkers develop their skills and obtain jobs aligned with their career goals and interests. Partners agreed to work collaboratively to ensure programs:

a. **Align to employers’ needs**, including conferrals of credentials where applicable;

b. **Align to participants’ needs** (foundational skills, supportive services, etc.);

c. Ensure that the right number of candidates are prepared at the right times for the right positions – in other words, **prepare the right quantity of supply in line with anticipated labor market demand**;

d. Invest in **services targeting a wide variety of skill levels** -- including individuals with varying degrees of English, reading, and math proficiency; and

e. **Continuously improve** their approaches to skill building, in part by learning from one another, from applying evidenced-based practices, and through strategic advice from industry experts.

\(^1\) Reference the New York State Workforce Investment Board 2016 Strategic Plan at: https://labor.ny.gov/workforce/swib/swibplan.shtm

\(^2\) Title I (Department of Small Business Services; Department. of Youth and Community Development), Title II (NY State Education Department), Title III (New York State Department of Labor), Title IV (ACCES-VR)
APPENDIX II:
Complete Set of Responses to New York State Local Plan Template
I. Strategic Planning Elements

The New York City Workforce Development Board has its In Demand Occupation List posted on the following web site:  https://labor.ny.gov/workforcenypartners/lwda/lwda-occs.shtm.

I attest that the priority ranked list of the local area’s demand occupations was last updated on:  
August 30, 2017

How is this information shared with the Board?  What was the last date on which it was shared? 
The Board receives a list of the In-Demand Occupations.

A. Provide an analysis of regional economic conditions, including:

1. Existing and emerging in-demand sectors and occupations

Introduction

In June 2017, the City of New York released New York Works, a plan to create 100,000 good-paying jobs over ten years. This report included an insightful summary of the current state of New York City’s economy:

New York City is witnessing a time of historic growth.

Today, New York City has a population of just over 8.5 million residents—and that number is expected to reach 9 million by 2040. Since 2014, the city has added over 300,000 jobs. And over the last three years, employment growth in the city has outperformed the nation in almost every sector, and unemployment has fallen to as low as 4 percent.

New York continues to be the place where companies and people choose to locate. Our city remains the global financial capital of the world and is home to more Fortune 500 companies than any other US city. The city continues to grow through economic diversification. From 2007-2015, nearly 50 percent of employment growth came from the education, health, and technology industries. The city’s diverse talent pool attracts companies looking for employees with global understanding, and demographics reflective of their customers. Foreign-born workers in New York make up 45 percent of the workforce and over 200 languages are spoken across the five boroughs.

Although the city’s resurgence has been dramatic, these gains have not been distributed evenly across the working-age residents. The unemployment rate for New Yorkers without a college degree is almost double that of the working-age population as a whole. Nearly 95 percent of the country’s income gains are claimed by the top 1 percent of households. Over the past 10 years, the percentage of New Yorkers earning middle income wages dropped from 46 percent to 43 percent, impacting a quarter million people. This crisis of affordability has put tremendous strain on families whose wages have barely recovered from the recession.1

In-Demand Sectors and Occupations

New York City’s economy has experienced overall growth over the past few years. According to the U.S. Census Bureau, New York City’s population increased by 4.4 percent, from 8,175,133 residents in 2010
to 8,537,673 residents in 2016.\textsuperscript{2} As of August 2017, organizations in NYC employed 4.4 million people.\textsuperscript{3} The City has seen continued employment growth in emerging sectors like technology, as well as the sectors of construction, healthcare, and retail and food service.

The growth of technology and innovation has been felt across the economy, as sectors adopt new tools and require new skills to remain competitive in a global economy. The New York State Office of the Comptroller estimates that “all nontech sectors are responsible for 111,500 tech jobs. Thus, in total, the larger tech community accounted for a total of 240,100 jobs in 2016, an increase of 44 percent since 2010. The number of tech jobs in nontech sectors increased by 31 percent between 2010 and 2016, nearly twice as fast as other jobs (18 percent). In some sectors the growth in tech jobs was even faster, such as in retail (78 percent).”\textsuperscript{4}

In the preparation of this plan, the City of New York has analyzed labor market data to identify areas of growth and specific industry trends:

- **Technology** is among the fastest growing and highest paying sectors in New York City. Employment in New York City’s information sector increased by 57 percent, or 46,900 jobs, between 2010 and 2016, growing more than three times faster than the rest of the private sector.\textsuperscript{5} When factoring in tech jobs in nontech sectors, that figure rises to an estimated 291,000.\textsuperscript{6}

- Accelerated by the City’s investments in housing and infrastructure development, construction activity in New York City is approaching the pre-recession levels of 2007 and 2008. The **construction** sector employs 154,900 workers.\textsuperscript{7}

- **Industrial/manufacturing** employs more than 517,000 workers.\textsuperscript{8} The sector has stabilized alongside investments in advanced manufacturing practices and equipment. Sub-sectors such as food manufacturing and wholesale trade have shown significant growth.\textsuperscript{9}

- **Health care and social assistance** sector employs 704,600 workers – more New Yorkers than any other sector — and is projected to continue growing.\textsuperscript{10}

- The **retail** sector employs 339,800 workers. While there are many jobs in this sector, the majority of those jobs are low-wage.\textsuperscript{11}

- The **accommodation and food services** sector has seen record growth in the aftermath of the Great Recession, with nearly 29 percent growth between 2010 and 2014.\textsuperscript{7} The sector currently employs 366,200 workers.\textsuperscript{12}

### Table 1: New York City Employment by Economic Supersector\textsuperscript{13} (as of July 2017)

<table>
<thead>
<tr>
<th>Supersectors</th>
<th>Number of Jobs</th>
<th>% of NYC Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Education and Health Services</td>
<td>955,600</td>
<td>21%</td>
</tr>
<tr>
<td>(includes the Health Care and Social Assistance sector)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Professional and Business Services</td>
<td>758,700</td>
<td>17%</td>
</tr>
<tr>
<td>Trade, Transportation, and Utilities</td>
<td>619,600</td>
<td>14%</td>
</tr>
<tr>
<td>(includes the Retail Trade sector)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Government</td>
<td>564,600</td>
<td>13%</td>
</tr>
<tr>
<td>Financial Activities</td>
<td>481,500</td>
<td>11%</td>
</tr>
<tr>
<td>Leisure and Hospitality</td>
<td>459,300</td>
<td>10%</td>
</tr>
<tr>
<td>(includes the Accommodation and Food Services sector)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Services</td>
<td>193,100</td>
<td>4%</td>
</tr>
<tr>
<td>Information</td>
<td>189,500</td>
<td>4%</td>
</tr>
</tbody>
</table>
2. The employment needs of businesses in those sectors and occupations

The New York City Workforce Development Board maintains a list of in-demand occupations based on a combination of labor market data and information from employers on their hiring needs.

Table 2 lists occupation groups with information on average annual openings, total employment, and wages in NYC.

Table 2: New York City Occupational Groups by Average Annual Openings, as of 2016

<table>
<thead>
<tr>
<th>SOC14 Code</th>
<th>Occupational Group</th>
<th>Average Annual Openings</th>
<th>Employment</th>
<th>Entry-level wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>35-0000</td>
<td>Food Preparation and Serving Related Occupations</td>
<td>19,840</td>
<td>316,160</td>
<td>$21,840</td>
<td>$24,490</td>
</tr>
<tr>
<td>43-0000</td>
<td>Office and Administrative Support Occupations</td>
<td>19,560</td>
<td>662,800</td>
<td>$25,860</td>
<td>$41,560</td>
</tr>
<tr>
<td>41-0000</td>
<td>Sales and Related Occupations</td>
<td>17,940</td>
<td>415,590</td>
<td>$21,840</td>
<td>$34,770</td>
</tr>
<tr>
<td>31-0000</td>
<td>Healthcare Support Occupations</td>
<td>14,430</td>
<td>205,880</td>
<td>$22,270</td>
<td>$25,770</td>
</tr>
<tr>
<td>13-0000</td>
<td>Business and Financial Operations Occupations</td>
<td>12,480</td>
<td>318,250</td>
<td>$54,680</td>
<td>$87,390</td>
</tr>
<tr>
<td>25-0000</td>
<td>Education, Training, and Library Occupactions</td>
<td>11,470</td>
<td>268,280</td>
<td>$31,270</td>
<td>$62,110</td>
</tr>
<tr>
<td>11-0000</td>
<td>Management Occupations</td>
<td>10,070</td>
<td>248,410</td>
<td>$84,440</td>
<td>$152,580</td>
</tr>
<tr>
<td>39-0000</td>
<td>Personal Care and Service Occupations</td>
<td>9,300</td>
<td>170,370</td>
<td>$21,840</td>
<td>$24,990</td>
</tr>
<tr>
<td>29-0000</td>
<td>Healthcare Practitioners and Technical Occupations</td>
<td>8,330</td>
<td>214,120</td>
<td>$53,290</td>
<td>$85,420</td>
</tr>
<tr>
<td>53-0000</td>
<td>Transportation and Material Moving Occupations</td>
<td>7,440</td>
<td>196,120</td>
<td>$21,990</td>
<td>$36,810</td>
</tr>
<tr>
<td>27-0000</td>
<td>Arts, Design, Entertainment, Sports, and Media Occupations</td>
<td>6,070</td>
<td>145,330</td>
<td>$38,220</td>
<td>$68,850</td>
</tr>
</tbody>
</table>

Data Sources:
<table>
<thead>
<tr>
<th>SOC Code</th>
<th>Occupational Group</th>
<th>Average Annual Openings</th>
<th>Employment</th>
<th>Entry-level wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>37-0000</td>
<td>Building and Grounds Cleaning and Maintenance Occupations</td>
<td>5,570</td>
<td>135,370</td>
<td>$23,140</td>
<td>$36,770</td>
</tr>
<tr>
<td>47-0000</td>
<td>Construction and Extraction Occupations</td>
<td>5,290</td>
<td>123,490</td>
<td>$35,380</td>
<td>$67,700</td>
</tr>
<tr>
<td>15-0000</td>
<td>Computer and Mathematical Occupations</td>
<td>5,260</td>
<td>137,460</td>
<td>$58,120</td>
<td>$98,410</td>
</tr>
<tr>
<td>33-0000</td>
<td>Protective Service Occupations</td>
<td>5,250</td>
<td>157,110</td>
<td>$23,770</td>
<td>$43,630</td>
</tr>
<tr>
<td>49-0000</td>
<td>Installation, Maintenance, and Repair Occupations</td>
<td>4,020</td>
<td>112,130</td>
<td>$29,530</td>
<td>$53,200</td>
</tr>
<tr>
<td>21-0000</td>
<td>Community and Social Services Occupations</td>
<td>3,290</td>
<td>82,890</td>
<td>$32,380</td>
<td>$49,360</td>
</tr>
<tr>
<td>51-0000</td>
<td>Production Occupations</td>
<td>2,490</td>
<td>83,500</td>
<td>$21,840</td>
<td>$30,110</td>
</tr>
<tr>
<td>23-0000</td>
<td>Legal Occupations</td>
<td>1,820</td>
<td>66,410</td>
<td>$61,550</td>
<td>$131,860</td>
</tr>
<tr>
<td>17-0000</td>
<td>Architecture and Engineering Occupations</td>
<td>1,410</td>
<td>37,400</td>
<td>$54,310</td>
<td>$81,890</td>
</tr>
<tr>
<td>19-0000</td>
<td>Life, Physical, and Social Science Occupations</td>
<td>n/a</td>
<td>27,440</td>
<td>$43,650</td>
<td>$67,940</td>
</tr>
<tr>
<td>45-0000</td>
<td>Farming, Fishing, and Forestry Occupations</td>
<td>n/a</td>
<td>n/a</td>
<td>$30,530</td>
<td>$48,970</td>
</tr>
<tr>
<td><strong>Total, All Occupations</strong></td>
<td><strong>172,930</strong></td>
<td><strong>4,124,740</strong></td>
<td><strong>$24,600</strong></td>
<td><strong>$49,810</strong></td>
<td></td>
</tr>
</tbody>
</table>

**Data Sources:**
- Note: Employment is defined by the Occupational Employment Survey as the number of workers who can be classified as full-time or part-time employees, including workers on paid vacations or other types of leave; workers on unpaid short-term absences; salaried officers, executives, and staff members of incorporated firms; employees temporarily assigned to other units; and employees for whom the reporting unit is their permanent duty station, regardless of whether that unit prepares their paycheck. The survey excludes the self-employed, owners/partners of unincorporated firms, and unpaid family workers. Employees are reported in their present occupation which might be different from the occupation for which they were trained.

*Table 3* reflects in-demand occupations in the context of New York City sector priorities. In-demand occupations are derived from the Labor Market Information Service (LMIS) of the City University of New York.
York (CUNY), as well as real-time feedback from employers engaged in New York City’s Industry Partnerships.

Table 3: New York City In-Demand Occupations as of 2016: In-Demand Occupations Related to Career Pathways Sectors

### Technology-related Occupations

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Entry-level Wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>13-1161</td>
<td>Market Research Analysts</td>
<td>$48,800</td>
<td>$76,660</td>
</tr>
<tr>
<td>15-1121</td>
<td>Computer Systems Analysts</td>
<td>$65,990</td>
<td>$103,230</td>
</tr>
<tr>
<td>15-1131</td>
<td>Computer Programmers</td>
<td>$60,060</td>
<td>$93,610</td>
</tr>
<tr>
<td>15-1132</td>
<td>Computer Software Engineers, Applications</td>
<td>$78,510</td>
<td>$119,370</td>
</tr>
<tr>
<td>15-1133</td>
<td>Computer Software Engineers, Systems Software</td>
<td>$73,640</td>
<td>$120,710</td>
</tr>
<tr>
<td>15-1134</td>
<td>Web Developers</td>
<td>$53,040</td>
<td>$80,440</td>
</tr>
<tr>
<td>15-1142</td>
<td>Network and Computer Systems Administrators</td>
<td>$62,940</td>
<td>$95,830</td>
</tr>
<tr>
<td>15-1150</td>
<td>Computer Support Specialists</td>
<td>$38,580</td>
<td>$57,750</td>
</tr>
<tr>
<td>15-1152</td>
<td>Computer Network Support Specialists</td>
<td>$53,830</td>
<td>$80,990</td>
</tr>
</tbody>
</table>

### Construction-related Occupations

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Entry-level Wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>47-1011</td>
<td>First-Line Supervisors of Construction Trades and Extraction Workers</td>
<td>$61,980</td>
<td>$95,580</td>
</tr>
<tr>
<td>47-2021</td>
<td>Brickmasons and Blockmasons</td>
<td>$43,730</td>
<td>$74,580</td>
</tr>
<tr>
<td>47-2031</td>
<td>Carpenters</td>
<td>$40,540</td>
<td>$68,260</td>
</tr>
<tr>
<td>47-2061</td>
<td>Construction Laborers</td>
<td>$27,890</td>
<td>$44,370</td>
</tr>
<tr>
<td>47-2073</td>
<td>Operating Engineers and Other Construction Equipment Operators</td>
<td>$71,300</td>
<td>$112,570</td>
</tr>
<tr>
<td>47-2111</td>
<td>Electricians</td>
<td>$43,210</td>
<td>$87,880</td>
</tr>
<tr>
<td>47-2141</td>
<td>Painters, Construction and Maintenance</td>
<td>$26,240</td>
<td>$48,940</td>
</tr>
<tr>
<td>47-2152</td>
<td>Plumbers, Pipefitters, and Steamfitters</td>
<td>$41,060</td>
<td>$63,940</td>
</tr>
<tr>
<td>47-2211</td>
<td>Sheet Metal Workers</td>
<td>$36,970</td>
<td>$63,140</td>
</tr>
<tr>
<td>47-3013</td>
<td>Helpers–Electricians</td>
<td>$22,040</td>
<td>$34,250</td>
</tr>
<tr>
<td>47-4021</td>
<td>Elevator Installers and Repairers</td>
<td>$59,740</td>
<td>$92,080</td>
</tr>
<tr>
<td>47-4041</td>
<td>Hazardous Materials Removal Workers</td>
<td>$50,110</td>
<td>$72,951</td>
</tr>
</tbody>
</table>

### Industrial and Manufacturing Occupations

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Entry-level Wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>17-3013</td>
<td>Mechanical Drafters</td>
<td>$34,490</td>
<td>$50,400</td>
</tr>
<tr>
<td>17-3026</td>
<td>Industrial Engineering Technicians</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>17-3027</td>
<td>Mechanical Engineering Technicians</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>17-3029</td>
<td>Manufacturing Production Technicians</td>
<td>$44,250</td>
<td>$57,180</td>
</tr>
<tr>
<td>41-4012</td>
<td>Sales Representatives, Wholesale and Manufacturing, Except Technical and Scientific Products</td>
<td>$30,640</td>
<td>$61,170</td>
</tr>
<tr>
<td>SOC Code</td>
<td>SOC Title</td>
<td>Entry-level Wage</td>
<td>Median Wage</td>
</tr>
<tr>
<td>------------</td>
<td>---------------------------------------------------------------------------</td>
<td>------------------</td>
<td>-------------</td>
</tr>
<tr>
<td>43-5011</td>
<td>Cargo and Freight Agents</td>
<td>$22,040</td>
<td>$38,120</td>
</tr>
<tr>
<td>43-5032</td>
<td>Dispatchers, Except Police, Fire, and Ambulance</td>
<td>$28,640</td>
<td>$48,690</td>
</tr>
<tr>
<td>43-5061</td>
<td>Production, Planning, and Expediting Clerks</td>
<td>$35,690</td>
<td>$54,440</td>
</tr>
<tr>
<td>43-5071</td>
<td>Shipping, Receiving, and Traffic Clerks</td>
<td>$22,900</td>
<td>$34,590</td>
</tr>
<tr>
<td>51-2041</td>
<td>Structural Metal Fabricators and Fitters</td>
<td>$31,530</td>
<td>$44,930</td>
</tr>
<tr>
<td>51-2099</td>
<td>Assemblers and Fabricators, All Other</td>
<td>$24,130</td>
<td>$29,470</td>
</tr>
<tr>
<td>51-4011</td>
<td>Computer-Controlled Machine Tool Operators, Metal and Plastic</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>51-4012</td>
<td>Computer Numerically Controlled Machine Tool Programmers, Metal and Plastic</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>51-4032</td>
<td>Drilling and Boring Machine Tool Setters, Operators, and Tenders, Metal and Plastic</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>51-4035</td>
<td>Milling and Planing Machine Setters, Operators, and Tenders, Metal and Plastic</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>51-4041</td>
<td>Machinists</td>
<td>$36,240</td>
<td>$50,920</td>
</tr>
<tr>
<td>51-4121</td>
<td>Welders, Cutters, and Welder Fitters</td>
<td>$29,670</td>
<td>$47,470</td>
</tr>
<tr>
<td>51-4122</td>
<td>Welding, Soldering, and Brazing Machine Setters, Operators, and Tenders</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>53-1021</td>
<td>First-Line Supervisors of Helpers, Laborers, and Material Movers, Hand</td>
<td>$34,280</td>
<td>$52,050</td>
</tr>
<tr>
<td>53-1031</td>
<td>First-Line Supervisors/Managers of Transportation and Material-Moving Machine and Vehicle Operators</td>
<td>$45,600</td>
<td>$79,750</td>
</tr>
<tr>
<td>53-3021</td>
<td>Bus Drivers, Transit and Intercity</td>
<td>$41,100</td>
<td>$61,030</td>
</tr>
<tr>
<td>53-3022</td>
<td>Bus Drivers, School or Special Client</td>
<td>$28,110</td>
<td>$37,970</td>
</tr>
<tr>
<td>53-3031</td>
<td>Driver/Sales Workers</td>
<td>$21,840</td>
<td>$21,840</td>
</tr>
<tr>
<td>53-3032</td>
<td>Heavy and Tractor-Trailer Truck Drivers</td>
<td>$28,550</td>
<td>$47,480</td>
</tr>
<tr>
<td>53-3033</td>
<td>Light Truck or Delivery Services Drivers</td>
<td>$22,890</td>
<td>$35,510</td>
</tr>
<tr>
<td>53-3041</td>
<td>Taxi Drivers and Chauffeurs</td>
<td>$23,010</td>
<td>$31,500</td>
</tr>
<tr>
<td>53-7061</td>
<td>Cleaners of Vehicles and Equipment</td>
<td>$21,840</td>
<td>$25,950</td>
</tr>
<tr>
<td>53-7062</td>
<td>Laborers and Freight, Stock, and Material Movers, Hand</td>
<td>$21,840</td>
<td>$25,950</td>
</tr>
</tbody>
</table>

Health Care and Social Assistance Occupations

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Entry-level Wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>21-1011</td>
<td>Substance Abuse and Behavioral Disorder Counselors</td>
<td>$34,920</td>
<td>$52,710</td>
</tr>
<tr>
<td>21-1093</td>
<td>Social and Human Service Assistants</td>
<td>$26,240</td>
<td>$36,110</td>
</tr>
<tr>
<td>21-1094</td>
<td>Community Health Workers</td>
<td>$29,610</td>
<td>$40,530</td>
</tr>
<tr>
<td>21-1099</td>
<td>Community and Social Service Specialists, All Other</td>
<td>$40,980</td>
<td>$48,930</td>
</tr>
<tr>
<td>29-1141</td>
<td>Registered Nurses</td>
<td>$70,340</td>
<td>$92,570</td>
</tr>
<tr>
<td>29-2012</td>
<td>Medical and Clinical Laboratory Technicians</td>
<td>$40,430</td>
<td>$52,840</td>
</tr>
<tr>
<td>29-2034</td>
<td>Radiologic Technologists</td>
<td>$54,750</td>
<td>$73,660</td>
</tr>
<tr>
<td>29-2041</td>
<td>Emergency Medical Technicians and Paramedics</td>
<td>$31,480</td>
<td>$45,730</td>
</tr>
<tr>
<td>29-2052</td>
<td>Pharmacy Technicians</td>
<td>$23,100</td>
<td>$32,290</td>
</tr>
<tr>
<td>SOC Code</td>
<td>SOC Title</td>
<td>Entry-level Wage</td>
<td>Median Wage</td>
</tr>
<tr>
<td>----------</td>
<td>-----------------------------------------------</td>
<td>------------------</td>
<td>--------------</td>
</tr>
<tr>
<td>29-2061</td>
<td>Licensed Practical and Licensed Vocational Nurses</td>
<td>$43,880</td>
<td>$55,330</td>
</tr>
<tr>
<td>29-2071</td>
<td>Medical Records and Health Information Technicians</td>
<td>$33,140</td>
<td>$46,300</td>
</tr>
<tr>
<td>31-1011</td>
<td>Home Health Aides</td>
<td>$22,030</td>
<td>$23,720</td>
</tr>
<tr>
<td>31-1012</td>
<td>Nursing Aides, Orderlies, and Attendants</td>
<td>n/a</td>
<td>n/a</td>
</tr>
<tr>
<td>31-1014</td>
<td>Nursing Assistants</td>
<td>$33,480</td>
<td>$38,600</td>
</tr>
<tr>
<td>31-9091</td>
<td>Dental Assistants</td>
<td>$21,880</td>
<td>$31,260</td>
</tr>
<tr>
<td>31-9092</td>
<td>Medical Assistants</td>
<td>$27,150</td>
<td>$36,800</td>
</tr>
<tr>
<td>31-9099</td>
<td>Healthcare Support Workers, All Other</td>
<td>$33,780</td>
<td>$39,720</td>
</tr>
<tr>
<td>43-4051*</td>
<td>Patient Representatives</td>
<td>$26,050</td>
<td>$39,760</td>
</tr>
<tr>
<td>43-6013</td>
<td>Medical Secretaries</td>
<td>$32,490</td>
<td>$45,380</td>
</tr>
</tbody>
</table>

**Retail Occupations**

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Entry-level Wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>27-1026</td>
<td>Merchandise Displayers and Window Trimmers</td>
<td>$24,350</td>
<td>$38,230</td>
</tr>
<tr>
<td>41-1011</td>
<td>First-Line Supervisors of Retail Sales Workers</td>
<td>$32,270</td>
<td>$48,430</td>
</tr>
<tr>
<td>41-2031</td>
<td>Retail Salespersons</td>
<td>$21,840</td>
<td>$21,840</td>
</tr>
<tr>
<td>43-5081</td>
<td>Stock Clerks and Order Fillers</td>
<td>$21,840</td>
<td>$23,010</td>
</tr>
</tbody>
</table>

**Accommodation and Food Services-related Occupations**

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Entry-level Wage</th>
<th>Median Wage</th>
</tr>
</thead>
<tbody>
<tr>
<td>11-9051</td>
<td>Food Service Managers</td>
<td>$51,700</td>
<td>$85,480</td>
</tr>
<tr>
<td>35-1011</td>
<td>Chefs and Head Cooks</td>
<td>$21,840</td>
<td>$41,980</td>
</tr>
<tr>
<td>35-2012</td>
<td>Cooks, Institution and Cafeteria</td>
<td>$26,820</td>
<td>$35,290</td>
</tr>
<tr>
<td>35-2014</td>
<td>Cooks, Restaurant</td>
<td>$21,840</td>
<td>$27,960</td>
</tr>
<tr>
<td>35-2021</td>
<td>Food Preparation Workers</td>
<td>$21,840</td>
<td>$23,510</td>
</tr>
<tr>
<td>35-3011</td>
<td>Bartenders</td>
<td>$21,840</td>
<td>$28,780</td>
</tr>
<tr>
<td>35-3031</td>
<td>Waiters and Waitresses</td>
<td>$21,840</td>
<td>$21,840</td>
</tr>
<tr>
<td>35-3041</td>
<td>Food Servers, Nonrestaurant</td>
<td>$30,190</td>
<td>$36,790</td>
</tr>
<tr>
<td>35-9031</td>
<td>Hosts and Hostesses, Restaurant, Lounge, and Coffee Shop</td>
<td>$21,840</td>
<td>$27,220</td>
</tr>
<tr>
<td>39-6011</td>
<td>Baggage Porters and Bellhops</td>
<td>$21,840</td>
<td>$27,450</td>
</tr>
<tr>
<td>43-4081</td>
<td>Hotel, Motel, and Resort Desk Clerks</td>
<td>$22,380</td>
<td>$37,410</td>
</tr>
<tr>
<td>51-3011</td>
<td>Bakers</td>
<td>$21,840</td>
<td>$25,710</td>
</tr>
<tr>
<td>51-3022</td>
<td>Meat, Poultry, and Fish Cutters and Trimmers</td>
<td>$21,840</td>
<td>$28,020</td>
</tr>
</tbody>
</table>

**Data Sources:**

B. Describe the knowledge, skills, and abilities needed to meet the employment needs of businesses, including those in in-demand sectors and employing individuals in demand occupations.

Table 4 outlines the typical education level needed for entry into in-demand occupations in key sectors across New York City’s economy. Overall, 41.3 percent of these occupations require at least a High School degree and 19.5 percent of these occupations require no formal educational credential. Industry Partnerships have also convened employers to solicit input on the skills and abilities needed for employment and advancement in key sectors.

Employer feedback from 150 technology-sector employers convened by the Tech Talent Pipeline (TTP) have indicated that, to be competitive in the labor market, job seekers increasingly need to demonstrate their ability to apply these skills. Work experience—often gained on the job and through projects—is critical for workers pursuing and advancing in careers in the technology sector. From the feedback TTP has gathered from a diverse group of tech employers, TTP has distilled five core competencies for the tech sector:

- Foundational skills and knowledge, including critical thinking and problem-solving
- Role-specific technical skills, including the specific data structures and technology “languages” used in specific occupations
- Applied technical skills, including how to test and modify products and processes in business settings
- Experience and exposure in the sector, including on-the-job settings and projects pursued in personal or applied academic contexts
- Professional skills and interests, which include candidate’s passion for the tech sector and how that can translate to effective problem-solving and engaging communication

In the healthcare sector, new job titles have emerged in response to industry changes as a result of healthcare reform. These titles include care coordination titles, such as Care Coordinators, Community Health Workers, Patient Health Navigators, and Health Educators. New York Alliance for Careers in Healthcare (NYACH) has supported research to understand how these roles support healthcare delivery, and the skills and abilities required of the labor force. NYACH has also found that, beyond levels of licensing that may be required, there are new skills and competencies needed across these new titles and positions across the sector and employment settings. NYACH has worked with industry stakeholders to identify nine core competencies that are critical for success in healthcare:

- New Models of Care and Healthcare Trends
- Interdisciplinary Teams
- Person-Centeredness and Communication
- Chronic Disease and Social Determinants of Health
- Cultural Competence
- Ethics and Professional Boundaries
- Quality Improvement
- Community Orientation
- Health Information Technology, Documentation and Confidentiality
Table 4: Typical Education Needed for Entry Into In-Demand Occupations, Organized by Sector

### Technology

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Typical Education Needed for Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>15-1134</td>
<td>Web Developers</td>
<td>Associate’s Degree</td>
</tr>
<tr>
<td>15-1152</td>
<td>Computer Network Support Specialists</td>
<td>Associate’s Degree</td>
</tr>
<tr>
<td>15-1132</td>
<td>Computer Software Engineers, Applications</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>13-1161</td>
<td>Market Research Analysts</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>15-1121</td>
<td>Computer Systems Analysts</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>15-1133</td>
<td>Computer Software Engineers, Systems Software</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>15-1142</td>
<td>Network and Computer Systems Administrators</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>15-1131</td>
<td>Computer Programmers</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>15-1150</td>
<td>Computer Support Specialists</td>
<td>n/a</td>
</tr>
<tr>
<td>15-1179</td>
<td>Information Security Analysts, Web Developers, and Computer Network Architects</td>
<td>n/a</td>
</tr>
</tbody>
</table>

### Construction

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Typical Education Needed for Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>47-2061</td>
<td>Construction Laborers</td>
<td>No formal education credential</td>
</tr>
<tr>
<td>47-2141</td>
<td>Painters, Construction and Maintenance</td>
<td>No formal education credential</td>
</tr>
<tr>
<td>47-2111</td>
<td>Electricians</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-2031</td>
<td>Carpenters</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-2152</td>
<td>Plumbers, Pipefitters, and Steamfitters</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-1011</td>
<td>First-Line Supervisors of Construction Trades and Extraction Workers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-3013</td>
<td>Helpers--Electricians</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-2211</td>
<td>Sheet Metal Workers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-4021</td>
<td>Elevator Installers and Repairers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-2021</td>
<td>Brickmasons and Blockmasons</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-2073</td>
<td>Operating Engineers and Other Construction Equipment Operators</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>47-4041</td>
<td>Hazardous Materials Removal Workers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>51-2041</td>
<td>Structural Metal Fabricators and Fitters</td>
<td>High school diploma or equivalent</td>
</tr>
</tbody>
</table>

### Industrial/Manufacturing

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Title</th>
<th>Typical Education Needed for Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>53-7062</td>
<td>Laborers and Freight, Stock, and Material Movers, Hand</td>
<td>No formal education credential</td>
</tr>
<tr>
<td>53-7061</td>
<td>Cleaners of Vehicles and Equipment</td>
<td>No formal education credential</td>
</tr>
<tr>
<td>53-3041</td>
<td>Taxi Drivers and Chauffeurs</td>
<td>No formal education credential</td>
</tr>
<tr>
<td>53-3033</td>
<td>Light Truck or Delivery Services Drivers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>53-3022</td>
<td>Bus Drivers, School or Special Client</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>SOC Code</td>
<td>Occupation</td>
<td>Typical Education Needed for Entry</td>
</tr>
<tr>
<td>------------</td>
<td>---------------------------------------------------------------------------</td>
<td>-----------------------------------------------------</td>
</tr>
<tr>
<td>31-1011</td>
<td>Home Health Aides</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>43-4051</td>
<td>Patient Representatives</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>21-1093</td>
<td>Social and Human Service Assistants</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>29-2052</td>
<td>Pharmacy Technicians</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>43-6013</td>
<td>Medical Secretaries</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>31-9099</td>
<td>Healthcare Support Workers, All Other</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>31-1014</td>
<td>Nursing Assistants</td>
<td>Postsecondary non-degree award</td>
</tr>
<tr>
<td>29-2061</td>
<td>Licensed Practical and Licensed Vocational Nurses</td>
<td>Postsecondary non-degree award</td>
</tr>
</tbody>
</table>

**Healthcare and Social Assistance**
<table>
<thead>
<tr>
<th>SOC Code</th>
<th>Occupation</th>
<th>Typical Education Needed for Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>31-9092</td>
<td>Medical Assistants</td>
<td>Postsecondary non-degree award</td>
</tr>
<tr>
<td>31-9091</td>
<td>Dental Assistants</td>
<td>Postsecondary non-degree award</td>
</tr>
<tr>
<td>29-2071</td>
<td>Medical Records and Health Information Technicians</td>
<td>Postsecondary non-degree award</td>
</tr>
<tr>
<td>29-2041</td>
<td>Emergency Medical Technicians and Paramedics</td>
<td>Postsecondary non-degree award</td>
</tr>
<tr>
<td>25-2011</td>
<td>Preschool Teachers, Except Special Education</td>
<td>Associate’s Degree</td>
</tr>
<tr>
<td>29-2012</td>
<td>Medical and Clinical Laboratory Technicians</td>
<td>Associate’s Degree</td>
</tr>
<tr>
<td>29-2034</td>
<td>Radiologic Technologists</td>
<td>Associate’s Degree</td>
</tr>
<tr>
<td>29-1141</td>
<td>Registered Nurses</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>21-1011</td>
<td>Substance abuse and behavioral disorder counselors</td>
<td>Bachelor’s Degree</td>
</tr>
<tr>
<td>21-1099</td>
<td>Community and Social Service Specialists, All Other</td>
<td>Master’s degree</td>
</tr>
<tr>
<td>31-1012</td>
<td>Nursing Aides, Orderlies, and Attendants</td>
<td>n/a</td>
</tr>
</tbody>
</table>

**Retail**

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>Occupation</th>
<th>Typical Education Needed for Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>43-5081</td>
<td>Stock Clerks and Order Fillers</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>41-2031</td>
<td>Retail Salespersons</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>27-1026</td>
<td>Merchandise Displayers and Window Trimmers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>41-1011</td>
<td>First-Line Supervisors of Retail Sales Workers</td>
<td>High school diploma or equivalent</td>
</tr>
</tbody>
</table>

**Food Service and Accommodation**

<table>
<thead>
<tr>
<th>SOC Code</th>
<th>SOC Code</th>
<th>Typical Education Needed for Entry</th>
</tr>
</thead>
<tbody>
<tr>
<td>35-3031</td>
<td>Waiters and Waitresses</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>35-2014</td>
<td>Cooks, Restaurant</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>35-2021</td>
<td>Food Preparation Workers</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>35-3011</td>
<td>Bartenders</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>35-9031</td>
<td>Hosts and Hostesses, Restaurant, Lounge, and Coffee Shop</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>51-3011</td>
<td>Bakers</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>35-2012</td>
<td>Cooks, Institution and Cafeteria</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>39-6011</td>
<td>Baggage Porters and Bellhops</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>35-3041</td>
<td>Food Servers, Nonrestaurant</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>11-9051</td>
<td>Food Service Managers</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>51-3022</td>
<td>Meat, Poultry, and Fish Cutters and Trimmers</td>
<td>No formal educational credential</td>
</tr>
<tr>
<td>35-1011</td>
<td>Chefs and Head Cooks</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>43-4081</td>
<td>Hotel, Motel, and Resort Desk Clerks</td>
<td>High school diploma or equivalent</td>
</tr>
<tr>
<td>11-9051</td>
<td>Food Service Managers</td>
<td>High school diploma or equivalent</td>
</tr>
</tbody>
</table>

C. Provide an analysis of the regional workforce:

1. Current labor force employment and unemployment numbers

The City’s economy continues to grow: New York City is home to a record total of 4.5 million jobs. Moreover, the City’s unemployment rate of 4.9 percent (as of August 2017) has stayed consistent or decreased since December 2016. Wages rose by four percent in 2014, the first such improvement since 2010, and nearly all sectors of the economy contributed to that growth.

Table 6: New York City Employment as of July 2017

<table>
<thead>
<tr>
<th>Number of Jobs</th>
<th>4,450,900</th>
</tr>
</thead>
<tbody>
<tr>
<td>Job Growth July 2015 to July 2017</td>
<td>117,734</td>
</tr>
<tr>
<td>Unemployment Rate</td>
<td>4.9%</td>
</tr>
</tbody>
</table>

Data Sources:


However, not all New Yorkers benefit equally from the City’s strong economy. Indeed, individuals with barriers to employment experience higher levels of unemployment. Each group of individuals represents an untapped pool of talent, and WIOA explicitly seeks to address these disparities.

It is important to understand the specific needs of these populations, as well as the ways in which people may experience multiple barriers to employment, using data as it is available.

- **Individuals with Disabilities:** More than one in ten New Yorkers has a disability. More than one in ten New Yorkers has a disability. Individuals with disabilities have much lower levels of labor force attachment than the general population. Although the labor force participation rate in New York City is 60 percent overall, for people with disabilities that figure is just 32 percent. Moreover, WIOA explicitly emphasizes the need to increase the physical and programmatic accessibility of all programs for people with disabilities.

- **Young Adults:** In 2015, among New Yorkers aged 18 to 24 years old, 17 percent of young adults, or nearly 140,000, were both out of school and out of work. This level of “disconnectedness” among youth exceeds the national average of 13 percent. Moreover, the race of a young person impacts their likelihood of being unemployed: black men and women ages 16 to 24 are unemployed at respective rates that are 1.6 and 2.3 times higher than their unemployed white peers. WIOA prioritizes serving young adults, particularly those who are Out-of-School Youth.

- **Veterans:** Veterans and their families have served their country. Moreover, the U.S. Department of Labor identifies veterans as a priority population across all of their employment and training programs, including WIOA. There more than 179,000 veterans living in New York
City as of 2015. The labor force participation rate in October 2017 for veterans was 50 percent, compared to the City’s overall employment rate of 60 percent. Although veterans are under-employed, employers often rank veterans high in the areas of self-discipline, teamwork, attention to detail, respect, and leadership. The employment rate in 2016 for veterans in New York was 42 percent compared to a 6 percent unemployment rate overall.

- **Individuals with Arrest or Conviction Records**: Nationally, an estimated 70 million people—nearly one in three adults—have a prior arrest or conviction record. People with criminal records deserve an opportunity to pursue a career that can lead them on a pathway to success. In addition, studies indicate that high-quality jobs diminish the likelihood of recidivism for those released from state and federal prison. However, those with criminal records often face significant barriers to obtain employment. One study showed that those with criminal records are at least 50% less likely to be called back after an interview than those without records. According to a series of studies published in recent years, white men with criminal records are more likely to receive a call back for a job interview than black men with no criminal record. In 2011 in New York City, nearly 250,000 people were convicted of crimes serious enough to warrant fingerprinting. In 2016, roughly 45,000 New Yorkers returned to their communities from jail and prison making this an important issue for the local workforce system to consider.

2. **Information on any trends in the labor market**

Across the New York City economy, technology is changing the way businesses operate, and the skills New Yorkers need to secure the jobs of the future. The way in which New Yorkers are working is also changing, with more and more people working part-time and gig economy jobs. In 2014, an estimated 400,000 New Yorkers were self-employed or worked as freelancers.

The New York City workforce development ecosystem has identified emerging trends in our economy and corresponding changes in the labor market through New York City research institutions and insights from Industry Partnerships, convened to offer real-time feedback between industry leaders and workforce organizations.

- The **technology** industry, as noted above, is a fast-growing, high-paying sector. Yet many tech employers report a shortage in the number of qualified homegrown candidates for in-demand roles. Part of this gap can be attributed to the rapid rate of job growth in fields like software engineering, where employment is expected to expand by more than 30 percent in New York City between 2012 and 2022. However, employer feedback from 150 tech sector employers convened by the NYC Tech Talent Pipeline suggests that degrees alone are not to blame for a dearth of employable talent. Companies reported that, to be competitive in the labor market, jobseekers increasingly need to demonstrate their ability to apply these skills. Work experience—often gained on-the-job and through projects—is critical for workers pursuing and advancing in careers in the technology sector.

- The **construction** sector has grown alongside New York City’s population and business growth, accelerated by ambitious public investments to create and preserve 300,000 units of affordable housing across New York City by 2026. To ensure the safety of workers, and to address preventable injuries and deaths on construction sites, the New York City Council and the Mayor passed legislation that requires workers to receive at least 40 hours of construction site safety training. As more than half the City’s construction workers are over the age of 40, it is also increasingly important to cultivate a new pipeline of homegrown talent to meet burgeoning demand.
The industrial and manufacturing sector is undergoing a modern revival in New York City as firms leverage advanced technologies to create new products, improve their processes, and meet the demands of a growing consumer base. Investments in new industrial spaces, equipment, and business programming support 21st century manufacturing jobs. According to a recent survey of local businesses by the New York City Economic Development Corporation (NYCEDC), 50 percent of industrial and manufacturing firms expect to increase employment in the near future. However nearly half the firms surveyed reported that their biggest challenge is finding skilled employees.

The healthcare sector continues to transform as a result of healthcare reform, from an industry driven by volume to a system focused on increased quality of patient care, better population health, and lower costs. As a result, there is an increased focus on the role of technology and on primary care services and lower-cost strategies for the management of chronic illness. It is predicted that the continued growth in the sector will be focused on ambulatory care sector. There are opportunities to enhance the role of frontline workers in addressing the new priorities of the sector, as well as to apply technology to support alignment across care providers, patients, and insurers. The healthcare sector and social assistance will also be impacted by the changing needs of New Yorkers. For the first time in the City’s history, there are now more people over the age of 65 than there are children ages 10 and younger. Furthermore, this population is increasingly diverse, with nearly half of older New Yorkers born outside of the U.S.

New York City’s retail sector has experienced growth, particularly in low-wage employment, concurrent with national projections of growth in the sector. The retail sector is continuing to respond to the growth of online shopping and adopting in-store and operational technology. Workers increasingly work unstable and unpredictable hours. Research in 2016 indicated that more than one out of three employed New Yorkers had been given their work schedules less than two weeks in advance. In May 2017, the City of New York adopted “Fair Workweek” policies to ensure predictable hours and paychecks in the fast food and retail sectors.

The accommodation and food services sector have seen record growth in the aftermath of the Great Recession; however these jobs have generally been characterized by low wages, detrimental work conditions, and limited workplace benefits. The industry is facing a skills gap and labor shortage, particularly in the hospitality sector. Industry leaders are providing insights into the development of training opportunities that will meet this skills gap and also open the door to greater upward mobility for workers. In 2017, New York City launched Stage NYC, the first culinary training and apprenticeship program for out-of-school and out-of-work young adults, to build career pathways into the culinary industry.

3. Educational and skill levels of the workforce in the region, including individuals with barriers to employment.

Table 8 outlines the education levels of adults 25 years and older in New York City, as of 2014. New York City has a high number of workers with postsecondary and advanced degrees. The share of people ages 23 to 29 with at least some college education has increased from 61 percent in 2000 to 65 percent in 2014.

However, there are also a large number of adults without high school or postsecondary training or education. 19 percent of New York City’s population aged 16 and over—more than 1.2 million New Yorkers—lack a high school credential. Adults who lack a high school diploma are not necessarily a short step away from earning that credential. Many struggle with less than functional literacy levels. As
an example, the Office of Adult and Continuing Education at the Department of Education (OACE), the largest provider of adult literacy services in the City, offers classes to more than 25,000 people a year. Roughly 10,000 adults enroll in basic education classes with OACE each year. Sixty percent of those learners enter classes reading at or below the 6th grade level. Twenty-six percent enter reading between the 6th and 9th grade level. These adults—particularly those reading below the 6th-grade level—face extraordinary barriers to employment and advancement.53

Table 8: Educational Attainment of New York City Residents (ages 16 and older)

<table>
<thead>
<tr>
<th>Education Attainment</th>
<th>Number of People (Rounded)</th>
<th>Percent of Adult Population</th>
</tr>
</thead>
<tbody>
<tr>
<td>Population ages 16 to 18</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not Enrolled and no high school diploma</td>
<td>13,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Population ages 19 to 24</td>
<td>744,000</td>
<td>11%</td>
</tr>
<tr>
<td>With at least a high school diploma or equivalent</td>
<td>643,000</td>
<td></td>
</tr>
<tr>
<td>Without a high school diploma or equivalent</td>
<td>101,000</td>
<td></td>
</tr>
<tr>
<td>Enrolled in school</td>
<td>24,000</td>
<td></td>
</tr>
<tr>
<td>Not enrolled in school and not employed</td>
<td>46,000</td>
<td></td>
</tr>
<tr>
<td>Not enrolled in school and employed</td>
<td>31,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Population ages 25 to 44</td>
<td>2,619,000</td>
<td>39%</td>
</tr>
<tr>
<td>Less than high school diploma or equivalent</td>
<td>380,000</td>
<td></td>
</tr>
<tr>
<td>High school diploma or equivalent</td>
<td>536,000</td>
<td></td>
</tr>
<tr>
<td>Some college or associate’s degree</td>
<td>575,000</td>
<td></td>
</tr>
<tr>
<td>Bachelor’s, graduate, or professional degree</td>
<td>1,128,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Population ages 45 to 65</td>
<td>2,124,000</td>
<td>32%</td>
</tr>
<tr>
<td>Less than high school diploma or equivalent</td>
<td>443,000</td>
<td></td>
</tr>
<tr>
<td>High school diploma or equivalent</td>
<td>578,000</td>
<td></td>
</tr>
<tr>
<td>Some college or associate’s degree</td>
<td>464,000</td>
<td></td>
</tr>
<tr>
<td>Bachelor’s, graduate, or professional degree</td>
<td>639,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Population ages 66 and over</td>
<td>964,000</td>
<td>14%</td>
</tr>
<tr>
<td>Less than high school diploma or equivalent</td>
<td>322,000</td>
<td></td>
</tr>
<tr>
<td>High school diploma or equivalent</td>
<td>281,000</td>
<td></td>
</tr>
<tr>
<td>Some college or associate’s degree</td>
<td>139,000</td>
<td></td>
</tr>
<tr>
<td>Bachelor’s, graduate, or professional degree</td>
<td>222,000</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>6,749,000</td>
<td>100%</td>
</tr>
</tbody>
</table>


These levels of educational attainment also vary across the diverse New York City population. Approximately 44 percent of the City’s working-age population is foreign-born.54 Of those foreign-born New Yorkers, a full 50 percent have limited English proficiency, and nearly a third lack a high school
credential. Lack of functional English closes the door on nearly all good jobs with growth potential. Coupling lack of English proficiency with limited educational attainment makes the path into the middle class nearly impossible to navigate for some of these New Yorkers. In addition, 38 percent of foreign-born New Yorkers with limited English proficiency have earned college degrees in their home countries. That level of education should enable these individuals to access high-quality jobs across a number of sectors. Without English language skills, however, these individuals are forced to take lower-wage, low-skilled employment. “Brain waste” is the term used to describe the situation that arises when people with a Bachelor’s degree or higher work in low-skilled jobs or are under- or unemployed, and these New Yorkers’ lack of English proficiency puts them at the highest risk for brain waste.

Employers increasingly use educational attainment as a proxy for skill level when making hiring decisions, and individuals without technical training or substantial work history often have a difficult time securing employment, and securing family sustaining wages. Table 9 lists the median earnings by educational attainment in NYC. The results are consistent with the overwhelming research that earnings increase with education level. Economically, the difference in salary for someone without a high school credential compared to someone who has attained the credential is roughly $10,000, a significant difference for a low-income worker.

Table 9: Median Earnings by Educational Attainment

<table>
<thead>
<tr>
<th>Education Attainment</th>
<th>Average Annual Salary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than HS diploma</td>
<td>$25,636</td>
</tr>
<tr>
<td>With HS diploma</td>
<td>$35,256</td>
</tr>
<tr>
<td>Some college, no degree</td>
<td>$38,376</td>
</tr>
<tr>
<td>Associate’s degree</td>
<td>$41,496</td>
</tr>
<tr>
<td>Bachelor’s degree</td>
<td>$59,124</td>
</tr>
<tr>
<td>Master’s degree</td>
<td>$69,732</td>
</tr>
<tr>
<td>Professional degree</td>
<td>$89,960</td>
</tr>
<tr>
<td>Doctorate</td>
<td>$84,396</td>
</tr>
</tbody>
</table>

Education and Training Programs

<table>
<thead>
<tr>
<th>Program Type</th>
<th>Approximate Number Enrolled in City Public Institutions (NYCDOE or CUNY)</th>
<th>Approximate Total Number Enrolled</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adult Education Providers</td>
<td>20,000</td>
<td>70,000</td>
</tr>
<tr>
<td>College Degree Programs</td>
<td>275,000</td>
<td>600,000</td>
</tr>
<tr>
<td>Vocational Training</td>
<td>250,000</td>
<td>250,000</td>
</tr>
<tr>
<td><strong>Subtotal Post-Secondary Education and Training</strong></td>
<td><strong>545,000</strong></td>
<td><strong>920,000</strong></td>
</tr>
<tr>
<td>K-12 Education System</td>
<td>1,100,000</td>
<td>1,400,000</td>
</tr>
</tbody>
</table>

Strengths of Local Employment, Education, and Training Programs

**Employment Programs:** The sheer volume of customers served by WIOA employment programs in New York City is notable. As the chart above illustrates, approximately 228,500 people receive employment services annually from either the Workforce1 Career Centers, New York State Department of Labor career centers, ACCES-VR vocational rehabilitation services, or New York State Commission for the Blind services. Moreover, Workforce1 and NYSDOL staff delivered services that helped more than 86,000 individuals find a job, either through direct connections from staff to job opportunities or on their own.61

**Education and Training Programs:**

**Colleges and Universities:** There are approximately 600,000 college students in New York City attending roughly 110 universities and colleges. The City University of New York (CUNY) enrolls nearly half (275,000) of these students, making it the nation’s largest public university. CUNY’s 24 institutions span the five boroughs. The system is striking for its diversity: 42 percent of students are first generation college attendees, 76 percent are from minority or underrepresented groups, 43 percent speak a native language other than English, and 39 percent come from households with an income less than $20,000 per year.

**Vocational Training Programs:** CUNY serves 250,000 students annually through its Adult and Continuing Education programs. Community-based organizations and for-profit companies also offer training to thousands of New Yorkers every year.

**Adult Education:** The adult literacy landscape in New York City includes programs and services offered through the NYC Department of Education, the City University of New York, the three public libraries...
systems, and the many community-based organizations that contract with the NYC Department of Youth and Community Development. Funding to support these programs comes from city, state, and federal funding streams as well as private foundations. In total, these programs enable approximately 70,000 New Yorkers to receive adult education services annually.

Adult literacy services offered include:

- **English for Speakers of Other Languages (ESOL).**
- **Young Adult Literacy and Adult Basic Education (ABE)** – classes providing basic skills instruction for youth and adults with reading and math levels below 9th grade.
- **High School Equivalency (HSE)** – classes for youth and adults with 10th to 12th grade reading and math levels prepares students to earn their HSE diploma.

**Key Challenges in the Local System**

1. **Many jobseekers lack the skills and experience sought by employers. At the same time, many employers cannot find the skilled talent they need for certain positions.**

   a. **Adult Workforce System**
   - Training and education investments are not sufficiently aligned with employers’ needs and thus do not adequately prepare participants for labor market success.
   - The City’s workforce development system does not invest sufficiently in helping New Yorkers develop the skills and experience sought by employers. The amount invested is too little and the number trained is too small.

   b. **Mainstream Educational System**
   - Both the NYC Department of Education (NYCDOE) and CUNY have identified students’ career success as a major goal and are making substantial investments in improving student outcomes related to college and career preparation. However, there are still gaps in ensuring that all students transition successfully from NYCDOE and CUNY into the workforce.

2. **The local system lacks the resources to address the large number of New Yorkers who lack the basic skills or education required for a good job.**

   The vast majority of jobs require at least a High School Diploma or Equivalency, yet more than 1.1 million New Yorkers aged 25 or older lack this basic credential. Moreover, recent research suggests that two-thirds of jobs will require at least some postsecondary education or training. However, more than 2.5 million New Yorkers aged 25 or older lack this level of education. Adult education programs, which provide literacy, ESOL, and High School Equivalency programs, are severely limited in number relative to the need: the City makes roughly 70,000 seats available each year for the more than 2.2 million adults who lack a High School Diploma or full English proficiency or both.

3. **The large number of individuals with barriers to employment who need assistance developing their skills and connecting to good jobs.**

   Responses to questions 1 and 3 in section C, “Analysis of the regional workforce,” describe some of the unique barriers to employment faced by individuals who are part of a target population, such as veterans, individuals with disabilities, and individuals with arrest or conviction records.

4. **The local system lacks strong levels of coordination among partners.**
This issue is one that pertains to each of the three major weaknesses described above. The WIOA programs still operate largely in silos. The one notable exception is New York State Department of Labor and Workforce1, which are co-located in four major borough centers and share job opportunities to maximize the options for their customers. Additionally, ACCES-VR and Workforce1 have initiated a number of pilot opportunities to recruit more ACCES-VR customers for job opportunities developed by Workforce1.

2. Does the local area have the capacity to address the education and skill needs of the local workforce, including individuals with barriers to employment, and businesses? Please explain.

The size of New York City’s population – and the sheer number of individuals with barriers to employment – means that invariably the local area has the capacity to address the education and skill needs only of a portion of the local workforce and of businesses. But the local area has demonstrated its ability to serve both well.

Serving the Workforce

Employment and Training: As described above, the local area has the capacity to serve New Yorkers at scale. The Workforce1 Career Centers and the New York State Department of Labor Career Centers together serve about 200,000 people annually and connected about 86,000 of them to employment in PY2016.

Adult Education: There are approximately 2.2 million adult New Yorkers who lack a HS Diploma or Equivalency and/or who are not fully proficient in English. The City of New York – through WIOA Title II and other funding – offers services to 70,000 individuals annually. As a result, there is a huge gap between the need for services and their availability.

Serving Businesses

The NYC Department of Small Business Services (SBS) administers the Adult WIOA programs in New York City. But they also manage a network of NYC Business Solutions Centers that serve small businesses in communities throughout New York City. Workforce1 leverages this engagement. Small businesses owners utilize the Business Solutions Centers’ services to get assistance with a myriad of business issues ranging from permits to licenses, and also to improve employee performance via employee training and to get guidance with employee recruitment – both via Workforce1. Moreover, SBS treats businesses as its customers and creates the right incentives for contractors to fulfill their needs. Two of the non-WIOA performance measures that SBS holds its Workforce1 contractors to are Employer Commitments, the number of positions developed that a center commits to an employer to fill with candidates from across the system; and Fill Rate, the percentage of employer commitments successfully filled with candidates.

E. Describe the local board's strategic vision and goals for preparing an educated and skilled workforce, including youth and individuals with barriers to employment.

New York City Vision of Career Pathways

In Career Pathways: One City Working Together, the City of New York announced a new guiding strategy and framework describing how elements of the City’s workforce system should align and connect to one another – supporting individuals along an established route to stable, living wage jobs with benefits and
opportunities to advance. At each level, participants can gain additional skills and prepare for the next step in their career. The career pathways approach connects progressive levels of education, training, support services, and credentials, working with employers to grow a pipeline of skilled workers for in-demand occupations.

The City’s vision of career pathways, which has been adopted by the New York City Workforce Development Board, contains three central pillars:

1) **Building Skills Employers Seek:** Shifting away from the former priority of placing as many people into jobs as quickly as possible, workforce programs now focus on connecting New Yorkers to quality jobs with family-supporting wages and career advancement potential. To ensure that its training investments are closely aligned with employer needs, the City has created strong industry partnerships that provide robust feedback loops with companies in priority sectors of New York City’s economy.

2) **Improving Job Quality:** The City is acting to support New Yorkers in lower-wage jobs through initiatives that reward worker-friendly business practices such as consistent scheduling, access to commuter benefits and financial empowerment services. In addition to providing stability for workers, these common-sense practices support the bottom line for employers by lowering turnover costs and helping to ensure better-trained workers.

3) **Increasing System and Policy Coordination:** The City is committed to better aligning its workforce and economic development initiatives, utilizing local legislation and administrative policies as key levers to promote career pathway development and implementation. Workforce programs should also function as a coherent system by using system-wide common metrics, shared definitions and data tracking tools, and applying a unified approach to employer and jobseeker customers.

**NYC Workforce Development Board Vision and Goals**

**Vision:** The New York City Workforce Development Board has the following vision of workforce development in New York City:

All New Yorkers develop the right skills, experience, and credentials to find stable, living wage jobs with benefits and opportunities to advance. The public workforce development system aligns its services with the needs of employers, particularly when offering education and training services, and ensures that all jobseekers are able to benefit from those services. As a result, New York City employers are easily able to find the qualified talent they need.

**Mission:** The Board leverages the knowledge, skills, and networks of its members to ensure that the City’s investment of federal WIOA funding successfully prepares and connects adult and youth New Yorkers to employment and meets the talent needs of employers.

**Key Considerations:** The New York City Workforce Development Board recognizes the importance of monitoring forces that affect the nature and structure of work, with specific emphasis on:

- Maintaining an awareness of how the nature of work is changing as a result of technology or other forces and ensuring that programs are responsive to these changes; and
• Recognizing that the structure of work is changing in terms of the nature of the “social contract” between employee and employer: from compensation and management, to culture, to learning and development.64

Guiding Principles: The Board further states a set of broad guiding principles in three primary areas of focus:

• Jobseekers and Workers: All jobseekers – and particularly individuals with barriers to employment and other target populations – can easily access the full array of WIOA services to access employment and progress along a career pathway. Services for individuals with barriers to employment and other target populations are tailored to their needs.

• Skill Building: WIOA programs invest in quality education and training programs that support participants during training, are aligned to employer needs, and help individuals connect to the jobs they prepare customers for.

• Employers: WIOA programs coordinate their outreach efforts, ensure that their services are business-friendly, target their engagements to employers that provide stable, living wage jobs with benefits and opportunities to advance, and deliver what they promise.

Strategic Priorities: Consistent with the Career Pathways approach, the Board has identified the following four strategic priorities for the WIOA investments in New York City over the next four years:

1. Increase the number of adults and youth who develop skills and experience in line with employers’ needs and then find relevant jobs. Better align education and training investments with employer needs.

2. Increase the number of New Yorkers who earn basic educational credentials or develop English proficiency. Increase funding, particularly investments in bridge programs. Additionally, build strong connections between adult education and employment programs.

3. Increase the number of individuals from target populations connected to jobs. Tailor services to meet the needs of target populations and ensure they find jobs with the potential for advancement.

Improve coordination across programs to build a stronger career pathway system. Improve coordination in both the adult workforce system and the “mainstream” in-school educational system.

1. How do the local area’s workforce development programs, including programs provided by partner agencies, support this strategic vision?

Title I Adult Programs / Workforce1 (SBS)
In support of the City’s vision of a workforce development system informed by, and aligned with, the needs of strategic industries across New York City, the NYC Department of Small Business Services (SBS) will pursue several policy and operational initiatives to provide New Yorkers high-quality, in-demand sector-based training and employment services.
The City of New York developed **Industry Partnerships** with the goal of supporting the economic vitality of New York City through quality job opportunities for New Yorkers and quality talent for local businesses. Each industry partnership focuses on a particular sector and is designed to work collaboratively with a diverse set of stakeholders – including employers, community-based organizations (CBOs), organized labor, philanthropy, government, training providers and educational institutions – to support systems change.

In order to improve the quality, scale, and impact of industry-aligned training and employment services, the City of New York will leverage the expertise of its Industry Partnerships and work together with the local Workforce Development Board, the Regional Economic Development Council, NYSDOL, and key employer, education, and community stakeholders in order to advance the ambitious but achievable objectives of increased economic success for businesses, workers, and the City.

The success of any sector-based workforce development strategy depends upon the availability of education and training providers equipped to deliver high quality, industry-aligned preparation to job seekers with the resources at hand to pursue it.

To address both of these priorities, the City of New York will continue to implement new and enhanced initiatives with the goals of: 1) expanding access to sector-based in-demand training for qualified job seekers and 2) improving provider quality with an emphasis in key sectors.

**Title I Youth Programs (DYCD)**

In new WIOA contracts that started in the summer of 2016, DYCD moved to more closely align WIOA youth services with the system vision described above. In particular, the WIOA **Out-of-School Youth (OSY) programs** focus on providing robust postsecondary credentialing opportunities to youth. DYCD’s approach with OSY is to serve the broadest possible range of eligible out-of-school youth, leveraging the wide array of occupational trainings available through community-based organizations and CUNY. The OSY program has two tracks, Career Development Connect and Youth Training Network. Both tracks provide work readiness training, high school equivalency (HSE) instruction, case management and supportive services, placement services and follow up services. In Career Development Connect, all youth receive basic occupational training (Microsoft Office Specialist, National Retail Federation Customer Service, National Restaurant Association ServSafe, among others), to upgrade their skills and go to work quickly. Youth can also access advanced occupational training in high-growth sectors through CUNY if they have a high school diploma and meet other criteria. For Program Year 2017, these trainings include Patient Care Technician, Early Childhood Aide, Web Developer, Hemodialysis Technician, and Electronic Security System Installer. In Youth Training Network programs, youth will receive advanced occupational training that is provided in house or through a subcontractor. As with Career Development Connect, these trainings will be in high growth sectors in line with the Career Pathways framework, including healthcare, IT, food services, and transportation.

DYCD’s WIOA **In-School Youth (ISY) programs** are also more fully aligned with the City’s strategic vision. With the understanding that the primary career credential for high school students is a high school diploma, most ISY programs are located in high schools, enabling them to directly support students’ academic and career readiness growth. ISY programs include a paid summer work experience and a variety of activities throughout the school year to support career exploration and exposure to postsecondary education and training options.
2. How will the local area, working with the entities that carry out the core programs, align available resources to achieve the strategic vision and goals?

As articulated above, the local area has adopted a sector approach to workforce development and identified six priority sectors as part of its Career Pathways approach. The Industry Partnerships play a lead role in ensuring that training investments are aligned with employers’ needs. The local area achieves this alignment by regularly reviewing training and hiring demand for local industry and only delivering training for currently in-demand occupations, primarily in NYC Career Pathways sectors; and by directly engaging employers in the design and delivery of training program models, assessment, and curricula to ensure they are industry-informed and produce credible pipelines of quality graduates for hire or advancement. Moreover, the majority of training investments are with CUNY and heavily with the community colleges that are part of the system. One important part of CUNY’s mission is to serve “as a vehicle for the upward mobility of the disadvantaged in the City of New York.” Thus, with its rich expertise and resources among its 25 institutions, CUNY is uniquely positioned to help prepare jobseekers for careers.

F. Describe the local board’s goals relating to performance accountability measures. How do these measures support regional economic growth and self-sufficiency?

New York City holds its providers to performance measures beyond the federal WIOA measures. These measures are a mix of outputs and outcomes, meant to incentivize the right behaviors and performance. Every measure contributes to economic growth and self-sufficiency: from ensuring that individuals build their skills by completing training and connecting afterwards to a job, to generating a sufficient number of open job opportunities, to connecting jobseekers to new or higher-paying jobs.

Performance Measures
Adult and Dislocated Worker programs track a number of measures in addition to the WIOA measures. The following are paid milestones:

- **Total Job Placements and Promotions**: the number of jobseekers connected to jobs or promoted into higher-paying jobs within the target sectors.
- **Mid-Wage Job Placements and Promotions**: the number of jobseekers placed or promoted in jobs at $15 or more within the target sectors.
- **Veteran Job Placements and Promotions**: the number of all veteran and eligible military spouse jobseekers placed or promoted in jobs within the target sectors.

Contractors are also held to goals for the following measures, though they are not paid milestones:

- **Employer Commitments**: the number of positions developed that a center commits to an employer to fill with candidates from across the system.
- **Fill Rate**: the percentage of employer commitments successfully filled with candidates.
- **Individual Training Grant Issuance and Placement**: the number of Individual Training Grants (known as Individual Training Account vouchers under WIOA) issued and the percentage of trainees connected to employment.
- **Community Partner Placements**: the number of hires made from referrals from organizations that participate in the Community Partner network.

Job Quality: Wage Floor Policy
To promote job quality, in 2014 the NYC Department of Small Business Services enacted a wage floor policy for all Workforce1 Career Centers, with the exception of Sector Centers. The wage floor requires employers that receive the system’s recruitment service to offer at least a minimum part-time wage to job candidates. The wage floor has advanced from $10.00 per hour or full-time employment (30 hours per week) in 2014 to $13.40 per hour or full-time employment. In Fiscal Year 2016 New Yorkers were successfully connected to 26,000 jobs. 79 percent were full time positions and the average wage was $12.41. That compares to 65 percent full time and $12.20 average wage in Fiscal Year 2015. The wage floor for Fiscal Years 2017 and 2018 is $13.65 per hour or full-time employment.

Training Provider Performance
SBS holds training service providers to enrollment, or the agreed upon number of eligible and qualified individuals who will start a training program, as well as completion of participants and connection of graduates to or advancement within a job, which are paid performance milestones. The latter measures vary by training service provider contract, but completion measures universally include the number of individuals who enrolled in a training program and successfully meet the completion requirements for graduation, such as attendance, passing grades, and credential attainment. Likewise, job connection or advancement measures vary, but universally include individuals who complete a training program and successfully attach to a validated job or promotion with the same employer with a designated title, wage, and hours within an agreed upon time period post-training. Further, some training service providers are held to systems change measures, such as curriculum adoption within an academic institution to ensure training impact sustains beyond public investment.

II. Local Workforce Development System

A. Identify the programs, whether provided by the Career Center or any partners, that are a part of the local area’s workforce development system, including:

1. Core programs

Title I Adult Programs / Workforce1 (SBS)
The New York City Department of Small Business Services (SBS) helps unlock economic potential and creates economic security for all New Yorkers by connecting them to good jobs, creating stronger businesses, and building a fairer economy in neighborhoods across the five boroughs. Since 2003, SBS has administered the City’s Workforce Investment Act, superseded in 2015 by the Workforce Innovation and Opportunity Act – federally funded Adult and Dislocated Worker development programs through a network of One-Stop Career Centers. This structure directly links the City’s workforce with economic development efforts. By supporting the needs of more than 200,000 small businesses across hundreds of neighborhoods with distinct cultural and economic characteristics, the City is better able to serve and advance a diverse labor force.

There are 21 Workforce1 Career Centers that connect New Yorkers to training and employment while also targeting populations with barriers to employment including but not limited to out-of-school, out-of-work youth, New Yorkers formerly involved with the justice system, New Yorkers with disabilities, and foreign born New Yorkers.

The Workforce1 Career Center System uses a proven successful demand driven model to help new and expanding businesses meet hiring and training needs. The Centers leverage a deep understanding of
employer needs and sector experience to recruit qualified New York City jobseekers to employment opportunities.

Workforce1 works in conjunction with NYC Business Solutions Centers (BSCs) and Industrial Business Service Providers to fulfill new and expanding businesses hiring and training needs by finding, preparing, and connecting the most qualified local residents to their available job opportunities. In addition to getting guidance on recruitment, small businesses owners utilize the BSC services separately to get assistance with a myriad of business issues ranging from permits to licenses, and also to improve employee performance via employee training.

**Title I Youth Programs (DYCD)**
The NYC Department of Youth and Community Development (DYCD) manages the City’s WIOA programs for In-School Youth and Out-of-School Youth. Aligned with the City’s Career Pathways vision, the goals of the **WIOA Out-of-School Youth program** are to:

- Provide foundational work readiness, academic and occupational skills that will significantly improve a participant’s ability to obtain and maintain employment;
- Expose participants to promising industries and careers and provide youth with work-based learning opportunities in these sectors;
- Connect youth to productive career pathways that bridge education, training and in-demand credentials; and
- Utilize principles of youth development to support the holistic needs of youth and address barriers to employment through case management, comprehensive guidance, and counseling.

For In-School Youth, the goals of the program are to:

- Facilitate participants’ successful completion of high school and attainment of a high school Regents diploma through the provision of various academic supports such as tutoring, study skills and test preparation;
- Expose youth to a range of postsecondary options. Two and four year college degree programs are the primary emphasis. For those not interested in college, the program provides opportunities for participants to enroll in occupational training programs which lead to non-degree industry recognized certificates and help them identify and apply for financial assistance that fit their needs and goals, including but not limited to scholarships, other forms of financial aid, and learning how to manage post-secondary debt;
- Expose youth to promising industries and careers in priority and other sectors of the City’s economy and provide youth with meaningful work-based learning opportunities including paid and unpaid internships, along with work readiness training;
- Utilize principles of youth development to support the holistic needs of youth and address barriers to completing high school and advancing to post-secondary study, occupational training, or securing employment through case management, comprehensive guidance, and counseling.

**Title II Adult Education (NYSED)**
The New York State Education Department (NYSED), through its Adult Career and Continuing Education Services team, administers WIOA Title II adult education in New York City through contracted providers.

**Title III Wagner-Peyser Program (NYSDOL)**
The New York State Department of Labor (NYSDOL) administers the Wagner-Peyser program in New York City with dedicated staff in seven career centers. Wagner-Peyser’s core functions within the Career Centers in the NYC region include:

**Providing universal access to labor exchange services:**

- **Tier 1 - Self Service:** These services are typically provided by electronic means and are accessed by customers without staff assistance. In New York, these services are provided through the NYSDOL’s Jobs Express site and the JobZone system’s electronic self-service resources. Jobs Express allows customers to view current job openings. Customers can use this site in the Centers or from home to seek open jobs in their region, or view industries that are in-demand. The NY Talent website is for businesses to access and find qualified job seekers. Access to this site allows businesses to view resumes of qualified candidates for their job orders.

- **Tier 2 - Facilitated Self-Help:** Resources are available in the Career Centers and include access to self-service tools, including computers, resume writing software, fax machines, photocopiers, and internet based tools. The resource room staff interacts with the customers to facilitate the resources available.

- **Tier 3 - Staff Assisted Services:** Staff assisted services are provided to customers both one-on-one and in groups. Services can include assessment, assistance with filing claims for UI, career counseling, development of an Individual Service Plan, intensive job search assistance, workshops, and job clubs. Staff may also assess customers to determine their training needs and make appropriate referrals to other partners. Other services include: Assessments, Assistance with Filing UI Claims, Career Counseling, and Intensive Job Search Assistance.

**Title IV Vocational Rehabilitation (ACCES-VR, NYSCB)**

The New York State Education Department, through its Adult Career and Continuing Education Services-Vocational Rehabilitation (ACCES-VR) team, administers WIOA Title IV in New York City through dedicated staff and through contracted providers.

The Office for Children and Family Services (OCFS)/New York State Commission for the Blind (NYSCB) administers WIOA Title IV in New York City for legally blind New Yorkers through dedicated Vocational Rehabilitation Counselors at two district offices and contracted providers.

2. **Programs that support alignment under the Carl D. Perkins Career and Technical Education Act of 2006**

Adult Career and Technical Education programs use Perkins funds to prepare students for employment in high-skill, high-wage, high-demand occupations. The Perkins legislation requires adult programs to coordinate services with their Local Workforce Development Boards to avoid duplication and to expand the range and accessibility of services (e.g., sharing of job development services).

3. **Other workforce development programs**


1. **Adult Services Supported with Non-WIOA Funding**
All local workforce development services for Adults and Dislocated Workers receive at least partial WIOA funding. New York City has tapped non-WIOA funding sources to provide these services to candidates (in combination with WIOA funding):

- Workforce1 Industrial and Transportation Career Center sector employment and training services
- Veteran job preparation and employment services
- Training and career development for new workers in technology occupations

2. Youth Employment and Education Programs with Little to No WIOA Funding

Summer Youth Employment Program: New York City’s Summer Youth Employment Program (SYEP) is the largest in the nation and connected nearly 70,000 young adults to summer internships in 2017. SYEP provides New York City youth between the ages of 14 and 24 with paid summer employment for up to six weeks in July and August through four program options: Younger Youth (for youth aged 14 to 15); Older Youth (for youth aged 16 to 24); Vulnerable Youth (for foster care, runaway/homeless and court-involved youth); and Ladders for Leaders (for high school juniors and seniors, and college students with work experience). In the Younger Youth option, participants work in groups on service learning projects, while in all the other options, youth are placed in a variety of entry-level jobs in the nonprofit and private sectors. SYEP also provides workshops on job readiness, career exploration, financial literacy and opportunities to continue education and social growth. Programs and worksites are located in community-based organizations in all five boroughs of New York City.

Young Adult Internship Program: The Young Adult Internship Program (YAIP) targets young adults between 16 and 24 who are not working and not in school. YAIP promotes the social and professional skills essential to succeeding in today’s competitive labor market. The initiative is part of the Mayor’s Office for Economic Opportunity, which was created to promote innovative approaches to poverty reduction. YAIP features a combination of counseling, professional development workshops, and short-term paid internships. The program operates three 14-week long cohorts each year. Participants are paid the New York State minimum wage and spend 25 hours per week in internships and workshops.

Work, Learn and Grow: A new initiative, Work, Learn and Grow, offers a six-month employment services program for in school youth aged 14 to 24 who participated in SYEP the previous summer. The program is modeled on SYEP and provides youth with the opportunity to participate in employment services and earn wages for a longer period of time during the school year, from October until April. Youth aged 14 to 15 participate in intensive career awareness, career exploration, and work readiness program; youth aged 16 to 24 were placed in subsidized employment at work sites throughout the five boroughs. Both age groups are paid minimum wage for their hours of program participation.

Neighborhood Development Area Opportunity Youth: Launched in July 2015, this program provides young people 16 to 24 not in school or working with work-readiness training, counseling and up to 140 hours of supported paid work experiences in jobs that match youths’ interests and provides opportunities for career exploration. Providers also assist youth in developing post-program plans for education and unsubsidized employment.

Adult Literacy Programs: DYCD’s Adult Literacy Programs help New Yorkers attain the reading, writing and communication skills they need to gain employment and pursue further education. Adult Basic Education programs provide instruction in reading, writing and mathematics to native English or English-
fluent speakers; High School Equivalency (HSE) programs prepare students for the tests required for a HSE diploma. English for Speakers of Other Languages classes help students to improve their English language communication skills. Programs provide comprehensive instructional and support services to students who are at least 16 years of age, not enrolled or required to be enrolled in secondary school and who lack sufficient mastery of basic educational skills or are unable to speak, read and/or write the English language well enough to participate in education or training programs conducted in English.

**Young Adult Literacy Program:** In 2008, the Mayor’s Office for Economic Opportunity and DYCD launched the Young Adult Literacy Program, a comprehensive pilot educational program incorporating case management and internship/service learning projects. The program serves youth who lack the reading, writing and/or mathematics skills to be enrolled in a High School Equivalency (HSE) test preparation program. It is designed for youth between the ages of 16 to 24 years old who are reading at the 4th to 8th grade reading equivalent level. The goal of the program is to improve students' literacy and mathematics skills so that they can enroll in a HSE test preparation class.

### 3. New York State Department of Labor

**Reemployment Services**

NYSDOL provides reemployment services to Unemployment Insurance (UI) claimants and monitors the UI claimant’s work search efforts.

Customers who are collecting UI benefits make up the largest percentage of customers utilizing the career centers in New York State. NYSDOL schedules UI customers for multiple, mandatory appointments to assist them in returning to work. Co-enrolled as participants in Wagner-Peyser and WIOA at the time of registration, UI customers must receive the full range of labor exchange services necessary and appropriate to facilitate their earliest return to work. These customers receive the necessary guidance and counseling to ensure they engage in a meaningful and realistic job search. Staff must ensure the UI program staff receive information about UI customers’ ability and availability for work, or the suitability of work offered them. UI customers must be ready, willing and able to work in order to continue receiving benefits. Staff play a crucial role in ensuring jobseekers maintain quality work searches in order to maintain their benefits.

**Ensuring Priority of Services to Veterans**

Veterans and their eligible spouses are prioritized for services and training in all New York State Career Centers. There are two titles in New York State’s Career Centers funded by USDOL Veterans Employment and Training funds (separate from Wagner-Peyser) to support veteran customers in the Career Centers: Local Veterans Employment Specialist (LVER) and the Disabled Veterans Operation Specialist (DVOP). However, if staff in one of these titles is not available, the other staff must offer priority of service to veteran customers.

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**B. Describe how the local area will ensure continuous improvement of services and service providers.**

### 1. Title I Adult Programs / Workforce1 (SBS)

For Adult and Dislocated Workers, the NYC Department of Small Business Services (SBS) ensures the continuous improvement of intensive service providers through two primary means: performance management and ongoing quality assurance monitoring. Additionally, SBS uses a variety of strategies to continuously improve the quality and performance of training programs and training providers.
Performance Management
SBS places a major emphasis on performance management. SBS reinforces the importance of performance management through its contracts, its definition of a number of non-paid performance targets, publication of frequent reports with information about outcomes and indicators reflecting progress towards those outcomes, regular meetings with contractors about strategy and performance, and the use of Corrective Action Plans in cases where contractors are significantly behind pace on their outcomes.

- **Contracts**: SBS uses reimbursement-based contracts, but withholds a certain percentage of the contract value for the vendor to earn through its performance. SBS uses three metrics that are attached to paid milestones: total job placements, job placements paying $15 per hour or more, and veterans placements. These milestones reflect SBS’ most important measures of success.

Quality Assurance
SBS uses a variety of means to assure the quality and integrity of data entered into its data system and to assure the quality of the customer service experience for jobseekers:

- **Presence at Career Centers**: SBS Quality Assurance staff visit the Career Centers on a regular basis to ensure that services are delivered in accordance with the agency’s policies. Staff examine three key areas: efficiency of service delivery, efficacy of Workshops, and customer service quality.

- **Data Quality Review**: SBS collects Customer Information Forms from all Centers and stores them onsite. For a sample of customers for each Center, SBS will match the information on these forms to the data entered in Worksource1 to ensure accuracy and check that past employment is not recorded as new placements attributable to services delivered by Workforce1. The results of these data checks are tabulated and reported to the Centers regularly.

- **Placement Validation Process**: SBS utilizes a double-check methodology to ensure the validity and accuracy of the placement information reported. Centers only receive performance payments for outcomes that can be supported with acceptable documentation.

- **Customer Satisfaction Surveys**: SBS collects customer satisfaction information through surveys completed by customers either online or in-person with SBS staff. These surveys seek to determine the level of satisfaction that customers have with the services they received. More specifically, the surveys ask jobseekers about the likelihood that they would recommend Workforce1 services to someone else, the effectiveness of the services they received, and the expertise and professionalism of the staff with whom they interacted.

Training Quality and Performance
SBS ensures continuous improvement of training services and providers in the following ways:

- Regularly reviewing training and hiring demand for local industry and only delivering training for currently in-demand occupations, primarily in NYC Career Pathways sectors;

- Directly engaging employers in the design and delivery of training program models, assessment, and curricula to ensure they are industry-informed and produce credible pipelines of quality graduates for hire or advancement;

- Integrating bridge and pre-training programs, supportive services, and work-based learning into training programs to ensure all participants, including those with barriers to employment, successfully graduate and connect to employment or advancement, where needed;
• Aligning training services for participants engaging in the education, social service, and public workforce system with sister agencies as well as WIOA-mandated and other community partners, where possible;
• Standardizing best practices in operations, performance-based contracting and management, and quality assurance across training programs; and
• Annually reviewing all training programs for service provider implementation quality and for impact on short-term job connection and advancement outcomes as well as long-term system change outcomes for industry prior to renewal or expansion decisions.

2. Title I Youth Programs (DYCD)

DYCD constantly monitors WIOA youth contractor performance to identify and troubleshoot issues as they arise at the contractor level. DYCD also invests in technical assistance and professional development for contractors, provided by the Workforce Professionals Training Institute and Literacy Assistance Center. This ensures that contractor staff have resources available to them to improve program offerings.

C. Describe how eligible providers will meet the employment needs of local businesses, workers, and jobseekers.

Providers of Adult and Business Services

Workforce1 leverages the engagement that SBS’ NYC Business Solutions Centers (BSCs) have with small businesses in communities throughout New York City. Small businesses owners utilize BSC services to get assistance with a myriad of business issues ranging from permits to licenses, and also to improve employee performance via employee training and to get guidance with employee recruitment – both via Workforce1.

In addition, provider staff have dedicated Account Managers developing new job opportunities within assigned sectors to ensure that the Workforce1 system has job diversity across its portfolio of offerings in New York City. This is achieved through a model that has a main center “hub” site in each borough and satellite sites in other areas that work in coordination with the hub – and all coordinated within one system. Businesses, workers, and job seekers engaging any local Center have access to the resources of the entire system.

Employers have access to several resources to inform business efficiency, catalyze economic activity within New York City, and enable employees to earn higher wages. Employers can learn more about training grants they are eligible for through NYC Business Solutions Centers or the NYC Department of Small Business Services’ program staff.

Jobseekers have access to several resources designed to inform successful connection to employment. These resources include services such as developing an Individual Employment Plan, meeting one-on-one with a Career Advisor, attending a series of workshops intended to assist in the job search process (i.e. Interview Skills, How to Create a Resume, etc.), gaining access to skills-based training, and being connected to outside resources intended to address any additional barriers to employment not directly addressed by the Workforce1 Centers (i.e. childcare, transportation, professional attire, etc.).

For training services, and as mentioned in “B” above, eligible training service providers will meet these needs by delivering only employer-informed training programs for in-demand occupations. These training programs not only teach the skills and competencies required for the occupation and in some
cases by the proper licensing body, but also include relevant licenses and certifications and work-based experiences that ensure graduates are competitive candidates ready for employment or advancement.

Providers of Youth Services
DYCD contractors work closely with employers to ensure that candidates they refer for employment opportunities have the skills to take advantage of those opportunities. Employers also work with contractors to advise them on industry trends, provide career exploration and work readiness activities, and support providers by serving as members on their boards of directors.

D. Describe the roles and resource contributions of the Career Center partners.

Roles of Career Center Partners
All partners of the Workforce1 Career Centers – including but not limited to the WIOA required partners – play a role both in referring customers to the Centers as well as in receiving customer referrals from the Centers. The Community Partners Program is the primary vehicle that Workforce1 uses to refer and receive referrals of customers.

Community Partners Program
Since 2007, Workforce1 has maintained a strong Community Partners program to better coordinate with the workforce community. This benefits the local Workforce1 system by drawing a larger group of job-ready candidates to meet business needs; improves the ability of the Workforce1 system to serve jobseekers and businesses; and improves coordination of public workforce services. Individual community organizations are able to access the public workforce system more efficiently, receive information on employer demands, and get feedback on candidates who they refer to the Workforce1 system.

The mission of the Community Partners program is to increase the capacity of the New York City public workforce development system by connecting more New Yorkers to job opportunities. In addition, the goal is to ensure that there is a continuum of services for jobseekers and businesses. Finally, the program aspires to facilitate community connections to the public workforce system.

The diverse partner network includes all WIOA required partners, as well as job training organizations, public agencies and their contractors, educational institutions, and community-based local service providers. Workforce1 provides networking and outbound referral information to partner clients with barriers to employment. Workforce1 reaches out to partners through direct outreach, community events and member organizations.

Resource Contributions of Center Partners
The NYC Workforce Development Board, in coordination with the NYC Department of Small Business Services, plans to share infrastructure costs relative to the amount of physical space any one particular organization utilizes at a given center. As determined by the New York State Department of Labor, this approach will first apply only to partners who have an onsite presence. However, ultimately, all partners will need to contribute to infrastructure costs.

Workforce1 and NYSDOL are co-located at four sites and have a cost sharing agreement that allows them to govern existing and future space sharing agreements.
III. Workforce Development and Career Pathways

A. Describe how the board will facilitate the development of career pathways, including co-enrollment in core programs when appropriate.

Development of Career Pathways
As previously described, the City of New York has adopted career pathways as a guiding strategy and framework for all workforce development programs and policies. The “Strategic Planning Elements” Section details the New York City Workforce Development Board’s vision of a system based on a Career Pathways model. Also as previously mentioned, the Industry Partnerships will continue to play a major role in identifying and creating career pathways that provide jobseekers with the right skills and experience sought by employers.

Co-Enrollment in WIOA Core Programs
Co-enrollment means helping individuals receive services from one WIOA core program and—simultaneously or sequentially—from an additional core program. Referrals and co-enrollments should help customers seamlessly access the services and resources they need from the right partners in the workforce system. Ultimately, the goal is to help customers prepare for and connect to a good job.

The Workforce1 Community Partners program is one major vehicle for co-enrolling individuals in employment services and other WIOA core programs. Workforce1 staff will work with program partners to determine the best timing for providing information about available services and for making referrals to one another.

B. Describe how the board will improve access to activities leading to recognized postsecondary credentials.

Improving Access for Adults
The Board will improve access to activities leading to recognized postsecondary credentials by ensuring a substantial WIOA investment in training services in the NYC Career Pathways sectors and ensuring that training services include recognized post-secondary credential attainment as part of the successful training program completion criteria, if required for the occupation, or increase candidacy for hire. In the healthcare, industrial, construction, food service, and media and entertainment sectors, credentials, licenses, and certification exam preparation hours and testing fees are often embedded within training programs after consultation with local hiring businesses and confirming that successful attainment of the credential is required for graduation. In some sectors like tech, post-secondary credentials are often not required or seen as valuable by local businesses and thus not included in the training programs.

Improving Access for Youth
In new WIOA contracts that started in the summer of 2016, DYCD moved to more closely align WIOA youth services with the system vision described above. In particular, the WIOA Out-of-School Youth (OSY) programs focus on providing robust postsecondary credentialing opportunities to youth. DYCD’s approach with OSY is to serve the broadest possible range of eligible out of school youth, leveraging the wide array of occupational trainings available through CBO’s and CUNY. The OSY program has two tracks, Career Development Connect (CDC) and Youth Training Network (YTN). Both tracks provide work readiness training, high school equivalency (HSE) instruction, case management and supportive services, placement services and follow up services. In Career Development Connect, all youth receive basic
occupational training (Microsoft Office Specialist, National Retail Federation Customer Service, National Retail Association ServSafe, among others), to upgrade their skills and go to work quickly. Youth can also access advanced occupational training in high-growth sector through CUNY if they have a high school diploma and meeting other acceptance criteria. For PY 2017, these trainings include Patient Care Technician, Early Childhood Aide, Web Developer, Hemodialysis Technician, and Electronic Security System Installer. In Youth Training Network programs, youth will receive advanced occupational training that is provided in house or through a subcontractor. As with Career Development Connect, these trainings will be in high growth sectors in line with the Career Pathways framework, including healthcare, IT, food services, and transportation.

1. Are these credentials transferable to other occupations or industries (“portable”)? If yes, please explain.

Credentials for Adults
As much as possible, SBS tries to ensure that credentials are portable. For example, graduates with OSHA 10, 4-Hour Flagger, 4-Hour Scaffolding, and First Aid/CPR certifications may utilize these credentials within a variety of industrial and construction occupations, including as a day laborer or carpenter. Other credentials like the Certified Medical Assistant credential do not translate to multiple occupations, but do permit individuals to work in multiple healthcare settings as a medical assistant.

Credentials for Youth
Across the OSY program, the credentials offered are generally portable. Foundational customer service and Microsoft Office Specialist credentials in particular are used in virtually every occupation and industry. More advanced credentials offered in technology, commercial driver license, culinary arts, and building maintenance are applicable across a range of industries.

2. Are these credentials part of a sequence of credentials that can be accumulated over time (“stackable”)? If yes, please explain.

Credentials for Adults
As much as possible and with increasing focus, SBS tries to ensure that credentials are also stackable. For example, graduates of SBS’ Health Coach Program not only receive a certificate, but six transferrable credits that apply within an Associate’s Degree of Community Health with a local community college. SBS is pursuing a similar initiative with its Medical Assistant Program and multiple local community colleges in the coming fiscal year.

Credentials for Youth
Virtually all of the credentials offered in DYCD’s occupational trainings are stackable. DYCD’s healthcare trainings, for example, provide students with multiple credentials that would be applicable if they sought additional training in the healthcare field. OSY’s technology trainings offer credentials that are the first steps in a series of technology-related certificates students can accumulate over time.

IV. Access to Employment and Services
A. Describe how the local board and its partners will expand access to employment, training, education, and supportive services for eligible individuals, particularly individuals with barriers to employment.

Access to Services across WIOA Core Programs
During a series of meetings in 2016, the NYC Workforce Development Board convened a WIOA Local Plan Steering Committee comprised of representatives from the core programs. Each core program provided a summary of eligibility and services available. The representatives identified connectivity significant gaps among many of the programs. Since then, as an initial step to expand access, several Workforce1 Career Centers have partnered with ACCES-VR to increase the number of referrals of individuals with disabilities to job opportunities. Workforce1 staff also received relevant information and training on ACCES-VR programs relevant to the populations targeted. Workforce1 and ACCES-VR will continue their partnership to increase access. Additionally, the Mayor’s Office for People with Disabilities has provided helpful advice and is committed to connecting its recently launched employment program, NYC: At Work, to the Workforce1 system. To expand access to Title II participants with barriers to employment, Workforce1 staff will ensure that targeted Title II adult education programs become Community Partners or remind existing partners of the opportunities for cross-referral. Finally, the Local Plan Steering Committee will continue to meet quarterly to monitor progress and strengthen strong connections and greater coordination among the WIOA core programs. Individual WIOA programs are also taking steps to expand access to their services.

**Title I Adult Programs / Workforce1 (SBS)**

There are 21 Workforce1 Career Centers which, in conjunction with NYC Business Solutions Centers and Industrial Providers, fulfill new and expanding businesses hiring and training needs by finding, preparing, and connecting the most qualified local residents to their available job opportunities. The main tasks of the Workforce1 Career Centers include, but are not limited to, helping companies grow through access to skilled NYC jobseekers, recruiting, screening, and connecting qualified New Yorkers to employment and training that leads to employment, and providing jobseekers with high quality career development services that are informed by a deep understanding of employer needs and labor market trends in particular sectors.

With the new RFP for Workforce1 contractors – a role combining that of One-Stop Operator and service provider – Workforce1 Centers will be incorporating and refining an integrated approach to: (1) connection to employment; (2) recruitment, assessment and connection to pre-training and training programs within Career Pathways for general and targeted populations with barriers to employment including but not limited to OSOW youth, New Yorkers formerly involved with the criminal justice system, New Yorkers with disabilities, and foreign born New Yorkers; (3) an expanded and effectively leveraged community partnership network that includes inbound and outbound referrals to recruit and prepare jobseekers for connection to employment; (4) priority and customized services for Priority individuals; (5) customized candidate preparation services for individuals with barriers to employment including but not limited to individuals formerly involved with the criminal justice system, OSOW Youth, foreign born New Yorkers, and New Yorkers with disabilities; and (6) post-training connection to employment for targeted populations with barriers to employment within designated in-demand sectors. Much of this is building upon work completed in 2016 to include services tailored for specific populations with barriers to employment, which included: out-of-school and out-of-work youth, foreign-born New Yorkers, veterans, New Yorkers under the supervision of the NYC Department of Probation – and is all scheduled to be available within each borough.

With regard to training services, SBS will continue to expand training services in the following ways:

- Implementing comprehensive outreach strategies that leverage Workforce1 Career Centers and its partners to ensure broad exposure to training programs and targeted recruitment of individuals, particularly those with barriers to employment;
• Designing and delivering bridges and pre-training opportunities, including contextualized adult education and English for Speakers of Other Languages courses, in partnership with sister agencies and training providers that prepare individuals for successful enrollment in occupational trainings;

• Increasing training investments, including through Individual Training Accounts (“Individual Training Grants” in New York City), Incumbent Worker Training, On-the-Job Training, and training contracts for cohort training, to offer in-demand, and industry-informed occupational training programs with institutions of higher education and other service providers as well as employers at a range of entry points for a sector, from entry-level to mid-level opportunities; and

• Working with key community-based organizations and other private organizations with demonstrated effectiveness in serving individuals with barriers to employment. SBS, in conjunction with the NYC Workforce Development Board, makes determinations of demonstrative effectiveness based on the following criteria:
  o Relevant experience and performance in training, serving, or employing targeted individuals with barriers to employment;
  o Organizational and fiscal capacity to engage with SBS to develop an industry-informed training program and curriculum to ensure the training completion and employment success of targeted participants;
  o If the organization meets the definition of a “school,” ability to maintain its New York State license as granted by the Bureau of Proprietary School Supervision; and
  o If the organization is not yet registered and approved as a training provider and course on New York State’s Eligible Training Provider List (ETPL), commitment to apply for and maintain approval on the ETPL upon completion of the training program and curriculum development and pilot implementation, contingent on evidence of training program success and indications of future demand for the target occupation, following review of outcomes and completion of program and curriculum revisions necessary before any further implementation of training services.

**Title I Youth Services (DYCD)**

By definition, WIOA youth programs serve youth with barriers to employment, since youth participants must be low-income and have one WIOA-defined barrier to employment. WIOA Youth programs are consistently fully enrolled, demonstrating that youth with barriers to employment are availing themselves of services designed specifically for them. Service providers specifically recruit young adults with these barriers through a variety of channels, including social media, word of mouth, advertising, and referral from other organizations.

**B. Describe how the local area will facilitate access to services through the One-Stop delivery system, including remote areas, through the use of technology.**

Candidates can register online for Workforce1 services, speeding up the process of initial orientation for services. Candidates who register online can skip the Workforce1 Introduction to Services and most paperwork at the center. They can also post a resume and apply for positions online.

All of the training services either in recruitment or with an upcoming recruitment date are detailed online at [www.nyc.gov/accesstraining](http://www.nyc.gov/accesstraining). Individuals who call 311 for training services will be directed to this page or a relevant next step to learn more about the training program and how to apply.
SBS has also invested in a mobile unit/recreational vehicle (RV) that will be used to promote Workforce1. The RV is fully accessible and has resources that allow for external promotion (via a screen and public address system); internal presentation space to orient candidates to Workforce1 services (via a small conference space equipped with viewing monitors); desk space for one-on-one engagement, career and training advisement, and employer interviews, and computers with mobile internet access to allow for remote application processing and communication across the Workforce1 system.

C. Describe how Career Centers are implementing and transitioning to an integrated technology-enabled intake case management information system.

Workforce1 uses an internally developed tracking system, known as Worksource1, to maintain information on each candidate served and each business interaction.

Worksource1 is the system of record for jobseeker information, placement data, and services. It is a tool for finding qualified candidates for open positions, and tracking the outcome of that match. It includes history on individual jobseekers to help Workforce1 Career Center staff provide the right services at the right points in time.

Data on candidates includes:
- Candidate contact and demographic information
- WIOA program enrollment details
- Special status information, including veteran status and whether the candidate was referred from a Community Partner
- All services utilized, including category and specific type of service, as well as date and location of service
- Free-form case notes that career advisors and account managers can enter to document each interaction
- Details on job applications and open job opportunities who account managers referred the candidate to
- Work history and records of hiring (placement) results
- Education, both summary-level (highest level achieved) and details (degrees, licenses, certificates)
- Results of initial assessments at candidate orientation, including an individualized employment plan
- Participation in training plans, both individualized and as part of cohorts

Worksource1 maintains candidate confidential data with strong controls to provide registered, trained users secure access to the information.

D. Provide a description and assessment of the type and availability of programs and services provided to adults and dislocated workers in the local area.

In addition to the services outlined above in Access to Employment and Services section IV, the NYC Department of Small Business Services also offers bridge and pre-training opportunities to qualified and eligible individuals in in-demand occupations and sectors, primarily the NYC Career Pathways sectors, via ITA vouchers, Incumbent Worker Training, On-the-Job Training, and Training Contracts for cohorts of
individuals. These trainings collectively serve approximately 4,000 individuals per year. Some programs are offered in collaboration with sister offices and agencies to align education and training offerings or to support a particular sector or multi-issue agenda, such as the NYC Department of Education, the Mayor’s Office of Economic Opportunity, the Mayor’s Office of Sustainability, and the Mayor’s Office of Media and Entertainment. In several of the Workforce1 Career Centers, ACCES-VR staff provides on-site support. Each Workforce1 Career Center has a liaison at ACCES-VR whom they can contact if they believe an individual would benefit from Vocational Rehabilitation services.

E. Describe how workforce activities will be coordinated with the provision of transportation, including public transportation, and appropriate supportive services in the local area.

As much as possible, workforce activities will be coordinated with transportation and supportive services in the local area. The local area seeks to reduce any barriers to pursuing employment – such as the cost of transportation, childcare, and other types of supportive services. All of the WIOA core programs are located near public transportation, either subway or bus routes or both. Further, all WIOA core programs maintain lists of organizations they can refer customers to for additional supportive services.

Direct support for transportation and other supportive services is available through some WIOA core programs under some circumstances.

**Title I Adult Programs / Workforce1 (SBS)**
SBS provides supportive services to individuals engaged in training services, including bridge and pre-training programs, which will increase individual access for enrollment and participant success in completion and connection to a job or advancement. The following supportive services are allowable within training service provider contracts and budgets, but vary in allocation across training programs based on overall parity for training participants, availability of funds, and assessment of individual need. Supportive services may include, but are not limited to, coverage of transportation costs, education and training material costs and fees, meals, training-related equipment, needs-related resources, professional attire, and miscellaneous courses and fees (e.g. driver’s licenses, official record and identification documents), etc. In addition to these supportive services, training participants may also benefit from the following services: case management, job search support, and financial planning.

**Title I Youth Programs (DYCD)**
The provision of supportive services is a required WIOA youth program element, and DYCD’s recent Requests for Proposals (RFPs) for WIOA youth services placed a great deal of emphasis on assessment, provision of supportive services, and ongoing case management. For example, the RFPs required linkages with mental health providers in order to ensure youth with mental health needs are assisted, and all WIOA contractors are encouraged to support participants by providing assistance with transportation. Further, to provide support across the Out-of-School Youth (OSY) portfolio of service providers, DYCD has contracted Youth Represent, an organization which assists youth involved in the justice system to provide legal assistance to any OSY court-involved participant who wants their services. DYCD has also invested in providing technical assistance and capacity building services to our providers through experts in the field on areas like case management, mental health resources, job development, and participant retention. DYCD is also working diligently with ACCES-VR to facilitate the referral process and help providers understand the resources available through that system.

**Title III Wagner-Peyser (NYSDOL)**
All NYSDOL Career Centers are accessible via public transportation (subway and bus routes). NYSDOL career center managers participate in partner meetings convened by Workforce1 Center staff. In addition, each office maintains a list of area supportive service providers.

**Title IV Vocational Rehabilitation (ACCES-VR, NYSCB)**

**ACCES-VR**
- For each referral to a training provider, be it a contract provider, a college, or a private school, ACCES-VR authorizes complete transportation costs to and from the training. Where necessary, ACCES-VR may fund Special transportation for severely disabled consumers.
- In some cases, where a severely physically disabled consumer uses a van to get to and from work and has purchased a van which needs modifications in order for that consumer to use it, ACCES-VR may fund the van modification.

**NYSCB**
- The New York State Commission for the Blind (NYSCB) authorizes reimbursement for transportation costs to and from by an applicant or eligible consumer to participate in a vocational rehabilitation service during assessment and with a training provider, be it a contract provider, a college, or a private school. Reimbursement could be sent to a training vendor, service provider or consumer directly.
- When necessary due to the severity of the consumer’s disability or lack of other transportation options, NYSCB may pay for an individual to provide travel aide services for a consumer while participating in approved vocational rehabilitation services.

**F. Describe the replicated cooperative agreements in place to enhance the quality and availability of services to people with disabilities, such as cross training of staff, technical assistance, or methods of sharing information.**

In an effort to facilitate support for disabled youth, DYCD’s WIOA Equal Employment Opportunity (EEO) staff ensures that equal employment opportunity and applicable ADA regulations are implemented and followed at the contactor level, by conducting regular field and file reviews of contractors’ physical space and program offerings. The EEO unit also helps coordinate referrals between DYCD WIOA and YAIP programs and NYSED ACCES-VR programs. This process includes tracking referrals from providers to ACCES-VR, helping DYCD providers understand the evaluation process, and assisting in the coordination of services from different programs for individual youth. To support this work, the WIOA EEO unit has conducted trainings of DYCD provider staff and continues to stay involved in the referral process which can sometimes be complex.

**G. Describe the direction given to the One-Stop System Operator to ensure priority for adult career and training services is given to recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient.**

The One-Stop System Operators of Workforce1 Career Centers provide services to all New York City jobseekers to help them connect to employment. Given the diversity of the communities being served, the barriers to employment that exist, and the need for resources, the Workforce1 service providers are expected to:

1. Execute a demand driven workforce development model to engage diverse businesses to secure employer commitments;
2. Develop a job portfolio of quality employment opportunities;
3. Maintain and expand employer and sector-based business expertise to support employer needs through candidate sourcing, preparation and training;
4. Manage recruitment efforts for businesses to connect interview and skills ready New Yorkers to job opportunities;
5. Connect employers to employee advancement training opportunities including on-the-job (OJT) training opportunities and Customized training opportunities to support business and employee growth and advancement;
6. Recruit and provide preparation and job connection services for New Yorkers, with an understanding and enforcement of priority individuals including but not limited to veterans, dislocated workers and low-income individuals;
7. Recruit and provide customized candidate preparation services for targeted populations with barriers to employment including but not limited to (1) New Yorkers formerly acquainted with the criminal justice system; (2) OSOW youth; (3) foreign born New Yorkers, and (4) New Yorkers with disabilities;
8. Recruit, assess and connect New Yorkers, including targeted populations with barriers to employment to training opportunities with approved providers within the respective Career Pathways sectors including: (1) accommodations and food, (2) construction, (3) industrial, (4) healthcare, (5) technology, and (6) retail;
9. Connect successfully trained individual from approved providers to employment opportunities within the corresponding career pathway; and
10. Effectively build and leverage community partnership networks with a range of organizations to deliver an integrated service delivery model with an aligned goal of connection to employment for New Yorkers. The community partnership network should leverage the respective strengths of each of the partners (e.g. centers provide recruitment and employment connection services; partners provide training, public benefits, social services, etc.).

H. Describe how One-Stop System Operators and One-Stop partners will comply with the nondiscrimination requirements of WIOA (section 188), and applicable provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) regarding:

1. The physical and programmatic accessibility of facilities, programs, and services

The New York City local area is committed to ensuring that core program staff are well trained in serving individuals with disabilities. As part of a local planning process in 2016 among the core WIOA programs, a small team from ACCES-VR provided training to the members of the Local Plan Steering Committee, consisting of representatives from each of the WIOA core programs operating in New York City. This training covered:

- Disability etiquette
- Overview of different types of disabilities
- Disability-specific aspects of the hiring process including:
  - Issues of disclosure
  - Reasonable accommodation
  - The unique role counselors can play

This small team from ACCES-VR also provided staff training to hundreds of Workforce1 and NYSDOL staff across all career centers in New York City. Additionally, the local area plans to offer similar training to
adult education providers. The Local Board staff are also discussing how the NYS Commission for the Blind could offer a similar training focused on visually impaired individuals. The local area will also determine appropriate times to provide refresher trainings in the future.

**Title I Youth Services (DYCD)**

In accordance with the Nondiscrimination and Equal Opportunity Provisions, DYCD’s EO-WIOA unit ensures compliance, through initial and continuing training, on-site monitoring, desk audits and ongoing technical assistance, to ensure the following:

**Physical Accessibility – 29 CFR 38.13:**

- No qualified individual with a disability will be excluded from participation in, or be denied the benefits of any service, program or activity or be subjected to discrimination because the facilities are inaccessible or unusable by individuals with disabilities.
- All new facilities or alterations of facilities that began construction after January 26, 1999 must comply with the applicable federal accessible design standards, such as the ADA Standards for Accessible Design (1991 or 2010) or the Uniform Federal Accessibility.
- Recipients that receive federal financial assistance must meet their accessibility obligations under Section 504 of the Rehabilitation Act and the implementing regulations at 29 CFR Part 32.
- Adherence to the additional accessibility requirements under other statutory authority, including Title III of the ADA, and the applicable ADA Standards for Accessible Design.

**Programmatic Accessibility – 29 CFR 38.13:**

- Adherence to the reasonable accommodations policies and procedures for individuals with disabilities,
- Making reasonable modifications to policies, practices and procedures,
- Administering programs in the most integrated setting appropriate,
- Communicating with persons with disabilities as effectively as with others, and
- Provisions of appropriate auxiliary aids or services, including assistive technology devices and services, where necessary to afford individuals with disabilities an equal opportunity to participate in, and enjoy the benefits of, the program or activity.

**Required documentation: WIOA Alternate Plan** – This document is required by DYCD as a result of deficiencies identified during an on-site visit, conducted annually, by utilizing the Equal Opportunity – American with Disability Act Premise Analysis (see attachment) which covers the following areas:

- Space allowance and reach range
- Accessible route
- Area of Rescue Assistance
- Protruding Objects
- Ground & Floor Spaces
- Parking/Pasenger Loading zones
- Curb ramps
- Ramps
- Stairs
- Elevators
- Doors/entrances
- Drinking fountains/water coolers
Restrooms

**Title III Wagner-Peyser (NYSDOL)**
All NYSDOL Career Centers comply with ADA requirements for physical access.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**

**ACCES-VR**
ACCES-VR is committed to ensure that all available facilities, programs and services are accessible for individuals with all types of disabilities. During development of Individualized Plan for Employment potential challenges and barriers are discussed at length, and addressed.

2. **Technology and materials for individuals with disabilities**

All WIOA programs are expected to be able to provide accessible technology and materials for people with disabilities, whether onsite or through a referral.

For example, WIOA Youth service providers, in order to ensure compliance with the Non-Discrimination and Equal Opportunity Provisions of WIOA (29 CFR 38), are required to implement the following as it relates to:

1. **Materials for individuals with disabilities:** take appropriate steps to ensure that communications with persons with disabilities are as effective as communications with others. This entails, but is not limited to, using auxiliary aids and services, which includes but are not limited to, the following:
   - Qualified readers
   - Note takers
   - Taped texts
   - Audio recordings
   - Brailed materials
   - Large print materials
   - Equipment, devices, and software
   - TDD/TTY or relay service
   - Qualified sign language interpreters

2. **Technology:** the following activities must be conducted/submitted by the WIOA service providers:
   - Web Accessibility Guidelines
   - Activate the accessibility features on the PC’s and provide headphones, if applicable
   - Web site review ensuring the required tagline and site are accessible.

**Title III Wagner-Peyser (NYSDOL)**
All NYSDOL Career Centers are equipped with adaptive technologies.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**
ACCES-VR
ACCES-VR is able to sponsor/support rehabilitation technology and materials necessary to achieve the individual's vocational objectives and goals. An individual's need for rehabilitation technology is being considered at any stage of the vocational rehabilitation process. When determining an individual's eligibility and vocational rehabilitation needs, rehabilitation technology will be provided if necessary to assess and develop an individual's capacity to perform in a work environment. Before an individual is determined ineligible because he or she cannot benefit from services, rehabilitation technology will be considered. Once an individual has entered extended evaluation or been determined eligible, rehabilitation technology will also be considered when planning the IPE and choosing a vocational goal.

NYSCB
The New York State Commission for the Blind (NYSCB) is able to sponsor/support rehabilitation technology and materials necessary to achieve a consumer's vocational goals. An assistive technology assessment must be conducted and a recommendation provided to the NYSCB district office in order to determine what equipment is best for the consumer to develop the capacity to perform in a work environment.

3. Providing staff training and support for addressing the needs of individuals with disabilities

As previously mentioned, the New York City local area is committed to ensuring that core program staff are well trained in serving individuals with disabilities. ACCES-VR staff provided training to staff at all of the Workforce1 Career Centers. Additional training is planned for adult education providers.

With respect to youth with disabilities, the EO-WIOA Unit at the NYC Department of Youth and Community Development provides the following:

- **Initial and continuing trainings** for the Program/Site Directors on the Nondiscrimination and Equal Opportunity Provisions of WIOA (29 CFR Part 38). The items discussed, which focus on disability, include, but are not limited to, the following:
  - Discrimination prohibited based on disability – 29 CFR 38.12
  - Accessibility Requirements – 29 CFR 38.13
  - Reasonable accommodations and reasonable modifications for persons with disabilities – 29 CFR 38.14
  - Communications with persons with disabilities – 29 CFR 38.15
  - Service Animals – 29 CFR 38.16
  - Mobility aids and devices – 29 CFR 38.17
  - Complaint Processing Procedures – 29 CFR 38.69-38.85

- **Valuable resources** - Adult Career and Continuing Education Services – Vocational Rehabilitation (ACCES-VR) which provides the following services:
  - Vocational counseling
  - Assessment for career planning
  - Assessment for assistive technology needs
  - Purchase of assistive technology (*family income is considered)
  - Funding toward the cost of education or training after high school*
  - Assistance with transportation costs*
  - Funding for academic support services (note takers, tutors)
  - Job readiness and job placement services to help them get and keep a job (may include job coaching)

- **Required documentations** are required by DYCD to support these efforts, as follows:
- **Training Tracking Form** – to document all trainings attended by the WIOA Service Providers
- **DYCD-ACCES-VR Checklist** – which is required for all persons with disability (See Attachment)
- **Resources for Individuals with Disabilities**

**NOTE:** DYCD created/maintains the Database of persons with disabilities and meets/maintains communication with the ACCES-VR Senior Team regularly, ensuring that the referral process implemented by DYCD deems a smooth process, which includes the following:
- Sharing the ACCES-VR User Friendly Guide to Transition Services for High School Students, their parents, and their teachers
- Completing the DYCD/ACCES-VR Checklist as follows:
  - **Section I – WIOA Service Provider** which includes participant/WIOA program information, minimum required documentation, eligibility determination documentation, plan development and service delivery, participant service denial attestation, and participant appointment acceptance signature.
  - **Section II – ACCES-VR** which includes appointment information and ongoing status information

**NOTE:** This resource is shared with all DYCD’s Workforce Development Programs, which includes YAIP, WLG and SYEP.

**Title III Wagner-Peyser (NYSDOL)**

Staff trainings are provided for servicing customers with special needs.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**

**ACCES-VR**

ACCES-VR has staff assigned to several centers as liaisons. In addition, ASL counselors on staff to work with Deaf and Hard of Hearing population and is also able to provide interpreters as needed.

ACCES-VR is both a Community Partner of the Workforce1 Career Center and a bridge between the many provider agencies contracted by ACCES-VR and the Worforce1 Career Centers. ACCES-VR and the Workforce1 Centers have conducted many joint Recruitment events, which included the participation of ACCES-VR’s providers. As a result many of these providers are now Community Partners in their own right.

ACCES-VR Business Relations Team also provides training in disability awareness and the requirements of the ADA to the staff of the Workforce 1 Career Centers throughout New York City.

**NYSCB**

All staff at the New York State Commission for the Blind (NYSCB) are required to participate in various mandated trainings that will address the nondiscrimination requirement of WIOA.

1. **Describe the roles and resource contributions of the One-Stop partners related to the nondiscrimination requirements of WIOA (section 188), and applicable provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.).**
**Title I Adult Services / Workforce1 (SBS)**

Since 2007, Workforce1 has maintained a strong Community Partners program to better coordinate with workforce community. This benefits the local Workforce1 system by drawing a larger group of job-ready candidates to meet business needs; improves the ability of the Workforce1 system to serve jobseekers and businesses; and improves coordination of public workforce services. Individual community organizations are able to access public workforce system more efficiently, receive information on employer demands, and get feedback on candidates who they refer to the Workforce1 system.

The mission of the program is to increase the capacity of the New York City public workforce development system by connecting more New Yorkers to job opportunities. In addition, the goal is to ensure that there is a continuum of services for jobseekers and businesses. Finally, the program aspires to facilitate community connections to the public workforce system.

Our diverse partner network includes NYC job training organizations, educational institutions, and community based local service providers. Workforce1 provides networking and outbound referral information to partner clients with barriers to employment. Workforce1 reaches out to partners through direct outreach, community events and member organizations.

**Title I - Youth Services (DYCD)**

DYCD requires each WIOA provider to designate their Program Director/Site Director to fulfill the responsibilities of the EO-ACCES-VR Liaison, which include, but are not limited to:

- Attend all required meetings/trainings regarding EO-WIOA.
- Review agency’s written policies to make sure policies are nondiscriminatory.
- Comply with the requirements of the Americans w/disability Act and Section 504.
- Act as the liaison to DYCD/ ACCES-VR
- Orientate staff/participants/linkages/sub-contractors on the Non-discrimination and Equal Opportunity provisions for WIOA.
- Share ACCES-VR User Friendly Guide with all participants, as applicable.
- Prominently post all required postings (i.e.: Preventing Sexual Harassment, Pregnancy & Employment Rights, Gender Neutral Restrooms, Equal Opportunity is the Law, Discrimination is against the Law, Equal Employment is the Law, Limited English Proficiency – Know Your Rights.
- Publicize the contact information for designated EO/ACCES-VR liaison.
- Ensure that DYCD has accurate and up to date information in a timely manner
- Provide Equal Access to their WIOA Title I financially assisted program and activities.
- Complete and submit all required documents in a professional & timely manner, such as:
  - WIOA Program Contact Information Form
  - DYCD/ACCES-VR Checklist for program participants, as applicable
  - Discrimination Complaint Log to be submitted to DYCD quarterly.
  - Notice of Rights Acknowledgment Bulletin (NORAB) for staff/participants/linkages/sub-contractors; maintaining NORAB with original signature on file.

In addition, the Out of School Youth programs are required to employ a full-time experienced mental health professional onsite able to provide group and individual counseling and referrals to participating youth as needed. This mental health professional would be: a social worker with one of the following
credentials: Licensed Clinical Social Worker or Licensed Masters Social Worker; or a counselor with one of the following credentials: Credentialed Alcoholism and Substance Abuse Counselor or Licensed Mental Health Counselor.

**Title III Wagner-Peyser (NYSDOL)**
All NYSDOL staff are trained in the State’s anti-discrimination policies.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**

**ACCES-VR**
Any participant in ACCES-VR services who has a concern about a discrimination issue can meet with a Client Assistance Program representative from Disability Rights New York. ACCES-VR has a formalized system of Due Process to resolve issues, which can include Administrative Reviews and Fair Hearings. Efforts are made to provide resolution as early on as possible.

**NYSCB**
The New York State Commission for the Blind (NYSCB) vocational rehabilitation program is administered in such a manner that no person in the State who is otherwise qualified, will be denied services on the basis of disability. Consumers of NYSCB who disagrees with an outcome or decision of NYSCB may choose to access the Client Assistance Program (CAP). CAP is a statewide network of advocates available to assist New Yorkers with disabilities in gaining access to NYSCB services. The CAP program is administered by organization Disability Rights New York.

NYSCB provides consumers with both informal and formal means of appealing counselor decisions:

1. An initial review is an informal review conducted by the senior counselor (or the district manager if the senior counselor was involved in the decision being reviewed) with the consumer and counselor or other appropriate NYSCB staff in an effort to resolve a consumer’s dissatisfaction.
2. An administrative review is an informal review conducted by NYSCB administrative staff in accordance with the guidelines established in this policy.
3. Mediation is a voluntary process between the consumer and the appropriate NYSCB staff with the goal of resolving a dispute with NYSCB. Mediation is conducted by a qualified and impartial mediator who is training in mediation techniques. While mediation can be requested at any time, both parties must agree that the issue being disputed is one that can be addressed through mediation.
4. An administrative hearing is a formal hearing conducted by one or more employees of the Office of Children and Family Services (OCFS) who are members of the OCFS State Fair Hearing Board in accordance with the guidelines established in this policy.

V. **Business Engagement**

A. What strategies and programs, including training programs, will be used to facilitate engagement of businesses, including small businesses and businesses in in-demand sectors and occupations?

**Title I Adult Programs / Workforce1 (SBS)**
Workforce1 leverages Account Managers to engage employers, develop job orders, and meet their employment needs by connecting them to quality candidates. Workforce1 also coordinates with SBS
Business Solutions Center – several of which are co-located with Workforce1 – to provide employers that are accessing resources for their business to also consider utilizing the recruitment services of Workforce1.

Each Workforce1 Center has an assigned set of sectors that they work to develop. This enables centers to develop and exercise expertise in work sectors, it enables capacity to be built without the inefficiencies of centers targeting the same employers, and it allows for jobseekers to access a system of diverse job opportunities.

For training services, SBS reaches businesses for participation in training programs through its Industry Partnerships, the Workforce1 Career Centers, its network of NYC Business Solution Centers and Industrial Business Service Providers, its Business Improvement Districts, its members of the minority and women-owned business enterprise (MWBE) certified business program, the Hire NYC Program, its training service providers’ networks, and other City of New York partnerships, such as the Brooklyn Navy Yard and Brooklyn Army Terminal. SBS utilizes training programs to engage and meet the needs of these employers in a variety of ways:

- Through employer-based trainings, like Incumbent Worker Training and On-the-Job Training, SBS seeks to equitably support local business owners in contributing to the economic development of the city by meaningfully investing in their workforce. One way SBS does this is through the Customized Training Program, which is categorized as Incumbent Worker Training under WIOA and is designed to upgrade the skills and earnings of low-wage incumbent workers by reimbursing up to 70 percent of training costs for businesses that have identified training-related opportunities to increase revenue and reduce expenses. The program targets an array of business needs and employee benefits for training with a focus on, but not exclusive to, NYC Career Pathways sectors and small, M/WBE-certified businesses. SBS also blends City Tax Levy funding to support wages during training and other costs for participating businesses.

- Through ITAs or SBS’ Individual Training Grants (ITG), SBS offers select in-demand occupations for occupational training vouchers that also align with Workforce1 Career Centers’ business engagement portfolio. That way, eligible and qualified individuals can access training of their own choosing; permitting the provider and course is on the State’s Eligible Training Provider List (ETPL), and upon graduating access employment or advancement within the one stop’s network of hiring employers.

- Through Training Contracts, which serve multiple individuals in cohorts for training in in-demand sectors and occupations and often target services to individuals with barriers to employment, SBS engages businesses at the outset to identify demand for an occupation all the way through job connection. SBS, with its Industry Partnerships in the healthcare, tech, industrial, construction, food service and hospitality sectors and other key stakeholders in media and entertainment and transportation, engage employers to:
  - Identify demand for an occupation;
  - Work collaboratively to design the bridge, pre-training, or occupational training program model, assessment, and curricula;
  - Build the capacity of training service providers to deliver industry-informed curricula with direct feedback from industry;
  - Provide industry representatives to interview candidates;
 Host site visits and internships for industry exposure and professional experiences;
 o Hire or promote graduates, ideally prior to training enrollment, that mean short-term local demand for talent; and
 o Serve as an ambassador of the training program as a credible pipeline of talent; and
 o Adopt the systems change goals associated with each program, which are largely to create sustainable bridges between education and training service providers and industry to meet growing and evolving needs of industry at scale.

All training programs with Training Contracts are industry-informed and aligned with the NYC Career Pathways framework.

**Title I Youth Services (DYCD)**

DYCD’s also uses many strategies to engage employers. Direct employer outreach includes employer surveys to solicit interest, needs, and network referrals. For example, the 2017 NYC Ladders for Leaders Employer Feedback Survey was circulated to more than 700 employers. The 2017 Ladders program also organized employer panels, which featured over 30 employers from the following industries: Business and Professional Services, Tech, Media and Entertainment, Real Estate, Fashion, and Healthcare. Direct calls, social media outreach, and employer site visits are also used to communicate to businesses.

DYCD also works to develop and maintain internal and external stakeholder partnerships, including but not limited to multiple City agency partners, industry umbrella groups, local elected officials, local Chambers of Commerce, Business Improvement Districts, secondary and postsecondary educational organizations, non-profit organizations, and religious and community groups. Media outreach includes press releases, billboards, ads on public transportation, social media – Facebook, Twitter, Instagram, YouTube, printed promotional materials, television and radio, website content in the form of testimonials, interest forms and embedded videos, and appeals and calls to action via public speaking engagements.

1. **If applicable, describe the local area’s use of business intermediaries.**

**Industry Partnerships**

In support of Mayor de Blasio’s vision for a workforce development system informed by, and aligned with, the needs of strategic industries across New York City, the Department of Small Business Services (SBS) will pursue several policy and operational initiatives to provide New Yorkers high-quality, in-demand sector-based training and employment services.

The City of New York developed Industry Partnerships with the goal of supporting the economic vitality of New York City through quality job opportunities for New Yorkers and quality talent for local businesses. Each industry partnership focuses on a particular sector and is designed to work collaboratively with a diverse set of stakeholders – including employers, community-based organizations (CBOs), organized labor, philanthropy, government, training providers and educational institutions – to support systems change.

In order to improve the quality, scale, and impact of industry-aligned training and employment services, the City of New York will leverage the expertise of its Industry Partnerships and work together with the local Workforce Development Board, the Regional Economic Development Council, NYSDOL, and key employer, education, and community stakeholders in order to advance the ambitious but achievable objectives of increased economic success for businesses, workers, and the City.
The success of any sector-based workforce development strategy depends upon the availability of education and training providers equipped to deliver high quality, industry-aligned preparation to job seekers with the resources at hand to pursue it.

To address both of these priorities, the City of New York will implement new and enhanced initiatives in the coming year with the goals of: 1) improving provider quality with an emphasis in key sectors and 2) expanding access to sector-based in-demand training for qualified job seekers.

**Key Sectors**

New York City’s economy is vast and multi-faceted. New York City’s workforce development strategy reflects that diversity, and in the coming year the local area will support employment and training initiatives in a wide variety of sectors. However, particular focus will be given to six sectors:

- Healthcare
- Technology
- Industrial/Manufacturing
- Food service
- Construction
- Retail

Together the six sectors account for approximately half of all jobs in New York City. These sectors were selected through an analysis of scale, opportunity, and impact. The City selected the sectors using data pertaining to tax revenue, recent job growth, forecasted job growth, total employment, job multipliers, wages, and wage distribution indicators. These sectors currently offer the strongest prospects for economic mobility and mutual “employer-worker” benefits through job quality improvements.

- The City’s analysis revealed that **healthcare and technology** are both characterized by high growth, higher-wage, middle-skill occupations and solid job multipliers, with every direct job created in healthcare generating an additional 0.4 jobs, and each new job in technology adding 1.4 additional jobs. In addition, a systematic skills gap in hiring impedes growth in both of these sectors.

- The **industrial/manufacturing and construction** sectors have lower growth projections, but these jobs tend to offer relatively high income while not requiring a high level of formal education. In addition, the City makes or influences a large investment in these sectors, and thus they represent a unique way to leverage the purchasing power of the City for the good of policy and the City’s workers.

- Finally, the **retail and food service** sectors are among the largest in New York City. They represent the entry-way to a lifetime of work for many of the City's residents, including young adults and foreign-born New Yorkers. Because service plays such a key role in business profit and competitive advantage in both sectors, human capital is critical.

**Sector-specific approaches**
As described above, DYCD works with local Chambers of Commerce, Business Improvement Districts and industry umbrella organizations to support youth workforce development programs. Workforce1 oversees six Industry Partnerships to understand build their sector-specific approach.

1. Healthcare

**Industry Partnership: New York Alliance for Careers in Healthcare (NYACH)**

Industry Partnership Strategy
The healthcare industry partnership, the New York Alliance for Careers in Healthcare (NYACH), has developed a Partners Council, which establishes a common agenda and set of activities. The Council includes the Greater New York Hospital Association, the Community Health Care Association of New York State (CHCANYS), the Southern New York Association, the Paraprofessional Healthcare Institute (PHI), 1199 SEIU Training and Employment Funds, and the City University of New York (CUNY). Since its inception NYACH has re-aligned curricula and launched industry-informed trainings that have already connected more than 1,000 New Yorkers to new jobs or promotions.

Training Strategy
In the past fiscal year, SBS and NYACH have enrolled more than 550 New Yorkers across six industry-informed training programs. These programs target the ambulatory care, acute care, home care, and nursing and residential facility care subsectors and reflect the changing workforce and training needs of local healthcare employers as a result of the federal Affordable Care Act and the State’s Medicaid Redesign. These highly interactive and engaging, industry-informed programs include occupational training for Home Health Aides, Direct Support Professionals, Medical Billers, and Health Coaches as well as NCLEX prep for foreign-trained nurses and residency experiences for un- and underemployed Registered Nurses through the Transition to Practice Program.

In the coming fiscal year, SBS and NYACH will evaluate and scale successful programs as well as launch a series of new initiatives, including contextualized high school equivalency and English-language instruction aimed at young adults and foreign-born New Yorkers enrolling in Front Desk and Medical Assistant training, Certified Recovery Peer Advocate, and other mental health-focused training programs in line with the City’s ThriveNYC mental health roadmap.

Use of Available Resources to Support the Strategy
Combination of WIOA, City Tax Levy, White House Social Innovation Fund, and private philanthropic funding

2. Technology

**Industry Partnership: Tech Talent Pipeline (TTP)**

Industry Partnership Strategy
Launched by Mayor de Blasio in May 2014, the NYC Tech Talent Pipeline (TTP) works with more than 150 stakeholders to define employer needs, develop training and education solutions to meet these needs, and deliver homegrown talent for 21st century jobs across the five boroughs. These efforts are guided
by an Advisory Board of 28 CEOs, CTOs, CIOs, and senior executives representing the city’s top tech employers and a collective workforce of 40,000 New Yorkers.

Together with employers, government partners, philanthropy, training providers, educational institutions and community organizations, TTP has created a first-of-its kind assessment of the NYC tech landscape in partnership with LinkedIn, launched 9 industry-informed new and expanded training programs, realigned curricula for 15 academic and training programs, and informed the development of the Mayor’s historic Computer Science for All initiative. TTP has also formed an Academic Council, consisting of 15 NYC post-secondary institutions who have pledged to continue to work with the City and employers to further develop career pathways for New Yorkers in tech.

Training Strategy
In the past fiscal year, SBS and TTP have enrolled almost 500 New Yorkers in nine industry-informed training programs. These programs test a variety of objectives, such as demonstrating the effectiveness of non-traditional educational paths (i.e. accelerated boot camps) in fulfilling high-demand occupations like Web Development, Mobile Development, and Server and Cloud Administration, creating access for incumbent workers without tech experience to fill entry-level Data, IT, and QA opportunities, and increasing the competitiveness of CUNY graduates through additional technical support and internships for local tech positions in software engineering. One of the most successful initiatives, the NYC Web Development Fellowship, has successfully demonstrated that young adults who are out-of-work and lack a college degree can be trained for and connected to high-paying jobs in tech in less than a year. Most of the programs support connecting graduates to tech jobs paying at least $60,000 per year.

Like NYACH, in the coming fiscal year, SBS and TTP will evaluate and scale successful programs as well as launch a series of new initiatives, including contextualized high school equivalency and culturally-specific instruction aimed at young adults and foreign-born New Yorkers applying to enter Web Development, Mobile Development, and other tech trainings.

3. Food Service

Industry Partnership:  **NYC Food & Beverage Hospitality Council**

Industry Partnership Strategy
One of the largest sectors of employment in NYC with sustained growth, food service in New York City is of critical importance but is faced with a sizable labor shortage of qualified talent in the kitchen and very high turnover. This industry partnership will convene an advisory board of businesses representative of the various sub-sectors of food service such as fine dining, quick service, and catering. The board will help provide the input needed to craft new solutions for work-based learning, transparent pathways to advancement, and better bridge training programs to help the majority of the labor pool working in this sector but born outside of the United States.

Training Strategy
Our strong partnerships with employers in this sector are built upon a history of finding and connecting thousands of talented, qualified New Yorkers to open positions. This has allowed us to gain a deep appreciation for employers focus on on-the-job training in this field. Our training strategy for the coming year will be to work with those employers to develop new and innovative form of training that combine work-based learning with the necessary classroom skills and certificates (including the Food Handlers certificate). A particular focus will be foreign-born New Yorkers, who comprise the majority of some
occupations in this sector. Lastly, this sector has a tradition of moving people up and through career ladders, but not necessarily in a linear or easy-to-understand fashion. The Industry Partnership will create bridge programs and educational opportunities that better educate both incumbent workers and prospective employees about career paths in the sector and how to best navigate them.

4. **Industrial**

*Industry Partnership: Industrial Industry Partnership*

**Industry Partnership Strategy**

While jobs in the Industrial sector have contracted over the past two decades in New York City, recent data demonstrates that over the last five years the city’s manufacturing sector has actually grown by 3,900 jobs, including 1,100 jobs in the last twelve months. There are not high educational barriers in this sector (compared, for example, with tech or healthcare), yet many New Yorkers lack an awareness of jobs in this sector. Employers have reported a challenge in finding and attracting entry-level candidates. Furthermore, data shows that due to an aging workforce, expert roles are staying vacant for longer and retirement ages are increasing dramatically.

Because this sector is so heavily zoned in New York City, the Industry Partnership has begun to develop a place-based strategy that recognizes clusters of sub-sectors in specific communities (i.e. automotive manufacturing in the Bronx, etc.). The Industrial Partnership will convene advisory boards aligned with local NYC Industrial Business Zones and clusters of businesses that have similar foci. These boards will work with the Industry Partnerships to determine core competencies required for entry-level jobs and better communicate them to qualified New Yorkers. The boards will also work with the Industry Partnership to design solutions to support the up-skilling of current entry level workers and the transition of deep technical skill/knowledge from one generation of worker to another.

**Training Strategy**

SBS has enrolled more than 600 New Yorkers in eight industry-informed training programs in industrial sectors. This includes a variety of sub-sectors, such as manufacturing, transportation, and wholesale trade.

Based on business demand, SBS supported entry-level training in occupations, such as Welding, Commercial Driving, Woodworking, and Cable Installation. There have also been significant investments aimed at better serving target populations, including the City’s industrial and transportation-focused Career and Technical Education high school students for entry-level work, line staff for promotion within industrial businesses, and Sandy-impacted residents for construction opportunities. Further, SBS has aligned its workforce programs with green building initiatives, led by the Mayor’s Office of Sustainability, that provide training that leads to employment for workers and reduces energy usage across New York City.

5. **Construction**

*Industry Partnership: Construction Industry Partnership*

**Industry Partnership Strategy**
Job growth in Construction continues to outpace most other sectors in New York City and represents an opportunity for many mid-level jobs at family-sustaining wages while not requiring high educational attainment. The Construction Industry Partnership will create an advisory board of construction firms and unions representing organized labor and other local stakeholders. The advisory board will help shape solutions for the following workforce challenges in the sector: equity of access to career pathways in the unionized skilled trades; safety; and up-skilling. The Industry Partnership will also work with sector stakeholders to re-imagine/re-brand the image of the industry to attract a greater diversity of workers, including younger workers.

In addition, the Construction Industry Partnership will advise and guide the HireNYC Development initiative. HireNYC is New York City’s commitment to leverage its purchasing power and investments generally, in the case for construction and real estate developments to provide better access to jobs associated with these projects for New Yorkers. HireNYC will provide free, high-quality recruitment services to vendors and businesses filling open positions through City contracts and development projects.

Training Strategy
SBS plans to support additional occupational trainings in Computer-Aided Design and Computer-Aided Manufacturing, as well as to align current training program offerings with pre-trainings or bridges that will support access for additional New Yorkers with low literacy or limited skills to high-demand career paths. In addition, SBS will develop with the Industry Partnership new trainings based upon changes in the technical landscape and reflecting best practices international in design and build that will increase: construction project efficacy and completion times; clear projections of labor talent needed; and job quality.

6. Retail

Industry Partnership: Retail

Industry Partnership Strategy
Nationally, there are over 16 million retail workers. New York City has nearly 350,000 retail workers across chains and small stores. The training strategies in retail will include creating formal paths for advancement, supporting equity of access to advancement opportunities, and a model for understanding skills applicable inside and outside of the sector. Lastly, a significant aspect of the training will focus on “professional identity” training, such as handling absences professionally, negotiating for hours/salary, and presenting employment history most effectively.

B. What strategies or services are used to support a local workforce development system that meets the needs of businesses in the local area?

In order to coordinate the engagement of employers – both to avoid duplication of efforts and to facilitate the development of sectoral expertise – all five “hub” Workforce1 Career Centers execute a business development plan to engage employers. The centers’ sale territories are determined by sector
and geography. These territories currently include the following economic sectors (and corresponding North American Industry Classification System [NAICS] codes):

1. Automotive Repair and Maintenance (NAICS 8111)
2. Professional, Scientific, and Technical Services (NAICS 54)
3. Accommodation and Food Services (NAICS 72)
4. Administrative and Waste Support Services (NAICS 56)
5. Administrative Services (NAICS 5611)
6. Arts, Entertainment, and Recreation (NAICS 71)
7. Clinical Healthcare (NAICS 621, 622, 623)
8. Construction (NAICS 23)
9. Direct Life, Health, and Medical Insurance Carriers (NAICS 524114)
10. Education (NAICS 61)
11. Finance and Insurance (NAICS 52)
12. Healthcare and Social Assistance (NAICS 624 and 6216)
13. Information (NAICS 51)
14. Manufacturing (NAICS 31-33)
15. Office Business Support Services (5614)
16. Retail Trade (NAICS 44-45)
17. Transportation and Warehousing (NAICS 48-49)
18. Wholesale Trade (NAICS 42)

**Title I Youth Services (DYCD)**
The value of youth workforce development programs to employers is captured through employer surveys. Through these surveys, employers report that:

- Candidate recruitment, screening, and referral processes save hiring managers time and money
- Interns and youth employees contribute to projects and operational tasks, allowing teams to focus on other strategic priorities
• Interns and youth employees are tech savvy and can often navigate complex software and apps that others cannot

• Programs boost employee diversity and promote a healthier workplace culture

• Program involvement provides junior managers an opportunity to supervise others and build their management skills

• Interns and youth employees bring innovative ideas and fresh perspectives to company initiatives

• Programs provide employers with a future pipeline of entry-level candidates.

**Title III Wagner-Peyser (NYSDOL)**
Recruitment and Technical Services for Businesses
Free job posting on the New York State Job Bank and indexing, Job development assistance, customized recruitments events, job fairs, HR consultations, federal bonding, incentive programs such as the Work Opportunity Tax Credit (WOTC) and Workers (with Disabilities) Employment Tax Credit (WECT). One-on-one service to businesses include taking a job order, job matching, providing job referrals or qualified job candidates, tailored job services such as a customized recruitments.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**

**ACCES-VR**
ACCES-VR and its Contracted Providers, offer Businesses a broad array of services, including:

• **Workforce Recruitment Assistance** – ACCES-VR and its Contracted Providers work with employers to identify their available job openings and to recruit and refer qualified candidates. ACCES-VR and Contracted Providers also frequently coordinate with employers to create targeted job recruitments. ACCES-VR provides generous wage incentives. The Work Tryout Program can reimburse an employer for up to 460 hours of salary paid. The On the Job Training Program, which also reimburses the employer for salary paid, can be up to 6 months long.

• **Training Services** – ACCES-VR and its Providers can develop training to meet the needs of business. In the On the Job Training, employers design the training they will provide to new workers and ACCES-VR reimburses the employer for the salary paid. In the Supported Employment program, the employer specifies the skills they need a new worker to learn and the Contracted Provider trains the worker on site.

• **Accessing Untapped Labor Pools** – ACCES-VR and their Contracted Providers offer free training in Disability Awareness, Understanding the ADA and the utilization of Workplace Accommodations. This training helps employers to develop more inclusive work places.

• **Employer Information and Support Services** – ACCES-VR and its Contracted Providers offer employers training and information about a number of wage incentives, for example, the Work Opportunity Tax Credits and the Workers (with Disabilities) Employment Tax Credit. As mentioned above, ACCES-VR offers its own wage incentive programs, which can also be utilized as training: the Work Tryout and the On the Job Training. They also assist employers in utilizing a number of innovative work force approaches, such as job carving and job sharing which are
utilized to create more inclusive work environments. In addition, they assist employers in retaining seasoned employees by providing free training and assessment in workplace accommodations.

C. Describe how the local area’s workforce development programs and strategies will be coordinated with economic development activities.

**HireNYC:** The City of New York is committed to connecting economic development activities to local jobseekers and disadvantaged workers. In 2015, Mayor De Blasio launched HireNYC, a targeted hiring initiative that connects economic development projects and city contracts to jobseekers. Since the launch of the program, HireNYC has connected more than 5,000 low-income New Yorkers to opportunities in human services, construction, retail, and administrative jobs. Other economic development projects are encouraged to hire target populations, including public assistance recipients, NYCHA residents, and individuals using the Workforce1 system. The Office of Workforce Development provides oversite to the City’s neighborhood-based community and economic development projects that include workforce development components.

**New York Works:** In 2017, the City launched New York Works, a series of 25 initiatives to spur 100,000 jobs with good wages over the coming decade. One initiative, Apprentice NYC, is a new employer-driven training partnership which promises to help connect New Yorkers to jobs created by the City’s investments. ApprenticeNYC recasts a traditional workforce development approach to address the 21st century skills that New York City employers want and need. These employer partnerships will support on-the-job training while guaranteeing job placement. This new approach will open up new opportunities for those without higher education degrees.

**Green Job Corps:** The Mayor’s NYC Green Jobs Corps will train 3,000 New Yorkers over the next three years in critical construction and maintenance skills that support the City’s climate action agenda. The Green Jobs Corps will expand access to good careers in the building construction and maintenance trades and develop the workforce needed to meet the mayor’s commitment to reduce citywide greenhouse gas emissions 80 percent by 2050. On Earth Day 2017, the City and the Building Construction Trades Council (BCTC) signed a memorandum of understanding (MOU) to launch the program and begin identifying the first 200 candidates for pre-apprenticeship training courses that will begin this summer, leading to apprentice opportunities at BCTC-affiliated construction unions.

**Customized and On-the-Job Training**

Recruitment services and training programs, with a specific focus on the Customized Training Program and On-the-Job Training, which are customized to business needs, support the economic development of the city by reducing vacancies for businesses and ensuring a qualified workforce.

As noted in previous responses, DYCD WIOA programs are tied to growth sectors, and therefore support local economic development by providing high quality occupational trainings in those sectors. DYCD also hears from chambers of commerce, business improvement district members, industry umbrella groups, and others to inform program strategies’ alignment with economic development.

1. Describe how these programs will promote entrepreneurial skills training and microenterprise services.

**Title I Youth Services (DYCD)**
Throughout WIOA Youth programs, entrepreneurship training is offered. Further, some of the advanced occupational training offerings are well suited to entrepreneurship, such as the Early Childhood Aide training offered through CUNY to qualified OSY participants. This training allows youth to become certified early childhood educators and allows them to pursue running a child care business out of their homes. Culinary arts trainings also provide skills that can be used by a self-employed cook to do catering or freelance work if desired. Training in electronic security system installation also provides a path to self-employment once training is completed.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**

**ACCES-VR**

One of the services available to participants enrolled in ACCES-VR is self-employment. An individual who is interested in developing a business is asked to submit a business plan. ACCES-VR can refer individuals to receive counseling in the development of the business plan. If the plan is deemed to be realistic, ACCES-VR funds can be provided to assist with the start-up of the business within our established policy guidelines.

**NYSCB**

1) Through the Randolph Sheppard Act, legally blind New York residents are eligible to participate in the New York State Commission for the Blind (NYCSB) Business Enterprise Program (BEP) which provides individuals an opportunity to participate in a rigorous training curriculum that teaches participants how to successful manage a deli, vending operation, vending route, newsstand, snack bar or cafeteria. Upon successful completion for the BEP training curriculum participants then receive an interest free loan to buy their initial product inventory and manage their store as a sole proprietor.

2) When self-employment is determined to be the appropriate means to an employment outcome, NYSCB will provide assistance and services based on individual factors and economic needs. Assistance and services may include, but not limited to:

- Referral to community resources for basic business courses, assistance in developing a business plan and business start-up.
- Purchase of specific goods and services in accordance with an approved individualized plan for employment (IPE) and NYSCB self-employment policy.
- Purchase of other vocational rehabilitation services needed to successfully achieve self-employment.

**D. Describe how the local board will coordinate its workforce investment activities with statewide rapid response activities.**

Staff at the NYC Department of Small Business Services (SBS) regularly review the list of WARN (Worker Adjustment and Retraining Notification) notices on the NYSDOL website for potential opportunities. Staff at the New York City Workforce Development Board also forward WARN notices to SBS staff to draw attention to large-scale downsizings and closures with significant layoffs, particularly in area where the Workforce1 Career Centers already have strong employer relationships.

As SBS identifies opportunities matching larger employer needs within the Workforce1 system, SBS coordinates with the NYSDOL Rapid Response team to explore opportunities to collaborate, particularly as NYSDOL manages all the employer/employee information for each WARN notice.
In the past, the collaboration between SBS and NYSDOL on rapid response has been via coordination to attend outreach meetings with employees at an impacted employer site. These outreach meetings are to advise affected employees of the coordinated resources, mainly: Unemployment Insurance Benefits, job searches and recruitment events. And invited Workforce1 staff speak to specific opportunities currently available, particularly those that are similar, to provide a more concrete connection for the affected employees. Staff can also provide the affected worker with a referral ticket to a recruitment event. And if the pool of affected worker is significant SBS and NYSDOL have coordinated targeted recruitment events for the affected employees.

After the company closes, SBS coordinates with the Rapid Response team to gain access to information directly from the Unemployment Insurance system, such as: names, addresses, and telephone numbers for affected workers to be used to continue connecting affected employees to training and employment services.

VI. Program Coordination

A. How do the local area’s programs and strategies strengthen the linkages between the One-Stop delivery system and unemployment insurance programs?

NYC Provides coordinated services to Unemployment Insurance (UI) claimants that ensures claimants are afforded common service expectations and are held to common program requirements. UI claimants are co-enrolled in Wagner Peyser and WIOA Adult and/or Dislocated Worker programs and must receive a minimum of two staff assisted services. Co-enrollment of claimants makes the widest possible array of services available without duplication of resources and creates and automatic link between the UI and workforce system.

Workforce1 Career Center Resource Room staff are trained in how to assist customers in accessing and filing for UI insurance claims and UI claimants are scheduled for reemployment services within eight of the Workforce1 Career Centers. UI Claimants are referred to supportive resources among all the local area partners and programs as needed. For instance, Job Search Ready claimants are referred to local Workforce1 on-site recruitments, receive job referrals, are referred to training services and referred to adult education and vocational rehabilitation services as appropriate. Workforce1 and NYSDOL are co-located at several centers, affording jobseekers seamless access to services managed by either entity. Specifically, jobseekers are able to learn about the comprehensive services via “Introduction to Services” and via direct referrals and are informed how to access unemployment insurance programs. Additionally, staff from NYSDOL and Workforce1 share recruitment calendars and other information to insure the full leverage and coordination of services.

B. Describe how education and workforce investment activities will be coordinated in the local area. This must include:

1. Coordination of relevant secondary and postsecondary education programs.

Title I Adult Services / Workforce1 (SBS)
The NYC Department of Small Business Services (SBS) launched the West Farms Workforce1 Career Center in collaboration with the NYC Department of Education (DOE) and Human Resources Administration (HRA) with services dedicated to out of school, out of work youth. The center’s
programming is designed to provide the necessary support for out of school, out of work youth to be successful in growing industries. Through these services, young adults have the ability to gain exposure to a variety of Career Pathways and connect to training opportunities linked with in demand occupations in the industrial, healthcare, food service and technology industries. Additionally, the West Farms Center offers job readiness workshops including self-management and professionalism, resume building, and job search and job interview. These workshops are designed to supplement technical training with the soft skills necessary to succeed in the workforce. Each workshop also incorporates breaks and other engagement techniques such as mock practice and computer use to ensure full participation from young adults. The Center’s mission is to provide integrated and seamless services customized to help 18 to 24 year old New Yorkers connect to careers with family-sustaining wages. At the center, the City provides individualized support to young people to help them connect to employment opportunities, prepare for and obtain a High School Equivalency Diploma, connect to training opportunities and post-training employment, develop skills to strengthen job candidacy and connect to HRA benefits.

Scholars at Work is a highly competitive citywide program that pairs high school and college students pursuing Career and Technical Education or advanced technical degrees with transportation and manufacturing businesses for 13-week paid internships. By the end of the program, the students come away with solid work experience, knowledge about an industry and job they’re interested in, professional networks that will open doors to positions in high-demand sectors, and, in many cases, a job offer. The program, another partnership between SBS and DOE, is operated through the NYC Industrial and Transportation Career Center in Queens. Since its inception in 2010, Scholars at Work has connected more than 1,000 New York City students from all five boroughs with employers and experts in the transportation and manufacturing sectors, giving them a competitive edge in the job market.

Title I Youth Services (DYCD)

DYCD works to coordinate with secondary and postsecondary education programs in a variety of ways, both directly and through our provider partners. In our WIOA OSY program, we anticipate entering into a Memorandum of Understanding (MOU) with CUNY to provide advanced occupational training that grants in an industry recognized certificate to qualified OSY Option 1 participants. In both OSY options, providers may enter into agreements with the NYC Department of Education District 79 to provide onsite HSE exam instruction to participants, and (other occupational training providers.) In the In School Youth program, providers have the option of locating programs in High Schools, preferably those offering Career and Technical Education courses of study. Those who did provided a School Partnership Agreement, signed by the individual school leadership, that outlines how the school and program will work together to support students in the respective ISY program. In the case of center-based ISY programs, they work closely with participants and high schools they attend to support students both academically and socially. The ISY programs also work extensively with participants to help them gain admission into college, occupational training, or employment.

Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)

ACCES-VR

- **High Schools:** ACCES-VR has a liaison counselor assigned to every high school in New York City. Their jobs are to interface with the Department of Education staff responsible for transition within each school and to educate them about ACCES-VR services and to encourage referrals of students starting in their junior year. Working with their Contracted Providers, ACCES-VR has also developed a Work Readiness & Summer Internship Program to assist young people in developing their goals and work appropriate behaviors.
• **Partnership with DYCD**: ACCES-VR has entered into an informal agreement with DYCD WIOA Central. When individuals involved in either DYCD OSY or ISY program identify themselves as having a disability, they will be offered a referral to ACCES-VR for services.

• **Colleges**: ACCES-VR has a very close relationship with the CUNY LEADS program. There is a liaison relationship between ACCES-VR and a CUNY LEADS counselor on every campus. Cross referrals are made regularly. The LEADS advisors augment services to students with disabilities while they are in their college programs. ACCES-VR also has on-going liaison relationships with many private colleges throughout the city. ACCES-VR has worked with staff on college campuses to develop internship opportunities for students. Internship experiences greatly enhance the work readiness skills of students, preparing them for graduation.

• **Vocational Training**: ACCES-VR frequently refers participants to vocational training programs at many of the state education licensed private vocational schools. ACCES-VR funds training for the participants in these programs, fully. In addition ACCES-VR refers consumers to many of the rehabilitation facilities which participate in the Core Rehabilitation Services Contract. Many of these programs include specific vocational training which has additional supports for our participants as they enter competitive or supported employment.

• **Community Based Organizations**: ACCES-VR has outreached out to many of the community based organizations which provide vocational training and work readiness/ internship possibilities and have encouraged cross referrals of participants.

NYSCB

• **Secondary Education:**
  - The New York State Commission for the Blind (NYSCB) District Office in New York City will have either a dedicated children’s consultant or transition counselor to support consumers in high school.
  - For high school age consumers that are not eligible for Vocational Rehabilitation (VR) services, NYSCB children consultants can provide basic vision services to support these young people.
  - For high school age consumers that are eligible for VR services, NYSCB transition counselors can support these consumers by recommending assessments and assisting in interpretation of results; identifying VR services and outcomes; information to assist in selecting a suitable vocational goal; participating in Committee on Special Education Meetings; information on resources in area and identifying the need for involvement by other state agencies and service providers.
  - High school age consumers that are eligible for VR services may with the support of a NYSCB transition counselor access the following services:
    - Rehabilitation teaching, and orientation & mobility training outside of school hours for application in the home.
    - Low vision exams and devices
    - Adaptive equipment for home-use
    - Social casework services
    - Paid summer youth employment and/or work experiences
    - Depending on the need a job coach may be provided to support consumer during a work experience
    - Pre-Vocational skills and Vocational skills training
    - Post-secondary guidance and support
    - Job placement services
    - Technology training
Post-Secondary Schools:
- If post-secondary education is needed to support a consumer in achieving their vocational goal as outlined on the consumer’s Individualized Plan for Employment (IPE), NYSCB may provide college sponsorship based on economic need.
- Prior to college sponsorship NYSCB may provide academic support and pre-college services.
- Consumers that are eligible to receive NYSCB college sponsorship may receive the following:
  - Tuition support after any applied financial aid in accordance with SUNY and CUNY approved tuition rates.
  - Room and Board
  - Books and Supplies
  - Mobility trainings to navigate to/from and around the college consumer is enrolled
  - High technology equipment
  - Academic tutoring
  - And if needed additional reader services can be provided.
  - Transportation cost as outlined by NYSCB college policy

Vocational Trainings:
- NYSCB through a referral may send consumers to contracted providers or approved vocational technical schools for training programs identified on a consumer’s Individualized Plan for Employment (IPE)

2. Activities with education and workforce investment activities to coordinate strategies and enhance services; and

Title I Adult Services / Workforce1 (SBS)
SBS, the NYC Department of Education (DOE), and the Human Resources Administration (HRA) operate a Workforce1 Career Center with integrated education, training, employment, and social services tailored to young adults. Individuals are able to enroll in services with each agency in a single location; such that, they are able to apply for benefits like Supplemental Nutrition Assistant Program, enroll in high school equivalency preparation courses, contextualized pre-trainings, and occupational trainings, and interview for jobs in a single location. SBS also partners with DOE on programs like Scholars at Work, which supports DOE’s Career and Technical Education high school seniors in accessing career exploration, internships, and jobs with Workforce1’s local industrial and transportation business partners.

SBS and HRA also operate a Workforce1 Career Center with integrated services tailored to foreign-born New Yorkers. The center brings in the expertise of the Mayor’s Office of Immigrant Affairs. This center also offers contextualized ESOL bridges and pre-trainings with CUNY and other CBOs with expertise in serving individuals with cultural barriers to employment for occupational trainings.

Title I Youth Services (DYCD)
DYCD WIOA youth programs combine education and workforce activities as per WIOA legislation. OSY programs require the provision of basic skills/high school equivalency preparation along with work readiness and occupational training. By being located in schools, ISY programs work hand in hand with school staff to combine academic activities with workforce development activities.
3. A description of how the local board will avoid duplication of services.

**Title I Adult Services / Workforce1 (SBS)**
Where possible, SBS aligns training and job connection services with education and social services offered by DOE, HRA, and other partner agencies. In cases like the new centers and their embedded bridges and pre-trainings, the agencies work collaboratively to integrate occupational skills into education programming and connect graduates to occupational training seamlessly.

**Title I Youth Services (DYCD)**
The NYC Department of Youth and Community Development (DYCD) works to ensure that WIOA Youth programs provide a range of occupational trainings in demand sectors, and serve youth across New York City. Requests for Proposals include borough-based competitions to ensure that programs are located in all five boroughs. DYCD WIOA Youth programs offer a combination of academic instruction, support services and access to occupational training that is distinct from WIOA Adult and Dislocated Worker programs and other youth workforce development programs, minimizing the chance of duplication of services.

C. Describe plans, strategies, and assurances concerning the coordination of services provided by the State employment service under the Wagner-Peyser Act (29 U.S.C. 49 et seq.), to improve service delivery and avoid duplication of services.

The NYC local area will work with NYSDOL to improve seamless service delivery to customers. CareerCenter workshops and on-site recruitments will be coordinated to reduce duplication and offer the best possible array of services to customers. With better coordination of services, Workforce1 will be able to increase the diverse services offered and better service customers with barriers to employment.

**Title III Wagner-Peyser (NYSDOL)**

D. Provide a list of executed cooperative agreements that define how all local service providers, including additional providers, will carry out the requirements for integration of and access to the entire set of services available in the local Career Center system. This includes agreements between the LWDB and entities that serve individuals eligible under the Rehabilitation Act. If no such agreements exist, provide an explanation why this is the case and/or progress towards executing such agreements.

See attached Memorandum of Understanding among WIOA partners in New York City.

Also see above section “Access to Employment and Services” part “f” for a list of cooperative agreements that ACCES-VR has in place.

VII. **Youth Activities**
A. Provide contact details of Youth Point of Contact for your local area:

1. Name of Youth Point of Contact: Theodore Latimore
2. **Email Address:** tlatimore@dycd.nyc.gov

3. **Name of Organization:** NYC Department of Youth and Community Development

4. **Title:** Senior Director, WIOA Youth Programs

5. **Phone:** 646-343-6657

6. **Address**
   
   161 William Street, 8th floor
   
   New York, NY 10038

**B. Provide the number of planned enrollments in PY 2017 for:**

1. **Out-of-School Youth:** 1,231
2. **New In-School Youth:** 518
3. **Carry-Over In-School Youth:** 1,119
4. **Work Experience:** 1,375

*Please note that PY2017 enrollments will provide the baseline estimate for the remaining three years of the Plan.

**C. Who provides the WIOA Youth Program Design Framework, which includes Intake and Eligibility, Objective Assessment, and the Individual Service Strategy (ISS)?**

WIOA youth contractors provide the Youth Program Design Framework for each of their participants.

1. **Describe how career pathways is included in the ISS.**

Career pathways are included in the Individual Service Strategy (ISS) by helping youth identify and explore careers that they may be interested in, alongside tailored assistance that helps them understand the connection between education and training and career. DYCD WIOA youth programs also help youth map the path from where they are when they enter the program and where they want to go in terms of their careers, and what steps they will need to take to achieve their desired goals.

**D. In Attachment G, Youth Services, located on the NYSDOL website at https://labor.ny.gov/workforcenypartners/wioa/workforce-planning.shtm under the Local Planning section, identify the organization providing the 14 Youth Program Elements and whether the provision of each element is contractual, with a Memorandum of Agreement, or provided by the LWDB.**

**E. Explain how providers and LWDB staff ensure the WIOA elements:**

1. **Connect back to the WIOA Youth Program Design Framework, particularly Individual Service Strategies; and**
Providers use a WIOA elements checklist that is included in each participant’s file to track which elements are provided. ISS’s are updated as new elements are provided or services are completed. DYCD regularly monitors participant files and the Capricorn data management system to ensure program elements are offered and that files and ISS’s are up to date.

2. Are made available to youth with disabilities.

In an effort to facilitate program participation for disabled youth, DYCD’s WIOA Equal Employment Opportunity (EEO) ensures that equal employment opportunity and applicable ADA regulations are implemented and followed at the contractor level, by conducting regular field and file reviews of contractors’ physical space and program offerings. The EEO unit also helps coordinate referrals between DYCD WIOA and YAIP programs and NYSED ACCES-VR programs. This process includes tracking referrals from providers to ACCES-VR, helping DYCD providers understand the evaluation process, and assisting in the coordination of services from different programs for individual youth. To support this work, the WIOA EEO unit has conducted trainings of DYCD provider staff and continues to stay involved in the referral process which can sometimes be complex.

F. Identify successful models for youth services.

DYCD staff visit WIOA Youth program providers frequently and share observed best practices with their colleagues, who in turn help their own providers to implement practices that can improve their programs. DYCD also offers comprehensive technical assistance, through which providers and DYCD staff have ample opportunity to share, learn about and discuss effective best practices in youth workforce programs.

G. If you plan to serve In-School Youth (ISY) and/or Out-of-School Youth (OSY), using the “Needs Additional Assistance” criteria, please attach a policy that defines reasonable, quantifiable, and evidence based specific characteristics of youth needing additional assistance.

Please see ISY and OSY forms attached.

VIII. Administration

A. Identify the entity responsible for the disbursal of grant funds as determined by the Chief Elected Official or Governor.

Adult and Dislocated Worker grant funding: NYC Department of Small Business Services
Youth grant funding: NYC Department of Youth and Community Development

B. Describe the competitive process to be used to award sub grants and contracts for WIOA Title I activities in the local area.

Title I Adult Services/Workforce1 (SBS)

There are several competitive bidding processes, depending on the potential size of the contract and on the nature of the contract. Large contracts, the process for which is addressed below, are the most common. SBS typically uses a competitive Sealed Proposals (CSP) (RFP) process to award contracts for services in excess of $100,000 and in cases in which the funding source is public (City, State or Federal government). Any RFP’s must conform to The City of New York’s Procurement Policy Board (PPB) Rules,
as well as any procurement rules of the funding entity. Here is a summary of the typical process, including the key stages:

- **Notify Vendors and Release RFP**
  a. The relevant City agency develops and releases a RFP.
  b. The agency prepares a bidders list from a Citywide Vendors’ List including Minority- and Women-Owned Business Enterprise Certified List, and each organization receives a copy of the RFP. RFPs can be downloaded from the Agency’s website.
  c. The agency posts a Notice of Solicitation in the City Record and on the agency’s website.

- **Public Release Period and Pre-Proposal Conference (if applicable)**
  a. The agency convenes a public “pre-proposal conference” to discuss the RFP and to answer questions.
  b. The agency collects any questions received in writing or email.
  c. The agency releases an addendum providing answers to questions asked at the pre-proposal conference and received directly, which is sent to all prospective vendors who received or download the RFP.

- **Evaluate Responses and Select Winning Vendors**
  a. The agency assembles an evaluation committee composed of agency staff relevant to the RFP.
  b. Each committee member evaluates all proposals independently using a Rating Guide.
  c. The agency tabulates all ratings and identifies the high scorers.
  d. The evaluation committee meets to review the scores.
  e. If requested, vendors provide their Best and Final Offer and/or Oral Presentations about their proposals.
  f. If relevant, the evaluators meet and adjust their scores based on the Best and Final Offer and/or Oral Presentations.
  g. The agency notifies the winning vendor.

Employers can apply directly to SBS for training grants, currently between $10,000 and $400,000, through the Customized Training Program. Applications can be submitted on a rolling basis and are awarded on a bimonthly basis. To apply, employers must submit a pre-application to confirm eligibility and, once approved, will be provided with an application that allows them to reflect their business case for training and their proposed benefit to incumbent employees as well as a limited number of new hires, if applicable. Currently, business cases may include the need for training to purchase new equipment or software, to offer new services or products to reach new markets, to promote current staff or support staff in advancing in hard to fill positions, or to update obsolete skills necessary to keep a business competitive. Further, proposed benefits include wage gains, particularly for low-wage workers earning less than $15 per hour. Employers training plans may include a combination of training by a training service provider, identified by the business, and on-the-job training. A blend of City Tax Levy allows SBS cover trainee wages as well as to contribute to the employer contribution. The full competitive process and training program details can be viewed at: https://www1.nyc.gov/nycbusiness/article/train-your-team-customized-training.

**Training Contracts**

For Training Contracts, SBS follows the same competitive bidding process required of the adult and dislocated worker grants and contracts and integrates criteria required for Training Contract exceptions.

**Title I Youth Services (DYCD)**
Pursuant to the New York City Policy Procurement Board (PPB) Rules, DYCD uses the HHS Accelerator method to procure contracts for WIOA Out-Of-School Youth and In-School Youth programs by issuing Requests for Proposals (RFP) to prequalified organizations via the HHS Accelerator system. Often, prior to the issuance of an RFP, DYCD will issue a concept report, describing the contemplated program and offer the public time to comment. Both the concept paper and the RFP are issued subsequent to a notice to the public through the City Record and other publications, such as the New York Nonprofit Press and HHS Accelerator’s roadmap. On the date of the issuance, DYCD posts concept papers on the agency website and the RFPs are made available through HHS Accelerator. DYCD also sends out notices to past proposers and active contractors of DYCD. The RFP describes the scope of work required and the methodology for selection. The Procurement Policy Board (PPB) Rules prescribe the information required in an RFP and the procedures for handling proposals to foster effective broad-based competition from all segments of the community and to protect against corruption, waste, fraud and abuse. A pre-proposal conference is held usually two to three weeks before the due date for interested parties to learn more about the program and to ask questions on the RFP. Prospective contractors submit proposals pursuant to the RFP and are scored according to the criteria laid out therein. The scores are then sorted in descending order and, with consideration of all the basis of contract award criteria articulated in the RFP, invitations to negotiate are extended to those proposers deemed eligible. Finally, the contract is developed and executed.

C. Provide the local levels of performance negotiated with the Governor and Chief Elected Official to be used to measure the performance of the local area and to be used by the local board for measuring the performance of the local fiscal agent (when applicable), eligible providers, and the One-Stop delivery system, in the local area.

In response to a Technical Advisory from NYSDOL on WIOA Performance Indicators (T.A. 17-5), the New York City Workforce Development Board proposed a goal of zero on all of its WIOA performance indicators in PY2016. This decision came at the suggestion of NYSDOL, given that insufficient data would be available to calculate performance. The chart below indicates the levels of performance proposed by NYSDOL to New York City. The Board requested to negotiate these PY2017 levels at a future date to be determined by NYSDOL. So these goals are still proposed, not final.

**Proposed PY2017 Goals**

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<th>Performance Indicators</th>
<th>Adult</th>
<th>Dislocated Worker</th>
<th>Youth</th>
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<td>Employment Rate 4th Quarter After Exit</td>
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<td>Median Earnings 2nd Quarter After Exit</td>
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<td>Baseline</td>
</tr>
</tbody>
</table>

D. Describe the actions taken toward becoming or remaining a high-performing board, consistent with factors developed by the SWIB. A board will be defined as high performing if it meets the following criteria:
1. The board is certified and in membership compliance

The NYC Workforce Development Board’s staff, in consultation with the Board Chair, monitor the Board’s composition carefully to ensure compliance.

2. All necessary governance actions and items have been accomplished, including executing a local MOU, selecting a One-Stop System Operator, and implementing all required local policies, etc.

- The NYC Workforce Development Board has finalized and distributed the service delivery MOU to all partner programs.
- The NYC Department of Small Business Services, the administrator of Adult WIOA services on behalf of the Board, issued a Request for Proposals for multiple One-Stop System Operators, who will be selected by early 2018.
- The NYC Workforce Development Board staff monitor all new federal and state policies and ensure they are implemented in the local area.

3. All One-Stop Career Centers in the LWDA have achieved at least an 80 percent score in the Career Center Certification process

The NYC Workforce Development Board will work closely with SBS to ensure all one-stop career centers achieve a high score in the certification process once the State Workforce Investment Board has defined the Certification process and the criteria.

4. The LWDA meets or exceeds all performance goals

The NYC Workforce Development Board’s staff, in consultation with the Board Chair, monitor the Board’s WIOA performance goals carefully. SBS and DYCD report their performance on WIOA goals at each quarterly meeting of the Executive Committee of the Board.

IX. Training Services

A. Describe how training services will be provided in the local area.

**Title I Adult Services/Workforce1 (SBS)**

The goal of all training services is to equitably support New Yorkers in connecting to full-time, family-sustaining jobs with hiring employers offering career pathway potential or advancement along a career pathway. They are also utilized to demonstrate successful and sustainable education and training approaches that formally link educational institutions, training providers, and industry to meet long-term demand for talent at scale.

To that end, all of SBS’ training services are focused on in-demand sectors and occupations that are affirmed by its Industry Partnerships and employer networks. The majority of training services are focused specifically within the NYC Career Pathways sectors, including the healthcare, tech, industrial, transportation, construction, food service, hospitality, and media and entertainment sectors. These services may include bridges, such as high school equivalency or ESOL, or pre-training programs that are offered by key agencies and partners and contextualized for an occupational training. They also include occupational trainings, which may focus on skill or credential attainment and/or professional
experience, and supportive services that ensure persistence in training and employment. Training services also target specific individuals with barriers to employment or to meet specific employer demand for talent. For example, healthcare providers demand multilingual individuals with cultural competency to support a diversity of patients in various healthcare settings.

SBS utilizes a variety of mechanisms to deliver training services through local training service providers, including academic institutions, not-for-profit and for-profit schools, community-based organizations, and employers, as mentioned previously. These mechanisms include the ITG program, IWT, OJT, and Training Contracts, which are available to individuals and businesses alike through the Workforce1 Career Center and NYC Business Solutions Center systems as well as directly through SBS, Industry Partnerships, and training service providers, who then enroll candidates in the one-stop system. SBS does not currently utilize Customized Training (not the Customized Training Program, which is IWT under WIOA), Registered Apprenticeships, or Transitional Jobs, but will likely include in future plans.

**Title I Youth Services (DYCD)**

The Career Development Connect OSY program option is designed to provide participants with basic occupational and work readiness skills, as well as academic instruction and support services. Service Option 1 programs will be positioned to help youth acquire and practice work readiness skills through training and work-based learning as well as helping youth increase their academic skills and work toward a HSE if needed. These programs will provide career exploration and awareness activities for youth who may not have a clear sense of sectors or occupations that appeal to them and suit their strengths; and provide the comprehensive supportive services and case management that many out of school youth need. This option also provides a pathway to advanced occupational training programs for youth who are ready through training slots secured by DYCD. Providers will offer short-term training leading to a credential to all participants, and after training, participants will choose from among a variety of paths, including preparing for college, getting a job, or participating in advanced occupational training in one of New York City’s high growth sectors. To facilitate entry into advanced occupational training, DYCD will make a menu of training options available to career development providers, who will then assess, prepare, and refer participants who meet eligibility criteria to appropriate occupational training opportunities.

Through its OSY Youth Training Network OSY program option, DYCD will enroll participants in advanced occupational training aligned to one or more of the City’s six target sectors. This program option is designed to provide specific occupational skills training in one of the six priority sectors, in addition to the work readiness, academic, supportive services and case management that many out of school youth need. Consistent with the City’s Career Pathways framework, this Service Option will invest heavily in the skill development of participants and take a sectoral workforce development approach in doing so. Providers will assess and prepare youth participants for training, including providing bridge programming where appropriate. In addition, providers would support participants during training and connect them to appropriate employment after completing training, provide work-based learning experiences and preparation to obtain their HSE if needed. The bridge portion of the program will provides an on ramp for youth to the occupational training that is being provided. A minimum of 15 percent of enrolled youth will receive bridge programming to obtain the skill levels needed to enter their occupational training.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**

ACCES-VR
ACCES-VR, an office of the New York State Education Department provides a variety of different types of vocational services for individuals with disabilities.

- ACCES-VR provides funding for eligible participants who attend trade schools that are available to the general public. These schools provide vocational training programs in a broad range of skills; from computer operations to personal care (esthiology, hair styling) to health care (medical assistant/billing) to manual trades (welding, auto repair) and so on. Schools are accredited. Most are approved by the Bureau of Proprietary School supervision, but there are exceptions. For example, CDL truck driving schools are approved by the Department of Motor Vehicles. Addiction counseling training is approved by the Office Alcohol and Substance Abuse Services.

- ACCES-VR supplements financial aid for eligible participants who are attending Colleges and Universities. ACCES-VR assists individuals pursing post-secondary degrees. In addition, ACCES-VR also refers participants to Continuing Education programs located in Colleges offering certificates in a broad range of middle skills.

- ACCES-VR also contracts training provided by Vocational Rehabilitation providers. These agencies specialize in the training and job placement of individuals with disabilities. Some examples of these training programs include: human services assistance, food services, building maintenance and pet care.

- In addition, ACCES-VR contracts Supported Employment programs which provide training for individuals with significant intellectual and psychiatric disabilities. These programs work on a “place and train” model. Individuals are first placed on their jobs and then trained by job coaches who are staff of the Supported Employment programs. These training programs have been especially successful in retail and manufacturing.

- ACCES-VR also offers wage incentives to employers. On-the Job Training is one such program. The employer is responsible to hire and train individuals from ACCES-VR. ACCES-VR reimburses the employer for up to six months of salary paid to the individuals.

NYSCB
The New York State Commission for the Blind (NYSCB) provides training in accordance with an approved Individualized Plan for Employment (IPE) for an individual to prepare for achievement of their employment outcome. The type of training provided is determined based on the needs of the individual and the type of preparation required by the selected vocational goal.

The duration of training is determined based upon the requirements of the selected vocational goal, the needs of the individual and NYSCB policy regarding the specific training source. Some training services are provided without regard to the consumer's economic need status, while others are contingent upon economic need.

There are nine types of trainings offered or supported by NYSCB:

1) Vocational, technical, business school training
2) College training
3) Other academic training
4) Rehabilitation teaching
5) Orientation and mobility training
6) Work experience training
7) On-the-job training
8) Job coaching
9) Training in community rehabilitation programs
B. Describe how contracts will be coordinated with the use of ITAs.

**Title I Adult Services/Workforce1 (SBS)**
The Workforce1 Career Centers administer Individual Training Account vouchers, as defined under WIOA, which SBS calls “Individual Training Grants” (ITGs). The centers assess and interview interested and eligible candidates, support them in utilizing the ETPL and the associated NYC Training Guide to identify appropriate courses and training service providers, provide the voucher for training, and engage them through graduation from training to support and validate job connection. The occupations available for vouchers align with the business relationships regularly maintained and available within the one-stop system to maximize connection to employment post-training. Jobseekers that are eligible and interested are able to obtain a voucher at any center and at any time during the year.

Additionally, SBS offers training programs through Training Contracts, where appropriate with WIOA exceptions, which are created with the Industry Partnerships and typically a single, competitively selected training service provider and serve multiple individuals at a time in a cohort, often with barriers to employment. These programs are offered in all of the NYC Career Pathways sectors and meet the dual goal of meeting short-term demand for individual businesses, like ITGs, but also the long-term systems change goals for industry. Like ITGs, the Workforce1 Career Center system supports recruitment and assessment for these training programs, but, in these cases, it is in addition to the efforts of the participating training service provider. These training programs have limited enrollment and are only offered at select times during the year. Training Contracts allow SBS to not only meet immediate training and hiring demand of local employers with a qualified pipeline of graduates, but to build comprehensive and sustainable training models reflective of real-time industry feedback and incorporating education, training, and supportive services to ensure participant success, particularly those with barriers to employment, in training and employment.

C. Describe how the local board will ensure informed customer choice in the selection of training programs regardless of how training services are provided.

**Title I Adult Services/Workforce1 (SBS)**
Among Individual Training Grants, Incumbent Worker Training, On-the-Job Training, and Training Contracts, SBS makes training services available to approximately 4,000 New Yorkers each year and is continually increasing training investments. SBS ensures that all training program opportunities that are in recruitment are made public on the SBS website at www.nyc.gov/accesstraining to provide relevant information for jobseekers and businesses to make training decisions. Individuals interested and eligible for particular ITGs are able to select courses and training service providers on the NYC Training Guide that meet their particular needs. Individuals interested and eligible for a particular training program under a Training Contract will be receiving services from a competitively selected training service provider with unique, proven experience and a vetted training proposal meeting WIOA and SBS’ performance goals, including CUNY, and often demonstrated effectiveness in serving individuals with barriers to employment. It is intended that successful Training Contract models will continue outside public investment, further increasing customer choice in selected effective education and training services to meet individual needs.

**Title IV Vocational Rehabilitation (ACCES-VR and NYSCB)**
ACCES-VR
Customer - or Consumer - Choice has been a policy of ACCES-VR for many, many years. Applicants for services work with Vocational Rehabilitation Counselors to develop mutually agreed upon Individualized Plans for Employment. Applicants can receive community based work assessments or standardized assessments of their aptitudes, values and interests to help them in choosing their vocational goals.

NYSCB
The New York State Commission for the Blind (NYSCB) Vocational Rehabilitation Counselors provide counseling and guidance which is a core vocational rehabilitation service that is provided throughout the consumer’s entire experience with NYSCB. Counseling and guidance is provided to:

- Encourage the consumer through establishing a relationship of mutual respect, where the counselor can be both supportive, yet challenging, in promoting the consumer’s development of the skills needed to achieve an employment outcome.
- Facilitate informed choice throughout the entire rehabilitation process by providing information about the scope and limits of vocational rehabilitation services, and by helping the consumer obtain specific information on programs, resources and services that can assist him/her in the selection and achievement of vocational goals and an employment outcome. When there are limits on the provision or availability of vocational rehabilitation services, the counselor can assist the consumer in exploring alternatives.

X. Public Comment

A. Describe the process used by the local board to provide a period of no more than 30 days for public comment and input into development of the plan by representatives of business, labor organizations, and education prior to submission.

The Local Board intends to make the plan publicly available on December 20, 2017. The Board will coordinate with a local workforce advocacy organization, the New York City Employment and Training Coalition, to convene a public information session to solicit feedback on the plan in early January 2018. The Board will then review and incorporate that feedback, make any last adjustments, and submit the plan formally to NYSDOL by January 31, 2018.

B. Did the NYSDOL State Representative review the plan before submission? If no, please submit to your State Representative for review prior to posting for public comment.

The Local Board intends to have the NYSDOL State Representative review the plan before submission.

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5 Ibid.


8 City of New York. (2014). Career Pathways: One City Working Together. Retrieved from https://www1.nyc.gov/assets/careerpathways/downloads/pdf/career-pathways-full-report.pdf This figure was taken from the 2014 Career Pathways report. The figure draws from multiple sectors and NAICS codes, including Manufacturing (31-33), Utilities (22), Wholesale Trade (42), and Transportation and Warehousing (48-49).


10 Ibid.

11 Ibid.

12 Ibid.

13 Supersectors refer to groups of sectors that have some common traits as determined by the Bureau of Labor Statistics.

14 SOC refers to the Standard Occupational Classification, a taxonomy of occupational groups and occupations devised by the U.S. Department of Labor.

15 New York State Department of Labor. (2017). NYC Workforce Development Board’s 2016 In-Demand Occupation List. Retrieved from https://labor.ny.gov/workforcenypartners/lwda/lwda-occs.shtm. These occupations include 87 of the total of 125 total listed on the New York City In-Demand Occupation List. These titles are related to each of the six sectors targeted under Career Pathways. Note, however, that occupations do not perfectly align to economic sectors.


18 Ibid


20 Ibid


23 Ibid


34 https://csgjusticecenter.org/reentry/posts/researchers-examine-effects-of-a-criminal-record-on-prospects-for-employment/
50 Ibid
56 Ibid
58 Most of these programs offer access to training or college. For the purposes of simplicity, however, these programs have been classified as employment services, not education and training.
59 A large number of for-profit and nonprofit organizations serve thousands of New Yorkers annually through vocational training that does not lead to a degree. However, the total universe of New Yorkers participating in that training is not available.
61 There are additional students who participate in public charter schools and nonpublic schools.
64 Ibid.

## Revenue and Budgeted/Actual Expenses Statement

<table>
<thead>
<tr>
<th></th>
<th>LW/SYA</th>
<th>New York City</th>
<th></th>
<th>LW/SYA</th>
<th>New York City</th>
<th></th>
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<th>LW/SYA</th>
<th>New York City</th>
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### Projected FY 2017 TTE Staffing:

- Full Time Equivalent (FTE) Staffing
- Actual TTE Staffing
- TTE Staffing for Full-Year
- TTE Staffing for Partial-Year
- TTE Staffing for Total-Year
- TOTAL TTE Staffing

### Difference between Actual PY 2016 and Projected PY 2017 TTE Staffing:

- Actual TTE Staffing
- Projected TTE Staffing
- Difference

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*Note: All data should match the total staff that are needed in the LW/SYA.*
### Training and participants recorded for Single-County/One-Stop LWDA's

#### County/One-Stop Name: New York City

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<tr>
<th>Training Type</th>
<th>Adult</th>
<th>Dislocated Worker</th>
<th>Youth</th>
</tr>
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<tbody>
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<td># Participants in Training</td>
<td>Expenditures</td>
<td># Participants in Training</td>
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<tr>
<td>Pay for Performance (10% max)</td>
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<tr>
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<tr>
<td>County/One-Stop Name: New York City</td>
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### PY 2017 Training Projection

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<thead>
<tr>
<th>Training Type</th>
<th>Adult</th>
<th>Dislocated Worker</th>
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<td># Participants in Training</td>
<td>Expenditures</td>
<td># Participants in Training</td>
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<td>BronxWorks, Inc.</td>
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<tr>
<td>Catholic Charities Community Services Archdiocese of NY</td>
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<td>Chinese American Planning Council (Manhattan)</td>
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<td>Chinese American Planning Council (Queens)</td>
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<td>RiseBoro Community Partnership</td>
<td>(718) 821-0254</td>
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<tr>
<td>Rockaway Development &amp; Revitalization Corporation</td>
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<td>Contract</td>
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<tr>
<td>Samuel Field YM &amp; YWHA, Inc.</td>
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Glossary

**Adult Basic Education:** Adult basic education programs focus on basic skills, improving participants’ English proficiency or preparing them to earn their high school equivalency credential. While these programs do often include work-readiness programming such as “life skills math,” computer proficiency, and job readiness skills, they are not explicitly geared toward preparing students for specific roles in the workforce.

**Bridge Program:** Bridge programs offer career-focused basic education targeted at students with a moderate level of education, typically scoring between seventh- and tenth-grade literacy levels. Unlike adult basic education programs, bridge programs have an explicit sector or career focus, emphasizing pathways into a specific educational or career track, and the basic language and skills relevant to that track.

**Career Pathways:** Career Pathways is a system-wide framework that aligns and coordinates education, training, credential attainment, and early job exposure opportunities to serve workers at various stages of the job continuum, allowing them to advance to successively higher levels of education and employment in a given sector.

**English for Speakers of Other Languages (ESOL):** English-language instruction for non-native speakers.

**High School Equivalency:** High school equivalency (previously called the GED) refers to a certification that a student has passed a test demonstrating achievement in reading, writing, math, science, and social studies aligned to the K-12 Common Core State Standards and other standards. In New York State, the Test Assessing Secondary Completion (TASC) is used.

**Industry Partnership:** Industry Partnerships are City-designated entities that define and fulfill labor demand by engaging employers. Through collaboration with employers, organized labor, educational institutions, workforce providers, philanthropy, and City agencies, Industry Partnerships identify the skills and credentials that employers need and develop strategies and approaches to help New Yorkers build those skills.

**Workforce Innovation and Opportunity Act:** A July 2014 law replacing the Workforce Investment Act, WIOA grants local workforce policymakers much broader latitude to shift resources toward training options while encouraging whole-system coordination and integration.

**Acronyms**

- **ABE**  
  Adult Basic Education
- **ACCES-VR**  
  Adult Career and Continuing Education Services – Vocational Rehabilitation
- **BSC**  
  NYC Business Solutions Center
- **CAP**  
  Client Assistance Program
- **CBOs**  
  Community-Based Organizations
- **CDC**  
  Career Development Connect
- **CUNY**  
  City University of New York
- **DOE**  
  NYC Department of Education
- **DOE-OACE**  
  NYC Department of Education, Office of Adult and Continuing Education
DVOP  Disabled Veterans Operation Specialist
DYCD  NYC Department of Youth and Community Development
EEO   Equal Employment Opportunity
ESOL  English for Speakers of Other Languages
ETPL  Eligible Training Provider List
HRA   NYC Human Resources Administration
HSE   High School Equivalency
IPE   Individualized Plan for Employment
ISY   In-School Youth
ITA   Individual Training Account
LMIS  Labor Market Information Service of the City University of New York
LVER  Local Veterans Employment Specialist
MOU   Memorandum of Understanding
NAICS North American Industry Classification System
NYACH New York Alliance for Careers in Healthcare
NYCEDC NYC Economic Development Corporation
NYSCB New York State Commission for the Blind
NYSDOL New York State Department of Labor
NYSED New York State Education Department
OCFS  New York State Office for Children and Family Services
OJT   On-the-Job Training
OSOW  Out-of-School and Out-of-Work Youth
OSY   Out-of-School Youth
PPB   New York City Policy Procurement Board
RFP   Request for Proposal
SBS   NYC Department of Small Business Services
SYEP  Summer Youth Employment Program
TTP   Tech Talent Pipeline
UI    Unemployment Insurance
WARN  Worker Adjustment and Retraining Notification
WETC  Workers (with Disabilities) Employment Tax Credit
WIOA  Workforce Innovation and Opportunity Act
WOTC  Work Opportunity Tax Credit
YAIP  Young Adult Internship Program
YTN   Youth Training Network