



# CHAPTER 5: PLAN ADOPTION



## 1. Overview

Formal plan adoption is a required part of the planning process and demonstrates New York City's commitment to fulfilling the mitigation goals and objectives outlined in the Plan. In addition to fulfilling the requirements of the Disaster Mitigation Act of 2000, the Mayoral adoption of the Hazard Mitigation Plan (HMP) establishes it as a policy for New York City that defines the actions agencies should take to comply with or implement the HMP.

### A. Plan Adoption Process

- Obtain "Approval Pending Adoption" status from New York State Division of Homeland Security and Emergency Services (DHSES) and the Federal Emergency Management Agency (FEMA).
- Draft an adoption resolution to meet plan requirements and demonstrate New York City's commitment to protect its residents and built environment from the effects of natural hazards.
- Adopt HMP through Mayoral Executive Order.

### B. FEMA Requirements Addressed in this Section

The OEM Hazard Mitigation Planning Team created a plan adoption strategy consistent with the process and steps presented in FEMA's [Local Mitigation Plan Review Guide](#) (2011) (website link provided at the end of chapter 4). This section satisfies the following FEMA requirement:

- **Requirement §201.6(c)(5):** [The local hazard mitigation plan *shall* include] documentation that the plan has been formally adopted by the governing body of the jurisdiction requesting approval of the plan.



FEMA

April 17, 2014

Ms. Susan A. Picarillo  
Deputy Director  
New York State Office of Emergency Management  
Building 22, Suite 101  
1220 Washington Avenue  
Albany, NY 12226-2251

Re: Approval of the City of New York, NY Hazard Mitigation Plan Update

Dear Ms. Picarillo:

I am pleased to inform you that Federal Emergency Management Agency (FEMA) Region II has approved the City of New York, NY Local Multi-jurisdictional Hazard Mitigation Plan. FEMA received the County adoption resolution on April 17, 2014 and has approved this plan for a period of five years from that date. This plan expires on April 17, 2019.

Our office conducted a review of the referenced plan in conformance with Title 44 Code of Federal Regulations (CFR) Part 201, *Mitigation Planning* and FEMA's *Local Mitigation Plan Review Guide*, the official guidance to develop and review new and updated mitigation plans. The Region's review is documented in the Local Hazard Mitigation Plan Review Tool dated March 30, 2014. The jurisdiction can use the information in this Review Tool to refine and update its plan.

With an approved and adopted plan, the jurisdiction is now eligible as a sub-grantee for project grants under FEMA's Hazard Mitigation Assistance programs, including the Hazard Mitigation Grant Program, the Pre-Disaster Mitigation and Flood Mitigation Assistance programs. It is important to note that mitigation activities are not automatically approved for grant funding but are simply eligible and must complete the grant application process.

Please inform The City of this approval. Also, note that jurisdictions that have approved mitigation plans are eligible for points under the National Flood Insurance Program's Community Rating System (CRS). Additional information regarding the CRS can be found at [www.fema.gov/business/nfip/crs.shtm](http://www.fema.gov/business/nfip/crs.shtm) or by contacting the local floodplain manager.

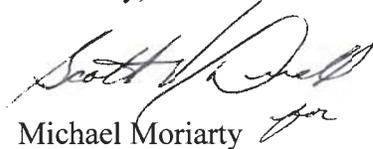
Ms. Susan A. Picarillo

April 17, 2014

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We commend the City of New York for taking this important step toward disaster resilience. If you have any questions, contact Scott Duell, Risk Analysis Branch Chief, at (212) 680-3630.

Sincerely,

A handwritten signature in cursive script, appearing to read "Scott Duell".

Michael Moriarty  
Director  
Mitigation Division

cc: Richard Lord, NY State Hazard Mitigation Officer  
New York State Office of Emergency Management



THE CITY OF NEW YORK  
OFFICE OF THE MAYOR  
NEW YORK, N.Y. 10007

EXECUTIVE ORDER No. 3

NEW YORK CITY HAZARD MITIGATION PLAN

April 15, 2014

WHEREAS, the Disaster Mitigation Act of 2000, Public Law 106-390 ("the Act"), establishes a national disaster hazard mitigation program to reduce the loss of life and property, human suffering, economic disruption, and disaster assistance costs resulting from natural disasters, and to assist state, local and Indian tribal governments in implementing effective hazard mitigation measures designed to ensure the continuation of critical services and facilities after a natural disaster; and

WHEREAS, the Act requires such governments to develop hazard mitigation plans to identify the natural hazards that could impact their jurisdictions, identify actions and activities to mitigate the effects of those hazards, and establish a coordinated process to implement such plans; and

WHEREAS, the Act requires local governments to update such plans every five years and submit such updated plans to the Federal Emergency Management Agency for approval; and

WHEREAS, the City of New York (the "City") has been and continues to be committed to reducing the loss of life and property, alleviating human suffering and economic disruption, and controlling disaster assistance costs resulting from all hazards and accelerating the City's recovery after the occurrence of any such hazard; and

WHEREAS, a Natural Hazard Mitigation Plan for the City of New York approved by the Federal Emergency Management Agency ("FEMA") was adopted pursuant to Executive Order No. 126, dated March 4, 2009; and

WHEREAS, the New York City Office of Emergency Management ("OEM"), in coordination with governmental and non-governmental stakeholders having an interest in reducing the impact of natural hazards throughout the City and with input from the private sector and other members of the public, has updated the Hazard Mitigation Plan for the City of New York, and

WHEREAS, such updated Hazard Mitigation Plan has been approved by FEMA subject to adoption by the City;

NOW, THEREFORE, by the power vested in me as the Mayor of the City of New York, it is hereby ordered:

Section 1. The updated 2014 Hazard Mitigation Plan developed by OEM and approved by FEMA is hereby adopted as the City's hazard mitigation plan pursuant to the Disaster Mitigation Act.

§ 2. OEM shall continue to be the agency responsible for monitoring, evaluating and updating the Hazard Mitigation Plan in accordance with the Disaster Mitigation Act.

§ 3. All agencies shall provide such assistance and cooperation as may be necessary or appropriate to implement the provisions of the Hazard Mitigation Plan and carry out the City's responsibilities under the Disaster Mitigation Act.

§ 4. This Order shall take effect immediately.

  
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Bill de Blasio  
Mayor