FORENSIC BIOLOGY PROTOCOLS FOR FORENSIC MITOCHONDRIAL DNA ANALYSIS

Primary Approving Authority:
Mark A. Desire, Technical Leader – Mitochondrial DNA Operations

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Mitochondrial DNA Guidelines

PURPOSE: Guidelines for mitochondrial DNA testing to ensure clean laboratory practices, unambiguous sample identification, and relevant control runs.

A. General Procedures:

To reduce the possible contamination in the laboratory that could occur: (i) between the analyst and the samples (ii) from one sample to another, or (iii) from extraneous sources of DNA within the laboratory.

1. Lab coat, gloves, and mask, eye protection, and/or face shield, must always be worn while in the exam and pre-amplification room. Lab coat, gloves, eye protection must be worn in the post amplification area. All gowned must be done in the vestibules of exam, pre-amp or post amp rooms.

2. Lab coats can be reused for a period of one week. Afterwards, they should be thrown out. Masks/face shields can also be reused for a period of one week. Goggles can be exposed to UV light in the Stratalinker to extend their time of use.

3. When working in the exam or pre-amplification laboratory, gloves must be rinsed in 10% bleach before each procedure and in-between the handling of separate samples.

4. Pipettes must be wiped down with 10% bleach before each procedure, and between the pipetting of separate samples.

5. All hoods must be wiped down with 10% bleach before and after each procedure, followed by a 70% Ethyl Alcohol rinse, and UV light, if available, should be applied for 30 minutes before and following each procedure.

6. All racks, tube-openers and any other plastic implements (but not the pipettes) must be exposed to UV light in the Stratalinker for a minimum of 30 minutes before they can be used for amplification or extraction.

7. Any 96-well tube racks taken from the pre-amp room to the post-amp room must be placed into the post-amp bleach bath, rinsed, and dried prior to being returned to the pre-amp room.

8. All 1.5ml and 0.2ml tubes can be kept in plastic Nalgene boxes or comparable containers, and should only be removed with bleached and dried gloves while fully gowned. Prior to placement of tubes into these containers, the tubes used for, washing, extraction and amplification must be exposed to UV light in the Stratalinker for 30 minutes.
9. Counters, sinks, refrigerator/freezer handles and door handles inside the laboratory and gowning room should be wiped down with 10% bleach on a monthly basis.

### B. Nomenclature

The following are suggested naming conventions for use throughout the sample processing. The goal of this nomenclature is to ensure that sample names are unique identifiers.

1. Samples re-extracted for the purposes of duplication (new cutting): The suffix “dup” will be added to the sample name to separately identify the re-extraction sample from the original, and this suffix will be applied to these duplication samples throughout the processing.

2. Samples reamplified in order to improve on the quality of the results or for other purposes: The suffix “reamp” will be added to the sample name. If multiple reamplifications are necessary, the numeral 1, 2, 3, etc. will be added to the suffix.

3. At the 3130xl run step:
   - The suffix “recyc” will be added to each sample name for samples that are re-sequenced (e.g. sample-recyc). If multiple re-cycle sequences are necessary, the numeral 1, 2, 3, etc. will be added to the suffix.
   - The suffix “conf” will be added to each sample name for samples that are re-sequenced to confirm sequence or length heteroplasmy (e.g. sample-conf). If multiple confirmatory sequences are necessary, the numeral 1, 2, 3, etc. will be added to the suffix.
   - The suffix “reinj” will be added to each sample name for samples that are re-injected (e.g. sample-reinj). If multiple reinjections are necessary, the numeral 1, 2, 3, etc. will be added to the suffix.
   - The primer used will be added as suffix to each sample name. This suffix will always be added last, e.g. sample-B4, sample-recyc-1-B4, sample-conf-2-B4, sample-reinj-3-B4.

4. Contig name:
   - A contig name should be: FBYY-12345-HVI, or FBYY-12345-HVII, or FBYY-12345-HVI dup, FBYY-12345-HVII dup.
- In certain cases more than one sample will be typed and identifiers could be added after FBYY-12345, e.g. FBYY-12345(V)-HVI, FBYY-12345-PM7-HVI, FBYY-12345-PM1-HVI, FBYY-12345-Q1-HVI, FBYY-12345-Q2-HVI

5. Sequencher ID
   - The sequencher ID of an analyzed run will be identical to that run ID, e.g. SYY-123.
   - The sequencher ID of a case will be identical to the FB case number, e.g. FBYY-12345.
   - The sequencher ID for a Missing person case will be the FB# space MP (e.g. FBYY-12345 MP)

C. Repeat Analysis of Samples

Repeat testing of a sample can start at different stages, as listed below. Appropriate controls must be used.

1. **Extraction stage**: A new extraction negative control must be run.
2. **Amplification stage**: New amplification negative and positive control must be included. The extraction negative control does not need to be repeated if it previously passed.
3. **Cycle sequencing**: Positive and negative controls must be tested for each primer used. The original extraction negative does not have to be repeated if it passed for all needed sequences. The original amplification negative does not have to be repeated if it passed for all needed sequences; a cycle sequencing negative (cAN) should then be used (20 µl H₂O) for each primer used. The original positive control should be used and suffixed recyc for each primer used; however, any positive control can also be used as long as the contig of interest can be built with that positive control. Note that if a sample needs to be re-sequenced with a primer because the positive control at that primer failed, then every control or sample in that run needs to be re-sequenced with that primer.
D. Batching and Duplication Guidelines

Duplication of samples is only necessary from when samples are batched.

Exemplar samples batched and extracted for nuclear DNA may be duplicated with a second nuclear DNA extraction and STR typing.

For mtDNA, duplication of a given sample can be accomplished by running one informative primer for that sample in either HVI or HVII.

1. Evidence samples
   a) Batching of evidence samples will be allowed at all steps of mtDNA analysis including the DNA extraction stage. When batching evidence samples at the extraction stage, a maximum number of five samples can be batched. Duplication at the extraction level can be done for case-related reasons (see supervisor).
   b) Identical mtDNA profiles involving at least one evidence sample (two evidence samples or one evidence sample plus an exemplar) within a case are considered duplicated for the evidence sample.
   c) Duplication of sample at the quantification level is not required. Evidence samples may be duplicated if they do not match any other sample in the case at the discretion of a supervisor.
   d) Duplication of evidence samples may begin at the amplification or cycle sequencing steps if there is no additional evidence material for extraction or amplification, respectively.

2. Exemplar samples
   a) Batching of exemplar samples from different cases will be allowed at all steps of mtDNA analysis including the DNA extraction stage.
   b) HVI-HVII amplification and sequencing of exemplar samples from the same case (e.g. family members, duplication samples) should be performed at least once separately.
   c) Suspect exemplars will be duplicated if that sample matches an evidence sample.
d) Victim exemplars will be duplicated if they do not match any other sample in the case and if that exclusion is informative.
e) Missing Persons’ exemplars and unidentified remains do not need to be duplicated.
f) Any exemplar may be duplicated for case related reasons or to streamline testing.

3. Exemplar with Evidence samples

With the exception of quantification evidence and exemplar samples must always be tested separately in time and/or space. Batching of evidence with exemplar samples is allowed during the DNA quantitation step. Batching of evidence with exemplar samples is also allowed during Agilent analysis provided that sample aliquots are done on each sample type (evidence or exemplar) at separate times. Quantification steps do not need to be duplicated.

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
April 1, 2014 – Modified section D (Batching and Duplication Guidelines). Batching of evidence in now allowable at all steps of mDNA analysis. Policy for duplication of evidence has been modified to be at the discretion of the supervisor if sample results are not duplicated in the case.
Hair Evidence Examination

General Guidelines


A. Hair Evidence Examination

1. Record all packaging documentation and open packaging in a dead-air hood (preferred) or comparable (e.g. enclosed space).

2. Document the hair examination. Note the hair approximate length and whether or not the hair is mounted.

3. **If hair is < 1 cm in length, see supervisor. If the hair will be consumed indicate in case notes that the sample will be consumed for testing and proceed.**

4. For hairs that are loose, proceed with step 5. For hairs that are mounted, proceed with demounting (See “Washing Hairs for Mitochondrial and Nuclear Testing”, part A) and then return to step 5.

   **Note: It is at the analyst’s discretion to photo document hair mounted on a slide at this step. In this case follow step 5 below prior to demounting hair.**

5. Take a picture of the full hair:
   - Digital or Mideo picture can be made.
   - If the hair is unmounted, it can be placed in a weigh boat.
   - Place hair on appropriate background for photo documentation. Brown, black, or darker colored hairs should be placed on a white sheet of clean paper. Blonde, white or light colored hairs should be placed on a darker background.
   - Take a digital/Mideo photograph of the full hair, including a ruler/measurement in the frame. For digital pictures, be sure the digital camera is set to Macro (flower) and the flash is off before taking the picture. For Mideo pictures, see Mideo Macro/Microscopic Digital Imaging System below (see part B).
     - Save /export pictures as the LIMS attachments.
     - Print digital and Mideo images for case file (see part C).
B. Mideo Macro/microscopic digital imaging system

1. Make sure the computer is on and all of the proper cables are connected between the Firewire camera and the computer.

2. Double-click on the desktop shortcut **EZDocPlus**.

3. The main program screen will appear. Click on “Camera” and select “Micro Cam M”. The QCam Microcam Control Panel will appear.

4. Make sure at this point that the stereo microscope is on, the light source is active, and the specimen is in focus. When viewing solid, dark objects, it is best to use the ocular light ring to illuminate the sample. When viewing slides or thin tissue samples, use the direct light from the lamp base of the microscope.

5. On the **Microcam Control Panel (shown to the right)**, perform the following:

   a. Click the **Live** button.
   b. Adjust the binning so that the setting is 3 for both the horizontal and vertical.
   c. Check the **Flip Image** box.
   d. Click the **Auto Exposure** button.
   e. Adjust the intensity of the light and click **Auto Exposure** if the image is too bright or too dark.

   **NOTE:** If the background color is not white or off white, place a sheet of paper in view of the lens and click on the White Balance button. Repeat step 5d once completed.
6. Once the image on the screen is in focus and there is proper contrast, click the **Grab** button on the control panel. This will freeze the image on the screen.

7. Under the **Tools** menu, select **Overlays**. Once the panel opens up, perform the following:

   a. Click on the **Measure** tab.
   b. Click the **Load Calibration** button.
   c. Select the calibration based on the current microscope magnification level.
   d. **FOR LINEAR OBJECTS**
      1) Click on the **Length** button.
      2) Select any of the length tools to measure the length of the imaged object.
   e. **FOR NON-LINEAR OBJECTS**
      1) Click on the **Multilength** button
      2) Trace the non-linear object length by left-clicking the mouse at desired turns and corners. Hit “Enter” on the keyboard when finished.
8. Save the image by going to the File menu and selecting Export Image.

9. Save image with case identifying name (e.g. FB07-04117 Item 1A-1).

10. Import the saved image into the LIMS as an attachment for the evidence item.

C. Printing digital and mideo images for case file

1. Open Microsoft PowerPoint.
2. Go to File menu and select Page Set-up. Change slide orientation from landscape to portrait.
3. Import pictures
4. Add Sample ID. Add comments if needed.
5. Save as FB# in Photo Archives

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
Washing Hair for Mitochondrial or Nuclear DNA Testing

PURPOSE: To prepare hairs for DNA extraction.

A. Demounting

1. If the hair is loose, then proceed to the appropriate hair washing procedure. If a “possible root” is observed, the sample should be cut and washed for nuclear DNA testing extraction (see part C).

2. If the hair is mounted:
   i. Process only one mounted slide at a time.
   ii. Turn on the heat plate and adjust the heat dial between 100-110°C. Place the slide on a heat plate until the mountant softens and using forceps remove the cover slip. The mountant softens quickly and hairs will scorch if left on the heat plate too long.
   iii. The hair will be attached to either the coverslip or the slide. Remove hair and place into a xylene bath for up to 5 minutes or until the mountant completely dissolves. Hairs and slides/cover slip containing hairs can be kept in the xylene bath for longer than 5 minutes if necessary.
   iv. Using clean forceps, carefully remove the hair from the xylene bath.
   v. It is at the discretion of the analyst to make a picture of the full hair at this time.
   vi. Proceed to the appropriate hair washing procedure.

B. Washing the hair for mtDNA testing extraction

1. Using forceps and a scalpel cut a 2 cm region of the hair or hair shaft. A picture of the cutting should be taken at this time. If the hair is also to be tested for nuclear DNA, the mitochondrial DNA cutting should be away from the root. Place the unused portion of the hair onto the backing of a post-it note and return to the packaging.

2. If “possible tissue” attached to hair is observed, see your supervisor. In some cases the hair will not be washed, proceed to step 11 and enter N/A as TergAZyme and Saline lot #.

3. Prepare 5% TergAZyme solution by adding 15ml of GIBCO water to 0.75g of TergAZyme. Mix well. Record TergAZyme lot #.

4. Using clean forceps, place the hair fragment cutting into a 1.5 ml tube with 1 ml
of 5% TergAZyme solution. Vortex the tube for 1 minute at high speed, and place into the sonicator for 15 minutes. After sonication, vortex the sample again for 1 minute at high speed.

5. Prepare a 50 ml Falcon tube and filter cup. Label the tube and filter cup tab with the sample name. Pre-wet the filter cup membrane with 1 ml of Gibco dH₂O.

6. Remove the hair from the TergAZyme with clean forceps, and place the hair into the filter cup in the center of the membrane.

7. Wash the hair with 1 ml of Gibco dH₂O. Allow the liquid to pass through the filter.

8. Wash the hair with 1 ml of 0.85% saline. Allow the liquid to pass through the filter. Record Saline Lot #.

9. Wash the hair with 1 ml of 100% ethanol. Allow the liquid to pass through the filter.

10. Remove the filter cup containing the hair and place on a Kimwipe to let the ethanol evaporate. Once the filter membrane is dry, the hair will be dry as well.

11. Transfer the cut hair fragment to the bottom of a clean 1.5 ml tube. Label the tube.

12. Store the tube containing the hair fragment in the appropriate “To Be Extracted” cryobox in the pre-amplification laboratory freezer.

13. Proceed to Mitochondrial extraction for mitochondrial DNA testing procedure.

C. Washing the hair for nuclear DNA testing extraction

1. For nuclear DNA extractions, using forceps and a scalpel cut up to 1.5 cm of the proximal region of the hair, including the root. Place the unused portion of the hair onto the backing of a post-it note and return to the packaging. At the discretion of the analyst, a picture of the hair cutting containing the root may be taken at this time.

If “possible tissue” attached to hair is observed, see your supervisor. In some cases the hair will not be washed, proceed to step 11 and enter N/A as Saline lot #.

2. Prepare a 50 ml Falcon tube and filter cup set by labeling the tube and filter cup tab with the sample name. Pre-wet the filter cup membrane with 1 ml of 0.85% saline. Document Saline lot #.

3. Using clean forceps, place the cut hair into the filter cup in the center of the membrane.

4. Wash the hair with 1 ml of 0.85% saline. Allow the liquid to pass through the filter. Repeat that step.

5. Wash the hair with 1 ml of 100% ethanol. Allow the liquid to pass through the
6. Remove the filter cup containing the hair and place on a Kimwipe to let the ethanol evaporate. Once the filter membrane is dry, the hair will be dry as well.

7. Transfer the cut hair fragment to the bottom of a clean 1.5 ml tube. Label the tube.

8. Store the tube containing the hair fragment in the appropriate “To Be Extracted” cryobox in the pre-amplification laboratory freezer.

9. Within the LIMS system, indicate the cutting was made on the evidence item and schedule the appropriate DNA extraction procedure using the sample creation wizard.

10. Proceed to Mitochondrial extraction for nuclear DNA testing procedure.
Organic Extraction for Mitochondrial or Nuclear DNA Testing

Refer to the current Protocols for Forensic STR Analysis manual for extraction, quantitation, amplification, and STR procedures currently on-line for other Nuclear DNA Operations.

**PURPOSE:** To isolate nuclear or mitochondrial DNA from the hair using an enzymatic digestion of the hair followed by an organic extraction.

**A. Extraction for Mitochondrial and Nuclear DNA testing**

1. Prepare hair for digestion by removing the appropriate microcentrifuge tube from the “To Be Extracted” cryobox. Record the Organic Extraction documentation.

2. Prepare the incubation solution in a 1.5ml tube using the following table. Label this tube with the extraction date and time as ENEGDDMMYY-HHMM.

<table>
<thead>
<tr>
<th>Reagents</th>
<th>1 hair + extraction negative</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proteinase K (20mg/ml)</td>
<td>30 µL (15*2)</td>
</tr>
<tr>
<td>DTT (1M)</td>
<td>75 µL(37.5*2)</td>
</tr>
<tr>
<td>20% SDS</td>
<td>7.5 µL(3.75*2)</td>
</tr>
<tr>
<td>Organic Extraction Buffer</td>
<td>188 µL(94*2)</td>
</tr>
</tbody>
</table>

3. When extracting clumps of hair, or multiple hairs together, the total volume of the incubation solution can be increased 2- to 10-fold, to accommodate the size of the sample. Adjust the reagent volumes to accommodate these changes. Be sure to record such volume changes in the documentation.

4. Have the extraction tube set-up witnessed.

5. Aliquot 150 µL of the incubation solution into the 1.5ml tube containing the hair and leave the remaining solution in the original 1.5ml tube as the negative control.

6. Incubate samples for 30 min. in a 1400 rpm shaker at 56°C. Record the number of the thermal mixer, the thermal mixer temperature setting and actual temperature in the documentation.

7. After 30 min., hairs should be dissolved. If not, incubate for a total of 1-2 hours. If hairs have not dissolved, add 1µLof 1M DTT and incubate overnight. Be sure to record this on the extraction documentation, if performed. Hairs and control samples should be both treated the same way. After overnight incubation, record
8. When the hair sample is completely dissolved, proceed with the extract to the purification step (see part B).

9. The hair sample might not completely digest even after the overnight incubation. If the hair is chemically treated, straightened, or dyed, it might resist digestion. The incubation process might remove the pigment or coloring from a hair and leave it opaque. If this happens, record this observation in the documentation. Centrifuge the sample for 3-5 minutes at full speed. Collect the supernatant (extract) in a new tube, carefully without disturbing the pellet. Add the suffix “–R” to the sample name and label on the original tube containing the hair remain (pellet). Hair remains will be stored with the other sample. Proceed to purification step with the extract (see part B).

B. Purification of DNA for Mitochondrial and Nuclear DNA testing

1. During the incubation, prepare and label for each sample: one Eppendorf Heavy Phase Lock Gel (PLG) tube, one microcon filter, three microcon collection tubes, and one 1.5 ml tube for final extract. PLG tubes can be centrifuged for 30 seconds at maximum speed prior to sample addition.

2. After incubation, have the purification tube set-up witnessed.

3. Transfer each extracted sample to appropriate labeled PLG tube. PLG tubes make the phase separation between organic and aqueous layers of an organic extraction easier. To each PLG tube add an equal volume of Phenol: Chloroform: Isoamyl Alcohol (25:24:1 PCIA). The PCIA volume to be added should be 150µl unless the extraction volume has been increased in step A3. PCIA is an irritant that is toxic. Its use should be confined to a certified fume hood. Gloves and a mask should be worn.

4. Shake or briefly vortex the tube to achieve a milky emulsion.

5. Centrifuge the tube in a microcentrifuge for 2 minutes at maximum speed.

6. Insert Microcon DNA Fast Flow filter cup (blue) into labeled microcon tubes for each sample.

7. Prepare the Microcon concentrator by adding 100 µL of TE-4 to the filter side (top) of the concentrator.

8. Transfer the aqueous phase (top layer) from the PLG tube to the prepared Microcon concentrator. Do not disturb the PLG layer. Discard the PLG tube containing the organic layer into the organic waste bottle in the fume hood.


10. Transfer the Microcon filter cup into a new labeled Microcon tube and add 400µL of TE-4 to the filter side (top) of the concentrator.

11. Spin again at 500 rcf for 20 minutes. After this spin, if liquid is still observed on
12. Add 20 µL of TE\textsuperscript{−4} to the filter side (top) of the concentrator.
13. Invert the blue concentrator cup and place into appropriate microcon collection tubes. Spin at 1000 rcf for 3 minutes to collect samples.

- **For mitochondrial DNA testing,**
  - Using a pipettor, measure volume collected and record it.
  - Transfer samples to a 1.5 ml microcentrifuge tube for storage.
  - Adjust samples volume to 50 µL using TE\textsuperscript{−4} record these volumes.
  - Proceed with HVI-HVII amplification with 20µl of samples.

- **For nuclear DNA testing,**
  - Using a pipettor, measure the volume collected
  - The volume should be close to 20ul, in control and hair samples. If the volume is >30µl, prepare a new microcon filter and tube (see part 6 above) and spin at 500 rcf, control and hair samples, for an additional 6 minutes. After this spin, if liquid is still observed on the membrane, continue spinning for a longer time.
  - Measure the final volume collected and record it.
  - Transfer samples to a 1.5 ml microcentrifuge tube for storage
  - Send 2.5 µL of samples (neat) for nuclear quantification. If quantitation results show an insufficient amount of nuclear DNA for STR testing, the extract may then be used for mtDNA analysis.

**Revision History:**

July 24, 2010 – Initial version of procedure.
November 4, 2010 – Added instruction for thermal mixer documentation.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
December 31, 2012 – YM100 microcons were discontinued by the manufacturer. The manufacturer is now producing the DNA Fast Flow Microcons. All references to the YM100’s have been revised. Spin times in Section B, Steps 11 and 13 have been revised for the new microcons.
Duplex Mitochondrial DNA PCR Amplification

PURPOSE: To increase the amount of available mtDNA for the purposes of analysis, by performing an *in vitro* replication of template DNA using oligonucleotide primers, thermostable DNA polymerase and deoxynucleoside triphosphate bases (dNTPs) within a thermal cycler.

PROCEDURE:

A positive control, an amplification negative, and an extraction negative control (if applicable) should be included with each batch of samples being amplified to demonstrate procedural integrity. The positive control is a laboratory grade cell line, for which the mtDNA type is known.

Follow the mtDNA pre-amplification guidelines for handling the tubes and cleaning of the work surfaces. The following steps have to be performed in the appropriate dedicated areas. Evidence samples and exemplar samples should not be handled at the same time.

A. Preparing the DNA aliquots for HVI-HVII amplification

  - Amplification can be performed with either Roche or Homebrew reagents. **Homebrew reagents should be used for Missing Person’s testing, body identifications, and kinship testing where the sample type is other than a hair shaft sample.**

  - When amplifying extracts which have nuclear DNA quantification data, the target amount of extract to be amplified is:
    - 100 pg when using Roche reagents
    - 500 pg when using Homebrew reagents

  - When amplifying samples that have not been quantified (e.g., hair shaft samples), use 20ul of the extract and Roche reagents, only.

  - Table I refers to the preparation of the control samples for the amplification.
Table I – Control samples for amplification.

<table>
<thead>
<tr>
<th>Sample</th>
<th>DNA (extract)</th>
<th>TE⁻⁴</th>
</tr>
</thead>
<tbody>
<tr>
<td>HL60 Positive Control DNA (100 pg/20 µL)</td>
<td>20 µL</td>
<td>---</td>
</tr>
<tr>
<td>Amplification Negative Control</td>
<td>---</td>
<td>20 µL</td>
</tr>
<tr>
<td>Extraction Negative Control, (s) when sample amplified neat</td>
<td>20 µL</td>
<td>---</td>
</tr>
<tr>
<td>Extraction Negative Control (s) when a dilution/concentration of sample extract is amplified</td>
<td>Submit 20 µL of the extraction negative at the same dilution/concentration factor or more concentrated than the sample</td>
<td></td>
</tr>
</tbody>
</table>

B. Amplification Setup

1. For each amplification set, record the lot numbers and samples in the documentation. Label 0.2 ml PCR reaction tubes with sample label name and with date and time for the positive and negative controls.

2. If samples require dilution, prepare the aliquots in UV’ed 1.5mL tubes, and place the neat samples back into storage.

3. Master mix preparation:

   a) For amplification using Roche reagents:
      • Prepare a Master Mix with Reaction Mix and Primer Mix. The following calculations are used:
        o Reaction Mix: number of samples N x 20 µL Reaction Mix = __ µL
        o Primer Mix: number of samples N x 10 µL Primer Mix = __ µL

      **Note:** For ≤6 samples, use N, for ≥ 6 samples, use N+1. To save on reagents, individual aliquots of Reaction and Primer Mix can be made.
Add Reaction Mix and Primer Mix together to prepare Master Mix.
Vortex the Master Mix and centrifuge briefly.
Aliquot 30 µL of the Master Mix into the bottom of each labeled 0.2 ml reaction tube.

For amplification with Homebrew reagents:

- Prepare Reaction Mix and Primer Mix master mixes according to Homebrew amplification documentation. The following amounts of reagents per sample are used:

<table>
<thead>
<tr>
<th>Reaction Mix Reagents</th>
<th>Volume per sample</th>
<th>Primer Mix Reagents</th>
<th>Volume per sample</th>
</tr>
</thead>
<tbody>
<tr>
<td>UltraPure dH2O</td>
<td>3.7 µL</td>
<td>UltraPure dH2O</td>
<td>6 µL</td>
</tr>
<tr>
<td>GeneAMP 10X PCR Buffer</td>
<td>5 µL</td>
<td>10 mM HVIF</td>
<td>1 µL</td>
</tr>
<tr>
<td>2.5mM dNTPs</td>
<td>4 µL</td>
<td>10 mM HVIR</td>
<td>1 µL</td>
</tr>
<tr>
<td>25mM MgCl₂</td>
<td>4.8 µL</td>
<td>10 mM HVIIF</td>
<td>1 µL</td>
</tr>
<tr>
<td>5U/µL AmpliTAQ Gold DNA Polymerase</td>
<td>2.5 µL</td>
<td>10 mM HVIIR</td>
<td>1 µL</td>
</tr>
<tr>
<td><strong>Total Reaction Mix volume per sample</strong></td>
<td><strong>20 µL</strong></td>
<td><strong>Total Primer Mix volume per sample</strong></td>
<td><strong>10 µL</strong></td>
</tr>
</tbody>
</table>

The following calculations are used:
- Reaction Mix: number of samples + 1 (N + 1) x Reaction Mix Reagent Amount = __ µL
- Primer Mix: number of samples + 1 (N + 1) x Primer Mix Reagent Amount = __ µL

- Vortex the Reaction and Primer Mix and centrifuge briefly
- Aliquot 20 µL of the Reaction Mix and 10 µL of the primer mix into the bottom of each labeled 0.2 ml reaction tube.
4. Add samples into the 0.2ml tubes. Use a sterile filter pipette tip for each sample addition. Open only one tube at a time for sample addition. The final aqueous volume in the PCR reaction mix tube will be 50 µL. Transfer the appropriate volume of target DNA or TE-4 to each respective sample tube. After the addition of the DNA, cap each sample before proceeding to the next tube. If necessary, spin down the tubes at 1000 rcf for a few seconds.

5. When finished, place the rack with the 0.2ml tubes in the pre-amp room dumbwaiter. Send the samples up to the post-amp room.

C. Thermal Cycling

1. Turn on the Perkin Elmer 9700 Thermal Cycler.

2. Use the following settings to amplify the samples

| 9700 Thermal Cycler | The amplification file is as follows-
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>User: mtDNA</td>
<td>Soak at 94°C for 14 minutes</td>
</tr>
<tr>
<td>File: lamtdna</td>
<td>- Denature 92°C for 15 seconds</td>
</tr>
<tr>
<td></td>
<td>34 cycles:</td>
</tr>
<tr>
<td></td>
<td>- Anneal at 59°C for 30 seconds</td>
</tr>
<tr>
<td></td>
<td>- Extend at 72°C for 30 seconds</td>
</tr>
<tr>
<td></td>
<td>Incubation at 72°C for 10 minutes</td>
</tr>
<tr>
<td></td>
<td>Storage soak at 4°C indefinitely</td>
</tr>
</tbody>
</table>

3. Place the tubes in the tray in the heat block, slide the heated lid over the tubes, and fasten the lid by pulling the handle forward. Place the microtube rack used to set up the samples for PCR in the post-amp room bleach bath.

4. Start the run by performing the following steps:

a. The main menu options are RUN CREATE EDIT UTIL USER. To select an option, press the F key directly under that menu option.

b. Verify that the user is set to “mtDNA” if not, select the USER option (F5) to display the “Select User Name” screen.

c. Use the circular arrow pad to highlight “mtDNA.” Select the ACCEPT option (F1).
d. Press the RUN button (F1) and select the “lamtdna” file.

e. Verify that the reaction volume is set to 50 µL and the ramp speed is set to 9600 (very important).

f. If all is correct, select the START option (F1).

The run will start when the heated cover reaches 103 °C. The screen will then display a flow chart of the run conditions. A flashing line indicates the step being performed, hold time is counted down. Cycle number is indicated at the top of the screen, counting up.

g. Be sure to record the use of the thermal cycler in the documentation under the appropriate instrument name.

h. Upon completion of the amplification, press the STOP button repeatedly until the “End of Run” screen is displayed, and remove your samples. Select the EXIT option (F5). Wipe any condensation from the heat block with a Kimwipe and pull the lid closed to prevent dust from collecting on the heat block. Turn the instrument off.

i. After removing your samples, place them in the appropriate 2-8 °C refrigerator for storage. Samples should be separated according to sample type (exemplar, evidence, or quality control). Record the date and time of when samples were amplified on the cover of the 0.2 mL PCR storage box.

IMPORTANT:

Turn instruments off ONLY when the Main Menu is displayed, otherwise there will be a Power Failure message the next time the instrument is turned on. It will prompt you to review the run history. Unless you have reason to believe that there was indeed a power failure, this is not necessary. Instead, press the STOP button repeatedly until the Main Menu appears.

In case of a real power failure the 9700 thermal cycler will automatically resume the run if the power outage did not last more than 18 hours. The Uninterruptible Power Supply (UPS) present in the amplification room will power the thermal cyclers for about 2-3 hours in the case of a total power outage. The history file contains the information at which stage of the cycling process the instrument stopped. Consult with the QA team and/or the Technical Leader on how to proceed.
## DUPLEX MITOCHONDRIAL DNA PCR AMPLIFICATION

<table>
<thead>
<tr>
<th>DATE EFFECTIVE</th>
<th>APPROVED BY</th>
<th>PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>05-01-2015</td>
<td>MITOCHONDRIAL DNA TECHNICAL LEADER</td>
<td>24 OF 103</td>
</tr>
</tbody>
</table>

---

**Revision History:**

- **July 24, 2010** – Initial version of procedure.
- **December 22, 2010** – Added procedure for Homebrew amplification. Changed title of procedure.
- **July 16, 2012** – Minor revisions in content to generalize terminology for LIMS.
- **November 24, 2014** – Changed all instance of “Sterile” and/or “Irradiated” water to “UltraPure” water.
- **May 1, 2015** – Clarified what type of testing can be performed using Homebrew reagents.
Quantitation using Agilent 2100 Bioanalyzer

PURPOSE - To quantify the amplified product of the mitochondrial hypervariable regions I and II, in order to establish the input of DNA for cycle sequencing. The DNA 1000 assay is capable of analyzing amplified DNA fragments in the range of 25-1000 bp, and in the concentration range of 0.5-20 ng/µL.

A. Preparing the documentation:
   a. Prepare a new Agilent Test Batch, and select samples for analysis.
   b. Exemplar samples and positive controls should be run at 2-fold (d2) and 5-fold (d5) dilutions. Hair and evidence samples should be run d1 (neat) and d2. Negative controls should be run d1 (neat). Prepare output samples accordingly.
   c. Exemplar and evidence samples may be quantitated on the same Agilent run; however, they must be aliquotted for quantitation separately.
   d. Prepare the plate record, and manually link the samples into the associated plate wells.
   e. Download the plate record, and confirm the .CSV file is saved in the appropriate network location.

B. Preparing the samples
   a. Follow the documentation to prepare dilutions. Add H₂O first in all tubes where needed.
   b. Vortex and centrifuge tubes between serial dilutions.
   c. Aliquot all volumes less than 2 µl using a 2 µl pipette.
   d. When pipetting sample for dilution or quantitation, pick up from the top of the solution (directly on the meniscus) to avoid carrying sample on the outside of the tip.
   e. Use 1 µl for quantification. Vortex and centrifuge every tube before use.

C. Procedure
   a. If the Gel-Dye mix is not prepared, proceed to SECTION A.
   b. If the Gel-Dye mix is already prepared, proceed to SECTION B.
   c. For analysis of data only, proceed to SECTION C.
POWDER-FREE GLOVES ARE REQUIRED FOR THE HANDLING OF AGILENT
CHIPS. POWDER FROM LATEX GLOVES COULD CLOG THE MICRO-CHANNELS
ON A CHIP.

Section A- Preparing the gel-dye mix

1. Allow the DNA dye concentrate (blue tube) and the DNA gel matrix (red tube) to come
to 37°C in the heat block.

2. ALWAYS PROTECT THE DYE CONCENTRATE FROM THE LIGHT. Vortex the
DNA dye concentrate (blue tube) and spin down. Add 25 µL of the dye concentrate (blue
tube) to the DNA gel matrix vial (red tube).

3. Vortex the mixture for 10 seconds to ensure complete mixing, and transfer the entire
mixture to the top receptacle of a spin filter.

4. Centrifuge for 15 minutes at 6000 rpm. Discard the filter and label the gel-dye mix tube
with the lot numbers of the DNA dye concentrate, the DNA gel matrix, and your initials
and the date.

5. One tube of gel-dye mix is enough for 10 runs, and will last for 4 weeks. Discard the gel-
dye mix 4 weeks after the date of preparation. Protect the gel-dye mix from light, and
store at 4°C. Record the creation of the gel-dye mixture in the LIMS.

Section B- Loading and running of the Agilent Bioanalyzer 2100

2100 Expert System Setting (left inside of the window, click on system) are saved by default as:
1. Data Files Name: serial number, data, time are checked.
2. Data Files directory: “Create Daily subdirectories” is checked.
3. Data File format: “Binary format” is checked.
4. Nothing is checked in “Run and Results”, “Auto Export,” and, “Default Export
Directories”.

ALL PIPETTING INTO THE CHIP MUST BE DONE DIRECTLY ON THE GLASS AT
THE BOTTOM OF THE WELL, NEVER ON THE SIDES OF THE WELL.

1. Allow the gel-dye mix to equilibrate to room temperature.
2. Have a witness check samples and worksheet. Record the run name, the lot#, analyst, date, time, and the Agilent machine, in the documentation. Open a new DNA chip.

3. Pipette 9 µL of gel-dye mix into the bottom of the well marked G. Make sure there are no bubbles, if any use a 1 µL pipette tip to remove them. Place it in the priming station, and fill out usage log. Make sure the base plate of the station is set to position C, and the clip on the syringe trigger is set to the lowest position. Make sure the syringe piston is pulled back to the 1 ml mark, and close the lid of the priming station. (Listen for the “click.”)

4. Grab the syringe with your index fingers under the fins on the syringe body and thumbs on the plunger. Swiftly and steadily, press down on the plunger until it locks under the silver trigger. Make sure your thumbs are not in the way of the trigger lock or it will not work. Let the chip pressurize for 60 seconds.

5. Release the syringe with the trigger, and make sure the syringe comes back to 0.3-0.4 ml. Wait for 5 seconds, pull slowly the syringe back to 1 ml, and open the chip priming station. Turn the chip over and inspect the capillaries for proper filling.

6. Pipette 9 µL of gel-dye mix into the two wells marked G. Make sure there are no bubbles, if any use a 1 µL tip to remove them.

7. Vortex and spin down the DNA marker (green tube), and pipette 5 µL of marker into each of the 12 sample wells and ladder well. Each well must be filled, even if it will not be used.

8. Vortex and spin down the DNA ladder (yellow tube), and pipette 1 µL of ladder into the lower right well, marked with the ladder symbol.

9. Add 1 µL of amplified DNA to each well. If a well is not used, add 1 µL of dH2O into the well.

10. Place the chip in the IKA vortexer and vortex at ~2200 rpm for 60 seconds.

11. Run chips within 5 minutes.

12. Start the collection software by clicking on the symbol on the desktop.
13. Click on “instrument” on the left panel and place the cursor in one cell of the Sample Name column, click on the right button of the mouse, select import, choose the .CSV text file that was created for the run (see A7). The software might give a warning “failed to import the text file.” Ignore, and press OK.

14. Once the machine is highlighted in the upper left-hand corner of the screen, open the lid. The icon should now show the lid open as well. Insert the DNA 1000 chip and carefully close the lid. The machine icon will now change to a blue chip on the screen. Make sure the “Assay Class” in the “Assay Details” panel (middle right panel) is “DNA 1000”. If the assay class is different than DNA 1000 see a supervisor before starting the run.

15. Adjust the sample # in the Data Acquisition Parameters field, if necessary.

16. Click on the START button.

17. The ladder sample will process first. It is a good idea to monitor this sample to make sure the upper and lower markers come out correctly (15 bp and 1500 bp).

18. Immediately after the run (less than 5 minutes), remove the sample chip. The electrodes need to be cleaned with the clear electrode cleaner chip within 5 minutes after the run. To do this, begin by filling one of the large wells with 350 µL of deionized water. Place the electrode cleaner in the Agilent 2100 Bioanalyzer and close the lid for 10 seconds (and not more than 10s). Open the lid, remove the electrode cleaner chip, and let the pin set dry for another 10 seconds (and not more than 10s), then close the lid. Drain and dry the electrode cleaner chip.
Section C- Data collection, analysis, electronic filing

When the Bioanalyzer 2100 run is complete, go to the Data & Assay field. The main window will show the gel image, sample list, and chip summary. Any problems detected by the software will be indicated with yellow triangles above the lanes in the gel image (see troubleshooting).

1- Ladder:
Select the Ladder sample on the sample list. The main window should show the following peaks (11 ladder peaks plus lower-LM- and upper-UM- markers):
- 15bp Lower Marker
- 25bp Ladder
- 50bp Ladder
- 100bp Ladder
- 150bp Ladder
- 200bp Ladder
- 300bp Ladder
- 400bp Ladder
- 500bp Ladder
- 700bp Ladder
- 850bp Ladder
- 1000bp Ladder
- 1500bp Upper Marker

2—Samples:
Click on the individual sample on the sample list. The positive control and sample lanes should show two peaks, indicating the HVII and HVI amplified products (around 420-490 bp) for samples amplified with HVI and HVII multiplex primers. All samples should have the lower marker (~15bp) and upper marker (~1500bp).

3- Manual editing:
If the upper marker (UM) or lower marker (LM) is present but not labeled properly, right click on the peak cell “size bp” in the table and select “manually set upper marker” or “manually set lower marker,” respectively.

If a ladder peak, HVI and/or HVII are present but not labeled or if an extra peak is present: right click on the peak, select “manual integration”, add or remove peak at that position (bp).

Smaller amplified product peaks in samples with severely unbalanced HVI and HVII peak
4-Export data to the network:
The data are automatically saved in a “yyyy-mm-dd” folder as “DES547045xx\yyyy-mm-dd_hh-mm-ss.xad” (a shortcut on the desktop). If from AG1: DES547045xx is DES54704515, if from AG2:DES547045xx is DES54704524.

a- Open the yyyy-mm-dd_hh-mm-ss.xad file, make edits if necessary, save the .xad file as DES547045xx\yyyy-mm-dd_hh-mm-ss-analystinitials.xad. Transfer the .xad file(s) in M:\MITO_DATA\Agilent Archive\yyyy\yyyy-mm-dd-hh-mm-ss folder.

b- Create a PDF file by going to the “file” menu and selecting “print.” When the print window opens, select “Run Summary”, “electropherograms” and “Results Table”, choose “all wells” if it is a full chip, or fill in the well numbers of used wells for a partial chip. Select “Include Ladder”, one per page, PDF. Click on “… “ to select the drive. Select the appropriate folder on the network. Add analystinitials before “.pdf” in the path name. Click save.

c- Check that PDF and.xad file (s) are present in M:\MITO_DATA\Agilent Archive\yyyy\a new yyyy-mm-dd-hh-mm-ss folder.

2100 expert software

If analysis or review is done at a different time than the run or from another computer, open the 2100 Expert software.
Select “data” in the “contexts” column on the left side of the window.
Go to file
Open
Select the folder with the run you want to review/analyze in M:\MITO_DATA\Agilent Archive\YEAR\xxxxxxxxxxxxx
Select the appropriate.xad file of the run
Click open

After analysis/review: IF ANY EDITS/CHANGES ARE MADE, do not forget to re-save the .xad file with -reviewerinitials” at the end of its name. Create a new PDF file. Print and initial.

Section D- Data Entry, Review, Filing, Rerun

DATA TAB ENTRY
Open the appropriate Agilent batch to review and edit, as necessary.
Samples
HVII and HVI peaks should be discrete and approximately 400 to 500bp respectively or the peak will be inconclusive. Samples with multiple peaks or peak imbalance due to potential sequence length heteroplasmy may be manually edited.

The “Vol, Used” refers to the volume aliquotted of the original amplified sample.
Sample edits:
If a sample is edited, enter the location in bp followed by one of the symbols from the table above. If special edits are needed, document them in the sample edits column. Indicate if editing was necessary for any sample, including the ladder, and if so, record the edits in the documentation.

Once the editing is complete in the Agilent Software, save the file, and export the final results to the appropriate network location.

Import this Agilent data file into the LIMS system against the Agilent batch data. This will automatically update the dilution factors, and input the HVI and HVII initial values. This, in turn, will calculate the value of HVI and HVII, and output the final “Conc, Mean HVI-HVII” value for each sample in the batch.
REVIEW TAB ENTRY

Fill the “Interpretation” column following the guidelines in the table below:

<table>
<thead>
<tr>
<th>one dilution</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>HVII [0.5-20]</td>
<td>[0.5-20]</td>
</tr>
<tr>
<td>INC</td>
<td>[0.5-20]</td>
</tr>
<tr>
<td>[0.5-20]</td>
<td>INC</td>
</tr>
<tr>
<td>INC</td>
<td>INC</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>2 dilutions</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>dilution A pass</td>
<td>dilution A within ± 2.5 X dilution B</td>
</tr>
<tr>
<td>dilution B pass</td>
<td>-</td>
</tr>
<tr>
<td>dilution A pass</td>
<td>dilution A outside ± 2.5 X dilution B</td>
</tr>
<tr>
<td>dilution B pass</td>
<td>Rerun</td>
</tr>
<tr>
<td>dilution A pass</td>
<td>n/a</td>
</tr>
<tr>
<td>dilution B INC</td>
<td>n/a</td>
</tr>
<tr>
<td>dilution A INC</td>
<td>n/a</td>
</tr>
<tr>
<td>dilution B pass</td>
<td>n/a</td>
</tr>
</tbody>
</table>

If both dilutions are INC

<table>
<thead>
<tr>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>dilution A INC</td>
</tr>
<tr>
<td>dilution B INC</td>
</tr>
</tbody>
</table>

appropriate dilution example 1

<table>
<thead>
<tr>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>dilution 2 &lt;0.5</td>
</tr>
<tr>
<td>dilution 5 &lt;0.5</td>
</tr>
</tbody>
</table>

appropriate dilution example 2

<table>
<thead>
<tr>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>dilution 2 &gt;20</td>
</tr>
<tr>
<td>dilution 5 &gt;20</td>
</tr>
</tbody>
</table>

If both dilutions are INC for any other reason than concentration value out of range, see supervisor

INC = sample inconclusive
USE = concentration will be sued for further testing
RQ = sample will be requantified
dx = sample will be requantified at dilution x
♦ If the ladder fails (e.g., discrete bands) or upper or lower markers are not present (e.g., can’t be edited) the run is inconclusive, all samples have to be requantified, indicate as such in each sample Interpretation cell.

♦ If one or both markers are not called, the run is inconclusive, all samples have to be requantified, indicate as such in each sample Interpretation cell.

♦ If a sample peak concentration value is out of range it will appear as INC

If a sample was called INC for any other reason than the value range it will appear as INC. A comment can be added to explain why the peak was called INC in the “notes” of the review sheet. In both cases the concentration of only one peak could be used for further testing instead of the mean concentration of both peaks and USE added in the comments column (see table above).

♦ If the ladder fails manually select “fail” as the “Ladder Result”, if not select “Pass”.

♦ If the ladder marker (s) fail(s) circle “fail” as the “Ladder Result”, if not select “Pass”.

**REVIEW**

The reviewer will review the documentation, as well as any comments based on the parameters in section D.

After review, the reviewer will indicate the appropriate samples for the next process step, as indicated by the Interpretation results of each sample.

**RERUNS**

1. After review, the analyst will set up a new Agilent batch for the necessary samples that were indicated as reruns.


---

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
August 14, 2015 – Removed references of Linear Array Assay (which is no longer in use).
EXO-SAP-IT Sample Cleanup

PURPOSE: Prior to cycle sequencing, unincorporated primers and nucleotides present in the amplification reaction are deactivated by the addition of ExoSAP-IT.

PROCEDURE:

1. Create a new ExoSAP-It test batch in the LIMS system, and fill in the necessary documentation.

2. Confirm the tube label and sample description for each sample. Every run should include a positive control and an amplification negative control. **Note: It is very important for these entries to be in 3130xl format; do not use spaces or the following characters: \ / : * " > < | ? ‘)**

3. Based on each sample’s previous runs, the appropriate values for each column in the ExoSAP-It batch will be automatically filled in. The “Vol, Misc” must be entered by the analyst, and then the batch should be saved. This will trigger the automatic values to populate in the data, and the calculations for the ExoSAP-It volume and the new concentration will automatically execute.

   For a detailed description of the calculations performed in this spreadsheet, refer to Appendix D – Detailed CycSeq/3130xl Spreadsheet Calculations.

   There should be 1ul of ExoSAP-IT added for every 5ul of sample in the amplification tube.

4. Use the following settings to incubate the samples:

<table>
<thead>
<tr>
<th>9700 Thermal Cycler</th>
<th>The ExoSAP-IT file is as follows:</th>
</tr>
</thead>
<tbody>
<tr>
<td>User: mtDNA</td>
<td>- Soak at 37°C for 15 minutes</td>
</tr>
<tr>
<td>File: exosap-it</td>
<td>- Soak at 80°C for 15 minutes</td>
</tr>
<tr>
<td></td>
<td>Storage soak at 4°C indefinitely</td>
</tr>
</tbody>
</table>

Controlled versions of Department of Forensic Biology Documents only exist electronically on the Forensic Biology network. All printed versions are non-controlled copies.

© NYC OFFICE OF CHIEF MEDICAL EXAMINER
5. Place the tubes in the tray in the heat block, slide the heated lid over the tubes, and fasten the lid by pulling the handle forward.

6. Start the run by performing the following steps:
   a. The main menu options are RUN  CREATE  EDIT  UTIL  USER. To select an option, press the F key directly under that menu option.
   b. Verify that the user is set to “mtDNA.” If not, select the USER option (F5) to display the “Select User Name” screen.
   c. Use the circular arrow pad to highlight “mtDNA.” Select the ACCEPT option (F1).
   d. Select the “exosap-it” file, and press the RUN button (F1).
   e. Verify that the reaction volume is set to 50 µL and the ramp speed is set to 9600 (very important).
   f. If all is correct, select the START option (F1).

   The run will start when the heated cover reaches 37°C. The screen will then display a flow chart of the run conditions. A flashing line indicates the step being performed; the hold time is counted down. Cycle number is indicated at the top of the screen, counting up.

   Upon completion of the amplification, remove samples and press the STOP button repeatedly until the “End of Run” screen is displayed. Select the EXIT option (F5). Wipe any condensation from the heat block with a Kimwipe and pull the lid closed to prevent dust from collecting on the head block. Turn the instrument off.

7. When the batch is complete, the new concentration value must be “pushed” to the ExoSAP-It’d DNA sample within the LIMS system. From this point forward, the ExoSAP-It’d DNA sample will be the point of all cycle sequencing testing for mtDNA analysis.

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
Cycle-Sequencing

PURPOSE: Following the duplex mtDNA amplification, the samples identified as probative will be sequenced to determine the mtDNA profile. The Sanger method is used to cycle sequence the mtDNA in question using fluorescent dideoxynucleoside triphosphate bases chain terminators. The Applied Biosystems Big Dye Terminator Cycle Sequencing Kit is used.

PROCEDURE:

1. The cycle sequencing reactions are done in a 96-well plate. Prepare the samples and reagents needed for cycle sequencing and be witnessed according to the sample names and order listed on the Cycle Sequencing documentation.

2. The amount of template DNA and water needed for each sample is calculated by the LIMS system. This calculation takes into account the total volume and concentration of amplified product present in the sample tube following the ExoSAP-IT procedure. The target amount for cycle sequencing is 5 ng of amplified product.

   The following formula is then used to create each sample for cycle sequencing

   \[ 4 \mu L \text{ of Big Dye Terminator Ready Reaction Mix} + 2 \mu L \text{ of Sequencing Buffer} + 3.2 \mu L \text{ Primer (1} \mu M \text{ concentration)} + \text{mtDNA template} + \text{Water} = 20 \mu L \text{ total volume}. \]

   Samples with less than 5 ng of amplified product in 3\( \mu L \) may be cycle-sequenced using 3\( \mu L \) of the sample.

3. If a dilution of template DNA is necessary, it will be indicated on the cycle sequencing documentation as “x @ 1/10th” where x is the input volume. If no dilution is necessary, the the notation will be “neat.” The amount of water sufficient to make 20\( \mu L \) l reaction volume is then calculated. If a sample is a negative control sample, the mtDNA concentration of zero will result in a default template input of 3\( \mu L \) with the notation of “control” attached to the sample. The documentation cannot indicate dilution factors greater than 1/10. For situations where the amount of DNA indicated is less than 1\( \mu L \) @ 1/10 dilution, calculate the volume of extract required @ 1/100 dilution (multiplication by 10), and use this volume of a 1/100 dilution. Also calculate, by subtraction, the correct volume of water to add to the reaction. Note the correct aliquots on the documentation as a deviation of the procedure.
4. A master mix for each primer can be made with the following formula:

a. For (N+2) samples, add:
   - 4 µLx (N+2) Big Dye Terminator Ready Reaction Mix
   - 2 µLx (N+2) Sequencing Buffer
   - 3.2 µLx (N+2) primer (1uM concentration)

A master mix for each sample DNA can be made with the following formula:

b. For N samples, add:
   - X µLx (N) mtDNA sample DNA, where X is the amount of mtDNA needed as calculated by the system.
   - Y µLx (N) Water, where Y is the amount of water needed as calculated by the system

Note: The calculations for the two master mixes mentioned above are done by the LIMS system. They are located by clicking on the “Reagents” tab.

c. Include all controls for each primer that is used for a sample. If a sample is repeated starting at the cycle sequencing step the original negative controls do not have to be repeated if the first test was successful.

d. The re-cycle sequencing step requires the following:
   - A new cycle sequencing amplification negative control for each primer used in re-cycle sequencing to account for the cycle sequencing reagent.
   - A positive control, for each primer used in re-cycle sequencing to report on the integrity of the reaction.
   - Samples can be re-cycle sequenced with more (-recych) or less (-recycl) input DNA if necessary. Based on validation, up to 90ng of DNA can be used for recych. If recych sample volume would be more than 3µL, see supervisor.
5. Use the following settings to amplify the cycle sequencing samples:

<table>
<thead>
<tr>
<th>9700 Thermal Cycler</th>
<th>The cycle sequencing amplification file is as follows:</th>
</tr>
</thead>
<tbody>
<tr>
<td>User: mtDNA</td>
<td>Soak at 96°C for 1 minute</td>
</tr>
<tr>
<td>File: BDT cycle seq</td>
<td>25 cycles:</td>
</tr>
<tr>
<td></td>
<td>- Denature 96°C for 15 seconds</td>
</tr>
<tr>
<td></td>
<td>- Anneal at 50°C for 1 seconds</td>
</tr>
<tr>
<td></td>
<td>- Extend at 60°C for 1 minutes</td>
</tr>
<tr>
<td></td>
<td>Storage soak at 4°C indefinitely</td>
</tr>
</tbody>
</table>

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
**SDS Cleanup**

**PURPOSE:** To help separate the primers from the cycle-sequenced DNA with the addition of 2% SDS to the samples, prior to Centri-Sep filtration.

**PROCEDURE:**

*Do not refrigerate the 2% SDS tubes. This will cause the SDS to precipitate out of solution. Store the 2% SDS tubes at room temperature. Ensure that there is no precipitate in the tube before adding to samples.*

1. Add 2µL of 2% SDS to each tube of cycle-sequenced DNA. Vortex and spin down the plate(s) in a centrifuge.

2. Place the tubes in a thermal cycler, using the following conditions-

<table>
<thead>
<tr>
<th>9700 Thermal Cycler</th>
<th>The 2% SDS incubation file is as follows:</th>
</tr>
</thead>
<tbody>
<tr>
<td>User: mtDNA</td>
<td>Soak at 98 °C for 5 minutes</td>
</tr>
<tr>
<td>File: SDS</td>
<td>Storage soak at 25 °C for 10 minutes</td>
</tr>
</tbody>
</table>

3. When the tubes are back to room temperature following the 25 °C soak, proceed to the Centri-Sep purification.

---

Revision History:

- July 24, 2010 – Initial version of procedure.
- July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
Centri-Sep Sample Filtration

PURPOSE: Prior to sample electrophoresis, sequenced products must be purified in order to remove unincorporated dye terminators.

A. Procedure for Single Columns

1. Gently tap columns to insure dry gel material has settled to bottom of spin column. Remove top column cap and add 800 µL of sterile or UltraPure dH₂O to one column for each sequencing reaction.

2. Replace top cap and mix thoroughly by inverting column and vortexing briefly. It is important to hydrate all of the dry gel. Allow columns to hydrate for at least 2 hours at room temperature. As the columns are hydrating you will need to label one sample collection tube (1.5 mL microcentrifuge tube) for each sequencing reaction. You will also need one wash tube for each hydrated column. These do not need to be labeled.

3. Once the columns are hydrated, remove any air bubbles by inverting the column and sharply tapping the column, allowing the gel to slurry to the opposite end of the column. Stand the column upright and allow the gel to settle while in a centrifuge tube rack.

4. Once the gel is settled, remove first the top column cap, and then remove the column end stopper from the bottom. Allow excess column fluid to drain into a wash tube by first gently tapping the column into the wash tube then allowing to sit for approximately 5 minutes. Remove the column from the wash tube, discard the liquid and reinsert the column into the wash tube.

5. Spin the assembly at 700 x g for 2 minutes to remove interstitial fluid. Be sure to note the orientation of the columns. At this point the columns should be used as soon as possible for the loading of cycle-sequenced DNA product.

6. Load entire sequencing reaction volume (20 µL) to the top of the gel. Be careful to dispense sample directly onto the center of the gel bed without disturbing the gel surface.

7. Place column into labeled sample collection tube and spin at 700 x g for 2 minutes maintaining original orientation. The purified sample will collect in the
8. Discard the column and dry the sample in a vacuum centrifuge (approximately 15-20 minutes). Do not over dry samples.

B. Procedure for Centri-Sep 8 Strips

1. Determine how many strips are necessary to filter the amplified samples. Separate the desired number of strips by cutting the foil between the strips with scissors.

2. Open the well outlets on each strip by cutting off the bottom edge with scissors. Cut at the narrowest part of the bottom of the tube.

3. Peel off the top foil and arrange the strips evenly on deep-well centrifuge plates. Spin the plates at 750 rcf for 2 minutes to remove the liquid.

4. Arrange the newly drained strips on a new 96-well plate. Add the amplified sample to each column.

5. Once all of the samples are loaded, place the 96-well plate with the Centri-Sep 8 Strips into the centrifuge, and spin at 750 rcf for 2 minutes.

6. Confirm that all of the samples passed through the strip into the wells of the 96-well plate, and discard the Centri-Sep 8 Strip.

7. Evaporate the samples in the 96-well plate at 75°C in a thermalcycler with the lid open.

8. If the samples are not going to be loaded immediately, they should be stored as dried pellets at 4°C for no longer then 14 days. When ready, proceed to 3130xl setup.

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
November 24, 2014 – Changed all instance of “Sterile” and/or “Irradiated” water to “UltraPure” water.
ABI 3130xI Sequencing

PURPOSE: The 3130xI 16-capillary array system is used to electrophoretically analyze samples following cycle sequencing and cleanup. The system uses 96-well plates containing the samples of interest, and can process 16 separate samples with each injection. Sequence data is generated at the end of the run for downstream sequencing analysis.

A. Setting up a 3130xI Run

1. Turn on the computer. Make sure computer is fully booted to the Windows desktop. To login, the User should be “ocmelims” and the password should be “passw0rd”. If the instrument is not on, turn it on. The status bar light will change from solid yellow (indicates instrument is booting) to blinking yellow (indicates machine is communicating with computer) and then to solid green (indicates instrument is ready for command).

2. On the desktop, click on the shortcut for the respective instrument’s data file. The main path to this data file is:

   E:\Applied Biosystems\UDC\data collection\data\ga3130xl\Instrumentname

3. Once there, create a master file using the following format: “InstrumentnameYear-Run Number Files” (e.g. Batman08-015 Files) within the appropriate archive folder (e.g. Batman 2008). Move the 3130xl mtDNA files into this master file.

4. Open the 3130xI Data Collection v3.0 software by double clicking on the desktop Icon or select Start > All Programs > AppliedBiosystems > Data Collection > Run 3130xI Data Collection v3.0 to display the Service Console..

By default, all applications are off indicated by the red circles. As each application activates, the red circles (off) change to yellow triangles (activating), eventually progressing to green squares (on) when they are fully functional.
NOTE: This process could take several minutes. The Service Console must not be closed or it will shut down the application.

Once all applications are running, the Foundation Data Collection window will be displayed at which time the Service Console window may be minimized.

5. Check the number of injections on the capillary in the LIMS and in the Foundation Data Collection window by clicking on the ga3130xl > instrument name > Instrument Status. If the numbers are not the same, update the LIMS system. If the number is ≥ 140, notify QC. Proceed only if the number of injections you are running plus the usage number is ≤ 150.

6. Check the LIMS to see when the POP6 was last changed. If it is >7 days, proceed with POP6 change (See part F of this Section) and then return to Step 9.
7. Check the level of POP6 in the bottle to ensure there is enough for your run (approximately 600 µL is needed per injection). If there is not, proceed with POP6 change (See part F of this section) and then return to Step 9.

8. If you are the first run on the instrument of the day, proceed with steps 9 - 17. If a run has already been performed on the instrument that day, skip to “Creating a Plate ID”

9. Close the instrument doors and press the tray button on the outside of the instrument to bring the autosampler to the forward position.

10. Wait until the autosampler has stopped moving and then open the instrument doors.

11. Remove the three plastic reservoirs from the sample tray and anode jar from the base of the lower pump block and dispose of the fluids.

12. Rinse and fill the “water” and “waste” reservoirs to the line with Gibco® water.
13. Make a batch of 1X buffer (45 ml Gibco® water, 5 ml 10X buffer) in a 50mL conical tube. Record the lot number of the buffer, date of make, and initials on the side of the tube. Rinse and fill the “buffer” reservoir and anode jar with 1X buffer to the lines.

14. Dry the outside and inside rim of the reservoirs/septa and outside of the anode jar using a Kimwipe and replace the septa strip snugly onto each reservoir. **If these items are not dry, arcing could occur thus ruining the capillary and polymer blocks.**

15. Place the reservoirs in the instrument in their respective positions, as shown below:

```
  Water Reservoir  Water Reservoir
    (waste)           (rinse)
  2                  4

  Cathode Reservoir  Empty
    (1X buffer)      1                  3
  1
```

16. Place the anode jar at the base of the lower pump block.

17. Close the instrument doors

**B. Creating a Plate ID**

1. Click on the **Plate Manager** line in the left window.

2. Select **Import** from the bottom of the screen. Find the text file that was previously saved in the master file for the 3130xl run data (e.g. B08-015.txt file present in the **Batman08-015 files** folder)

3. Click on **OK**.
C. Preparing the DNA Samples for Sequencing

Arrange amplified samples in a 96-well rack according to how they will be loaded into the 96- well reaction plate. Sample order is as follows: A1, B1, C1, D1... G1, H1, A2, B2, C2... G2, H2, A3, B3, C3, etc. Thus the plate is loaded in a columnar manner where the first injection corresponds to wells A1 to H2, the second injection corresponds to wells A3 to H4 and so on. Label the side of the reaction plate with the name used for the Plate ID with a sharpie.

4. Remove the Hi-Di formamide from the freezer and allow it to thaw. Add 10µL of formamide to each dried sample and mix to bring the sample into solution.

Once formamide is thawed and aliquotted, discard the tube. Do not re-freeze opened tubes of Hi-Di formamide.

5. If single Centri-Sep columns were used, load the entire 10 µL of the resuspended samples into the 96-well tray in the appropriate wells. The injections are grouped into 16 wells starting with A1, B1, and so on moving down two columns ending with 2G, 2H, for a total of 16 wells. Fill any unused wells that are part of an injection set (eg. containing <16 samples) with 10 µL of Hi-Di formamide.

6. Once all of the samples have been added to the plate, place the 96-well septa over the reaction plate and firmly press the septa into place. Spin plate in the centrifuge for one minute.

7. Remove the reaction plate from the base and heat denature samples in the 95°C heatblock for 2 minutes followed by a quick chill in the 4°C chill block for 5 minutes. Centrifuge the tray for one minute after the heat/chill.

8. Once denatured, place the plate into the plate base. Secure the plate base and plate with the plate retainer.

IMPORTANT: Damage to the array tips will occur if the plate retainer and septa strip holes do not align correctly.

Do not write on the septa with pen, markers, sharpies, etc. Ink may cause artifacts in samples. Any unnecessary
markings or debris on the septa may compromise instrument performance.

D. Placing the Plate onto the Autosampler (Linking and Unlinking Plate)

The Autosampler holds up to two, 96-well plates in tray positions A and B. To place the plate assembly on the autosampler, there is only one orientation for the plate, with the notched end of the plate base away from you.

9. In the tree pane of the Foundation Data Collection v3.0 software click on GA Instrument > ga3130xl > instrument name > Run Scheduler > Plate View

10. Push the tray button on the bottom left of the machine and wait for the autosampler to move forward and stop at the forward position.

11. Open the doors and place the tray onto the autosampler in the correct tray.
position, A or B. **There is only one orientation for the plate.**

12. Ensure that the plate assembly fits flat in the autosampler. Failure to do so may allow the capillary tips to lift the plate assembly off the autosampler.

When the plate is correctly positioned, the plate position indicator on the Plate View page changes from gray to yellow. Close the instrument doors and allow the autosampler to move back to the home position.

**NOTE:** When removing a plate from the autosampler, be careful not to hit the capillary array. Plate B is located directly under the array, so be especially careful when removing this tray.

Linking/Unlinking the Plate record to Plate

13. On the plate view screen, click on the plate ID that you are linking. If the plate ID is not available click **Find All**, and select the plate ID created for the run.

14. Click the plate position (A or B) that corresponds to the plate you are linking.

**NOTE:** It may take a minute for the plate record to link to the plate depending on the size of the sample sheet.

If two plates are being run, the order in which they are run is based on the order in which the plates were linked.

Once the plate has been linked, the plate position indicator changes from yellow to green when linked correctly and the green run button becomes active.

15. To unlink a plate record just click the plate record you want to unlink and click “Unlink”.

**E. Viewing Run Schedule and Starting Run**

1. In the tree pane of the Foundation Data Collection software, click **GA Instruments > ga3130xl > instrument name > Run Scheduler > Run View**.

2. The **RunID** column indicates the folder number(s) associated with each injection.
in your run (e.g. *Batman-2008-0114-1600-0197*). The folder number(s) and the run ID should be recorded in the LIMS.

3. Click on the run file to see the Plate Map or grid diagram of your plate on the right. Check if the blue highlighted boxes correspond to the correct placement of the samples in the injections.

4. **NOTE:** Before starting a run, check for air bubbles in the polymer blocks. If bubbles are present, click on the Wizards tool box on the top and select “Bubble Remove Wizard”. Follow the wizard until all bubbles are removed.

5. Click on the green **Run** button in the tool bar when you are ready to start the run. When the **Processing Plate** dialog box opens (You are about to start processing plates…), click **OK**.

6. To check the progress of a run, click on the **Cap/Array Viewer** or **Capillaries Viewer** in the left window. The **Cap/Array Viewer** window will show the raw data of all 16 capillaries at once. The **Capillaries Viewer** window will show you the raw data of the capillaries you select to view.

**IMPORTANT:** Always exit from the Capillary Viewer and Cap/Array Viewer windows. During a run, do not leave these pages open for extended periods. This may cause unrecoverable screen update problems. Leave the Instrument Status window open.

The visible setting should be:

- EP voltage 12.2 kV
- Laser Power prerun 15 mW
- Laser current (no set value)
- Oven temperature 50°C

Expected values are:

- EP current constant around 40-60 µA starting current
- EP current constant around 70-80 µA running current
- Laser current: 5.0 A ± 1.0 A

It is good practice to monitor the initial injections in order to detect problems.
F. Water Wash and POP Change

Refer to Section A for schematic of 3130x/ while proceeding with the water wash and POP change procedure.

1. Remove a new bottle of POP6 from the refrigerator.
2. Select Wizards > Water Wash Wizard
3. Click “Close Valve”
4. Open instrument doors and remove the empty POP bottle.
5. With a dampened Kimwipe®, wipe the polymer supply tube and cap. Dry.
6. Replace POP bottle with the water bottle filled to the top with Gibco® Water.
7. Remove, empty, and replace the anode buffer jar on the lower polymer block.
8. Click “Water Wash.” This procedure is will take approximately 4 minutes.
9. When the water wash is finished click “Next”
10. Select “Same Lot” or “Different Lot”
11. Remove water bottle from the lower polymer block. Dry supply tube and cap with a Kimwipe®.
12. Replace with a new bottle of room temperature POP.
13. Click “Next.”
14. Click “Flush.” This will take approximately 2 minutes to complete.
15. Inspect the pump block, channels, and tubing for air bubbles.
16. Click “Next.”
3130xl Genetic Analyzer Troubleshooting

### Instrument Startup

<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
</table>
| No communication between the instrument and the computer (yellow light is blinking). | Instrument not started up correctly.    | Make sure the oven door is closed and locked and the front doors are closed properly. If everything is closed properly, start up in the following sequence:  
  a. Log out of the computer.  
  b. Turn off the instrument.  
  c. Boot up the computer.  
  d. After the computer has booted completely, turn the instrument on. Wait for the green status light to come on.  
  e. Launch Data Collection software. |
| Red light is blinking.                                                      | Incorrect start up procedure.            | Start up in the following sequence:  
  a. Log out of the computer.  
  b. Turn off the instrument.  
  c. Boot up the computer.  
  d. After the computer has booted completely, turn the instrument on. Wait for the green status light to come on.  
  e. Launch the Data Collection Software. |
### Observation Possible Cause Recommended Action

<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Computer screen is frozen.</td>
<td>Communication error. This may be due to leaving the user interface in the Capillary View or Array View window.</td>
<td>There will be no loss of data. However, if the instrument is in the middle of a run, wait for the run to stop. Then, exit the Data Collection software and restart as described above.</td>
</tr>
<tr>
<td>Autosampler does not move to the forward position.</td>
<td>Possible communication error, OR Oven or instrument door is not closed.</td>
<td>Restart the system, and then press the Tray button. OR a. Close and lock the oven door. b. Close the instrument doors. c. Press the Tray button.</td>
</tr>
<tr>
<td>Communication within the computer is slow.</td>
<td>Database is full.</td>
<td>Old files need to be cleaned out of the database. Follow proper manual procedures described in the ABI Prism 3130x1 Genetic Analyzer User’s Manual.</td>
</tr>
</tbody>
</table>
**Spatial Calibration**

<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unusual peaks or a flat line for the spatial calibration.</td>
<td>The instrument may need more time to reach stability. An unstable instrument can cause a flat line with no peaks in the spatial view.</td>
<td>Check or repeat spatial calibration.</td>
</tr>
<tr>
<td></td>
<td>Improper installation of the detection window.</td>
<td>Reinstall the detection window and make sure it fits in the proper position.</td>
</tr>
<tr>
<td></td>
<td>Broken capillary resulting in a bad polymer fill.</td>
<td>Check for a broken capillary, particularly in the detection window area. If necessary, replace the capillary array using the Install Array Wizard.</td>
</tr>
<tr>
<td></td>
<td>Dirty detection window.</td>
<td>Place a drop of METHANOL onto the detection window, and dry. Use only light air force.</td>
</tr>
<tr>
<td>Persistently bad spatial calibration results.</td>
<td>Bad capillary array.</td>
<td>Replace the capillary array, and then repeat the calibration. Call Technical Support if the results do not improve.</td>
</tr>
</tbody>
</table>
### Spectral Calibration

<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>No signal.</td>
<td>Incorrect preparation of sample.</td>
<td>Replace samples with fresh samples prepared with fresh formamide.</td>
</tr>
<tr>
<td></td>
<td>Air bubbles in sample tray.</td>
<td>Centrifuge samples to remove air bubbles.</td>
</tr>
<tr>
<td>If the spectral calibration fails,</td>
<td>Clogged capillary</td>
<td>Refill the capillaries using manual control. Look for clogged capillaries during</td>
</tr>
<tr>
<td>or if a message displays “No</td>
<td></td>
<td>capillary fill on the cathode side.</td>
</tr>
<tr>
<td>candidate spectral files found”.</td>
<td>Incorrect parameter files and/or run modules</td>
<td>Correct the files and rerun the calibration.</td>
</tr>
<tr>
<td></td>
<td>selected.</td>
<td>Check for broken capillaries and refill the capillary array.</td>
</tr>
<tr>
<td></td>
<td>Insufficient filling of array.</td>
<td>Check the expiration date and storage conditions of the matrix standards. If</td>
</tr>
<tr>
<td></td>
<td></td>
<td>necessary, replace with a fresh lot.</td>
</tr>
<tr>
<td></td>
<td>Expired matrix standards</td>
<td></td>
</tr>
<tr>
<td>Spike in the data.</td>
<td>Expired polymer.</td>
<td>Replace the polymer with fresh lot using the change Polymer Wizard.</td>
</tr>
<tr>
<td></td>
<td>Air bubbles, especially in the polymer block</td>
<td>Refill the capillaries using manual control.</td>
</tr>
<tr>
<td></td>
<td>tubing.</td>
<td>Properly bring the polymer to room temperature; do not heat to thaw rapidly. Swirl</td>
</tr>
<tr>
<td></td>
<td>Possible contaminant or crystal deposits in the</td>
<td>to dissolve any solids. Replace the polymer if it has expired.</td>
</tr>
<tr>
<td></td>
<td>polymer.</td>
<td></td>
</tr>
</tbody>
</table>
## Run Performance

<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
</table>
| No data in all capillaries         | Bubbles in the system.               | Visually inspect the polymer block and the syringes for bubbles. Remove any bubbles using the Change Polymer Wizard. If bubbles still persist, perform the following:  
a. Remove the capillary array.  
b. Clean out the polymer bottle.  
c. Replace polymer with fresh polymer. |
| No signal.                         | Dead space at bottom of sample tube. | Centrifuge the sample tray.                                                         |
|                                    | Bent capillary array.                | Replace the capillary array                                                         |
|                                    | Failed reaction.                    | Repeat reaction.                                                                    |
|                                    | Cracked or broken capillary         | Visually inspect the capillary array including the detector window area for signs of breakage. |
| Low signal strength.               | Poor quality formamide.             | Use a fresh lot of formamide                                                        |
|                                    | Insufficient mixing.                | Vortex the sample thoroughly, and then centrifuge the tube to condense the sample. |
|                                    | Weak amplification of DNA           | Re-amplify the DNA.                                                                 |
|                                    | Instrument/Laser problem            | Run instrument diagnostics.                                                         |
### Observation | Possible Cause | Recommended Action
--- | --- | ---
Elevated baseline | Possible contamination in the polymer path. | Wash the polymer block with hot water. Pay particular attention to the pump block, the ferrule, the ferrule screw, and the peek tubing. Dry the parts by vacuum pump before replacing them onto the instrument.
 | Possible contaminant or crystal deposits in the polymer. | Bring the polymer to room temperature, swirl to dissolve any deposits. Replace polymer if expired.
 | Poor spectral calibration. | Perform new spectral calibration.
 | Detection cell is dirty. | Place a drop of methanol onto the detection cell window.
## Observation | Possible Cause | Recommended Action
---|---|---
Loss of resolution. | Too much sample injected. | Dilute the sample and reinject. |
 | Poor quality water. | Use high quality, ultra pure water. |
 | Poor quality or dilute running buffer. | Prepare fresh running buffer. |
 | Poor quality or breakdown of polymer. | Use a fresh lot of polymer. |
 | Capillary array used for more than 150 injections. | Replace with new capillary array. |
 | Degraded formamide. | Use fresh formamide and ensure correct storage conditions. |
 | Improper injection and run conditions. | Notify QA to check default settings. |
Poor resolution in some capillaries. | Insufficient filling of array. | Refill array and look for cracked or broken capillaries. If problem persists contact Technical Support. |
<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>No current</td>
<td>Poor quality water.</td>
<td>Use high quality, ultra pure water.</td>
</tr>
<tr>
<td></td>
<td>Water placed in buffer reservoir</td>
<td>Replace with fresh running buffer.</td>
</tr>
<tr>
<td></td>
<td>position 1.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Not enough buffer in anode reservoir.</td>
<td>Add buffer up to fill line.</td>
</tr>
<tr>
<td></td>
<td>Buffer is too dilute.</td>
<td>Prepare new running buffer.</td>
</tr>
<tr>
<td></td>
<td>Bubbles present in the polymer block and/or the capillary and/or peek tubing.</td>
<td>Pause run and inspect the instrument for bubbles. They may be hidden in the peek tubing.</td>
</tr>
<tr>
<td>Elevated current.</td>
<td>Decomposed polymer.</td>
<td>Open fresh lot of polymer and store at 4°C.</td>
</tr>
<tr>
<td></td>
<td>Incorrect buffer dilution.</td>
<td>Prepare fresh 1X running buffer.</td>
</tr>
<tr>
<td></td>
<td>Arcing in the gel block.</td>
<td>Check for moisture in and around the septa, the reservoirs, the oven, and the autosampler.</td>
</tr>
</tbody>
</table>
## Observation | Possible Cause | Recommended Action
--- | --- | ---
Fluctuating current | Bubble in polymer block. A slow leak may be present in the system. Incorrect buffer concentration. Not enough buffer in anode. Clogged capillary. Arcing. | Pause the run, check the polymer path for bubbles, and remove them if present. Check polymer blocks for leaks. Tighten all fittings. Prepare fresh running buffer. Add buffer up to the fill line. Refill capillary array and check for clogs. Check for moisture in and around the septa, the reservoirs, the oven, and the autosampler.

Poor performance of capillary array used for fewer than 150 runs. | Poor quality formamide Incorrect buffer. Poor quality sample, possible cleanup needed. | Prepare fresh formamide and reprep samples. Prepare new running buffer. Desalt samples using a recommended purification protocol (e.g., microcon).

Migration time becomes progressively slower. | Leak in the system. Improper filling of polymer block. Expired polymer. | Tighten all ferrules, screws and check valves. Replace any faulty parts. Check polymer pump force. If the force needs to be adjusted, make a service call. If necessary, change the lot of polymer.
## ABI 3130x1 SEQUENCING

<table>
<thead>
<tr>
<th>Observation</th>
<th>Possible Cause</th>
<th>Recommended Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Migration time becomes progressively faster.</td>
<td>Water in polymer bottle resulting in diluted polymer.</td>
<td>Replace the polymer, making sure the bottle is clean and dry.</td>
</tr>
<tr>
<td>Arcing in the anode – lower polymer block.</td>
<td>Moisture on the outside of the lower polymer block.</td>
<td>Dry the lower block. If damaged, replace lower polymer block.</td>
</tr>
<tr>
<td>Error message, “Leak detected” appears. The run aborts.</td>
<td>Air bubbles in the polymer path.</td>
<td>Check for bubbles and remove if present, then check for leaks.</td>
</tr>
<tr>
<td></td>
<td>Pump block system is loose/leaking.</td>
<td>Make sure all ferrules, screws, and tubing is tightly secure. Ferrule in capillary end of block may be positioned wrong or missing. Check for this ferrule.</td>
</tr>
<tr>
<td></td>
<td>Lower pump block has burnt out. When there is condensation in the reservoir(s) this will cause electrophoresis problems and burn the lower block</td>
<td>Replace the lower block.</td>
</tr>
<tr>
<td>Buffer jar fills very quickly with polymer.</td>
<td>Air bubbles in the polymer path.</td>
<td>Check for bubbles and remove if present. Then, look for leaks.</td>
</tr>
<tr>
<td></td>
<td>Lower polymer block is not correctly mounted on the pin valve.</td>
<td>Check to make sure the metal fork is in between the pin holder and not on top or below it.</td>
</tr>
<tr>
<td>Observation</td>
<td>Possible Cause</td>
<td>Recommended Action</td>
</tr>
<tr>
<td>-----------------------------------------------------------------------------------------------</td>
<td>-------------------------------------------------------------------------------</td>
<td>-----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Detection window pops out while replacing the capillary array. Replacing the window in the correct orientation is difficult.</td>
<td>Tightening of the array ferrule knob at the gel block causes high tension.</td>
<td>Loosen the array ferrule knob to allow the secure placement of the window. Re-tighten and close the detection door.</td>
</tr>
<tr>
<td>Detection window stuck. It is difficult to remove when changing the capillary array.</td>
<td></td>
<td>To loosen the detection window:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>a. Undo the array ferrule knob and pull the polymer block towards you to first notch.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>b. Remove the capillary comb from the holder in the oven.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>c. Hold both sides of the capillary array around the detection window area, and apply gentle pressure equally on both sides.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>d. Release.</td>
</tr>
</tbody>
</table>
Mitochondrial DNA Sequencing Analysis

PURPOSE: To compile the sequence data generated by the ABI 3130xl into a project for analysis, by editing the sequence data and compiling a consensus sequence that can be compared with the revised Cambridge Reference Sequence (rCRS) to determine the mitochondrial DNA type.

PROCEDURE:

The data following the 3130xl run will be saved on the local 3130xl computer in separate injection folders. These folders, along with the run statistics and the run sheets will be saved into a folder in the Mito_Data drive. The run data is also copied into an Analysis Folder in the Mito_Data drive for analysis. Samples run using the ABI Big Dye Terminator kit will need to be processed using ABI Sequence Analysis software for the basecalls to be assigned. Once the files have been processed with Sequence Analysis, they will be imported into the GeneCodes Sequencher software alignment program for consensus sequence analysis and interpretation of the mitochondrial DNA type.

A. Transfer of the 3130xl run data into the master file

1. On the desktop, click on the shortcut for the respective instrument’s data file. The main path to this data file is:

   E:\Applied Biosystems\UDC\data collection\data\ga3130xl\Instrumentname

   Once there, identify the injection folders of the runs you wish to analyze.

2. Copy these injection folders into the master file (e.g. Batman08-015 files) that was created earlier making sure that all of the run statistic files and log files are included.

3. Also copy the newly created run files into the respective archive files in the MITO DATA directory.
B. Sequence Analysis

1. Open the Sequence Analysis program by double clicking on the icon. Login using your username and password.

2. Click on the import samples icon in the upper left of the screen, or go to Add Sample(s) under the File menu.

3. In the new window that pops up, locate the master file of the run that you wish to analyze. Click on the individual run files within the master file, and for each one click Add Selected Samples>> at the bottom of the window. As this is done, a list in the right of the window will populate with the samples from the run. Click OK when finished importing samples.

4. The Add Sample Status window will indicate the progress of importing the samples. When this finishes, the samples will appear in the top window of the screen. Maximize this area by dragging the center divider bar to the bottom of the window.

5. The samples should all have the boxes under BC (base calling) checked. Click on the Green Arrow at the top of the screen to begin the analysis of the samples.

6. The Analysis Status window will indicate the progress of analyzing the samples. As samples are analyzed, the BC column will display a green, blue or yellow box around the check box indicating the quality of the base calling:
   - Green: Indicates a successful base calling for that sample
   - Blue : Indicates a problem in base calling the data for that sample
   - Yellow: Indicates that there is no data to be analyzed for that sample

7. If the sequencing analysis is successful, click the yellow floppy-disk icon in the top left of the screen to Save All Samples, or click on Save All Samples under the File menu.
8. Print the analysis report page. Click on the Analysis Report button at the top of the page (white button with $^A_R$; $A$ is in red and $R$ in blue), or select Analysis Report under the Analysis menu at the top of the screen. When the report page opens, right click on the column headings for the analysis report. Deselect the following options- PP Status, Peak 1, Base Spacing, # Low QV, # Med QV, # High QV, Sample Score, CR Start, and CR Stop. The visible column headings will show the following- BC Status, Well, Cap #, LOR, ‘A’ S/N, ‘C’ S/N, ‘G’ S/N, ‘T’ S/N, Avg S/N. To ensure that all sample identification fits onto one row, deselect “Fit Columns to Window” (lower left of screen), select size “8” font, and increase the width of “Sample File Name” column.

9. Create a PDF file of the Analysis Report by clicking Print and send the file to Adobe PDF. Be sure the page set-up is set to portrait before creating the PDF file. Check the PDF file to make sure that the complete Sample Names and Sample Descriptions are present (e.g., not cut off). If necessary, make formatting adjustments, resend Adobe PDF file, and recheck. Following the successful creation of the PDF file, save it in the run folder (e.g., save in B08-040 with run name B08-040 Analysis Report) that is contained within the Analyzed Archive.

10. Attach the PDF Analysis Report to the LIMS for the cycle-sequencing batch. Close the PDF Analysis Report and the Analysis Report screen.

11. Click Exit under the File menu to close out the Sequencing Analysis program.

12. The Analysis Report will be placed together with the run review sheet, the 3130xl run sheet, and the 3130xl cycle-sequencing worksheet for review and archiving.
C. **Sequencher**

Before you begin Sequencher, make sure that the USB key is fully inserted in a local USB port on the computer, and the computer fully recognizes the key. If successful, the computer will make a “Ding-Dong” sound. Starting Sequencher without this USB key will cause the program to lock out all editing capabilities.

1. Open Sequencher. Under the **Contig** menu, select **Consensus to Forensic Standards**. This only needs to be done after opening Sequencher for the first time. Once set, it will remain until the program is closed. Also, check to make sure that the Assembly parameters used to create contigs are set to the proper settings. Click on the box in the upper left-hand corner that is marked “Assembly Parameters”. The following settings should be selected:

<table>
<thead>
<tr>
<th>Assembly Algorithm:</th>
<th>Dirty Data (radial button)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Optimize Gap Placement:</td>
<td>Use ReAligner (check box)</td>
</tr>
<tr>
<td></td>
<td>Prefer 3’ Gap Placement (check box)</td>
</tr>
<tr>
<td>Minimum Match Percentage:</td>
<td>85% (slide bar)</td>
</tr>
<tr>
<td>Minimum Overlap:</td>
<td>20 (slide bar)</td>
</tr>
<tr>
<td>Assemble By Name:</td>
<td>not Enabled (deselected)</td>
</tr>
</tbody>
</table>

2. Under the **File** menu, go to **Import** and select **Sequences**.

3. Find the sequence files that were copied to the Analyzed Archive. To simplify, under File of Type select “With Chromatogram Sequences.” To select all of the files press and hold the Shift key, and click on the last file. Once the files are selected, click **Open**. Maximize the analysis window to view all of the samples and sample data.

4. Import the appropriate reference sequence into the project for every contig that needs to be built. The reference files (HVI.spf or HVII.spf) are located on the Forensic Biology network (Mito Data/Reference Seqs).

   **These files can be saved to the desktop or local hard drive for easier access.**

5. Holding down the Shift or the Control key, click on imported rCRS file, and the forward and reverse sequence files that will make up the contig.
6. At the top of the screen, click on the **Assemble to Reference** button. If the samples contain viable data, the contig will be formed. If the samples do not contain quality data, they will not import into the contig. Name the contig according to the sample name and hypervariable region sequenced. (e.g.- Hair 1A HVII)

7. Select the new Contig icon by clicking on it once. In the **Contig** menu at the top of the screen, select **Trim to Reference Sequence**.

8. Double click on the Contig icon. When the contig diagram window opens, click on the **Bases** button at the top left of the screen.

A new window will open showing the individual sequence files above the rCRS reference sequence at the top of the window and the consensus sequence at the bottom of the window. Individual sequences, including the reference sequence can be moved up or down by placing the cursor on the name of the sequence in the upper left box and dragging the sequence up or down.

Under the consensus sequence is a series of “•” and “+” symbols. The “•” symbols highlight base call disagreements from the rCRS and the “+” symbols highlight ambiguities in the consensus sequence.

9. To view the chromatogram data and the sequence data together, highlight a base in the consensus sequence and click the **Show Chromatograms** button at the top of the screen. This will open a second window showing the chromatogram data for all of the sequences in the contig. Notice that the reverse primer sequence has been reversed and compiled in the process of building the contig. Adjust the position of the two screens so that all of the sequence data is visible along with the chromatogram data, and so all of the base positions can be reviewed. **Use this display and review all sequence positions.**

10. To quickly move from one ambiguity to the next in the consensus sequence, click on the first base in the sequence and then press **Control-N** simultaneously on the keyboard. This will jump both the sequence data and chromatograms to the next ambiguous position. To find only the instances where the contig is in disagreement between the strand data, click on the sequence data and press **Control-D**.
IMPORTANT - In the instances where length and/or sequence heteroplasmy is present and yields single-stranded DNA regions and/or ambiguous bases, or un-editable N calls are present, the consensus setting on the Sequencher program must be set to Plurality mode to analyze that particular sample.

11. Within the LIMS, create the appropriate contig samples, and indicate which primer samples are associated with each appropriate contig. All edits, trims, and modifications to the contig sequence must be recorded within the LIMS documentation.

12. To edit a base call, click on the base in question in the consensus sequence or individual sequence, and press the appropriate letter on the keyboard according to the following:

<table>
<thead>
<tr>
<th>Standard Codes</th>
<th>IUPAC Codes *</th>
</tr>
</thead>
<tbody>
<tr>
<td>A- Adenine</td>
<td>R- A or G</td>
</tr>
<tr>
<td>C- Cytosine</td>
<td>Y- C or T</td>
</tr>
<tr>
<td>T- Thymine</td>
<td>K- G or T</td>
</tr>
<tr>
<td>G- Guanine</td>
<td>M- A or C</td>
</tr>
<tr>
<td>N- Ambiguous</td>
<td>S- C or G</td>
</tr>
<tr>
<td></td>
<td>W- A or T</td>
</tr>
</tbody>
</table>

*See Nomenclature section of this manual for further discussion.

13. To delete a base, click on the base in question and do one of the following:

a. To have the bases fill in from the left side of the strand, press the delete key.

b. To have the bases fill in from the right side of the strand, press the backspace key, and follow the on-screen instructions.

14. To insert a base, press the Tab key and follow the on-screen instructions.

15. To shift the entire strand, place the cursor over the strand in question and press and hold the Ctrl key. The cursor will turn into a open hand icon. Click and hold using the icon and the hand will “grab” the strand, allowing you to move the entire strand left or right.

16. To move a single base, place the cursor over the base in question and press and
17. **To highlight a section of the sequence**, click on the beginning base in the sequence you wish to highlight, and then move the cursor to the last base in the sequence section, press and hold the **Shift** key, and click on the final base.

18. **To review edits** press and hold **Ctrl E**.

19. When the sequencing analysis and editing are completed, the contigs that were built need to be archived in the appropriate folders. To archive contigs, highlight contigs that are to be archived together, go to file ➔ export ➔ selection as subproject. Click on Browse button and select folder for contig to be archived. Make sure that the format is set to ‘Sequencher Project’. Click the Export button. Enter project name, e.g. PCddmmyy-hhmm for positive control contigs and FBXX-12345 for sample contigs.

- Positive Control contigs should be archived as follows: M:/MITO_DATA/PC Archive/year/PCmmddyy-hhmm.
- Samples contigs should be archived as follows: M:/MITO_DATA/Project Archives/sample type/FBXX-12345. Sample type should be ‘Casework’ for evidence and associated exemplars and should be ‘Missing Person cases’ for all Missing person samples.

From this point forward, the saved contigs can be further modified with additional primer runs, edited, and resaved as outlined above.

The following procedures should only be done once the analysis of the contig is complete and the contig is ready for review.

20. Once contigs have been exported and archived, open appropriate project, go to the **Contig** menu and click on **Compare Consensus to Reference**. If necessary, widen the contig name column to view the entire contig name. To print, select **Reports, Entire Table, Open Report, and Print**. Select **portrait** as the orientation, and print.
21. Print the **Compare Consensus to Reference** page again, but this time send the file to **Adobe PDF**. Click **Print**. Save the PDF file in the master run file, named as the sample name eg. “FB05-0005m hair 1 HVI” Close the Adobe window. Close the Difference Review window. Attach this PDF to the LIMS system within the contig sample.

22. At the top of the sequence comparison page, click on the **Summary** button. When the **Summary View** window opens, click on **File, Print Setup**, and select “landscape.” Then click on the **Ruler** button at the top of the page and adjust the margins (triangles on ruler) and adjust column spacing as needed to print entire sample ID.

23. Print the **Summary** page. To do this, select the **File** menu and select **Print**.

24. Print the **Summary** page again, but this time send the file to **Adobe PDF**. Click **Print**. Save the PDF file in the master run file, named as the sample name plus “sum,” eg. “FB05-0005m hair 1 HVI sum.” Close the Adobe window. Attach this PDF to the LIMS within the contig sample.

25. Click on the **Overview** button. In the Contig Diagram, double click on the individual sequence files. The sequence window of the file will open. Click on the **Show Chromatogram** button at the top of the screen. A new window will open. In the upper left corner is a slider bar, the four-color bases and two buttons: a dot and a vertical bar. **DO NOT CLICK THE VERTICAL BAR, AS THIS WILL ERASE THE HEADER FROM THE PRINTED PAGE.**

Print this chromatogram as edited data, trimmed to the hypervariable region of interest. To do this, select the **File** menu and select **Print Setup**. Select **landscape** as the orientation, and print.

   a. If you are printing the forward strand, under **Page Range** select the **FIRST four (4) pages** of the chromatogram.
   b. If you are printing the reverse strand, under **Page Range** select the **LAST four (4) pages** of the chromatogram.

Repeat step 25 for every separate chromatogram file.

26. Create an Adobe PDF file for this chromatogram as edited data, trimmed to the hypervariable region of interest. To do this, select the **File** menu and select **Print Setup**. Select **landscape** as the orientation, and print to **Adobe DPF** from the...
drop-down list. Click OK. Under the File menu, select Print.

a. If you are printing the forward strand, under Page Range select the FIRST four (4) pages of the chromatogram.

b. If you are printing the reverse strand, under Page Range select the LAST four (4) pages of the chromatogram.

Confirm that the Adobe PDF is selected, and click Print. Save the PDF file in the master run file, named as the sample name plus the primer, e.g. “FB05-0005m hair 1 HVI A4.” Close the Adobe window. Attach this PDF to the LIMS within the contig sample.

Repeat step 26 for every separate chromatogram file.

27. Click on the Overview button.

28. The lines at the top of the diagram indicate the forward and reverse strands in relation to the rCRS sequence, and the green bar below the lines indicates which areas of the rCRS are covered by the available sequence data. If the sequence is present in both the forward and reverse strand, the bar will be green with thin white strips on the top and bottom. If there is a partial coverage in only one direction, the bar will contain a light blue pattern.

29. Print out the Contig Diagram. Under the File menu, select Print Setup. Select landscape as the orientation, and print (select printer from the drop-down list). Click OK. Under the File menu, select Print. Confirm that the appropriate printer is selected and click Print.

30. Print the Contig Diagram page again, but this time send the file to Adobe PDF. Select landscape as the orientation, and click Print. Save the PDF file in the master run file, named as the sample name plus “map,” eg. “FB05-0005m hair 1 HVI map.” Close the Adobe window. Attach this PDF to the LIMS within the contig sample.

31. The final step of Sequencher analysis is to prepare the export data file from Sequencher for the LIMS. This will allow the contig data to import into the contig sample within the LIMS. To do this, open the contig and select “Compare Consensus to Reference” from the Contig menu. Click on the “Reports” button at
the top of the screen. Select the radio button for “Entire Table” and from the drop down menu, select the report format as “Variance Table Report.” Save the variance table as a text file to the appropriate network location and import into the LIMS for the appropriate contig.

32. After the import is completed in the LIMS, and the contig data is saved, the analyst can run the reports for the mtDNA Run Review and Positive Control Reviews, and print these reports as necessary for review.

D. File Output and Construction

Arrange the paperwork in following order, from bottom to top:

a. Run/rerun review sheets
b. Control review sheets
c. Sequencher Chromatogram printouts (if necessary)- landscape
d. Contig Diagram- landscape
e. Summary View- landscape
f. Editing Sheet
g. Compare Consensus to Reference- portrait

Landscape pages should be arranged in the file so that the right side of the landscape view faces the top edge of the file, and the left side of the landscape view faces the bottom side of the file.

E. Data Review

1. Once all of the Sequencher data has been completed and the file have been archived, pass the entire set of sample printouts for one 3130 run to another IA for data review.

2. For the IA performing the review, the following steps must be performed.
   a. Open the respective file from the appropriate archive located in the MITO_DATA directory. Review all sequencher files.
   b. For each sample, including Positive Control(s), ensure that all edits reflected in the editing documentation are valid and accounted for within the data set.
Controlled versions of Department of Forensic Biology Documents only exist electronically on the Forensic Biology network. All printed versions are non-controlled copies.

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PROTOCOLS FOR FORENSIC MITOCHONDRIAL DNA ANALYSIS

MITOCHONDRIAL DNA SEQUENCING ANALYSIS

<table>
<thead>
<tr>
<th>DATE EFFECTIVE</th>
<th>APPROVED BY</th>
<th>PAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>07-16-2012</td>
<td>MITOCHONDRIAL DNA TECHNICAL LEADER</td>
<td>72 OF 103</td>
</tr>
</tbody>
</table>

c. For positive controls, ensure that the proper type is displayed on the Compare Consensus to Reference page.

d. For negative controls, open the bases and chromatogram windows for each primer for each sample to ensure that no base calling data is present. If necessary, attempt to re-build a contig using questionable negative controls.

e. Ensure for every sample that the documentation is assembled in order and reflects the entire project and sample names.

3. If a problem is found, mark the occurrence within the documentation and return the documentation to the original analyst.

F. Archiving the Sequencher Data

Data will be archived in BINDERS, CASEFILES, and ELECTRONIC FILES.

ARCHIVED IN BINDERS:

Instrument binders (e.g. Batman)
3130xl report, original
Analysis report, original
Editing reports for Neg controls that could build into a contig, original
Run review reports, original

PC Binder
For each positive control:
Positive control review report
Contig diagrams, original
Sequence summaries, original
Editing reports, original
Difference review sheets, original
FB CASEFILES:

- 3130xl report, copy
- Run review report(s), copy
- Positive control review report, copy
- Positive control editing report, copy
- FB sample contigs, originals
- Contig diagrams
- Sequence summaries
- Editing reports
- Difference review sheets

ARCHIVED IN ELECTRONIC FILES:

Analyzed run files (e.g. B08-015)
- Run files
- 3130 report
- Run review reports
- Analyzed run sequence files
- Neg controls that could build into a contig

PC archives (e.g. PC_053108-1306)
- PC Sequencher file
- PC editing report
- PC review report

FB project archive (e.g. FB08-12345)
- FB Sequencher file
- FB editing report

**NOTE:** If a positive CTR is sequenced twice (e.g., during QC tests), the name will stay the same as in PCmmdddy-hhmm but a lettered suffix will be added after each new sequencing as in PC-mmddyy-hhmm-A, PC-mmddyy-hhmm-B....

A backup of all of the sequencing data contained in the MITO_DATA directory will be archived by DOITT.
**Revision History:**
- July 24, 2010 – Initial version of procedure.
- July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
Sequence Nomenclature and Alignment

Nucleotide positions are designated according to the standard one-letter code based on the nomenclature system adopted by the International Union of Pure and Applied Chemistry (IUPAC; see table below). Note that an “N” is used to denote unresolved sequence ambiguities where N can be any one of the four bases. **IUPAC codes that designate two possible bases should only be used in instances of sequence heteroplasmy.**

<table>
<thead>
<tr>
<th>IUPAC code</th>
<th>Base designation</th>
<th>IUPAC code</th>
<th>Base designation</th>
</tr>
</thead>
<tbody>
<tr>
<td>G</td>
<td>Guanine</td>
<td>R</td>
<td>A or G</td>
</tr>
<tr>
<td>A</td>
<td>Adenine</td>
<td>Y</td>
<td>C or T</td>
</tr>
<tr>
<td>T</td>
<td>Thymine</td>
<td>K</td>
<td>G or T</td>
</tr>
<tr>
<td>C</td>
<td>Cytosine</td>
<td>M</td>
<td>A or C</td>
</tr>
<tr>
<td>N</td>
<td>G, A, T, or C</td>
<td>S</td>
<td>C or G</td>
</tr>
<tr>
<td></td>
<td></td>
<td>W</td>
<td>A or T</td>
</tr>
</tbody>
</table>

**A. Using Sequencher 4.9**

1. Sequence differences between the questioned sample and the revised Cambridge Reference Sequence (rCRS) are generated and printed out from the Comparison Report file in Sequencher. These differences are organized by hypervariable region (e.g., one difference review file is generated for each HVI and HVII region). The differences are listed in order of occurrence on the mtDNA molecule.

2. In most cases, the alignment of a given mtDNA sequence with that of rCRS is straightforward. However, care must be taken in the placement of insertions and deletions in reference to that of rCRS according to the following standard nomenclature:

   a. Characterize profiles using the least number of differences from the reference sequence. Align the 310 T base in the rCRS with a T whenever possible.
b. If there is more than one way to maintain the same number of differences with respect to the reference sequence, differences should be prioritized in the following order: (i) substitutions: transitions are favored over transversions, (ii) insertions/deletions (indels).

c. Insertions and deletions should be placed furthermost 3’ to a homopolymeric region, with respect to the light strand of rCRS. Insertions and deletions should be combined in situations where the same number of differences to the reference sequence is maintained. In situations involving the “AC” motif, treat this motif as a homopolymeric region with respect to indels in the AC repeat region. Alignment rules a, b, and c are described in Budowle, et al, 2007. For casework samples where alternative alignment following the hierarchy of Wilson, et al, 2002a,b, is also possible, the alternative alignment does not need to be included in the case file.

3. Insertions (INS) should be listed to the right of a particular nucleotide position. Insertions are documented by first noting the site immediately 5’ to the insertion followed by a point and a “1” for the first insertion, a “2” if there is a second insertion, and so on.

4. Deletions (DEL) should be listed exactly where the known base in the reference sequence is missing in the sample sequence to minimize the number of differences between the questioned sample and the rCRS reference sequence. Deletions are noted by a “:” on the Sequencher printout in the consensus sequence.

5. **Sequence heteroplasmy** (also known as point or site heteroplasmy) occurs when a single sample contains at least two mtDNA sequences that differ at one or two nucleotide positions. The appropriate one-letter IUPAC code will be used during the editing of a given site that shows sequence heteroplasmy. This designation will be reflected in the Sequencher Comparison Report. In addition, the presence of sequence heteroplasmy at the given nucleotide position for the respective heteroplasmic bases will be recorded on the sequence editing documentation.
6. **Length heteroplasmy** occurs in regions that contain many tandem C nucleotides. These regions are commonly referred to as polycytosine or C-stretch regions. Length heteroplasmy refers to a sample that has at least two types, each one differing by the total number of C nucleotides at a given C-stretch.

   a. **It will be noted if a given casework sample has length heteroplasmy in HV1.** The number of C residues, however, in the area with HV1 length heteroplasmy will not be recorded. Length heteroplasmy in HV1 most commonly arises when there is a substitution of a C for a T at position 16,189. The reference type in HV1 is C₅TC₄. Sequences showing length heteroplasmy in HV1 will be truncated to fit the C₅TC₄ format including the T to C change at position 16,189.

   b. **It will be noted if a given casework sample has length heteroplasmy in HVII.** Length variants in HVII are commonly observed in the number of C residues preceding a T residue at position 310. It is often possible to determine unambiguously the dominant length variant in this region. The profile used for further analysis in Sequencher should be composed of only the major type as determined by the analyst.

### B. Using Sequencher 4.1.4

1. Sequence differences between the questioned sample and the revised Cambridge Reference Sequence (rCRS) are generated and printed out from the Difference Review file in Sequencher. These differences are organized by hypervariable region (eg., one difference review file is generated for each HV1 and HVII region). The differences are listed in order of occurrence on the mtDNA molecule. “Ref” (reference) and “Con” (consensus) indicate what bases are present in the rCRS and the questioned sample, respectively, at the designated mtDNA sequence positions.
2. In most cases, the alignment of a given mtDNA sequence with that of rCRS is straightforward. However, care must be taken in the placement of insertions and deletions in reference to that of rCRS according to the following standard nomenclature:

a. Characterize profiles using the least number of differences from the reference sequence. Align the 310 T base in the rCRS with a T whenever possible.

b. If there is more than one way to maintain the same number of differences with respect to the reference sequence, differences should be prioritized in the following order: (i) substitutions: transitions are favored over transversions, (ii) insertions/deletions (indels).

c. Insertions and deletions should be placed furthermost 3’ to a homopolymeric region, with respect to the light strand of rCRS. Insertions and deletions should be combined in situations where the same number of differences to the reference sequence is maintained. In situations involving the “AC” motif, treat this motif as a homopolymeric region with respect to indels in the AC repeat region. Alignment rules a, b, and c are described in Budowle, et al, 2007. For casework samples where alternative alignment following the hierarchy of Wilson, et al, 2002a,b, is also possible, the alternative alignment does not need to be included in the case file.

3. Insertions (INS) should be listed to the right of a particular nucleotide position. Insertions are documented by first noting the site immediately 5’ to the insertion followed by a point and a “1” for the first insertion, a “2” if there is a second insertion, and so on.

4. Deletions (DEL) should be listed exactly where the known base in the reference sequence is missing in the sample sequence to minimize the number of differences between the questioned sample and the rCRS reference sequence. Deletions are noted by a “:” on the Sequencher printout in the consensus sequence.
5. **Sequence heteroplasmy** (also known as point or site heteroplasmy) occurs when a single sample contains at least two mtDNA sequences that differ at one or two nucleotide positions. The appropriate one-letter IUPAC code will be used during the editing of a given site that shows sequence heteroplasmy. This designation will be reflected in the Difference Review. In addition, the presence of sequence heteroplasmy at the given nucleotide position for the respective heteroplasmic bases will be documented on the editing sheet.

6. **Length heteroplasmy** occurs in regions that contain many tandem C nucleotides. These regions are commonly referred to as polycytosine or C-stretch regions. Length heteroplasmy refers to a sample that has at least two types, each one differing by the total number of C nucleotides at a given C-stretch.

   a. **It will be noted if a given casework sample has length heteroplasmy in HVI.** The number of C residues, however, in the area with HVI length heteroplasmy will not be recorded. Length heteroplasmy in HVI most commonly arises when there is a substitution of a C for a T at position 16,189. The reference type in HVI is C₅TC₄. Sequences showing length heteroplasmy in HVI will be truncated to fit the C₅TC₄ format including the T to C change at position 16,189.

   b. **It will be noted if a given casework sample has length heteroplasmy in HVII.** Length variants in HVII are commonly observed in the number of C residues preceding a T residue at position 310. It is often possible to determine unambiguously the dominant length variant in this region. The profile used for further analysis in Sequencher should be composed of only the major type as determined by the analyst.
Editing Guidelines

Sequencher base calls can be modified if the underlying data support it. The analyst can change an “N” call into a base determination, insert an additional base, remove a position, or trim a sequence. A base call must not be edited without proper justification.

Reasons for base removal are:
- Extra base inserted due to broad peak, peak artifact, or analysis default spacing

Reasons for base insertion are:
- Base omitted however authentic peak is present
- To maintain proper spacing

Reasons for changing a base to an “N” or to a degenerate IUPAC code are:
- Ambiguous bases are detected
- Dye or electrophoretic artifact interference
- Due to sequence or length heteroplasmy

Reasons for changing an “N” call to a base is:
- Base omitted or called “N”, however authentic peak is present
- Dye artifact or electrophoretic interference
- Neighboring peak interference

Reasons for trimming a sequence:
- Trimmed to remove end sequence (sequence tail removal)
- Trimmed rCRS and sequences to other (shorter) sequence position for duplication

Editing for other reasons should be documented with a comment explaining the edit.

Many software calls can be easily resolved and corrected by the analyst. However, ambiguous situations should not be edited. If an electrophoresis problem is suspected, this sample should be re-injected. Sequence information at each base position should be confirmed by data from both DNA strands when possible. Single-stranded regions present due to length heteroplasmy, must be confirmed by confirmatory sequencing of the same strand in the same direction. The Sequencher complementary strand alignment will flag conflicts between the two sequencing directions for all strands imported into the contig.

Revision History:
- July 24, 2010 – Initial version of procedure.
- July 16, 2012 – Minor revisions in content to generalize terminology for LIMS
Interpretation Guidelines

GUIDELINES FOR CONTROLS

A. Negative controls

Negative controls are considered negative if there is no detectable DNA based on the quantitation procedure and no “readable” sequence is seen after 3130xl electrophoresis. For DNA sequencing analysis, the controls are also considered negative if sequence was obtained, but it cannot align to the reference sequence.

A “readable” sequence from a negative control run is a sequence that can be aligned to the rCRS for >90 consecutive bases with no more than 4 “N” calls within any 10 consecutive bases.

Two negative controls are associated with each sample: the extraction negative (ext neg or e neg) and the amplification negative (amp neg) controls. The former tests for potential DNA introduced during extraction through amplification, while the latter tests for the presence of any background DNA that was introduced during the amplification, or present in the amplification reagents. Both of these controls need to be processed for all sequencing primer sets.

Flow charts for passing, failing or retesting negative controls is as follows:

1. **Agilent**

   ![Flow chart for Agilent negative control](chart.png)

   - **Peak observed in negative control**
     - No → **Control passes**
     - Yes → **Is it < 0.5 ng/μl?**
       - Yes → **Control passes**
       - No → Requantify to confirm result
* If confirmed, the following actions should be taken:

- For extraction negative controls
  - Re-amplify to confirm presence of DNA, samples can proceed if re-amplification is clean.
  - If the extraction negative control still yields a peak following re-amplification, it is preferable to re-extract if more sample is available. If sample amount is limiting, analyst may proceed with caution. The results are only valid if the sequence detected for the amplification negative control does not match any of the associated samples or any of the samples in the case.
  - If the amount of DNA present in the extraction negative control exceeds 10% of any associated sample (DNA amounts determined by Agilent), that sample is invalid.

- For amplification negative controls
  - Re-amplify sample set.
  - If sample amount is limiting, it is left to the analyst’s discretion to proceed with the amplification set since this result indicates that the background DNA is limited to the amplification control tube rather than being ubiquitous in all samples. The results are only valid if the sequence detected for the amplification negative control does not match any of the associated samples or any of the samples in the case.
  - If the amount of DNA present in the amplification negative control exceeds 10% of any associated sample, that sample is invalid.

Note: Any failed negative controls may be sequenced for quality control purposes.
2. Sequencing results

If sequence data is present for an extraction or amplification negative control, but does not have base calls assigned, an analyst should manually assign base calls to determine if the sequence data can be aligned to the rCRS and if it is a "readable" sequence.

^ If sequence data is present for an extraction or amplification negative control, but does not have base calls assigned, an analyst should manually assign base calls to determine if the sequence data can be aligned to the rCRS and if it is a "readable" sequence.
* If an extraction or amplification negative control contains a “readable” sequence, the results should be confirmed by recycle-sequencing. If confirmed, then the test fails and retesting must start at the point of sample re-extraction or amplification. If the amount of original sample present is limiting, the DNA extract is limiting or the re-amplification yields the same results, then sample results can be interpreted and reported if the sequence is different from all associated samples in the case. The determined sequence for the extraction or amplification negative control must contain “readable” sequence in order to be used in sequence comparisons with case samples.

If both extraction and amplification negative control from the same test contains “readable” sequence, the extraction negative cannot be interpreted because the amplification may have introduced a contaminant. The test fails and all samples and the extraction negative must be re-amplified and re-sequenced

**If an extraction or amplification negative controls contain sequence data that can be aligned to the rCRS for <90 consecutive bases, the test passes however the results should be confirmed by recycle-sequencing. If confirmed, see a mtDNA supervisor before proceeding with further testing. The following testing can be done if further testing is deemed necessary:

- For an extraction negative control, re-amplification of the negative control in question followed by re-extraction of associated samples if necessary.
- For an amplification negative control, re-amplification of the entire amplification set.

**NOTE:** If it is necessary to re-sequence a casework sample from the cycle sequence step, a new cycle sequencing amplification negative control (CAN) must be created for this round of cycle sequencing. This negative control must yield a negative result for the results to be valid.
B. Positive controls

The positive control (HL60) is included for each amplification and must produce sequence that is consistent with the known polymorphisms. The positive control sample must yield results for the full read length of the associated sample contig, but at a minimum, HVI, 16024-16365, HVII, 73-340, or both. In addition, the positive control serves as the run control. Therefore, in order to be valid, every run must have a positive control that passes specification.

The known polymorphisms in comparison to the rCRS are as follows:

<table>
<thead>
<tr>
<th>HVI</th>
<th>HVII</th>
</tr>
</thead>
<tbody>
<tr>
<td>16,069</td>
<td>73</td>
</tr>
<tr>
<td>16,193</td>
<td>150</td>
</tr>
<tr>
<td>16,278</td>
<td>152</td>
</tr>
<tr>
<td>16,362</td>
<td>263</td>
</tr>
<tr>
<td></td>
<td>295</td>
</tr>
<tr>
<td></td>
<td>315.1</td>
</tr>
</tbody>
</table>

If the positive control fails to produce the expected result, all samples associated with this control fail. If it is suspected that the problem is not related to the amplification but could stem from a subsequent step, the positive control and all of the samples can be retested starting either at the cycle sequencing or the 3130xl injection step.

In cases of dye interference or electrophoretic artifact, some N calls in the positive control will be allowed as follows:

1. A maximum number of 4 “N” calls within any 10 base stretch for any primer strand used to build the contig will be allowed for either HVI or HVII region provided that the calls on the complementary strand are unambiguous and not contradictory to the questioned nucleotide position(s)
2. Any positions that have ambiguous N calls on both complementary strands that cannot be resolved through retesting will result in the failing of the positive control and all of the associated sample runs.

**Guidelines for Reporting**

**A. Sequencing: Reporting of Base Calls**

1. Sequence data should be determined from both complementary strands of DNA for mtDNA regions HVI and HVII. Only under special circumstances (see 2. below) can sequence be reported for confirmed data from a single-strand.

   a. All good quality data that shows concordance for both complementary DNA strands or confirmed single-strand data can be reported. A list of reported differences from the rCRS must be accompanied by the range of nucleotides of the region that was sequenced. All possible alternative alignments are not reported.

   b. For sequence where an ambiguous calling situation occurs for one strand, it must be left unresolved and called an “N”. **No more than 4 un-editable N calls are acceptable within any 10 base stretch of strand sequence data.**

   c. If an “N” base call is made on one of the DNA strands (eg., due to an electrophoretic artifact), this base position can still be reported as a base in the plurality consensus sequence as long as (i) the data on both strands are not in conflict with each other, and (ii) the data generated from the complementary or confirmatory DNA strand is clean and there is no question regarding its base call.

   d. A minimum read length of 90 contiguous base pairs of double-stranded or confirmed data that forms a contig will be valid for interpretation and for generating weight assessment.

   f. A minimum read length of 90 consecutive bases of single-stranded data is necessary for any strand to be used to build a contig. Only under special
circumstances (see 2. below) can data be reported for a read length of less than 90 bases.

2. Special circumstances will arise (eg., length heteroplasmy) when data from only one DNA strand can be obtained or read lengths of greater than 90 bases are not possible.

   a. For samples with HVI or HVII length heteroplasmy, additional primers should be used in order to obtain as much complementary data as possible.

   b. For sequence where no data is available for one of the complementary strands, this can still be reported given that the sequencing reaction that yielded the one strand of sequence data is repeated (confirmed) for this sample with the same or different primer in the same direction. All of the data from this region (eg., results from two cycle sequencing reactions) must be concordant between the two sequencing runs. Note: This type of rerun will satisfy conditions where a difference from rCRS or sequence heteroplasmy is being reported.

   c. Situations will arise which result in severe length heteroplasmy (e.g. in HVII, 310 C resulting in a homopolymeric stretch of 13 C residues). Under these conditions, it will be not be possible to sequence through this region in either the forward or reverse direction. This could result in the trimming of a strand (e.g., C1) and/or will yield runs with sequences generated from the complementary strand (e.g., D1) primer that are less than 90 bases. In these cases, the data will be acceptable at less than 90 bases. The guidelines described in b. above for run confirmations will also apply to the confirmation runs necessary in this scenario.

3. In situations when un-editable “N” base calls are made at a given sequence position for both DNA strands, then this base will be reported as “N”. **Samples with 3 or more un-editable “N” calls within a 10 base pair region of the consensus sequence in either HVI or HVII are inconclusive.**

B. **Criteria for Mixture Recognition**

1. More than two heteroplasmic positions in a sample are suggestive of a DNA mixture. If possible, the sample should be re-extracted or other samples in the same case should be tested.
2. Samples that contain two heteroplasmic positions might warrant further testing of additional samples depending on the circumstances of the case. This is to make sure that the sample type in question is not due to a mixture.

C. Sequence Comparisons

1. The positive control run with that sample must type correctly in order to report the sequence for that sample.

2. If either extraction or amplification negative controls contain readable sequences, the associated case sample(s) must be compared to this data before any further sequence comparisons are made. The readable extraction or amplification negative controls must differ from all case samples by at least two bases for these case samples to be interpreted and reported (see Control Tables, section A3).

3. When comparing sequences obtained from samples, only the regions in common will be considered.

4. A specimen that yields a mixture of DNA sequences is reported as inconclusive. No comparisons and no statistical evaluation will be performed using this sample.

5. The number of C nucleotides at the HVI polycytosine C-stretch will not be considered for interpretation purposes if length heteroplasmy is present. Likewise, the number of C residues exhibited in samples with HVII length heteroplasmy is highly variable and care must be taken when making comparisons. In order for sequence concordance to be declared, a common length variant must be observed in both samples being compared.

**Differences between samples due to the absence of an HVII common length variant are treated as one difference.**

6. Match Criteria for Sequencing data

<table>
<thead>
<tr>
<th>Concordance</th>
<th>When two mtDNA sequences from separate samples (e.g. from two pieces of evidence or from evidence and a maternal family reference source) are consistent with each other in the overlapping regions, the two samples cannot be excluded as originating from the same person or from having a maternal relationship, respectively.</th>
</tr>
</thead>
</table>

Controlled versions of Department of Forensic Biology Documents only exist electronically on the Forensic Biology network. All printed versions are non-controlled copies.

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## Interpretation Guidelines

| Inconclusive | The resulting comparison will be considered inconclusive when two mtDNA sequences from separate samples differ by one difference.  
| In these cases other reference sources and/or further testing in order to obtain more sequence data may be helpful. |

| Exclusion | The resulting comparison will be considered an exclusion when two mtDNA sequences from separate samples differ by two or more differences. |

### 7. Treatment of sequence heteroplasmy

| Two identical heteroplasmic bases are present at the same position in both samples. | This is not a difference (eg., C/T vs. C/T). |
| One heteroplasmic base is present in one sample; a common base is present at the same position in the other sample. | This is not a difference (eg., C/T vs. C; also C/T vs. T). |
| One heteroplasmic base is present in one sample; a different base is present at the same position in the other sample. | This is a difference (eg., C/T vs. G). |

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**Revision History:**
- July 24, 2010 – Initial version of procedure.
- September 3, 2010 – Revised version of procedure: sentence removed from Paragraph Bb to reflect current procedures.
- March 7, 2011 – General clarifications added; removed decision matrix for negative controls and added flow charts; paragraph B.1 revised to reflect “a maximum number of 3 ‘N’ calls…”
- March 17, 2012 – Changed current interpretation guideline regarding the number of “N” calls within 10 consecutive bases from 3 to 4; Minor changes and typographical corrections.
- July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
- August 14, 2015- Removed references to Linear Array assay (which is no longer in use).
Statistical Analysis

The frequency of occurrence of evidence sample types will be reported based on the type of analysis that was performed. When DNA sequencing analysis is done on a given comparison, only the DNA sequencing statistical analysis will be reported. Nevertheless, the statistics, when performed, will be included in the case file.

The extent of the sequence data that will be used for the database search and statistical analysis will be limited to the shortest range and most conservative reporting of the sequence in common between the evidence sample(s) and reference sample(s) used in the comparison (see previously discussed sequence reporting criteria).

Statistics may also be presented comparing evidentiary samples, in which case the statistical analysis will be limited to the shortest range and most conservative reporting of the sequence in common between the evidence samples.

A. For sequencing data, use the database and the procedure suggested by the FBI.

1. Database

The database used to obtain a frequency estimate is maintained by the FBI (Budowle et al 1999, Monson et al 2002) and is available for download at the following web address: http://www.fbi.gov/hq/lab/fsc/backissu/april2002/miller1.htm.

A copy of the database including the search window is found on mtDNA analysts’ computers. The database contains HVI (16024-16365) and HVII (73-340) sequences from a variety of unrelated individuals.

2. Searching Profiles

The base pair range of the profile to be searched is limited to the shortest range of reported sequence in common for both compared samples (see previously discussed reporting criteria).

Click on the mtDNA icon on your screen. The search window will open. Several options are pre-selected as indicated below.

Mode: - search
Database: - forensic
Under options (in edit menu):
Listing profiles: - not checked
Length variants: - consider multiple insertions as one difference
Partial profiles: - not checked
Statistics: - display up to 2 differences
Listing haplotypes: - check to list haplotypes that appear multiple times
Date: - check “all profiles”
Heteroplasmic scenarios: - not checked
Helper Apps: - not specified

Enter your profile ID.

Enter the searchable basepair range for HVI and click Add. Repeat for HVII. If your sample has the standard read length (see above) just double-click on the HVI or HVII icons. Enter all differences from the rCRS.

Click search.

Select a temporary directory and name for the results file.

The search result consists of the number of samples with 0-2 mismatches to the searched sample in the combined database and divided into different ethnic groups.

ATTENTION:

When sequence heteroplasmy is present at a given position in the mtDNA sequence, the mtDNA database will be searched with an “N” at that position.

Even though mtDNA sequence HVII polycytosine length variants are entered, multiple C-stretch length variants at the same position are considered as one difference during the database searches of concordant sequences containing this region and will not add additional rarity. In addition, the number of “C” residues in samples with HVI length heteroplasmy is not considered for comparison purposes.
3. Frequency estimate

a. Frequency estimate when the mtDNA sequence is observed at least once in database.

**Raw frequency estimates for the occurrence of a given mtDNA profile in the general population is based on the counting method as follows:**

\[ p = \frac{x}{N} \quad (Eq. 1) \]

Where \( p \) is the frequency estimate; \( x \) is the number of times a profile has been observed in the population database, and \( N \) is the number of profiles in the population database.

A confidence interval must be calculated from the results of the database search in order to correct the counting results for sampling errors according to the following equation

\[ p \pm 1.96 \left(\frac{p(1-p)}{N}\right)^{1/2} \quad (Eq. 2) \]

The upper 95% confidence interval value (upper bound = \( p + 1.96 \left(\frac{p(1-p)}{N}\right)^{1/2} \)) is calculated as the maximum frequency of occurrence within each population of the same mtDNA sequence as the searched profile.

The upper bound estimate can be calculated automatically using the Popstats spreadsheet for sequencing statistics found on the Forensic Biology network drive.

Example #1: A mtDNA sequence is observed 3 times in a database containing 2000 sample profiles. The frequency estimate is \( \frac{3}{2000} = 0.0015 \); the upper bound of the confidence interval is equal to \( 0.0015 + 1.96 \left(\frac{0.0015(0.9985)}{2000}\right)^{1/2} = 0.0015 + 0.0017 = 0.0032 \).

Meaning of example #1: With 95% confidence, the maximum true frequency of the mtDNA profile is 0.0032 or 0.32%, or 1 in 310. In other words, at least 99.68% of the population can be excluded as the source of the evidence.
b. Frequency estimate when the mtDNA sequence is not observed in the database.

The following equation is used:

\[ 1 - \alpha^{1/N} \quad (Eq. 3) \]

\( \alpha \) is the confidence coefficient (use 0.05 for a 95% confidence interval), and \( N \) is the number of individuals in the population.

Example #2: A mtDNA sequence is observed 0 times in the database containing 2000 sample profiles. The frequency estimate is \( 1-0.05^{1/2000} = 1-0.999 = 0.001. \)

Meaning of example #2: For a database size of 2000 mitotypes or sequence profiles, the frequency of a mtDNA profile not observed in the database is 0.001 or 0.1%; or 1 in 1000, or, with 95% confidence, 99.9% of the population can be excluded as being the source of the evidence.

c. Based on the FBI database, the mtDNA population database search software supplies separate results of the frequency estimates for four major populations (African-American, Hispanic, Caucasian, and Asian Origin). It is not the intent of the report to draw any inference as to the population origin of the contributor(s) of the evidence.

d. Reports will present the upper bound 95% confidence interval estimate for each population group, and express this as a percentage and a frequency, e.g., an upper bound 95% confidence interval estimate of 0.5% (1 in 200). Frequency estimate will be rounded down to nearest 10 or single whole number. The intent of the report is to present a conservative range of estimates of the strength of the mitochondrial DNA comparison.

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
August 14, 2015 – Removed references to Linear Array assay (which is no longer in use).
Creation of a Casefile CD

When all of the sequencing analysis is completed for a case, and upon request from members of the court, a CD containing all of the data for that case may be created to fulfill the request.

1. Insert a blank CD into the computer, and open the program “Roxio/Creator Home.” Click on the “Data,” section and select “Data Disc.”

2. Depending on the specific request, the levels of the disc will be created according to the following:

   CD Main Window
   - “Evidence” File
     - Analyzed 3130xl Run Files
       - Analyzed 3130xl data files
       - Sequence Analysis Report
     - Sequencher Evidence Project File
   - “Exemplars” File
     - All analyzed 3130xl Run Files
       - Analyzed 3130xl data files
       - Sequence Analysis Report
     - Sequencher Exemplar Project File

3. Ensure that all 3130xl data is imported from the Analyzed Archive on the network, NOT the superhero archive.

   To ensure the quality of the disc, it is advisable to copy all of the necessary data to a single location on the local computer that will create the CD. Once all of the necessary files have been compiled in this file on the local hard drive, according to the tree structure above, the entire contents of the local file can be added to the CD.

4. For the “Volume Label” fill in the casefile number, (eg. FB05-0234).

5. Label the new disk with the casefile number. The disk should be delivered to the Quality Assurance Manager for transmittal.

Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
References

DNA Sequencing


ExoSAP-IT (PCR Product Clean-up)


Product Gel


REFERENCES

<table>
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<th>DATE EFFECTIVE</th>
<th>APPROVED BY</th>
<th>PAGE</th>
</tr>
</thead>
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<tr>
<td>08-14-2015</td>
<td>MITOCHONDRIAL DNA TECHNICAL LEADER</td>
<td>97 OF 103</td>
</tr>
</tbody>
</table>

Agilent


# Appendix A – Oligonucleotide Primer Sequences

<table>
<thead>
<tr>
<th>Region</th>
<th>Primer</th>
<th>Nucleotide (base) Sequence</th>
<th>Size (no. of bases)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HVI</td>
<td>A1&lt;sup&gt;1&lt;/sup&gt;</td>
<td>5'- CAC CAT TAG CAC CCA AAG CT -3'</td>
<td>20</td>
</tr>
<tr>
<td></td>
<td>A4</td>
<td>5'- CCC CAT GCT TAC AAG CAA GT -3'</td>
<td>20</td>
</tr>
<tr>
<td></td>
<td>B1</td>
<td>5'- GAG GAT GGT GGT CAA GGG AC -3'</td>
<td>20</td>
</tr>
<tr>
<td></td>
<td>B4</td>
<td>5'- TTT GAT GTG GAT TGG GTT T -3'</td>
<td>19</td>
</tr>
<tr>
<td></td>
<td>HVIF&lt;sup&gt;2&lt;/sup&gt;</td>
<td>5'- CTC CAC CAT TAG CAC CCA A -3'</td>
<td>19</td>
</tr>
<tr>
<td></td>
<td>HVIR</td>
<td>5'- ATT TCA CGG AGG ATG GTG -3'</td>
<td>18</td>
</tr>
<tr>
<td></td>
<td>C1</td>
<td>5'- CTC ACG GGA GCT CTC CAT GC -3'</td>
<td>20</td>
</tr>
<tr>
<td></td>
<td>C2</td>
<td>5'- TTA TTT ATC GCA CCT ACG TTC AAT -3'</td>
<td>24</td>
</tr>
<tr>
<td></td>
<td>D1</td>
<td>5'- CTG TTA AAA GTG CAT ACC GCC A -3'</td>
<td>22</td>
</tr>
<tr>
<td></td>
<td>D2</td>
<td>5'- GGG GTT TGG TGG AAA TTT TTT G -3'</td>
<td>22</td>
</tr>
<tr>
<td></td>
<td>HVIIF</td>
<td>5'-CAC CCT ATT AAC CAC TCA CG -3'</td>
<td>20</td>
</tr>
<tr>
<td></td>
<td>HVIIR</td>
<td>5'-CTG TTA AAA GTG CAT ACC GC -3'</td>
<td>20</td>
</tr>
</tbody>
</table>

1. Nucleotide sequences for primers A1, A4, B1, B4, C1, C2, D1, and D2 are from the FBI Laboratory DNA Analysis Unit II Mitochondrial DNA Analysis Protocol (mtDNA Protocol Manual, DNA Amplification - Rev. 8, Issue Date 02/01/05 for primers A1, B1, C1, C2, D1, and D2; mtDNA Protocol Manual, Cycle Sequencing - Rev. 8, Issue Date 09/10/04 for primers A4 and B4). The primer sequences in the FBI mtDNA Protocol Manual are based on those described in the following:


2. Nucleotide sequences for oligonucleotide primers HVIF, HVIR, HVIIF, HVIIR are from the product insert for the LINEAR ARRAY Mitochondrial DNA HVI/HVII Region-Sequence Typing Kit that is available from Roche Applied Sciences (Cat. No. 03-527-867-001; product information is available at...
www.roche-applied-science.com). The primer sequences in the typing kit are based on those described in:


Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
Appendix B – Mitochondrial DNA Primer Locations

The above diagrams are not to scale. All primer positions are relative to the table below. All arrows indicate the directions (forward or reverse) that the primer amplifies along the hypervariable region.

### HVI (16024 - 16365) = 342 bp

<table>
<thead>
<tr>
<th>Primer</th>
<th>Position¹</th>
<th>Primer</th>
<th>Position¹</th>
</tr>
</thead>
<tbody>
<tr>
<td>HVIF</td>
<td>15975</td>
<td>HVIIF</td>
<td>15</td>
</tr>
<tr>
<td>A1</td>
<td>15978</td>
<td>C1</td>
<td>29</td>
</tr>
<tr>
<td>A4</td>
<td>16190</td>
<td>C2</td>
<td>154</td>
</tr>
<tr>
<td>B4</td>
<td>16182</td>
<td>D2³</td>
<td>306</td>
</tr>
<tr>
<td>B1</td>
<td>16410</td>
<td>D1</td>
<td>429</td>
</tr>
<tr>
<td>HVIR</td>
<td>16418</td>
<td>HVIIR</td>
<td>429</td>
</tr>
</tbody>
</table>

### HVII (73 - 340) = 268 bp

<table>
<thead>
<tr>
<th>Primer</th>
<th>Position¹</th>
</tr>
</thead>
<tbody>
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<td>HVII</td>
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<td>C1</td>
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<td>C2</td>
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<tr>
<td>D2</td>
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<td>D1</td>
<td>429</td>
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</table>

¹ Nucleotide position is defined as the first base at the 5' end of the primer.
² Primers A4 and B4 are used to resolve C-stretch length polymorphisms in HVI.
³ Primer D2 is used when necessary to resolve the reverse strand sequence when C-stretch polymorphism is present in HVII.
# APPENDIX B
## MITOCHONDRIAL DNA PRIMER LOCATIONS

<table>
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<th>DATE EFFECTIVE</th>
<th>APPROVED BY</th>
<th>PAGE</th>
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<td>07-16-2012</td>
<td>MITOCHONDRIAL DNA TECHNICAL LEADER</td>
<td>101 OF 103</td>
</tr>
</tbody>
</table>

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Revision History:

- July 24, 2010 – Initial version of procedure.
- July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.
Appendix C- Revised Cambridge Reference Sequence

Hypervariable Region I (HVI)

16024- TTCTTTTCATGGGGAAGCAGATTTGGGTACCACCACAGAT
16064- TGACTCACCCATCAAAACCGCTATGTTTTCGGGATT
16104- CTGCCAGCCACCATTAATGTACGGTACCCATAAATCT
16144- TGACCCTGACATACACCCATGCAAGACATTGTTATTTAAA
16184- CCCCTTCCCTATGGTACACATACATTTAATGACCCCTCC
16224- TCAACTATCAAGTCATCTATGAATATGTACCACCTATTACT
16264- GATACCAAGACCTATCATCATCCCTTCAACAAGGAGGAGG
16304- TAGCACGCTGGTGATACCAACCAACCATCACATGACCTG
16344- CAGTCAAATCTATTCTCGTCCCGTAAATGCCTTCTCGTCC
16365(end)

Hypervariable Region II (HVII)

73- ATGCACGCATAGCATTGCGAGACGCTGGA GCCGGAGCAC
113- CCTATGTCGA GTATCTGTC TTTGATTCCT GGCCTCATCCT
153- ATTATTTATAC GCACATGTGC TTATAATAC AGGCGAACAT
193- ATATCTAAAGGTCATTAATGAATATGTACCAGGAGGAC
233- TAATAAAACAAAGAGAATGCTGCAGGCA ACTTTCCACA
273- CAGACATCAT ACAAATAGAT TTCCACAGAC CCCTTTCCACA
313- CCCGCTTCTG GCCACAGCAC TTAAACACGCCTCTGACTC

Human Mitochondrial DNA Revised Cambridge Reference Sequence,

LOCUS NC_012920 16569 bp DNA circular PRI 30-APR-2010
DEFINITION Homo sapiens mitochondrion, complete genome.
ACCESSION NC_012920 AC_000021
VERSION NC_012920.1 GI: 251831106
SOURCE mitochondrion Homo sapiens (human)
ORGANISM Homo sapiens
Eukaryota; Metazoa; Chordata; Ctenophora; Vertebrata; Euteleostomi; Mammalia; Eutheria; Euarchontoglires; Primates; Haplorrhini; Catarrhini; Hominidae; Homo.
REFERENCES


Revision History:
July 24, 2010 – Initial version of procedure.
July 16, 2012 – Minor revisions in content to generalize terminology for LIMS.