

SANITATION - July 13, 2014

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
10-119***	AS30, ASH9	Illegal posting of handbill/notice	1st	75	200
			2nd	150	200
10-120***	AS31	Defacement of City handbill/notice	1st	75	200
			2nd	150	200
10-119/120***	AS32, ASJ1	Illegal posting/defacement of handbill (2nd offense)		150	300
10-117(a)	AS33, ASJ2	Illegal placement of stickers or decals on public or private property		150	500
10-119***	AS3T, ASJ3	Posting on tree	1st	150	200
10-119***	AS3P, ASJ4		2nd	300	550
16-116(a)	AS01	Removal of commercial waste		100	100
16-116(b)	AS02, AS2N	Posting of sign/permit (mitigation: 0')		100	100
16-118(1) **	AS03	Littering	1st	100	450
	AS3A		2nd	250	450
	AS3B		3rd	350	450
16-118(1) **	AS04	Sweep out	1st	100	450
	AS4A		2nd	250	450
	AS4B		3rd	350	450
16-118(1) **	AS05	Throw out	1st	100	450
	AS5A		2nd	250	450
	AS5B		3rd	350	450
16-118(2)(a) *	AS06	Dirty sidewalk		100	300
16-118(2)(a) *	AS6M	Dirty Area		100	300
16-118(2)(a) *	AS26	Failure to Clean 18" Into Street		100	300
16-118(2)(a) *	AS07	Sidewalk obstruction		100	300
16-118(2)(b) *	AS6V	Dirty Sidewalk (Vacant Lot) *		100	300
16-118(2)(b) *	AS6L	Dirty Area (Vacant Lot) *		100	300
16-118(2)(b) *	AS7V	Sidewalk Obstruction (Vacant Lot) *		100	300
16-118(2)(b) *	AS8V	Failure to Clean 18" Into Street (Vacant Lot) *		100	300
16-118(2)*	AS97	Repeat Violation		250	300
16-118(3) **	AS08	Dust or substances flying	1st	100	450
	AS8A		2nd	250	450

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	AS8B		3rd	350	450
16-118(4) **	AS09	Spilling from truck or receptacle	1st	100	450
	AS9A		2nd	250	450
	AS9B		3rd	350	450
16-118(6) **	AS10	Noxious liquids	1st	100	450
	AS1A		2nd	250	450
	AS1B		3rd	350	450
16-118(7)	AS11	Preventing or otherwise interfering with work of DSNY employee		100	300
16-119****	AS13	Illegal dumping(Operator of vehicle) *	1st	1500	10,000
	AS13		2nd	5000	10,000
	ASA3		3rd	10000	20,000
	ASA3		4th	15000	20,000
	ASA3		5th	20000	20,000
16-119 ****	AS14, AS15	Illegal dumping(Owner of vehicle) *	1st	1500	10,000
	AS14		2nd	5000	10,000
	ASA4		3rd	10000	20,000
	ASA4		4th	15000	20,000
	ASA4		5th	20000	20,000
16-120(a) **	AS16	Improper Disposal	1st	100	300
	AS6A		2nd	100	300
	AS6B		3rd	200	300
16-120(a)	ASZ4	Insufficient Receptacles	1st	100	300
	ASZ5		2nd	100	300
	ASZ6		3rd	200	300
16-120(a)	ASZ7	Broken Receptacles	1st	100	300
	ASZ8		2nd	100	300
	ASZ9		3rd	200	300
16-120(a)	ASP1	Uncovered Receptacles	1st	100	300
	ASP2		2nd	100	300
	ASP3		3rd	200	300

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16-120(a)	ASP4	Improper Disposal--Bedding	1st	100	300
	ASP5		2nd	100	300
	ASP6		3rd	200	300
16-120(a)	ASP7	Improper receptacles	1st	100	300
	ASP8		2nd	100	300
	ASP9		3rd	200	300
16-120(b) **	AS17	Separation and weight	1st	100	300
	AS7A		2nd	100	300
	AS7B		3rd	200	300
16-120(c) **	AS18	Storage of receptacles	1st	100	300
	AS8C		2nd	100	300
	AS8D		3rd	200	300
16-120(d) **	AS19	Loose rubbish	1st	100	300
	AS9C		2nd	100	300
	AS9D		3rd	200	300
16-120(e) **	AS20	Improper use of DSNY litter baskets	1st	100	300
	AS2A		2nd	250	350
	AS2B		3rd	350	400
16-122(b) *	AS96	Repeat Violation		100	150
16-123 **	AS21	Snow, ice & dirt removal	1st	100	350
	AS2C		2nd	150	350
	AS2D		3rd	250	350
16-127(a)	AS22	Earth, rocks and rubbish		100	150
16-122(b) *	AS23	Street obstruction		100	150
16-122(c)	AS24	Disabled vehicle		100	150
16-118(2) *	AS26	Failure to sweep 18" from curb		100	300
16-120.1 *****	AS27	Improper disposal of infectious/medical waste	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-117.1	AS28	Improper transport/storage/disposal of asbestos waste		1000	10,000

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16-117.1	AS29	Hazardous transportation/storage/disposal of asbestos waste		10,000	10,000
16-130(b) *****	AS36	Operating a nonputrescible solid waste transfer station without a permit	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 4-04 et seq. *****	AS37	Comm.'s transfer station Rule Re: nonputrescible waste	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-130(b) *****	AS38	Operating a putrescible waste transfer station without a permit.	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 4-11 et seq.*****	AS39	Comm.'s transfer station Rule Re: putrescible waste	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-130(b) *****	AS40	Operating dump/fill without a permit	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 3-02 et seq.*****	AS41	Comm's Rule Re: Dump/fill operation	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 4-32,33,34 *****	AS42	Violation of transfer station Rules re: siting/hours/reports/plans	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-130(b)*****	ASF6	Operating an intermodal solid waster container facility without a registration	1st	2500	10,000
	ASF7		2nd	5000	10,000

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	ASF8		3rd and sub.	10000	10,000
16 RCNY 4-44(c)*****	ASF9	Failure to handle intermodal containers in a safe and sanitary manner	1st	2500	10,000
	ASG1		2nd	5000	10,000
	ASG2		3rd and sub.	10000	10,000
16 RCNY 4-44(g)*****	ASG3	Failure to maintain solid waste received at the facility for transports in intermodal containers	1st	2500	10,000
	ASG4		2nd	5000	10,000
	ASG5		3rd and sub.	10000	10,000
16 RCNY 4-44(h)*****	ASG6	Failure of intermodal containers to meet the specification requirements set forth in 16 RCNY 4-43	1st	2500	10,000
	ASG7		2nd	5000	10,000
	ASG8		3rd and sub.	10000	10,000
16 RCNY 4-44(i)*****	ASG9	Failure to maintain and/or provide records	1st	2500	10,000
	ASH1		2nd	5000	10,000
	ASH2		3rd and sub.	10000	10,000
16 RCNY 4-44(j)*****	ASH3	Failure to remove intermodal containers containing putrescible waste within 72 hours of receipt.	1st	2500	10,000
	ASH4		2nd	5000	10,000
	ASH5		3rd and sub.	10000	10,000
16 RCNY 4-44(l)*****	ASH6	Failure to store equipment within the property lines.	1st	2500	10,000
	ASH7		2nd	5000	10,000
	ASH8		3rd and sub.	10000	10,000
16 RCNY 1-04	AS43	Improper disposal of regulated household waste		100	250
16 RCNY 5-06(a)(3)	AS44	Vehicle Body - Improper color		250	500
16 RCNY 5-06	AS45	Misc. Violation of vehicle body specifications		250	500

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16-120.1(d)	AS90	Improper disposal of regulated household waste		100	250
16-120.1(e) *****	AS91	Failure to file DEC medical waste plans	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-120.1(f) *****	AS92	Failure to file DEC medical waste plans/amended plans	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-120.1(e) or (f)	AS93	Late filing of medical waste plans or reports within 30 days as per 16-120.1(i)(6)		100	250
16 RCNY 11-02(a) *****	AS94	Failure to file DEC Medical Waste Plans	1st	2500	10000
			2nd	5000	10000
			3rd	10000	10000
16 RCNY 11-02(b) *****	AS95	Failure to File Medical Waste Plans/Amended Plans	1st	2500	10000
			2nd	5000	10000
			3rd	10000	10000
16 RCNY 11-02(a), (b)	AS99	Late Filing of Medical Waste Plans or Reports Within 30 days as per 16 RCNY 11-02(c)		100	250
NYS General Business Law §397-a	AGB1	Placement of unsolicited advertisements on private property in a manner contrary to sign authorized by General Business Law §397-a.		250	250
16-308(e)	ASZ1	Improper receptacle for yard waste (Resident - one to eight dwelling units)	1st	25	25
	ASZ2		2nd	50	50
	ASZ3		3rd	100	100
16-308(e)*	ASY0	Improper receptacle for yard waste (Resident - nine or more dwelling units)	1st	100	100
	ASY1		2nd	200	200
	ASY2		3rd	400	400

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	ASY3		Persistent Violator (fourth and any subsequent violation within a period of six months from the issuance of the first violation) *	400	400
16-308(f)***	ASY4	Improper dispersal of yard waste (Business Generating Yard Waste)	1st	250	250
	ASY5		2nd	1000	1000
	ASY6		3rd	2,500	2,500
16-308(f)***	ASY7	Improper disposal of yard waste (Business Generating Yard Waste)	1st	250	250
	ASY8		2nd	1000	1000
	ASY9		3rd	2,500	2,500
16-327(a) (This charge is effective as of February 13, 2009.)	ASF1	Failure to dispose of solid waste and recyclable materials properly		\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.	\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.
§16-327(b)(1) (This charge is effective as of February 13, 2009.)	ASF2	Failure to provide sufficient number of refuse and recycling receptacles for street event		\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.	\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.

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§16-327(b)(2) (This charge is effective as of February 13, 2009.)	ASF3	Spillage condition from overflowing receptacle		\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.	\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.
§ 16-327(b)(3) (This charge is effective as of February 13, 2009.)	ASF4	Failure to properly bag and/or bundle refuse and recyclables		\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.	\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.
§16-327(b)(4) (This charge is effective as of February 13, 2009.)	ASF5	Failure to place bagged and/or bundled refuse and recyclables at predetermined location		\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.	\$100 per violation. Maximum: Up to \$500 per day or \$2,000 per street event.
16-404***	ASB1	Improper Disposal of Rechargeable Battery	1st	50	50
	ASB2		2nd	100	100
	ASB3		3rd & Subsq.	200	200
16-405(a) ***	ASB4	Failure to Comply with Rechargeable Battery Recycling Program Requirements (Retailer) *	1st	200	200
	ASB5		2nd	400	400
	ASB6		3rd (and subsequent) *	500	500
16-405(b) ***	ASB7	Failure to Comply with Rechargeable Battery Recycling Program Requirements (Battery Manufacturer) *	1st	2000	2000
	ASB8		2nd	4000	4000
	ASB9		3rd (and subsequent) *	5000	5000
16-461(a)(1)*****	ASL6	Unlawful removal and transport of recyclable material from residential building, city-occupied building, vacant lot (vehicle owner)	1st	\$500	\$500
	ASL7		2nd	\$750	\$750

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	ASL8		3rd	\$1,000	\$1,000
16-461(a)(1)*****	ASM9	Unlawful removal and transport of recyclable material from residential building, city-occupied building, vacant lot (vehicle owner)—Affidavit	1st	\$500	\$500
	ASN1		2nd	\$750	\$750
	ASN2		3rd	\$1,000	\$1,000
16-461(a)(1)*****	ASJ5	Unlawful removal and transport of recyclable material from residential building, city-occupied building, vacant lot (vehicle operator)	1st	\$500	\$500
	ASJ6		2nd	\$750	\$750
	ASJ7		3rd	\$1,000	\$1,000
16-461(a)(2)(i)	ASJ8	Entering into agreement for supplemental collection of recyclable material without requesting supplemental collection from DSNY or otherwise meeting requirements of §16-461(2)(i)		\$1,000	\$1,000
16-461(a)(2)(iii)	ASJ9	Failure to contain all necessary elements within collection agreement		\$100	\$100
16-461(a)(2)(iv)	ASK1	Agreement in place exceeds two year limit		\$100	\$100
16-461(a)(2)(v)	ASK2	Failure to have valid proof of agreement		\$100	\$100
16-461(a)(3)	ASK3	Failure to submit report		\$500	\$500
16-461(b)*****	ASK7	Unlawful removal and transport of Department-marked item from residential building, city-occupied building, vacant lot (vehicle operator)	1st	\$750	\$750
	ASK8		2nd	\$1,000	\$1,000
	ASK9		3rd	\$1,500	\$1,500
16-461(b)*****	ASM3	Unlawful removal and transport of Department-marked item from residential building, city-occupied building, vacant lot (vehicle owner)	1st	\$500	\$500
	ASM4		2nd	\$750	\$750
	ASM5		3rd	\$1,000	\$1,000
16-461(b)*****	ASK4	Unlawful removal and transport of refrigerant-containing item from residential building, city-occupied building, vacant lot (vehicle operator)	1st	\$750	\$750
	ASK5		2nd	\$1,000	\$1,000
	ASK6		3rd	\$1,500	\$1,500

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16-461(b)*****	ASL9	Unlawful removal and transport of refrigerant-containing item from residential building, city-occupied building, vacant lot (vehicle owner)	1st	\$500	\$500
	ASM1		2nd	\$750	\$750
	ASM2		3rd	\$1,000	\$1,000
16-461(c)*****	ASL4	Unlawful removal and transport of recyclable material from commercial building (vehicle operator)	1st	\$1,000	\$1,000
	ASL5		2nd	\$2,000	\$2,000
16-461(c)*****	ASN6	Unlawful removal and transport of recyclable material from commercial building (vehicle owner)	1st	\$1,000	\$1,000
	ASN7		2nd	\$2,000	\$2,000
16-461(c)*****	ASN3	Unlawful removal and transport of recyclable material from commercial building (vehicle owner)— Affidavit	1st	\$1,000	\$1,000
	ASN4		2nd	\$2,000	\$2,000
16-463(b)*****	ASN8	Unlawful receipt of recyclable material	1st	\$1,000	\$1,000
	ASN9		2nd	\$2,000	\$2,000
16-463(c)*****	ASQ1	Unlawful receipt of Department-marked item	1st	\$1,500	\$1,500
	ASQ2		2nd	\$3,000	\$3,000
16-463(d)*****	ASQ3	Unlawful receipt of refrigerant-containing item	1st	\$1,500	\$1,500
	ASQ4		2nd	\$3,000	\$3,000
16-471(a)	ASQ5	Use of motor vehicle to unlawfully accept, receive, or collect recyclable containers in bulk from more than one person on or in any street (Vehicle Operator)		\$1,000	\$1,000
16-471(a)	ASQ6	Use of motor vehicle to unlawfully accept, receive, or collect recyclable containers in bulk from more than one person on or in any street (Vehicle Owner)		\$1,000	\$1,000
16-471(b)	ASQ7	Unlawful transfer of recyclable containers in bulk from one vehicle to another on or in any street where one or more of the vehicles has a commercial license plate (Vehicle Owner)		\$1,000	\$1,000
16-471(b)	ASQ8	Unlawful transfer of recyclable containers in bulk from one vehicle to another on or in any street where one or more of the vehicles has a commercial license plate (Vehicle Operator)		\$1,000	\$1,000

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16-472	ASQ9	Failure to register with the Department of Sanitation		\$500	\$500
16-473	ASR1	Failure to file an annual report to the Department of Sanitation		\$250	\$250
16-474	ASR2	Operating at a location other than that specified in registration		\$250	\$250
16-474	ASR3	Failure to keep location specified in registration in a safe and sanitary manner		\$250	\$250