SANITATION - July 2, 2014

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
10-119***	AS30, ASH9	Illegal posting of handbill/notice	1st	75	200
			2nd	150	200
10-120***	AS31	Defacement of City handbill/notice	1st	75	200
			2nd	150	200
10-119/120***	AS32, ASJ1	Illegal posting/defacement of handbill (2nd offense)		150	300
10-117(a)	AS33, ASJ2	Illegal placement of stickers or decals on public or private property		150	500
10-119***	AS3T, ASJ3	Posting on tree	1st	150	200
10-119***	AS3P, ASJ4		2nd	300	550
16-116(a)	AS01	Removal of commercial waste		100	100
16-116(b)	AS02, AS2N	Posting of sign/permit (mitigation: 0[1])		100	100
16-118(1) **	AS03	Littering	1st	100	450
	AS3A		2nd	250	450
	AS3B		3rd	350	450
16-118(1) **	AS04	Sweep out	1st	100	450
	AS4A		2nd	250	450
	AS4B		3rd	350	450
16-118(1) **	AS05	Throw out	1st	100	450
	AS5A		2nd	250	450
	AS5B		3rd	350	450
16-118(2)(a) *	AS06	Dirty sidewalk		100	300
16-118(2)(a) *	AS6M	Dirty Area		100	300
16-118(2)(a) *	AS26	Failure to Clean 18” Into Street		100	300
16-118(2)(a) *	AS07	Sidewalk obstruction		100	300
16-118(2)(b) *	AS6V	Dirty Sidewalk (Vacant Lot) *		100	300
16-118(2)(b) *	AS6L	Dirty Area (Vacant Lot) *		100	300
16-118(2)(b) *	AS7V	Sidewalk Obstruction (Vacant Lot) *		100	300
16-118(2)(b) *	AS8V	Failure to Clean 18” Into Street (Vacant Lot) *		100	300
16-118(2)*	AS97	Repeat Violation		250	300
16-118(3) **	AS08	Dust or substances flying	1st	100	450
	AS8A		2nd	250	450

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
	AS8B		3rd	350	450
16-118(4) **	AS09	Spilling from truck or receptacle	1st	100	450
	AS9A		2nd	250	450
	AS9B		3rd	350	450
16-118(6) **	AS10	Noxious liquids	1st	100	450
	AS1A		2nd	250	450
	AS1B		3rd	350	450
16-118(7)	AS11	Preventing or otherwise interfering with work of DSNY employee		100	300
16-119****	AS13	Illegal dumping(Operator of vehicle) *	1st	1500	10,000
	AS13		2nd	5000	10,000
	ASA3		3rd	10000	20,000
	ASA3		4th	15000	20,000
	ASA3		5th	20000	20,000
16-119 ****	AS14, AS15	Illegal dumping(Owner of vehicle) *	1st	1500	10,000
	AS14		2nd	5000	10,000
	ASA4		3rd	10000	20,000
	ASA4		4th	15000	20,000
	ASA4		5th	20000	20,000
16-120(a) **	AS16	Improper Disposal	1st	100	300
	AS6A		2nd	100	300
	AS6B		3rd	200	300
16-120(a)	ASZ4	Insufficient Receptacles	1st	100	300
	ASZ5		2nd	100	300
	ASZ6		3rd	200	300
16-120(a)	ASZ7	Broken Receptacles	1st	100	300
	ASZ8		2nd	100	300
	ASZ9		3rd	200	300
16-120(a)	ASP1	Uncovered Receptacles	1st	100	300
	ASP2		2nd	100	300
	ASP3		3rd	200	300

SANITATION - July 2, 2014

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
16-120(a)	ASP4	Improper Disposal--Bedding	1st	100	300
	ASP5		2nd	100	300
	ASP6		3rd	200	300
16-120(a)	ASP7	Improper receptacles	1st	100	300
	ASP8		2nd	100	300
	ASP9		3rd	200	300
16-120(b) **	AS17	Separation and weight	1st	100	300
	AS7A		2nd	100	300
	AS7B		3rd	200	300
16-120(c) **	AS18	Storage of receptacles	1st	100	300
	AS8C		2nd	100	300
	AS8D		3rd	200	300
16-120(d) **	AS19	Loose rubbish	1st	100	300
	AS9C		2nd	100	300
	AS9D		3rd	200	300
16-120(e) **	AS20	Improper use of DSNY litter baskets	1st	100	300
	AS2A		2nd	250	350
	AS2B		3rd	350	400
16-122(b) *	AS96	Repeat Violation		100	150
16-123 **	AS21	Snow, ice & dirt removal	1st	100	350
	AS2C		2nd	150	350
	AS2D		3rd	250	350
16-127(a)	AS22	Earth, rocks and rubbish		100	150
16-122(b) *	AS23	Street obstruction		100	150
16-122(c)	AS24	Disabled vehicle		100	150
16-118(2) *	AS26	Failure to sweep 18” from curb		100	300
16-120.1 ******	AS27	Improper disposal of infectious/medical waste	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-117.1	AS28	Improper transport/storage/disposal of asbestos waste		1000	10,000

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
16-117.1	AS29	Hazardous transportation/storage/disposal of asbestos waste		10,000	10,000
16-130(b) *****	AS36	Operating a nonputrescible solid waste transfer station without a permit	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 4-04 et seq. *****	AS37	Comm.'s transfer station Rule Re: nonputrescible waste	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-130(b) *****	AS38	Operating a putrescible waste transfer station without a permit.	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 4-11 et seq.*****	AS39	Comm.'s transfer station Rule Re: putrescible waste	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-130(b) *****	AS40	Operating dump/fill without a permit	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 3-02 et seq.*****	AS41	Comm's Rule Re: Dump/fill operation	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16 RCNY 4-32,33,34 *****	AS42	Violation of transfer station Rules re: siting/hours/reports/plans	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-130(b)********	ASF6	Operating an intermodal solid waster container facility without a registration	1st	2500	10,000
	ASF7		2nd	5000	10,000

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
	ASF8		3rd and sub.	10000	10,000
16 RCNY 4-44(c)********	ASF9	Failure to handle intermodal containers in a safe and sanitary manner	1st	2500	10,000
	ASG1		2nd	5000	10,000
	ASG2		3rd and sub.	10000	10,000
16 RCNY 4-44(g)********	ASG3	Failure to masintain solid waste received at the facility for transports in intermodal containers	1st	2500	10,000
	ASG4		2nd	5000	10,000
	ASG5		3rd and sub.	10000	10,000
16 RCNY 4-44(h)********	ASG6	Failure of intermodal containers to meet the specification requirements set forth in 16 RCNY 4-43	1st	2500	10,000
	ASG7		2nd	5000	10,000
	ASG8		3rd and sub.	10000	10,000
16 RCNY 4-44(i)********	ASG9	Failure to maintain and/or provide records	1st	2500	10,000
	ASH1		2nd	5000	10,000
	ASH2		3rd and sub.	10000	10,000
16 RCNY 4-44(j)********	ASH3	Failure to remove intermodal containers containing putrescible waste within 72 hours of receipt.	1st	2500	10,000
	ASH4		2nd	5000	10,000
	ASH5		3rd and sub.	10000	10,000
16 RCNY 4-44(l)********	ASH6	Failure to store equipment within the property lines.	1st	2500	10,000
	ASH7		2nd	5000	10,000
	ASH8		3rd and sub.	10000	10,000
16 RCNY 1-04	AS43	Improper disposal of regulated household waste		100	250
16 RCNY 5-06(a)(3)	AS44	Vehicle Body - Improper color		250	500
16 RCNY 5-06	AS45	Misc. Violation of vehicle body specifications		250	500

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
16-120.1(d)	AS90	Improper disposal of regulated household waste		100	250
16-120.1(e) ******	AS91	Failure to file DEC medical waste plans	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-120.1(f) ******	AS92	Failure to file DEC medical waste plans/amended plans	1st	2500	10,000
			2nd	5000	10,000
			3rd	10000	10,000
16-120.1(e) or (f)	AS93	Late filing of medical waste plans or reports within 30 days as per 16-120.1(i)(6)		100	250
16 RCNY 11-02(a) ******	AS94	Failure to file DEC Medical Waste Plans	1st	2500	10000
			2nd	5000	10000
			3rd	10000	10000
16 RCNY 11-02(b) ******	AS95	Failure to File Medical Waste Plans/Amended Plans	1st	2500	10000
			2nd	5000	10000
			3rd	10000	10000
16 RCNY 11-02(a), (b)	AS99	Late Filing of Medical Waste Plans or Reports Within 30 days as per 16 RCNY 11-02(c)		100	250
NYS General Business Law §397-a	AGB1	Placement of unsolicited advertisements on private property in a manner contrary to sign authorized by General Business Law §397-a.		250	250
16-308(e)	ASZ1	Improper receptacle for yard waste (Resident - one to eight dwelling units)	1st	25	25
	ASZ2		2nd	50	50
	ASZ3		3rd	100	100
16-308(e)*	ASY0	Improper receptacle for yard waste (Resident - nine or more dwelling units)	1st	100	100
	ASY1		2nd	200	200
	ASY2		3rd	400	400

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
	ASY3		Persistent Violator (fourth and any subsequent violation within a period of six months from the issuance of the first violation) *	400	400
16-308(f)***	ASY4	Improper dispersal of yard waste (Business Generating Yard Waste)	1st	250	250
	ASY5		2nd	1000	1000
	ASY6		3rd	2,500	2,500
16-308(f)***	ASY7	Improper disposal of yard waste (Business Generating Yard Waste)	1st	250	250
	ASY8		2nd	1000	1000
	ASY9		3rd	2,500	2,500
16-327(a) (This charge is effective as of February 13, 2009.)	ASF1	Failure to dispose of solid waste and recyclable materials properly		$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.	$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.
§16-327(b)(1) (This charge is effective as of February 13, 2009.)	ASF2	Failure to provide sufficient number of refuse and recycling receptacles for street event		$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.	$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
§16-327(b)(2) (This charge is effective as of February 13, 2009.)	ASF3	Spillage condition from overflowing receptacle		$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.	$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.
§ 16-327(b)(3) (This charge is effective as of February 13, 2009.)	ASF4	Failure to properly bag and/or bundle refuse and recyclables		$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.	$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.
§16-327(b)(4) (This charge is effective as of February 13, 2009.)	ASF5	Failure to place bagged and/or bundled refuse and recyclables at predetermined location		$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.	$100 per violation. Maximum: Up to $500 per day or $2,000 per street event.
16-404***	ASB1	Improper Disposal of Rechargeable Battery	1st	50	50
	ASB2		2nd	100	100
	ASB3		3rd & Subsq.	200	200
16-405(a) ***	ASB4	Failure to Comply with Rechargeable Battery Recycling Program Requirements (Retailer) *	1st	200	200
	ASB5		2nd	400	400
	ASB6		3rd (and subsequent) *	500	500
16-405(b) ***	ASB7	Failure to Comply with Rechargeable Battery Recycling Program Requirements (Battery Manufacturer) *	1st	2000	2000
	ASB8		2nd	4000	4000
	ASB9		3rd (and subsequent) *	5000	5000
16-461(a)(1)*********	ASL6	Unlawful removal and transport of recyclable material from residential building, city-occupied building, vacant lot (vehicle owner)	1st	$500	$500
	ASL7		2nd	$750	$750

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
	ASL8		3rd	$1,000	$1,000
16-461(a)(1)*********	ASM9	Unlawful removal and transport of recyclable material from residential building, city-occupied building, vacant lot (vehicle owner)—Affidavit	1st	$500	$500
	ASN1		2nd	$750	$750
	ASN2		3rd	$1,000	$1,000
16-461(a)(1)*********	ASJ5	Unlawful removal and transport of recyclable material from residential building, city-occupied building, vacant lot (vehicle operator)	1st	$500	$500
	ASJ6		2nd	$750	$750
	ASJ7		3rd	$1,000	$1,000
16-461(a)(2)(i)	ASJ8	Entering into agreement for supplemental collection of recyclable material without requesting supplemental collection from DSNY or otherwise meeting requirements of §16-461(2)(i)		$1,000	$1,000
16-461(a)(2)(iii)	ASJ9	Failure to contain all necessary elements within collection agreement		$100	$100
16-461(a)(2)(iv)	ASK1	Agreement in place exceeds two year limit		$100	$100
16-461(a)(2)(v)	ASK2	Failure to have valid proof of agreement		$100	$100
16-461(a)(3)	ASK3	Failure to submit report		$500	$500
16-461(b)*********	ASK7	Unlawful removal and transport of Department-marked item from residential building, city-occupied building, vacant lot (vehicle operator)	1st	$750	$750
	ASK8		2nd	$1,000	$1,000
	ASK9		3rd	$1,500	$1,500
16-461(b)*********	ASM3	Unlawful removal and transport of Department-marked item from residential building, city-occupied building, vacant lot (vehicle owner)	1st	$500	$500
	ASM4		2nd	$750	$750
	ASM5		3rd	$1,000	$1,000
16-461(b)*********	ASK4	Unlawful removal and transport of refrigerant-containing item from residential building, city-occupied building, vacant lot (vehicle operator)	1st	$750	$750
	ASK5		2nd	$1,000	$1,000
	ASK6		3rd	$1,500	$1,500

Section of Law	ECB Computer Code	Violation Description	Offense	Penalty	Default
16-461(b)*********	ASL9	Unlawful removal and transport of refrigerant-containing item from residential building, city-occupied building, vacant lot (vehicle owner)	1st	$500	$500
	ASM1		2nd	$750	$750
	ASM2		3rd	$1,000	$1,000
16-461(c)*********	ASL4	Unlawful removal and transport of recyclable material from commercial building (vehicle operator	1st	$1,000	$1,000
	ASL5		2nd	$2,000	$2,000
16-461(c)*********	ASN6	Unlawful removal and transport of recyclable material from commercial building (vehicle owner)	1st	$1,000	$1,000
	ASN7		2nd	$2,000	$2,000
16-461(c)*********	ASN3	Unlawful removal and transport of recyclable material from commercial building (vehicle owner)— Affidavit	1st	$1,000	$1,000
	ASN4		2nd	$2,000	$2,000
16-463(b)*********	ASN8	Unlawful receipt of recyclable material	1st	$1,000	$1,000
	ASN9		2nd	$2,000	$2,000
16-463(c)*********	ASQ1	Unlawful receipt of Department-marked item	1st	$1,500	$1,500
	ASQ2		2nd	$3,000	$3,000
16-463(d)*********	ASQ3	Unlawful receipt of refrigerant-containing item	1st	$1,500	$1,500
	ASQ4		2nd	$3,000	$3,000