Fiscal Year Ending: 06/30/2014

Status: CERTIFIED

Procurement-Information:

Question		Response	URL (if applicable)
1.	Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/nyw/pdf/nyw_procurement_policy_10_ 10_12.pdf
2.	Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3.	Does the Authority allow for exceptions to the procurement guidelines?	No	
4.	Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5.	Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6.	Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7.	Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8.	Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? If Yes, was a record made of this impermissible contact?	No	
	Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement,	Yes	
	and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?		

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Run Date:	10/21/2014
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P	Procurement Transactions Listing:					
1.	Vendor Name:	ACACIA Financial Group Inc.	2.	Vendor Name:	Aecom (USA) Inc.	
	Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid		Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid	
	Award Date:	11/10/2011		Award Date:	07/01/2009	
	End Date:	06/30/2016		End Date:	06/30/2014	
	Amount:	\$78,191		Amount:	\$92,273	
	Amount Expended for Fiscal	\$78,191		Amount Expended for Fiscal	\$92,273	
	Year:			Year:		
	Fair market value:			Fair market value:		
	Explain why the fair market			Explain why the fair market		
	value is less than the amount:			value is less than the amount:		
	Address Linel:	7 Times Sq # 20		Address Linel:	605 Third Ave	
	Address Line2:			Address Line2:		
	City:	NEW YORK		City:	NEW YORK	
	State:	NY		State:	NY	

City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10036	Postal Code:	10158
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Financial Advisor	Procurement Description:	Consulting Engineer

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Status:	CERTIFIED

Pr	Procurement Transactions Listing:						
3.	Vendor Name:	Amawalk Consulting Group LLC	4.	Vendor Name:	BMO Capital Markets GKST Inc.		
	Type of Procurement:	Other Professional Services		Type of Procurement:	Other Professional Services		
	Award Process:	Authority Contract - Competitive Bid		Award Process:	Authority Contract - Competitive Bid		
	Award Date:	07/01/2007		Award Date:	12/20/2012		
	End Date:	06/30/2014		End Date:			
	Amount:	\$92,305		Amount:	\$42,959		
	Amount Expended for Fiscal	\$92,305		Amount Expended for Fiscal	\$42,959		
	Year:			Year:			
	Fair market value:			Fair market value:			
	Explain why the fair market			Explain why the fair market			
	value is less than the amount:			value is less than the amount:			

Address Linel: Address Line2:	26 Broadway	Address Linel: Address Line2:	360 Madison Ave
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10004	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Rate Consultant	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Year:

Procurement

Pr	Procurement Transactions Listing:					
5.	Vendor Name:	Bank of America N.A.	6.	Vendor Name:	Bank of Montreal	
	Type of Procurement:	Other Professional Services		Type of Procurement:	Other Professional Services	
	Award Process:	Authority Contract - Competitive Bid		Award Process:	Authority Contract - Competitive Bid	
	Award Date:	02/02/2008		Award Date:	12/20/2012	
	End Date:			End Date:		
	Amount:	\$2,323,177		Amount:	\$302,514	
	Amount Expended for Fiscal	\$2,323,177		Amount Expended for Fiscal	\$302,514	

Year:

Fair market value:

Explain why the fair market value is less than the amount:

Fair market value: Explain why the fair market

value is less than the amount:

Address Linel: Address Line2:	PO Box 660576	Address Linel: Address Line2:	360 Madison Ave
City:	DALLAS	City:	NEW YORK
State:	TX	State:	NY
Postal Code:	75266	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Run Date: 10/21/2014

Pı	Procurement Transactions Listing:						
7.	Vendor Name:	Bank of New York Mellon	8.	Vendor Name:	Bank of New York Mellon		
	Type of Procurement:	Other Professional Services		Type of Procurement:	Other Professional Services		
	Award Process:	Authority Contract - Competitive Bid		Award Process:	Authority Contract - Competitive Bid		
	Award Date:	02/02/2008		Award Date:	02/02/2008		
	End Date:			End Date:			
	Amount:	\$349,902		Amount:	\$305,741		
	Amount Expended for Fiscal	\$349,902		Amount Expended for Fiscal	\$305,741		
	Year:			Year:			
	Fair market value:			Fair market value:			
	Explain why the fair market			Explain why the fair market			
	value is less than the amount:			value is less than the amount:			
	Address Linel:	1 Wall St		Address Linel:	1 Wall St		
	Address Line2:			Address Line2:			
	City:	NEW YORK		City: State:	NEW YORK		

City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10005	Postal Code:	10005
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Trustee	Procurement Description:	Liquidity Provider

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

•	Vendor Name:	Bank of Nova Scotia	10.	Vendor Name:	Bank of Tokyo-Mitsubishi UFJ
	Type of Procurement:	Other Professional Services		Type of Procurement:	Other Professional Services
	Award Process:	Authority Contract - Competitive Bid		Award Process:	Authority Contract - Competitive Bid
	Award Date:	02/02/2008		Award Date:	10/04/2012
	End Date:			End Date:	10/02/2015
	Amount:	\$269,081		Amount:	\$1,917,448
	Amount Expended for Fiscal	\$269,081		Amount Expended for Fiscal	\$1,917,448
	Year:			Year:	
	Fair market value:			Fair market value:	
	Explain why the fair market			Explain why the fair market	
	value is less than the amount:			value is less than the amount:	

Address Linel: Address Line2:	One Liberty Plaza	Address Linel: Address Line2:	1251 Avenue of the Americas
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10008	Postal Code:	10020
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	Liquidity Provider

Fiscal Year Ending: 06/30/2014

Procu

Procurement Transactions Listing:					
11. Vendor Name:	Barclays Bank PLC	12. Vendor Name:	Barclays Capital Inc.		
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services		
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid		
Award Date:	07/01/2009	Award Date:	09/15/2009		
End Date:		End Date:			
Amount:	\$1,431,694	Amount:	\$2,835,684		
Amount Expended for Fiscal	\$1,431,694	Amount Expended for Fiscal	\$2,835,684		
Year:		Year:			
Fair market value:		Fair market value:			
Explain why the fair market		Explain why the fair market			

Explain why the fair market value is less than the amount: Explain why the fair market value is less than the amount:

Address Linel: Address Linel: 745 7th Avenue 745 7th Avenue Address Line2: Address Line2: City: City: NEW YORK NEW YORK State: State: NY NY Postal Code: Postal Code: 10022 10022 Plus 4: Plus 4: Province Region: Province Region: Country: Country: USA USA Liquidity Provider Procurement Description: Underwriters Procurement Description:

Status:	CERTIFIED
status:	CERTIFIED

Fiscal Year Ending: 06/30/2014

Run Date: 10/21/2014

Status:	CERTIFIED

Procurement Transactions Listing:					
13. Vendor Name:	Bayern LB	14.	• Vendor Name:	Bond Logistix LLC/BLX Group LLC	
Type of Procurement:	Other Professional Services		Type of Procurement:	Other Professional Services	
Award Process:	Authority Contract - Competitive Bid		Award Process:	Authority Contract - Competitive Bid	
Award Date:	02/02/2008		Award Date:	07/01/2005	
End Date:			End Date:	06/30/2015	
Amount:	\$223,507		Amount:	\$82,316	
Amount Expended for Fiscal	\$223,507		Amount Expended for Fiscal	\$82,316	
Year:			Year:		
Fair market value:			Fair market value:		
Explain why the fair market			Explain why the fair market		
value is less than the amount:			value is less than the amount:		
Address Linel:			Address Linel:	51 West 52nd Street	
Address Line2:	560 Lexington		Address Line2:	SI WEST SZHA STLEET	
City:	NEW YORK		City:	NEW YORK	
State:	NY		State:	NY	

Postal Code: Postal Code: 10022 10019 Plus 4: Plus 4: Province Region: Province Region: Country: Country: USA USA Liquidity Provider Arbitrage Rebate Procurement Description: Procurement Description:

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Pro

Procurement Transactions Listing:				
15. Vendor Name:	Cabrera Capital Markets	16. Vendor Name:	Citigroup Global Markets	
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services	
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid	
Award Date:	12/20/2012	Award Date:	02/02/2008	
End Date:		End Date:		
Amount:	\$5,250	Amount:	\$349,198	
Amount Expended for Fiscal	\$5,250	Amount Expended for Fiscal	\$349,198	
Year:		Year:		
Fair market value:		Fair market value:		
Explain why the fair market		Explain why the fair market		
value is less than the amount:		value is less than the amount:		

Address Linel: Address Line2:	650 5th Ave #21	Address Line1: Address Line2:	390 Greenwich St.
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10019	Postal Code:	10013
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Run Date: 10/21/2014

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Pro

7. Vendor Name:	DeSantis Brendel Inc.	18. Vendor Name:	Dexia Credit Locale
Type of Procurement: Award Process: Award Date:	Other Professional Services Authority Contract - Competitive Bid 09/30/2013	Type of Procurement: Award Process: Award Date:	Other Professional Services Authority Contract - Competitive Bio 02/02/2008
End Date: Amount: Amount Expended for Fiscal Year:	02/28/2014 \$19,000 \$19,000	End Date: Amount: Amount Expended for Fiscal Year:	\$560,357 \$560,357
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount	:	Explain why the fair market value is less than the amount	:

Address Linel: Address Line2:	30 WEST 21ST STREET	Address Linel: Address Line2:	445 Park Avenue
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10010	Postal Code:	10022
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	CAFR Designer	Procurement Description:	Liquidity Provider

Run Date: 10/21/2014

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

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9. Vendor Name:	Drexel Hamilton LLC	20. Vendor Name:	Fidelity Capital Markets
Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid	Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bio
Award Date:	11/10/2011	Award Date:	09/15/2009
End Date: Amount:	06/30/2014 \$100,000	End Date: Amount:	\$35,050
Amount Expended for Fiscal Year:	\$100,000	Amount Expended for Fiscal Year:	\$35,050
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	
value is less than the amount	:	value is less than the amount	:

Address Linel: Address Line2:	40 Wall Street	Address Linel: Address Line2:	61 Broadway??New York
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10005	Postal Code:	10006
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Pricing Advisor	Procurement Description:	Underwriters

Run Date: 10/21/2014

Fiscal Year Ending: 06/30/2014

Proc

Procurement Transactions Lis	ting:		
21. Vendor Name:	Goldman Sachs & Co.	22. Vendor Name:	JPMorgan Securities Inc.
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008	Award Date:	02/02/2008
End Date:		End Date:	
Amount:	\$381,388	Amount:	\$592,320
Amount Expended for Fiscal	\$381,388	Amount Expended for Fiscal	\$592,320
Year:		Year:	
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	

value is less than the amount:

Address Linel:

Address Line2:

Postal Code:

Province Region:

City:

State:

Plus 4:

Country:

85 Broad Street NEW YORK NY 10004

USA Underwriters Procurement Description:

Address Linel: 270 Park Avenue Address Line2: City: NEW YORK State: NY Postal Code: 10017 Plus 4: Province Region: Country: USA Underwriters Procurement Description:

value is less than the amount:

Status:	CERTIFIED
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Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

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• Vendor Name:	Jefferies & Company	24. Vendor Name:	Jeffries LLC
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	09/15/2009	Award Date:	09/15/2009
End Date:		End Date:	
Amount:	\$52,900	Amount:	\$142,524
Amount Expended for Fiscal	\$52,900	Amount Expended for Fiscal	\$142,524
Year:		Year:	
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	
value is less than the amount	:	value is less than the amount	:

Address Linel: Address Line2:	520 Madison Avenue	Address Linel: Address Line2:	520 Madison Avenue
City: State: Postal Code: Plus 4: Province Region: Country:	NEW YORK NY 10022 USA	City: State: Postal Code: Plus 4: Province Region: Country:	NEW YORK NY 10022 USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Run Date: 10/21/2014 Status: CERTIFIED

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Procurement Transactions Listing:

25. Vendor Name:	Lamont Financial Services Corporation	26. Vendor Name:	Landesbank (Helaba)
Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid	Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid
Award Date: End Date:	11/10/2011 06/30/2016	Award Date: End Date:	02/02/2008
Amount: Amount Expended for Fiscal Year:	\$77,988 \$77,988	Amount: Amount Expended for Fiscal Year:	\$1,357,075 \$1,357,075
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount	:	Explain why the fair market value is less than the amount	

Address Linel: Address Line2: City: State:	201 Willowbrook Blvd. WAYNE NJ	Address Linel: Address Line2: City: State:	420 Fifth Avenue 24th fl NEW YORK NY
Postal Code: Plus 4: Province Region:	NJ 07470	Postal Code: Plus 4: Province Region:	NY 10018
Country:	USA	Country:	USA
Procurement Description:	Financial Advisor	Procurement Description:	Liquidity Provider

 \mathbf{IS} Authorities Reporting Information System

Procurement Report for New York City Municipal Water Finance Authority

\$53,400

\$53,400

Fiscal Year Ending: 06/30/2014

Amount Expended for Fiscal

Explain why the fair market

value is less than the amount:

Fair market value:

27. Vendor Name:

Amount:

Year:

Procurement Tran

rocurement Transactions Li	sting:		
• Vendor Name:	Lebenthal and Co. LLC	28. Vendor Name:	Loop Capital Markets LLC
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	09/15/2009	Award Date:	02/02/2008
End Date:		End Date:	

Amount:

Year:

Amount Expended for Fiscal

Explain why the fair market value is less than the amount:

Fair market value:

Address Linel: Address Line2:	521 Fifth Avenue	Address Linel: Address Line2:	88 Pine Street 24th fl
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10175	Postal Code:	10005
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Run Date: 10/21/2014

\$57,664

\$57,664

Fiscal Year Ending: 06/30/2014

29. Vendor Name:

Procurement Transactions Listing:

			Status:	CERTI
ng:				
M.R. Beal & Company	30. Vendor Name:	Merrill Lynch PF&S Inc.		

Type of Procurement: Award Process: Award Date: End Date:	Other Professional Services Authority Contract - Competitive Bid 02/02/2008	Type of Procurement: Award Process: Award Date: End Date:	Other Professional Services Authority Contract - Competitive Bid 02/02/2008
Amount: Amount Expended for Fiscal Year:	\$931,150 \$931,150	Amount: Amount Expended for Fiscal Year:	\$743,779 \$743,779
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount		Explain why the fair market value is less than the amount:	

Address Linel: Address Line2: City: State: Postal Code: Plus 4: Province Region: Country:	110 Wall Street NEW YORK NY 10005 USA	Address Linel: Address Line2: City: State: Postal Code: Plus 4: Province Region: Country:	4 World Financial Ctr north NEW YORK NY 10080 USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Procurement Transactions Listing:

1. Vendor Name:	Mizuho Coporate Bank Ltd.	32. Vendor Name:	Morgan Stanley & Co.
Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid	Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid
Award Date:	09/29/2011	Award Date:	02/02/2008
End Date:	09/29/2014	End Date:	
Amount: Amount Expended for Fiscal Year:	\$1,953,351 \$1,953,351	Amount: Amount Expended for Fiscal Year:	\$200,292 \$200,292
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	
value is less than the amount:	:	value is less than the amount	:

Address Linel: Address Line2:	1251 6th Ave	Address Linel: Address Line2:	1221 Avenue of the Americas
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10012	Postal Code:	10020
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

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33.	Vendor Name:	Nixon Peabody LLP	34.	. Vendor Name:	PFM Asset Management LLC
	Type of Procurement: Award Process: Award Date:	Other Professional Services Authority Contract - Competitive Bid 01/01/2013		Type of Procurement: Award Process: Award Date:	Other Professional Services Authority Contract - Competitive Bid 05/10/2012
	End Date:	12/31/2014		End Date:	05/09/2015
	Amount: Amount Expended for Fiscal Year:	\$67,500 \$67,500		Amount: Amount Expended for Fiscal Year:	\$420,648 \$420,648
	Fair market value:			Fair market value:	
	Explain why the fair market value is less than the amount:			Explain why the fair market value is less than the amount:	

Address Linel: Address Line2:	437 Madison Ave	Address Linel: Address Line2:	60 Broad St New Yor
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10022	Postal Code:	10004
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Bond Counsel Disclosure	Procurement Description:	Financial Advisor

Run Date: 10/21/2014 Status: CERTIFIED

Fiscal Year Ending: 06/30/2014

35.

Proc

ocurement Transactions Listing:					
Vendor Name:	PNC Bank NA	36. Vendor Name:	PNC Capital Markets LLC		
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services		
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid		
Award Date:	05/10/2012	Award Date:	12/20/2012		
End Date:	05/09/2015	End Date:			
Amount:	\$357,923	Amount:	\$40,000		
Amount Expended for Fiscal	\$357,923	Amount Expended for Fiscal	\$40,000		
Year:		Year:			
Fair market value:		Fair market value:			
Explain why the fair market		Explain why the fair market			
value is less than the amount:		value is less than the amount:			

Address Linel: Address Line2:	340 Madison Ave	Address Linel: Address Line2:	340 Madison Ave
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10017	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	Underwriters

Authorities Reporting Information System

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Procur

Procurement Transactions List	ting:		
37. Vendor Name:	RBC Capital Markets	38. Vendor Name:	RR Donnelly
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008	Award Date:	03/15/2011
End Date:		End Date:	
Amount:	\$462,327	Amount:	\$105,708
Amount Expended for Fiscal	\$462,327	Amount Expended for Fiscal	\$105,708
Year:		Year:	
Fair market value:		Fair market value:	

Explain why the fair market value is less than the amount: Explain why the fair market value is less than the amount:

Address Linel: Address Linel: One Liberty Plaza 165 Broadway 255 Greenwich #B Address Line2: Address Line2: City: NEW YORK City: NEW YORK State: State: NY NY Postal Code: Postal Code: 10006 10007 Plus 4: Plus 4: Province Region: Province Region: Country: Country: USA USA Underwriters Printer for OS Procurement Description: Procurement Description:

Status:	CERTIFIED
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Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Procurement Transactions List	Procurement Transactions Listing:					
39. Vendor Name:	Raftelis Financial Consultants	40. Vendor Name:	Ramirez & Co.			
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services			
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid			
Award Date:	09/12/2011	Award Date:	09/15/2009			
End Date:	09/11/2014	End Date:				
Amount:	\$47,507	Amount:	\$97,038			
Amount Expended for Fiscal	\$47,507	Amount Expended for Fiscal	\$97,038			
Year:		Year:				
Fair market value:		Fair market value:				
Explain why the fair market		Explain why the fair market				
value is less than the amount	:	value is less than the amount:				

Address Linel: Address Line2:	201 S. Lake Avenue	Address Linel: Address Line2:	61 Broadway??New York
City:	PASADENA	City:	NEW YORK
State:	CA	State:	NY
Postal Code:	91101	Postal Code:	10006
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Rate Consultant	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal

Type of Procurement: Other Professional Services Type of Procurement: Other Professional Services	Procurement Transactions List:	ing:		
Award Process:Authority Contract - Competitive BidAward Process:Authority Contract - Competitive IAward Date:02/02/2008Award Date:09/15/2009End Date:End Date:25,750Amount:\$994,218Amount:\$25,750Amount Expended for Fiscal\$994,218Amount Expended for Fiscal\$25,750Year:Year:Year:Fair market value:Fair market value:Explain why the fair marketExplain why the fair marketExplain why the fair market	1. Vendor Name:	Raymond James & Associates Inc.	42. Vendor Name:	Rice Financial Products Company
Award Date:02/02/2008Award Date:09/15/2009End Date:End Date:Amount:\$994,218Amount Expended for Fiscal\$994,218Amount Expended for Fiscal\$994,218Year:Year:Fair market value:Fair market value:Explain why the fair marketExplain why the fair market	Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
End Date: End Date: Amount: \$994,218 Amount Expended for Fiscal \$994,218 Amount Expended for Fiscal \$994,218 Year: Year: Fair market value: Fair market value: Explain why the fair market Explain why the fair market	Award Process:		Award Process:	Authority Contract - Competitive Bid
Amount:\$994,218Amount:\$25,750Amount Expended for Fiscal\$994,218Amount Expended for Fiscal\$25,750Year:Year:Year:Fair market value:Fair market value:Fair market value:Explain why the fair marketExplain why the fair marketExplain why the fair market	Award Date:	02/02/2008	Award Date:	09/15/2009
Amount Expended for Fiscal \$994,218 Amount Expended for Fiscal \$25,750 Year: Year: Year: Year: Fair market value: Fair market value: Year: Explain why the fair market Explain why the fair market	End Date:		End Date:	
Year: Fair market value: Explain why the fair market Explain why the fair market Explain why the fair market	Amount:	\$994,218	Amount:	\$25,750
Fair market value:Fair market value:Explain why the fair marketExplain why the fair market	Amount Expended for Fiscal	\$994,218	Amount Expended for Fiscal	\$25,750
Explain why the fair market Explain why the fair market	Year:		Year:	
	Fair market value:		Fair market value:	
value is less than the amount: value is less than the amount:	Explain why the fair market		Explain why the fair market	
	value is less than the amount:		value is less than the amount	:

Address Linel:	250 Park Avenue	Address Linel:	17 State Street
Address Line2:	2nd fl	Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10177	Postal Code:	10004
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Procurement Transactions List	ing:		
43. Vendor Name:	Roosevelt and Cross Incoporated	44. Vendor Name:	Royal Bank of Canada
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008	Award Date:	03/19/2008
End Date:		End Date:	03/18/2013
Amount:	\$18,219	Amount:	\$3,251,992
Amount Expended for Fiscal	\$18,219	Amount Expended for Fiscal	\$3,251,992
Year:		Year:	
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	
value is less than the amount	:	value is less than the amount	:

Address Linel: Address Line2:	One Exchange Plaza	Address Linel: Address Line2:	12 East 49th Stree
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10006	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Liquidity Provider

Run Date: 10/21/2014

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014	4		Status: CERTIFIE
Procurement Transactions List	ting:		
45. Vendor Name:	Siebert Brandford Shank & Co. LLC	46. Vendor Name:	State Street Bank & trust Company N.A.
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008	Award Date:	02/02/2008
End Date:		End Date:	
Amount:	\$64,675	Amount:	\$1,753,196
Amount Expended for Fiscal	\$64,675	Amount Expended for Fiscal	\$1,753,196
Year:		Year:	
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	
value is less than the amount	;:	value is less than the amount:	:

Address Linel: Address Line2:	100 Wall Street	Address Linel: Address Line2:	225 Franklin Street
City:	NEW YORK	City:	BOSTON
State:	NY	State:	MA
Postal Code:	10005	Postal Code:	02110
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Liquidity Provider

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

Procurement Transactions Listing:				
47. Vendor Name:	Sumitomo Mitsui Banking Corp.	48. Vendor Name:	TD Bank NA	
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services	
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid	
Award Date:	06/20/2008	Award Date:	11/18/2010	
End Date:	06/07/2015	End Date:	11/18/2013	
Amount:	\$1,356,403	Amount:	\$1,015,634	
Amount Expended for Fiscal	\$1,356,403	Amount Expended for Fiscal	\$1,015,634	
Year:		Year:		
Fair market value:		Fair market value:		
Explain why the fair market		Explain why the fair market		
value is less than the amount:		value is less than the amount:		
Addrogg Lipol.		Addrogg Lipol.		

Address Linel:	277 Park Avenue	Address Linel:	31 W 52nd St
Address Line2:		Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10172	Postal Code:	10019
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	Liquidity Provider

Underwriters

Fiscal Year Ending: 06/30/2014

Procurement Description:

Run Date: 10/21/2014

Status:	CERTIFIED
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-		
sting:		
TD Securities	50. Vendor Name:	US Bank
Other Professional Services	Type of Procurement:	Other Professional Services
Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
09/15/2009	Award Date:	06/25/2009
	End Date:	06/25/2014
\$149,757	Amount:	\$2,989,482
\$149,757	Amount Expended for Fiscal	\$2,989,482
	Year:	
	Fair market value:	
	Explain why the fair market	
t:	value is less than the amount	t:
31 W 52nd St	Address Linel:	461 5th Avenue
	Address Line2:	
NEW YORK	City:	NEW YORK
NY	State:	NY
10019	Postal Code:	10022
	Plus 4:	
	Province Region:	
USA	Country:	USA
	TD Securities Other Professional Services Authority Contract - Competitive Bid 09/15/2009 \$149,757 \$149,757 \$149,757 149,757 31 W 52nd St NEW YORK NY 10019	TD Securities 50. Vendor Name: Other Professional Services Authority Contract - Competitive Bid 09/15/2009 \$149,757 \$149,757 \$149,757 Amount Expended for Fiscal Year: Fair market value: Explain why the fair market value is less than the amount 31 W 52nd St NEW YORK NY 10019 Description: Address Line1: Address Line2: City: State: Postal Code: Plus 4: Province Region: Description: D

Procurement Description:

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Liquidity Provider

Fiscal Year Ending: 06/30/2014

Procurement Transactions Listing:				
51. Vendor Name:	US Bank	52.	. Vendor Name:	Wells Fargo Bank NA
Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid		Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid
Award Date: End Date:	09/15/2009		Award Date: End Date:	09/15/2009
Amount: Amount Expended for Fiscal Year:	\$496,833 \$496,833		Amount: Amount Expended for Fiscal Year:	\$420,933 \$420,933
Fair market value:			Fair market value:	
Explain why the fair market value is less than the amount:			Explain why the fair market value is less than the amount:	
Address Line1: Address Line2:	461 5th Avenue		Address Line1: Address Line2:	330 Madison Ave

Address Line2:		Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10022	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Underwriters

Procurement Report for New York City Municipal Water Finance Authority

Fiscal Year Ending: 06/30/2014

53. Vendor Name:

Year:

Procurement Tra

rocurement Transactions Listing:				
• Vendor Name:	Wells Fargo Bank NA	54. Vendor Name:	Wells Fargo Insurance Services of New	
			York Inc.	
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services	
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid	
Award Date:	06/25/2009	Award Date:	05/25/2010	
End Date:	06/25/2014	End Date:	05/24/2016	
Amount:	\$372,554	Amount:	\$375,153	

Year:

Fair market value:

Explain why the fair market value is less than the amount:

Amount Expended for Fiscal

\$372,554

Fair market value: Explain why the fair market value is less than the amount:

Amount Expended for Fiscal

\$375,153

Address Linel:	330 Madison Ave	Address Linel:	330 Madison Ave
Address Line2:		Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10017	Postal Code:	10017
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	D&O Insurance

Status: CERTIFIED



Fiscal Year Ending: 06/30/2014

Procurement Transactions Listing:

55. Vendor Name:

Williams Capital Group L.P.

Type of Procurement: Award Process:	Other Professional Services Authority Contract - Competitive Bid
Award Date: End Date:	12/20/2012
Amount:	\$6,375
Amount Expended for Fiscal	\$6,375
Year:	
Fair market value:	

Explain why the fair market value is less than the amount:

Address Line1: Address Line2: City:	650 Fifth Ave 11th Floor NEW YORK
State: Postal Code:	NY 10019
Plus 4: Province Region:	
Country:	USA Underwriters
Procurement Description:	ONGETWITCELS

Run Date: 10/21/2014

Fiscal Year Ending: 06/30/2014

Procurement Transactions Listing:

Additional Comments:

Run Date: 10/21/2014