

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nyc.gov/html/nyw/html/policiespage.html">http://www.nyc.gov/html/nyw/html/policiespage.html</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: ACACIA Financial Group Inc.

2. Vendor Name: Aecom (USA) Inc.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/10/2011  
 End Date: 06/30/2014  
 Amount: \$49,377  
 Amount Expended for Fiscal Year: \$49,377  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 7 Times Sq New York  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10036  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Financial Advisor

Type of Procurement: Consulting Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2009  
 End Date: 06/30/2012  
 Amount: \$89,578  
 Amount Expended for Fiscal Year: \$89,578  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 605 Third Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10158  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Consulting Engineer

Procurement Transactions Listing:

3. Vendor Name: Amawalk Consulting Group LLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/01/2007  
End Date: 09/11/2014  
Amount: \$158,994  
Amount Expended for Fiscal Year: \$158,994

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Rate Consultant

4. Vendor Name: BB&T Capital Markets a division of Scott & Stringfellow LLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$36,078  
Amount Expended for Fiscal Year: \$36,078

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1133 6 Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10036  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

5. Vendor Name:	BNP Paribas	6. Vendor Name:	Banc of America Securities LLC
Type of Procurement:	Financial Services	Type of Procurement:	Financial Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	10/24/2007	Award Date:	02/02/2008
End Date:	10/24/2012	End Date:	
Amount:	\$307,632	Amount:	\$607,974
Amount Expended for Fiscal Year:	\$307,632	Amount Expended for Fiscal Year:	\$607,974
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	797 7th Avenue	Address Line1:	PO Box 60000
Address Line2:		Address Line2:	
City:	NEW YORK	City:	SAN FRANCISCO
State:	NY	State:	CA
Postal Code:	10019	Postal Code:	94160
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Liquidity Provider	Procurement Description:	Underwriters

Procurement Transactions Listing:

7. Vendor Name: Bank of America N.A.

8. Vendor Name: Bank of America N.A.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/24/2007  
 End Date: 10/24/2012  
 Amount: \$432,936  
 Amount Expended for Fiscal Year: \$432,936  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576  
 Address Line2:  
 City: DALLAS  
 State: TX  
 Postal Code: 75266  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/03/2011  
 End Date: 03/04/2013  
 Amount: \$432,936  
 Amount Expended for Fiscal Year: \$432,936  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576  
 Address Line2:  
 City: DALLAS  
 State: TX  
 Postal Code: 75266  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

9. Vendor Name: Bank of America N.A.

10. Vendor Name: Bank of New York Mellon

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/19/2008  
 End Date: 03/18/2013  
 Amount: \$432,936  
 Amount Expended for Fiscal Year: \$432,936  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576  
 Address Line2:  
 City: DALLAS  
 State: TX  
 Postal Code: 75266  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/18/2010  
 End Date: 11/18/2013  
 Amount: \$567,354  
 Amount Expended for Fiscal Year: \$567,354  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

11. Vendor Name: Bank of New York Mellon

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$329,883  
Amount Expended for Fiscal Year: \$329,883  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Trustee

12. Vendor Name: Bank of Nova Scotia

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 11/30/2006  
End Date: 11/01/2016  
Amount: \$269,081  
Amount Expended for Fiscal Year: \$269,081  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10008  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

13. Vendor Name: Barclays Bank PLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 11/18/2010  
End Date:  
Amount: \$1,284,603  
Amount Expended for Fiscal Year: \$1,284,603  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

14. Vendor Name: Barclays Capital Inc.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$1,739,180  
Amount Expended for Fiscal Year: \$1,691,624  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters



Procurement Transactions Listing:

15. Vendor Name: Barclays Capital Inc.

16. Vendor Name: Bayern LB

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 12/16/2009  
 End Date: 12/16/2014  
 Amount: \$541,298  
 Amount Expended for Fiscal Year: \$541,298  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/06/2003  
 End Date: 11/30/2015  
 Amount: \$224,119  
 Amount Expended for Fiscal Year: \$224,119  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

17. Vendor Name: Bond Logistix LLC

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2005  
 End Date: 06/30/2015  
 Amount: \$103,600  
 Amount Expended for Fiscal Year: \$103,600  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10103  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Arbitrage Rebate

18. Vendor Name: Citigroup Global Markets

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$652,466  
 Amount Expended for Fiscal Year: \$652,466  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 390 Greenwich St.  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10013  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

19. Vendor Name: Cutwater Investor Services Corp.

20. Vendor Name: DeSantis Breindel

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/10/2007  
 End Date:  
 Amount: \$325,781  
 Amount Expended for Fiscal Year: \$325,781  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 113 King Street  
 Address Line2:  
 City: ARMONK  
 State: NY  
 Postal Code: 10504  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Investment Management Services

Type of Procurement: Technology - Consulting/Development or Support  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/12/2011  
 End Date: 02/28/2012  
 Amount: \$19,884  
 Amount Expended for Fiscal Year: \$19,884  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 30 WEST 21ST STREET  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10010  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: CAFR Design

Procurement Transactions Listing:

21. Vendor Name: Deloitte & Touche LLP

22. Vendor Name: Dexia Credit Locale

Type of Procurement: Consulting Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 06/30/2008  
 End Date: 06/30/2013  
 Amount: \$260,000  
 Amount Expended for Fiscal Year: \$260,000  
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/27/2005  
 End Date: 10/27/2017  
 Amount: \$513,487  
 Amount Expended for Fiscal Year: \$513,487  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: Two World Financial Center  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10281  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Auditors

Address Line1: 445 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

23. Vendor Name: Dexia Credit Locale

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/15/2001  
 End Date: 05/15/2004  
 Amount: \$513,487  
 Amount Expended for Fiscal Year: \$513,487  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 445 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

24. Vendor Name: Drexel Hamilton LLC

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/10/2011  
 End Date: 06/30/2014  
 Amount: \$80,000  
 Amount Expended for Fiscal Year: \$80,000  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Pricing Advisor

Procurement Transactions Listing:

25. Vendor Name: Fidelity Capital Markets

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$92,628  
Amount Expended for Fiscal Year: \$92,628  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

26. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$915,757  
Amount Expended for Fiscal Year: \$915,757  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

27. Vendor Name: JPMorgan Chase Bank N.A.

28. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/19/2008  
 End Date: 03/16/2013  
 Amount: \$2,220,200  
 Amount Expended for Fiscal Year: \$2,220,200  
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/15/2001  
 End Date: 05/15/2004  
 Amount: \$2,220,200  
 Amount Expended for Fiscal Year: \$2,220,200  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Address Line1: 270 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

29. Vendor Name: JPMorgan Securities Inc.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$579,758  
Amount Expended for Fiscal Year: \$579,758  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

30. Vendor Name: Jefferies & Company

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$2,080,658  
Amount Expended for Fiscal Year: \$2,044,308  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters



Procurement Transactions Listing:

<p>31. Vendor Name: KBC Bank N.V.</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 03/03/2011  End Date: 03/03/2014  Amount: \$743,443  Amount Expended for Fiscal Year: \$743,443  Fair market value:    Explain why the fair market value is less than the amount:</p> <p>Address Line1: Havenlaan 2  Address Line2:  City: Brussels  State:  Postal Code: 1080  Plus 4:  Province Region:  Country: Other    Procurement Description: Liquidity Provider</p>	<p>32. Vendor Name: KPMG</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 11/10/2011  End Date: 06/30/2012  Amount: \$50,000  Amount Expended for Fiscal Year: \$0  Fair market value:    Explain why the fair market value is less than the amount:</p> <p>Address Line1: 345 Park Ave  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10154  Plus 4:  Province Region:  Country: USA    Procurement Description: Auditors</p>
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Procurement Transactions Listing:

33. Vendor Name: Lamont Financial Services Corporation

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/10/2011  
 End Date:  
 Amount: \$64,981  
 Amount Expended for Fiscal Year: \$64,981  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 201 Willowbrook Blvd.  
 Address Line2:  
 City: WAYNE  
 State: NJ  
 Postal Code: 07470  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Financial Advisor

34. Vendor Name: Lamont Financial Services Corporation

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/10/2011  
 End Date:  
 Amount: \$26,002  
 Amount Expended for Fiscal Year: \$26,002  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 201 Willowbrook Blvd.  
 Address Line2:  
 City: WAYNE  
 State: NJ  
 Postal Code: 07470  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Financial Advisor

Procurement Transactions Listing:

35. Vendor Name: Landesbank (Helaba)

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 08/07/2008  
 End Date: 08/07/2013  
 Amount: \$3,234,530  
 Amount Expended for Fiscal Year: \$3,234,530  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 Fifth Avenue  
 Address Line2: 24th fl  
 City: NEW YORK  
 State: NY  
 Postal Code: 10018  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

36. Vendor Name: Lebenthal and Co. LLC

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$103,125  
 Amount Expended for Fiscal Year: \$103,125  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 521 Fifth Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10175  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

37. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$133,767  
Amount Expended for Fiscal Year: \$133,767  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street  
Address Line2: 24th fl  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

38. Vendor Name: M.R. Beal & Company

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$1,544,554  
Amount Expended for Fiscal Year: \$1,408,201  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 110 Wall Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

39. Vendor Name: Merrill Lynch PF&S Inc.

40. Vendor Name: Mizuho Coporate Bank Ltd.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$682,890  
 Amount Expended for Fiscal Year: \$682,890  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 4 World Financial Ctr  
 Address Line2: north  
 City: NEW YORK  
 State: NY  
 Postal Code: 10080  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/29/2011  
 End Date: 09/29/2014  
 Amount: \$783,088  
 Amount Expended for Fiscal Year: \$783,088  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10012  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

41. Vendor Name: Morgan Keegan & Company Inc.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$109,446  
Amount Expended for Fiscal Year: \$109,446  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 630 Fifth Avenue Suite 2916  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10111  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

42. Vendor Name: Morgan Stanley & Co.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$431,396  
Amount Expended for Fiscal Year: \$431,396  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1221 Avenue of the Americas  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10020  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

43. Vendor Name: Nixon Peabody LLP

Type of Procurement: Legal Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2011  
 End Date: 12/31/2012  
 Amount: \$86,500  
 Amount Expended for Fiscal Year: \$86,500  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 437 Madison Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Bond Counsel Disclosure

44. Vendor Name: Oppenheimer & Co. Inc.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$45,896  
 Amount Expended for Fiscal Year: \$45,896  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10004  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

45. Vendor Name: Orrick Herrington & Sutcliffe LLP

Type of Procurement: Legal Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2006  
 End Date: 12/31/2012  
 Amount: \$1,082,402  
 Amount Expended for Fiscal Year: \$1,082,402  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10103  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Bond Counsel

46. Vendor Name: Piper Jaffray

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$64,338  
 Amount Expended for Fiscal Year: \$64,338  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 345 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10167  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters



Procurement Transactions Listing:

47. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$347,860  
Amount Expended for Fiscal Year: \$347,860  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza 165 Broadway  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

48. Vendor Name: RR Donnelly

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/01/2007  
End Date:  
Amount: \$83,097  
Amount Expended for Fiscal Year: \$83,097  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 345 Hudson Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10014  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Printer for OS

Procurement Transactions Listing:

49. Vendor Name: Raftelis Financial Consultants

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/12/2011  
End Date: 09/11/2014  
Amount: \$33,746  
Amount Expended for Fiscal Year: \$33,746  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 201 south lake avenue  
Address Line2:  
City: PASADENA  
State: CA  
Postal Code: 91101  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Rate Consultant

50. Vendor Name: Ramirez & Co.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$1,417,336  
Amount Expended for Fiscal Year: \$1,357,583  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

51. Vendor Name: Raymond James & Associates Inc.\*

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$204,424  
 Amount Expended for Fiscal Year: \$204,424  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 250 Park Avenue  
 Address Line2: 2nd fl  
 City: NEW YORK  
 State: NY  
 Postal Code: 10177  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

52. Vendor Name: Rice Financial Products Company

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$77,058  
 Amount Expended for Fiscal Year: \$77,058  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 17 State Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10004  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

53. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$28,465  
 Amount Expended for Fiscal Year: \$28,465  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: One Exchange Plaza  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10006  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

54. Vendor Name: Royal Bank of Canada

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/19/2008  
 End Date: 03/18/2013  
 Amount: \$872,578  
 Amount Expended for Fiscal Year: \$872,578  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 12 East 49th Stree  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

55. Vendor Name: Royal Bank of Canada

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/19/2008  
 End Date: 03/01/2013  
 Amount: \$872,578  
 Amount Expended for Fiscal Year: \$872,578

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 12 East 49th Stree  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

56. Vendor Name: Siebert Brandford Shank & Co. LLC

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$153,703  
 Amount Expended for Fiscal Year: \$153,703

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

57. Vendor Name: State Street Bank & trust Company N.A.      58. Vendor Name: State Street Bank & trust Company N.A.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/27/2012  
 End Date: 03/27/2015  
 Amount: \$128,180  
 Amount Expended for Fiscal Year: \$128,180  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street  
 Address Line2:  
 City: BOSTON  
 State: MA  
 Postal Code: 02110  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/27/2005  
 End Date: 10/27/2012  
 Amount: \$128,180  
 Amount Expended for Fiscal Year: \$128,180  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street  
 Address Line2:  
 City: BOSTON  
 State: MA  
 Postal Code: 02110  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

59. Vendor Name: Stifel Nicolaus & Company

60. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$116,278  
 Amount Expended for Fiscal Year: \$116,278  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 237 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10175  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 06/20/2008  
 End Date: 06/07/2015  
 Amount: \$3,366  
 Amount Expended for Fiscal Year: \$3,366  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10172  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

61. Vendor Name: TD Bank NA

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/18/2010  
 End Date: 11/18/2013  
 Amount: \$655,609  
 Amount Expended for Fiscal Year: \$655,609  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

62. Vendor Name: TD Securities

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$92,359  
 Amount Expended for Fiscal Year: \$92,359  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters



Procurement Transactions Listing:

63. Vendor Name: US Bank

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/27/2012  
 End Date: 03/27/2015  
 Amount: \$542,826  
 Amount Expended for Fiscal Year: \$542,826  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

64. Vendor Name: US Bank

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/18/2010  
 End Date: 11/18/2013  
 Amount: \$542,826  
 Amount Expended for Fiscal Year: \$542,826  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

65. Vendor Name: US Bank

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 06/25/2009  
End Date: 06/25/2014  
Amount: \$542,826  
Amount Expended for Fiscal Year: \$542,826  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

66. Vendor Name: US Bank

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$164,305  
Amount Expended for Fiscal Year: \$164,305  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

67. Vendor Name: Wachovia Bank National Association

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$0  
 Amount Expended for Fiscal Year: \$0  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 12 E. 49th Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

68. Vendor Name: Wells Fargo

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 06/25/2009  
 End Date: 06/25/2014  
 Amount: \$372,353  
 Amount Expended for Fiscal Year: \$372,353  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>69. Vendor Name: Wells Fargo Bank NA</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 09/15/2009  End Date:  Amount: \$560,112  Amount Expended for Fiscal Year: \$560,112  Fair market value:    Explain why the fair market value is less than the amount:</p>   <p>Address Line1: 330 Madison Ave  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10017  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>70. Vendor Name: Wells Fargo Insurance Services of New York Inc.</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 05/24/2010  End Date: 05/24/2013  Amount: \$164,753  Amount Expended for Fiscal Year: \$164,753  Fair market value:    Explain why the fair market value is less than the amount:</p>   <p>Address Line1: 330 Madison Ave  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10017  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: D&amp;O Insurance</p>
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Procurement Transactions Listing:

Additional Comments: