

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/fy05sc/downloads/pdf/fy05sc_procurement_policy_04_24_09.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

<p>1. Vendor Name: AECOM USA Inc.</p> <p>Type of Procurement: Consulting Services Award Process: Authority Contract - Competitive Bid Award Date: 07/01/2009 End Date: 06/30/2012 Amount: \$88,000 Amount Expended for Fiscal Year: \$93,970.87 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 666 Fifth Ave Address Line2: City: NEW YORK State: NY Postal Code: 10103 Plus 4: Province Region: Country: USA Procurement Description: Consulting Engineer</p>	<p>2. Vendor Name: Amawalk Consulting Group LLC</p> <p>Type of Procurement: Consulting Services Award Process: Authority Contract - Competitive Bid Award Date: 07/01/2007 End Date: 06/30/2011 Amount: \$103,786 Amount Expended for Fiscal Year: \$105,040.5 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: Two World Financial Center Address Line2: City: NEW YORK State: NY Postal Code: 10281 Plus 4: Province Region: Country: USA Procurement Description: Rate Consultant</p>
--	--

Procurement Transactions Listing:

3. Vendor Name: BB&T Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$16,707
 Amount Expended for Fiscal Year: \$16,707
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1133 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

4. Vendor Name: BNP Paribus

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/06/2003
 End Date: 10/24/2012
 Amount: \$363,106.85
 Amount Expended for Fiscal Year: \$545,595.22
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 675 Third Ave.
 Address Line2: 11th Floor
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity

Procurement Transactions Listing:

5. Vendor Name: Banc of America Securities LLC

6. Vendor Name: Banc of America Securities LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/24/2005
 End Date: 10/27/2017
 Amount: \$146,165.49
 Amount Expended for Fiscal Year: \$0
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10103
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Remarketing

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$405,244
 Amount Expended for Fiscal Year: \$405,244
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Bryant Park
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

Procurement Transactions Listing:

7. Vendor Name: Bank of America NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/24/2007
End Date: 03/18/2013
Amount: \$652,267.1
Amount Expended for Fiscal Year: \$809,857.17
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10103
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

8. Vendor Name: Bank of Nova Scotia

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/30/2006
End Date: 11/01/2016
Amount: \$269,081.86
Amount Expended for Fiscal Year: \$269,819.08
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 437 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

Procurement Transactions Listing:

9. Vendor Name: Barclay's Capital Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/16/2009
End Date: 12/16/2011
Amount: \$73,205.48
Amount Expended for Fiscal Year: \$160,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Remarketing

10. Vendor Name: Barclay's Capital Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 09/24/2008
End Date:
Amount: \$65,547.95
Amount Expended for Fiscal Year: \$43,493.16
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street
Address Line2: 28th Floor
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: CP Fees

Procurement Transactions Listing:

11. Vendor Name: Barclays Bank PLC (Barclay's Capital Inc.)

Type of Procurement: Financial Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 12/16/2009
 End Date: 12/16/2011
 Amount: \$1,184,299.88
 Amount Expended for Fiscal Year: \$2,147,541.66

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 605 Third Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10158
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity

12. Vendor Name: Barclays Capital Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$2,691,162
 Amount Expended for Fiscal Year: \$2,691,162

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

Procurement Transactions Listing:

13. Vendor Name: Bayern LB

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 11/30/2015
Amount: \$223,506.16
Amount Expended for Fiscal Year: \$223,506.16
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 201 Willowbrook Blvd.
Address Line2:
City: WAYNE
State: NJ
Postal Code: 07470
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

14. Vendor Name: Bond Logistix LLC

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/05/2010
End Date: 06/30/2015
Amount: \$61,150
Amount Expended for Fiscal Year: \$76,150
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 130 West 56th Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA
Procurement Description: Arbitrage Rebate

Procurement Transactions Listing:

15. Vendor Name: Bowne Printing

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2008
 End Date: 12/31/2010
 Amount: \$311,603
 Amount Expended for Fiscal Year: \$159,049
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 55 Water Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10041
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Printing Services

16. Vendor Name: Citigroup Global Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$1,026,924
 Amount Expended for Fiscal Year: \$1,026,924
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 388 Greenwich St.
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

17. Vendor Name: Citigroup Global Markets Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/19/2008
End Date: 08/07/2013
Amount: \$160,348.01
Amount Expended for Fiscal Year: \$153,553.49
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway
Address Line2: Suite 761
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Remarketing

18. Vendor Name: CorchiaWolinerRhoda Inc

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2010
End Date: 02/28/2011
Amount: \$42,600
Amount Expended for Fiscal Year: \$27,565
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 113 King Street
Address Line2:
City: ARMONK
State: NY
Postal Code: 10504
Plus 4:
Province Region:
Country: USA
Procurement Description: Graphic Design Consultant

Procurement Transactions Listing:

19. Vendor Name: Cutwater Investor Services Corp.

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/10/2007
End Date: 05/09/2011
Amount: \$120,017.22
Amount Expended for Fiscal Year: \$378,222.39
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576
Address Line2:
City: DALLAS
State: TX
Postal Code: 75266
Plus 4:
Province Region:
Country: USA
Procurement Description: Investment Management Services

20. Vendor Name: Deloitte & Touche

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/01/2008
End Date: 06/30/2010
Amount: \$248,000
Amount Expended for Fiscal Year: \$248,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
Address Line2: 26th fl
City: NEW YORK
State: NY
Postal Code: 10008
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditor

Procurement Transactions Listing:

21. Vendor Name: Dexia Credit Local

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/20/2000
End Date: 10/27/2017
Amount: \$1,153,721.54
Amount Expended for Fiscal Year: \$982,990.18
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
Address Line2: 19th fl
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

22. Vendor Name: Dexia Credit Local

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/25/2003
End Date: 07/14/2012
Amount: \$14,102.5
Amount Expended for Fiscal Year: \$0
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington
Address Line2: 22nd fl
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: CP Fees

Procurement Transactions Listing:

23. Vendor Name: Drexel Hamilton LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/13/2010
 End Date: 03/14/2012
 Amount: \$103,681
 Amount Expended for Fiscal Year: \$103,681
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 797 7th Avenue
 Address Line2: 7th fl
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Financial Advisor

24. Vendor Name: Fidelity Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$232,528
 Amount Expended for Fiscal Year: \$232,528
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 200 Seaport Boulevard
 Address Line2:
 City: BOSTON
 State: MA
 Postal Code: 02210
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

25. Vendor Name: Fortis Capital Corp.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/24/2007
End Date: 10/24/2012
Amount: \$140,227.61
Amount Expended for Fiscal Year: \$0
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 445 Park Avenue
Address Line2: 7th fl
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

26. Vendor Name: Goldman Sachs & Co

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/27/2005
End Date: 03/16/2013
Amount: \$326,744.48
Amount Expended for Fiscal Year: \$595,068.51
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Remarketing

Procurement Transactions Listing:

27. Vendor Name: Goldman Sachs & Co

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/20/2003
End Date:
Amount: \$218,401.33
Amount Expended for Fiscal Year: \$174,623.31
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
Address Line2: 48th fl
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: CP Fees

28. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/14/2009
End Date: 08/14/2009
Amount: \$1,383,281
Amount Expended for Fiscal Year: \$1,383,281
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriter

Procurement Transactions Listing:

29. Vendor Name: JP Morgan Chase Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/15/2001
End Date: 05/15/2014
Amount: \$2,298,135.76
Amount Expended for Fiscal Year: \$2,096,409.73
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street
Address Line2: 18th fl
City: BOSTON
State: MA
Postal Code: 02110
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

30. Vendor Name: JP Morgan Securities Inc

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/10/1997
End Date:
Amount: \$86,937.45
Amount Expended for Fiscal Year: \$70,250.31
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 12 E. 49th Street
Address Line2: 45th fl
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: CP Fees

Procurement Transactions Listing:

31. Vendor Name: JP Morgan Securities Inc

32. Vendor Name: JPMorgan Chase Bank NA

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/19/2008
 End Date: 03/18/2011
 Amount: \$79,999.99
 Amount Expended for Fiscal Year: \$79,342.46
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue
 Address Line2: 7th fl
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Remarketing

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/25/2003
 End Date: 07/14/2012
 Amount: \$1,836,879.76
 Amount Expended for Fiscal Year: \$2,324,986.11
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 60000
 Address Line2: file#73852
 City: SAN FRANCISCO
 State: CA
 Postal Code: 94160
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: CP Fees

Procurement Transactions Listing:

33. Vendor Name: JPMorgan Securities Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/14/2009
End Date: 08/14/2009
Amount: \$662,664
Amount Expended for Fiscal Year: \$662,664
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 23928Network Pl
Address Line2:
City: CHICAGO
State: IL
Postal Code: 60673
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriter

34. Vendor Name: Jefferies & Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/14/2009
End Date: 08/14/2009
Amount: \$1,730,245
Amount Expended for Fiscal Year: \$1,730,245
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriter

Procurement Transactions Listing:

35. Vendor Name: Jeffries & Co. Inc

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/15/2001
End Date: 05/15/2014
Amount: \$147,304
Amount Expended for Fiscal Year: \$147,304

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 Avenue of the Americas
Address Line2: 39th fl
City: NEW YORK
State: NY
Postal Code: 10112
Plus 4:
Province Region:
Country: USA

Procurement Description: Remarketing

36. Vendor Name: Lamont Financial Services Corp.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/15/2006
End Date: 08/14/2010
Amount: \$388,301
Amount Expended for Fiscal Year: \$372,461.03

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
Address Line2: 19th fl
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA

Procurement Description: Financial Advisor

Procurement Transactions Listing:

37. Vendor Name: Landesbank Baden-Wurttemberg

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/22/2005
 End Date: 12/29/2015
 Amount: \$2,500
 Amount Expended for Fiscal Year: \$0
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 390 Greenwich St.
 Address Line2: 2nd fl
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: CP Fees

38. Vendor Name: Landesbank hessen-Thuringen

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/07/2008
 End Date: 08/07/2013
 Amount: \$1,027,087.38
 Amount Expended for Fiscal Year: \$1,027,087.38
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity

Procurement Transactions Listing:

39. Vendor Name: Landesbank hessen-Thuringen

40. Vendor Name: Lebenthal and Co. LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/22/2005
 End Date: 12/29/2015
 Amount: \$486,625
 Amount Expended for Fiscal Year: \$2,701,990.88
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
 Address Line2: 10h fl
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: CP Fees

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$85,243
 Amount Expended for Fiscal Year: \$85,243
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 521 5th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10175
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

Procurement Transactions Listing:

41. Vendor Name: Lloyds TSB Bank

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/19/2008
 End Date: 03/18/2011
 Amount: \$363,106.85
 Amount Expended for Fiscal Year: \$272,578.84
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
 Address Line2: 20th fl
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity

42. Vendor Name: Loop Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/27/2005
 End Date: 10/27/2017
 Amount: \$99,726.03
 Amount Expended for Fiscal Year: \$80,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
 Address Line2: 24th fl
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Remarketing

Procurement Transactions Listing:

43. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$187,035
 Amount Expended for Fiscal Year: \$187,035
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 200 West Jackson Blvd
 Address Line2:
 City: CHICAGO
 State: IL
 Postal Code: 60606
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

44. Vendor Name: M.R. Beal & Company

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$1,480,943
 Amount Expended for Fiscal Year: \$1,480,943
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall St
 Address Line2: #5
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

45. Vendor Name: MFR Securities

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/15/2010
 End Date: 08/14/2010
 Amount: \$101,296
 Amount Expended for Fiscal Year: \$79,789.67
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 250 Park Avenue
 Address Line2: 2nd fl
 City: NEW YORK
 State: NY
 Postal Code: 10177
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Financial Advisor

46. Vendor Name: Merrill Lynch PF&S Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$733,623
 Amount Expended for Fiscal Year: \$733,623
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 4 WORLD FINANCIAL CENTER
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10080
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

47. Vendor Name: Merrill Lynch Pierce Fenner & Smith

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/20/2000
 End Date: 11/01/2016
 Amount: \$487,500.41
 Amount Expended for Fiscal Year: \$659,318.5
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1221 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Remarketing

48. Vendor Name: Morgan Keegan & Company Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$1,855,434
 Amount Expended for Fiscal Year: \$1,855,434
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 535 Madison
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

Procurement Transactions Listing:

49. Vendor Name: Morgan Stanley

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/07/2008
 End Date: 08/07/2013
 Amount: \$116,164.81
 Amount Expended for Fiscal Year: \$272,639.52
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 60978
 Address Line2: attn: remarketing
 City: CHARLOTTE
 State: NC
 Postal Code: 28260
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Remarketing

50. Vendor Name: Morgan Stanley & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$641,790
 Amount Expended for Fiscal Year: \$641,790
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One NY Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

51. Vendor Name: National Captioning Institute

52. Vendor Name: Nixon Peabody

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/01/2007
 End Date: 08/31/2011
 Amount: \$1,890
 Amount Expended for Fiscal Year: \$1,575
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2010
 End Date: 12/31/2010
 Amount: \$130,000
 Amount Expended for Fiscal Year: \$168,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
 Address Line2: 19th fl
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Captioning services

Address Line1: New York
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Bond Counsel

Procurement Transactions Listing:

53. Vendor Name: Oppenheimer & Co. Inc.

54. Vendor Name: Orrick Herrington & Sutcliffe LLP3

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$89,133
 Amount Expended for Fiscal Year: \$89,133
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2010
 End Date: 12/31/2010
 Amount: \$150,000
 Amount Expended for Fiscal Year: \$1,107,771.87
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 125 Broad
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Address Line1: 85 Broad Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bond Counsel

Procurement Transactions Listing:

55. Vendor Name: Orrick Herrington & Sutcliffe LLP3

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2010
 End Date: 12/31/2010
 Amount: \$75,000
 Amount Expended for Fiscal Year: \$2,677.26
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
 Address Line2: 48th fl
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bankruptcy Counsel

56. Vendor Name: Piper Jaffray

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$91,405
 Amount Expended for Fiscal Year: \$91,405
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 345 Park Ave.
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10154
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

57. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$254,470
 Amount Expended for Fiscal Year: \$254,470
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 165 Broadway
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

58. Vendor Name: Ramirez & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$5,444,734
 Amount Expended for Fiscal Year: \$5,444,734
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

Procurement Transactions Listing:

59. Vendor Name: Raymond James

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/30/2006
 End Date: 11/01/2016
 Amount: \$40,000
 Amount Expended for Fiscal Year: \$40,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
 Address Line2: 48th fl
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Remarketing

60. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$155,136
 Amount Expended for Fiscal Year: \$155,136
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 880 Carillon Parkway
 Address Line2:
 City: SAINT PETERSBURG
 State: FL
 Postal Code: 33716
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

Procurement Transactions Listing:

61. Vendor Name: Rice Financial Products Company

62. Vendor Name:

Roosevelt and Cross Incorporated

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$503,798
 Amount Expended for Fiscal Year: \$503,798
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$47,429
 Amount Expended for Fiscal Year: \$47,429
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1 Exchange Place
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

63. Vendor Name: Siebert Brandford Shank & Co. LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$297,634
 Amount Expended for Fiscal Year: \$297,634
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall St
 Address Line2: #18
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

64. Vendor Name: State Street Bank & Trust Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/27/2005
 End Date: 10/27/2012
 Amount: \$255,659.73
 Amount Expended for Fiscal Year: \$255,659.73
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 Fifth Avenue
 Address Line2: 24th fl
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity

Procurement Transactions Listing:

65. Vendor Name: Stifel Nicolaus & Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/14/2009
End Date: 08/14/2009
Amount: \$137,024
Amount Expended for Fiscal Year: \$137,024
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 150 E 42nd St
Address Line2: # 24
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriter

66. Vendor Name: Stone & Youngberg LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/14/2009
End Date: 08/14/2009
Amount: \$54,570
Amount Expended for Fiscal Year: \$54,570
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 450 Lexington Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriter

Procurement Transactions Listing:

67. Vendor Name: TD Securities

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/14/2009
 End Date: 08/14/2009
 Amount: \$44,717
 Amount Expended for Fiscal Year: \$44,717
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 West 52nd Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10019
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriter

68. Vendor Name: US Bank

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/06/2003
 End Date: 06/25/2011
 Amount: \$477,698.44
 Amount Expended for Fiscal Year: \$840,573.24
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1211 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity

Procurement Transactions Listing:

69. Vendor Name: US Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/14/2009
End Date: 08/14/2009
Amount: \$23,970
Amount Expended for Fiscal Year: \$23,970

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 800 Nicollet Mall
Address Line2:
City: MINNEAPOLIS
State: MN
Postal Code: 55402
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriter

70. Vendor Name: Wachovia Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 06/25/2011
Amount: \$665,695.89
Amount Expended for Fiscal Year: \$420,682.82

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 399 Park Avenue 6th Floor
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity

Procurement Transactions Listing:

<p>71. Vendor Name: Wachovia Bank NA</p> <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 05/06/2003</p> <p>End Date: 10/24/2012</p> <p>Amount: \$144,219.15</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 61 Broadway 29th Floor</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10006</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Remarketing</p>	<p>72. Vendor Name: Wachovia Bank National Association</p> <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 08/14/2009</p> <p>End Date: 08/14/2009</p> <p>Amount: \$29,170</p> <p>Amount Expended for Fiscal Year: \$29,170</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: PO Box 740502</p> <p>Address Line2:</p> <p>City: ATLANTA</p> <p>State: GA</p> <p>Postal Code: 30374</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Underwriter</p>
---	--

Procurement Transactions Listing:

73. Vendor Name: Wells Fargo

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 06/25/2011
Amount: \$141,768.57
Amount Expended for Fiscal Year: \$141,768.57
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 110 Wall Street 6th Floor
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity

74. Vendor Name: Wells Fargo Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 10/24/2012
Amount: \$39,013.69
Amount Expended for Fiscal Year: \$186,958.88
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 110 Wall Street 6th Floor
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Remarketing

Procurement Transactions Listing:

<p>75. Vendor Name: Wells Fargo Bank NA</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 08/14/2009 End Date: 08/14/2009 Amount: \$278,889 Amount Expended for Fiscal Year: \$278,889</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: PO BOX 60978 Address Line2: City: CHARLOTTE State: NC Postal Code: 28260 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriter</p>	<p>76. Vendor Name: Wells Fargo Insurance Services of New York Inc.</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 04/01/2010 End Date: 06/25/2012 Amount: \$17,500 Amount Expended for Fiscal Year: \$164,753</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 520 Madison Ave. 8th Fl. Address Line2: City: NEW YORK State: NY Postal Code: 10022 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: D & O Insurance</p>
--	---

Procurement Transactions Listing:

77. Vendor Name: West LB

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/08/2004
End Date: 12/08/2010
Amount: \$410,927.79
Amount Expended for Fiscal Year: \$180,132.73
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 630 Fifth Avenue Suite 2916
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10111
Plus 4:
Province Region:
Country: USA
Procurement Description: CP Fees

Procurement Transactions Listing:

Additional Comments: