

**Lorelei Salas** Commissioner

42 Broadway New York, NY 10004

**Dial 311** (212-NEW-YORK)

nyc.gov/consumers

January 28, 2019

Susan Stetzer 59 East 4th Street New York, NY 10003

### REQUEST FOR COMMUNITY BOARD RECOMMENDATION

Dear Susan Stetzer

The Department of Consumer Affairs (DCA) has received a petition from the below business applicant.

BUSINESS NAME: SECOND AVENUE RESTAURANT GROUP INC.

D/B/A NAME: DIA

ADDRESS: 58 2ND AVE NEW YORK, NY 10003-8647

BOROUGH/STATE/ZIP: Manhattan/NY/10003-8647

APPLICATION #: 886-2019-ASWC

TYPE: UNENCLOSED

MAXIMUM # OF TABLES: 10

MAXIMUM # OF CHAIRS: 21

BUSINESS CONTACT:

PHONE NUMBER: 9176757664

EMAIL: KELLYMLK136@GMAIL.COM

Pursuant to Section 20-226(c) of the NYC Administrative Code, the Community Board has 45 days to review a sidewalk café petition. **DCA must receive your recommendations on this petition no later than March 14, 2019.** You may use the enclosed Recommendation Form to submit your recommendation.

\*886-2019-ASWC\*

Title 6 of the Rules of the City of New York Section §2-44(a) explains additional Community Board action:

When a petitioner agrees to revise a petition or plan to resolve objections raised by the Community Board, any such agreed revisions, along with new blueprints showing the revised plan, must be submitted by the petitioner to the Department in writing, and signed by both the applicant and the chairperson of the Community Board, not later than forty-five (45) days after the Community Board receives the petition and plans. Such agreed revisions shall be incorporated into, and be deemed to modify, the original petition in accordance with its terms. The Department may then hold a public hearing based on the petition as so modified. If such written agreements to modify an original petition to address objections raised are not received within the time specified, the Department shall hold any such public hearing based on the original petition and the objections to it that have been raised.

#### Please return your recommendation DCA in ONE of the following ways:

Email to: sidewalkcafe@dca.nyc.gov

• Fax to: +1 646 500 5832

Mail to: Department of Consumer Affairs

Attn: Sidewalk Café Unit

42 Broadway

New York, NY 10004

If you have any questions, please contact us at +1 212 487 4213 or sidewalkcafe@dca.nyc.gov. Thank you for your time.

Regards,

DCA Sidewalk Café Unit



\*886-2019-ASWC\*

## Sidewalk Café Recommendation Form

NYC Department of Consumer Affairs

TO:

FROM:	Susan Stetzer				
Re:	License/Application # Business Name: SEC Business Address: 58	OND AVENUE F	RESTAURANT		
			1	c	
The CB#	#: 103 recommends the	following:			
	We have "NO 0	OBJECTION" to t	he stated use.		
	We have the fo	ollowing "OBJEC"	ΓΙΟΝS" to the s	tated use.	
	P.				
		· · · · · · · · · · · · · · · · · · ·			
			*		
	ř!	- i			
2	Signature			Print Name	
	Title	Da	te	Email	



\*886-2019-ASWC



## BASIC LICENSE APPLICATION

Please print. Section 1 – All applicants What is your Business's legal structure? ☐ Business/General Partnership ☐ Limited Partnership □ Non-Profit ☐ Limited Liability Company ☐ S-Corporation ☐ Limited Liability Partnership ☐ Sole Proprietorship If your Business's legal structure is Sole Proprietorship, complete Sections 1, 2, and 4. If your Business's legal structure is NOT Sole Proprietorship, complete Sections 1, 3, and 4. **Business Information Business Name** (The Business Name that you provide must be exactly as filed with the New York State Secretary of State or County Clerk.) Second Restaurant Doing-Business-As (DBA)/Trade Name (The DBA/Trade Name that you provide must be exactly as filed with the New York State Secretary of State or County Clerk.) na Premises Address (Building Number, Street Name, Apartment/Suite/Other) SND City State ZIP Code Country/Region Borough: ☐ Bronx ☐ Queens New York 1000.3 ☐ Brooklyn ☐ Staten Island ☐ Outside of NYC Manhattan (By providing your e-mail address, you consent to receive communications electronically from the Department of Consumer Affairs (DCA), and you affirm that the e-mail listed is a reliable form of communication for you.) KELLYMLK136@gmail.com Phone 1 (Primary) Phone 2 (Alternate) Text Telephone (TTY Phone) Employer Identification Number (EIN) New York State Sales Tax Identification Number or (Required for sole proprietorships with paid employees, Certificate of Authority Application Confirmation Number corporations, and partnerships) (You must complete this section if "Sales Tax Identification Number" is a requirement on your license application 3797 checklist.) The Sales Tax Identification Number is the 9, 10, or 11-digit number on your New York State Department of Taxation and Finance Certificate of Authority. If you have not received your Certificate of Authority, please enter the 6-digit confirmation number you received when you successfully submitted the application for a Certificate of Authority.

## **Contact Mailing Information**

If you want DCA correspondence addressed and mailed to a contact other than the business name and address provided on page 1, please complete the information below.

First Name	Middle Nan	∩⊖ (optional)	Last Name	9
Michael			Ke	2114
Title/Position (Check one box only.)	☐ Chairman			□ ∀reasurer
	□ Director			☐ Trustee
	☐ Officer		84	☐ Vice President
	☐ President			.A Other (Please specify.)
	☐ Secretary			Authorized Representative
Mailing Address (Building Number, Street	t Name, Apartm	ent/Suite/Other	7)	
136 Wave	-14 -	Rd		
City	Statle	ZIP Code	Co	untry/Region
Scarsdale	M	105	83	

Providing Social Security Number or Individual Taxpayer Identification Number in Sections 2 and 3 is voluntary. The City requests this information under the NYC Charter and Administrative Code. This information will or may be used to allow the City of New York to maintain and update City databases, to carry out the powers and duties of the Department, and for other purposes necessary to promote the general welfare.

#### Section 2 - Sole Proprietorship

Last Name	Suffix		First Na	me	Middle Name (optional)		
	(Jr., Sr.,	Esq.) (optional)					
Social Security Number	or Individual Ta	xpayer Identificatio	n Number				
Home Address (Building I	Number, Street Nam	ne, Aparlment/Suite/Oth	er)	· · · · · · · · · · · · · · · · · · ·			
City	State	ZIP Code	Cour	ntry/Region			
8							

#### Section 3 - General Partners, Corporate Officers, Shareholders, and Members

You must provide information on *all* general partners and *all* corporate officers and *each* shareholder owning 10% or more of the business applying for a license. Note: Limited Liability Companies must provide information on *all* members. Non-Profits must provide information on *all* officers and *all* Board of Directors members. **Attach additional sheets if necessary.** 

Important: If the partner or shareholder is a business (rather than an individual), DCA will verify active status prior to license issuance. Corporations, Limited Partnerships, Limited Liability Companies, or Limited Liability Partnerships must register and remain active with the New York State Department of State. If you file your application in person, DCA can print a copy of the partner's or shareholder's Certificate of Incorporation and/or Certificate of Authority to Conduct Business in New York from the New York State Department of State's website.

See page 3.

Basic License Application, 06/24/2013

## General Partners, Corporate Officers, Shareholders, and Members

## Individual #1

C									
Last Name		Suffix			Fir	st Name			Middle Name
15 1 L		( Jr., S	Sr., E <mark>s</mark> q.) (opt	ional)	(کر ا	11000	•		(optional)
Smilowitz						ugen	10		
Title/Position (Check one box only.	.)	☐ Cha	irman	-		()	☐ Tre	easurer	
,	´	☐ Dire				•	□ Tru		
	- 1	□ Offic						e Presiden	+
		<b>⊉</b> Pre					HOI		
		□ Sec					ILI O	161	
Social Security Number or		<u>п</u> 0ес	retary	0/ -4	0				
Individual Taxpayer Identification	Numbe			% OI	OWI	nership			
' '	INUITIDE	ð í		1		$I \cap$	4	/	
1 1 2 2 2 - 4 9 - 9 2 2 3				1		- 10	0%	11	
	01 111		- CO - 102 - 103			- ' -		0	
Home Address (Building Number,		_		Other)					
208	-15	53c	d A	18					
	State	20.	7 7 Codo			Causalm./	Danis		
Collins I C 1 2 1			ZIP Code	u		Country/	Region	1	
Ga Kland Gardas	M		1176	7					
Individual #2									
Last Name		Suffix			Fire	st Name			Middle Name
		( Jr., S	r., Esq.) (opti	onal)					(optional)
									1
Title/Position (Check one box only.	)	☐ Cha	irman				ΠTre	easurer	
	´	☐ Dire					□ Tru		
		□ Offic						e Presiden	•
		□ Pres					Oth		ι
		□ Sec					L 011	161	
Social Security Number or			· Otally	% of	Own	ership			
Individual Taxpayer Identification	Numbe	ar .		70 01	OVVI	ieranip			
	Mannoc	,1							
Home Address (Bullding Number,	Ctroot No	ma Ana		2011					
Florite Address (Building Number, .	Street iva	іте, Ара	irtment/Suite/	Other)					
City	State		ZIP Code		_	Country	Doglar		
,	Juio		211 Code			Country/	Region	l	
Business #1									
Business Name									
Employer Identification Number (	(EIN)							% of Own	ershin
Mailing Address (Building Number,	Street N	lame, Ap	artment/ Suit	e/Other)					
				,					
City	Ctat		710.0						
Oity	State	,	ZIP Code	Coun	itry/F	Region	Boro	ugh:	
								•	_
							□Br		☐ Queens
)								ooklyn	☐ Staten Island
							☐ Ma	anhattan	☐ Outside of NVC

## Business #2

Busi	ness Name						
Emp	oloyer Identification Number (E	EIN)			9	6 of Owne	rship
							•
Mail	ing Address (BuildIng Number, S	Street Name,	Apartment/ Suit	e/Other)			
City		State	ZIP Code	Country/Region	Boroug	h:	
					☐ Bronz ☐ Brool ☐ Manh	klyn	☐ Queens ☐ Staten Island ☐ Outside of NYC
Sec	ction 4: Applicant Ba	ckgroun	d Questio	ns – All applica	nts		<u> </u>
prop	ase answer the questions b prietorships, general partne nbers, officers, Board of Di	rs, corpora	ate officers, s	hareholders owning	10% or	more of a	company stock,
mea time	ne background questions in an you will not get a license that has passed since the vever, your license may be	<ul> <li>Factors s conviction</li> </ul>	such as the n n, and your aç	ature and seriousne ge at the time of the	ess of the conviction	offense, on will be	the amount of considered.
1.	<ol> <li>Has this individual ever been licensed by the New York City Department of Consumer Affairs (DCA)?</li> <li>If Yes, provide the following information:</li> </ol>			□Yes	<b>⊠</b> No		
			DCA License	Number			
			Business/Indi	vidual Name			
2.	Has this individual ever had revoked?  If Yes, provide the following			spended, or	□ Yes	# No	a.
			DCA License				
			Business/Ind	ividual Name	L		
3.	Has this individual ever beer member) of a DCA-licensed If Yes, provide the following	business?	•	holder, partner,	□ Yes	₽No	
			DCA License				
			Business/Ind	ividual Name			
4.	Is this individual related by b DCA licensee or principal of If Yes, provide the following	a DCA-lice	nsed business		□ Yes	₽ No	
			Relationship				
			Relative First				
	5		Relative Midd				
			Relative Last				
			Relative Suff DCA License				
		*		ividual Name	-		

If you answer Yes for Questions 5 to 10, please include the requested description and attach all relevant documents to this application.

**NOTE**: Description should include the date of conviction, the nature of the incident, persons involved, and the outcome. Please include convictions for which you might have been imprisoned or fined even if, in fact, you only had to perform community service or were put on probation. You may omit parking violations and offenses that resulted in a finding of juvenile delinquency, youthful offender, wayward minor, or person in need of supervision.

5.	Has this individual ever pled guilty or been found guilty of a crime, offense, or violation?  If Yes, please describe the crime, offense, or violation.	□ Yes	<b>⊠</b> No
	-		
6.	Is there any criminal charge pending against this individual?  If Yes, please describe the circumstances of the arrest.	☐ Yes	⊠ No
7.	Is there any civil charge (including administrative charge) pending against this individual?  If Yes, please describe the charge(s).	□ Yes	<b>IZ</b> No
8.	Does this individual/individual's business owe fines or restitution?  If Yes, please describe all obligations (fines or restitution) not satisfied in full.	□ Yes	No No
9.	Has any court rendered a judgment against this individual/individual's business?  If Yes, please describe the court judgment.	□ Yes	∕¤ No

Is there a judgment against this individual/individual's business that has not been paid in full for 30 days or more?  If Yes, please describe the judgment.	□ Yes 📈 No	

PREPARER'S STATEMENT – Please check the box if the statement applies to you.

I am not the license applicant. I am an authorized representative for the license applicant, and I will submit a Granting Authority to Act Affirmation completed by the license applicant.

#### AFFIRMATION - Please read and sign below.

I am authorized to complete and submit this application and all attachments (together, the "Application"). I have reviewed the entire Application. To the best of my knowledge, this Application is true, correct, and complete.

If any of the information in this Application changes, the applicant must inform the Department of Consumer Affairs of those changes. I also understand that the applicant must comply with all relevant laws and rules if granted a license to operate.

I understand that the Department of Consumer Affairs has not yet considered this Application. The applicant will not operate the business until receipt of an actual license document from the Department of Consumer Affairs or until / unless the Department of Consumer Affairs has given written permission to operate while this Application is pending. This affirmation shall be deemed executed in the City and State of New York and shall be governed by and construed in accordance with the laws of the State of New York (notwithstanding New York choice of law or conflict of law principles) and the laws of the United States.

I affirm that these statements are true and correct.

PENALTY FOR FALSE STATEMENTS: It is against the law to make a statement in this Application that you know is false. If you make a statement that you know is false, you may be punished.

Under Sections 210.45 and 175.30 of the New York Penal Law, you may be:

- fined up to \$1000 and / or
- sent to jail for up to one year

Under Section 175.35 of the New York Penal Law, you may be punished if you:

- make a statement that you know is false and / or
- make the statement because you intend to mislead the Department of Consumer Affairs

Under Section 175.35 of the New York Penal Law, you may be:

- fined up to \$5000 or
- fined an amount that is twice the amount of money you received by making the false statement and / or
- sent to jail for up to 4 years

The Department of Consumer Affairs may also punish you for making a false statement on this Application. These punishments may include:

- · fines or penalties of up to \$500 for each false statement
- permanent loss (revocation) of your license

By signing below, I understand and agree that:

I am swearing or affirming that I have told the truth on this Application.

Pusclent

Title/Position (If any)

Print Full Name

Date

If you are not registered to vote, would you like to register here today?

Whether you apply to register to vote or not, it will not affect the assistance DCA will provide to you. If you wish, we will help you in filling out the voter registration application.

Basic License Application, 06/24/2013



LICENSING CENTER
42 Broadway, 5th floor
New York, NY 10004
Monday-Friday: 9:00 a.m.-5:00 p.m.
Wednesday: 8:30 a.m.-5:00 p.m.
www.nyc.gov/consumers

## SIDEWALK CAFÉ COMPLIANCE CHECKLIST

Applicants must answer a series of questions to demonstrate that the café meets City requirements. Please answer all questions and sign the Checklist.

1.	Is there a minimum of 12 feet of sidewalk space for entire length of the property?	the		<b>2∀</b> Yes	□ No
2.	Will your café be at an address zoned for the type of sidewalk café you plan to operate?	f		Yes	□No
If yo	u answered "No" to question 1 or 2, you cannot a ication process.	pply for a Sidewa	alk Caf	é license and m	ust stop the
3.	Sidewalk Café Business Name:	Second	Ave	Restaun	ant Group Inc
4.	Sidewalk Café Type: Check all that apply.	□ Enclosed	□ Sma	ll Unenclosed	<b>™</b> Unenclosed
5.	Application Type:	⊠New			
	9	□ Renewal			
		□ Assignment days before expir	(Conser ation da	nt assigned by pre te)	evious owner more than 90
2		☐ Modification	n (Chan	ges to an existing	consent)
6.	Maximum number of tables in your café:	_10	-		
7.	Maximum number of chairs in your café:	_21			
8.	Block Number:	445			
9.	Lot Number:	3			
10.	Community Board Number:	3			
11.	Will your café be on the same level as the adjoining sidewalk? (Unenclosed and Small unenclosed only)	മ്Yes □ No			ž.

12.	Is your café in a historic district or in or adjacent to a landmarked building or district?	Yes DNo	× -
	<ul> <li>a. If Yes, have you applied to the Landmarks         Preservation Commission (LPC) for approval to operate your café?         i. If Yes, have you received approval from     </li> </ul>	□ Yes □ No	
	LPC to operate your café?	□ Yes □ No	:40
Side	ewalk Café Business Information		
13.	Sidewalk Café Business Address:	58 2 Wenul	<del></del>
		New York, NY 10003	
14.	Is there an alternate entrance to your sidewalk café with a different address than your business		
	address?	□ Yes ≱No	
	If Yes, please enter address:	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Side	walk Café Architect or Engineer Information		
15.	Full Name of Architect or Engineer:	SWA Architects/Steve Wyg	oda
16.	Business Name of Architect or Engineer:	SWA Archilects	
17.	Address:	190 East Main St	_
		Hunlington My 11743	
18.	Telephone Number:	631.424.8480	= =
19.	Fax Number (optional):		2
20.	E-mail Address:	SWADFFICE @SWAARCHITECTURE	com
Stew	agenia Sulz ulk Café Applicant's Signature	Eugenia Smilowitz	
Pre Title (	siclent	Date 1/12/19	



LICENSING CENTER 42 Broadway, 5th floor New York, NY 10004 Monday-Friday: 9:00 a.m.-5:00 p.m. Wednesday: 8:30 a.m.-5:00 p.m. www.nyc.gov/consumers

## PETITION FOR CONSENT TO USE SIDEWALK SPACE

Applicants for a Sidewalk Café license must petition the City of New York for permission to use public sidewalk space for the construction, maintenance, and operation of the proposed sidewalk café.

Please select the statement that describes you:	<ul> <li>✓ I am a new applicant for a Sidewalk Café license and will submit:         <ul> <li>Scale drawings to outline the placement of the proposed sidewalk café AND</li> <li>Proof of consent from the landlord, owner, lessee, or management of the premises for the operation of a sidewalk café</li> <li>I am a current license holder submitting an application to renew my Sidewalk Café license. My DCA license number is:</li> </ul> </li> </ul>
Name of Petitioner:	Eugenia Smilowitz
Business Title:	Eugenia Smilowitz President
Legal Name of Business:	Second Are Restaurant Groupinc
Business's Trade or Doing- Business-As (DBA) Name, if applicable:	Dia
Business's State of Incorporation, if applicable:	. M
Business Address:	58 2 m Are M M 10003

On behalf of the business applying for a Sidewalk Café license from the Department of Consumer Affairs (DCA), I seek permission to use a portion of the public sidewalk in front of the business premises to operate a sidewalk café.

I understand that a DCA Sidewalk Café license does not give my business any right, title, or interest in any part of the sidewalk space approved for use.

I agree to hold harmless the City of New York, its officers and employees, for any loss or damage arising from the use of the public sidewalk or the discontinuance of use resulting from an order, demand, or notice of any governmental agency with jurisdiction.

I understand that DCA and/or any government agency with jurisdiction may revoke my consent to use public sidewalk space at any time for any reason whatsoever. Consent can be revoked for failure to comply with any terms and conditions of the consent or any agreements between my business and the City of New York or for violation of any of the rules and regulations enforced by DCA. I understand there will be no refund of any fees or compensation paid to the City of New York.

I agree to promptly remove any property placed on the sidewalk space or reimburse the City of New York for the cost of moving my business' property upon receipt of any written notice, demand, or order to vacate the sidewalk space from a governmental agency with jurisdiction.

I have read and agree with the terms and conditions outlined above.

I understand that falsification of any statement made herein is an offense punishable by fine or imprisonment or both.

Duie

Updated 05/06/2013



42 Broadway New York, NY 10004

Dial 311 (212-NEW-YORK)

nyc.gov/consumers

# ZERO TOLERANCE POLICY AFFIRMATION

Applicants for a Sidewalk Café license must affirm that they will adopt a zero tolerance policy.

Business's Trade or Doing-BusinessAs (DBA) Name, if applicable:

Business Address:

Stend We Ratamant Group Inc

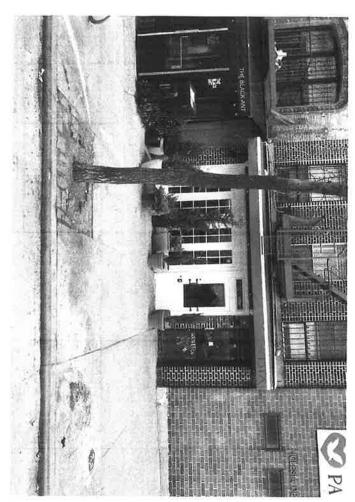
DIA

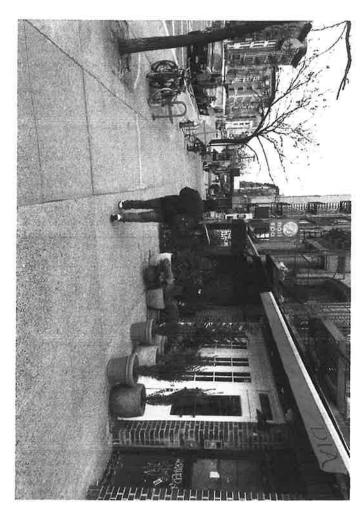
Business Address:

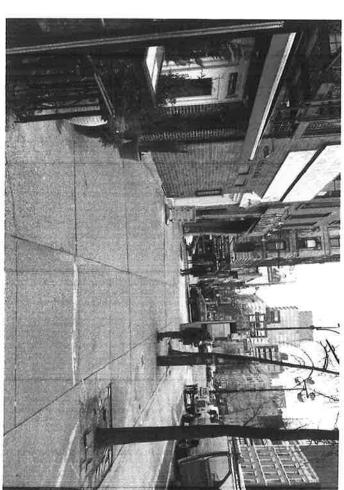
58 2 Mb Cwenne

Wen York, My 10003

Effective immediately, my business shall adopt a "zero tolerance" policy, which will prohibit any of my business's key persons, employees, or agents from improperly offering anything of value (including, but not limited to, money, meals, gifts, gratuities, or transportation) to any public employee or official of the City, political subdivision, or governmental entity with which we conduct business. Any key person, employee, or agent of my business found to have violated this policy will be subject to disciplinary action by my business including, if the circumstances warrant, termination of employment, except to the extent prohibited by a lawful collective bargaining agreement.







Second the Restaurant Grap In 58 Jus the M, M 10003

