

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nyc.gov/html/hyic/downloads/pdf/hyic_procurement_guidelines.pdf">http://www.nyc.gov/html/hyic/downloads/pdf/hyic_procurement_guidelines.pdf</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Bank of NY Mellon (Pinnacle)

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/01/2007  
End Date: 08/31/2012  
Amount: \$2,250  
Amount Expended for Fiscal Year: \$2,250

Address Line1: 101 Barclay Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10286  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Arbitrage

2. Vendor Name: Deloitte & Touche

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/01/2007  
End Date: 06/30/2010  
Amount: \$28,000  
Amount Expended for Fiscal Year: \$28,000

Address Line1: Two World Financial Center  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10281  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Auditor

Procurement Transactions Listing:

3. Vendor Name: Little Grey House

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 10/01/2009  
End Date: 02/28/2010  
Amount: \$19,000  
Amount Expended for Fiscal Year: \$18,481.59

Address Line1: 27 West 24th Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10010  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: CAFR Design

4. Vendor Name: Public Resources Advisory Group (PRAG)

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 07/01/2009  
End Date: 06/30/2011  
Amount: \$5,000  
Amount Expended for Fiscal Year: \$5,000

Address Line1: 40 Rector Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Valuation Services

Procurement Transactions Listing:

5. Vendor Name: Wells Fargo

Type of Procurement: Legal Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 04/01/2010  
End Date: 06/25/2012  
Amount: \$167,145  
Amount Expended for Fiscal Year: \$167,145

Address Line1: 330 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: D & O Insurance

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Procurement Transactions Listing:

Additional Comments: