

**§ 3-108 FULTON FISH MARKET/OTHER SEAFOOD MARKETS/PUBLIC WHOLESALE
MARKETS VIOLATIONS**

TITLE 17 RCNY CHAPTER 2 SUBCHAPTER A: PUBLIC WHOLESALE MARKETS						
RULE SECTION	ECB COMPUTER CODE	VIOLATION DESCRIPTION	MAIL-IN PENALTY	1st OFFENSE PENALTY	REPEAT PENALTY	DEFAULT PENALTY
17 RCNY §11-06(a)*	1 st : AM2E 2 nd : AM3E 3 rd : AM3F 4 th : AM3G Subs.: AM3H	Failed to obtain identification card as per subsec.	500	750	<u>2nd 1,000</u> <u>3rd 2,500</u> <u>4th 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-06(c)*	1 st : AM3I 2 nd : AM3J 3 rd : AM3K 4 th : AM3L 5 th : AM7D Subs.: AM3M	Failed to produce ID card upon demand	250	500	<u>2nd 500</u> <u>3rd 1,000</u> <u>4th 2,500</u> <u>5th 5,000</u> <u>Subs 10,000</u>	10,000
17 RCNY §11-09(a)*	1 st : AM3N Subs.: AM3O	Improper transfer of registration number	3,000	5,000	<u>Subs. 10,000</u>	10,000
17 RCNY §11-09(b)*	1 st : AM3P 2 nd : AM3Q 3 rd : AM3R 4 th : AM3S Subs.: AM3T	Failed to affix & prominently display registration certificate	500	1000	<u>2nd 1,500</u> <u>3rd 2,500</u> <u>4th 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-09(c)*	1 st : AM3U 2 nd : AM3V	Failed to maintain books, records, etc., as per subsec.	1,500	2,500	<u>2nd 3,000</u> <u>3rd 5,000</u>	10,000

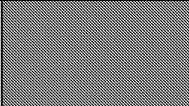
	3 rd : AM3W Subs. : AM3X				<u>Subs. 10,000</u>	
17 RCNY §11-09(c)*	1 st : AM3Y 2 nd : AM3Z 3 rd : AM4A 4 th : AM4B Subs.: AM4C	Failed to retain books, records, etc., and make available for inspection	500	750	<u>2nd 1,000</u> <u>3rd 2,500</u> <u>4th 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-15(a)*	1 st : AM4D 2 nd : AM4E Subs. : AM4F	Fail to notify Comm'r of material changes of info submitted in app	1,500	2,500	<u>2nd 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-15(b)*	1 st : AM4G 2 nd : AM4H Subs. : AM4I	Failed to notify Commission of ownership changes as per subsec.	1,500	2,500	<u>2nd 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-16(c)*	1 st : AM7E Subs. : AM7F	Unauthorized sublease of registration number, premises and/or business	3,000	5,000	<u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (a)(1)*	1 st : AM4J Subs. : AM4K	Interfered with lawful duties of Market Manager or his staff	7,500	10,000	<u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (a)(1)*	1 st : AM4L Subs. : AM4M	Interfered with/obstructed orderly function of Market	3,000	5,000	<u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (a)(2)*	1 st : AM4N 2 nd : AM4O 3 rd : AM4P Subs. : AM4Q	Interfered with/obstructed any operation, etc., of mkt. registrant	750	1,000	<u>2nd 2,500</u> <u>3rd 5,000</u> <u>Subs. 10,000</u>	10,000

17 RCNY §11-19(a)(10)*	1 st : AM4R 2 nd : AM4S 3 rd : AM4T Subs.: AM4U	Misrepresentation of merchandise offered for sale	500	1,000	<u>2nd 2,500</u> <u>3rd 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (b)(3)*	1 st : AM4V Subs.: AM4W	Conducted business using unregistered name	3,000	5,000	<u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (b)(4)*	1 st : AM4X 2 nd : AM4Y 3 rd : AM4Z Subs.: AM5A	Violated any applicable federal, state or city law or regulation	1,000	1,500	<u>2nd 2,500</u> <u>3rd 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (b)(8)*	1 st : AM5B 2 nd : AM5C 3 rd : AM5D Subs.: AM5E	Threatened or attempted to intimidate any customer	1,500	3,000	<u>2nd 5,000</u> <u>3rd 7,500</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (b)(11)*	1 st : AMD1 Subs.: AMD4	Employed individuals without approved ID cards	3,000	5,000	<u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (b)(12)*	1 st : AM5F 2 nd : AM5G 3 rd : AM5H 4 th : AM5I Subs.: AM5J	Use of unregistered/uninsured vehicle	500	750	<u>2nd 1,000</u> <u>3rd 2,500</u> <u>4th 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-19 (b)(13)*	1 st : AM5K 2 nd : AM5L 3 rd : AM5M Subs: AMN2	Engaged in an unfair labor practice as per subsec.	1,000	1,500	<u>2nd 2,500</u> <u>3rd 5,000</u> <u>SUBS. 10,000</u>	10,000

17 RCNY §11-19(b)(15)*	1 st : AM5N 2 nd : AM5O Subs.: AM5P	Violated/failed to comply w/ order or directive of the Commission	375	500	<u>2nd 750</u> <u>Subs 1000</u>	10,000
17 RCNY §11-25(a)*	1 st : AM5Q 2 nd : AM5R 3 rd : AM5S 4 th : AM5T Subs.: AM5U	Failed to obey and/or comply w/traffic directions	250	500	<u>2nd 1,000</u> <u>3rd 2,500</u> <u>4th 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-25(b)*	1 st : AM5V 2 nd : AM5W 3 rd : AM5X 4 th : AM5Y 5 th : AM5Z Subs.: AM6A	Obstruction of Traffic	250	500	<u>2nd 750</u> <u>3rd 1,000</u> <u>4th 2,500</u> <u>5th 5,000</u> <u>Subs 10,000</u>	10,000
17 RCNY §11-25(c)*	1 st : AM6B 2 nd : AM6C 3 rd : AM6D 4 th : AM6E 5 th : AM6F Subs.: AM6G	Failure to remove disabled vehicle as per subsec.	250	500	<u>2nd 750</u> <u>3rd 1,000</u> <u>4th 2,500</u> <u>5th 5,000</u> <u>Subs 10,000</u>	10,000
17 RCNY §11-25(e)*	1 st : AM6H 2 nd : AM6I 3 rd : AM6J Subs.: AM6K	Failed to comply with traffic laws	500	1,000	<u>2nd 2,500</u> <u>3rd 5,000</u> <u>Subs. 10,000</u>	10,000
17 RCNY §11-	1 st : AM6L	Failed to maintain bldg., stores, etc.,		2,500	<u>2nd 3,000</u>	10,000

26(b)*	2 nd : AM6M 3 rd : AM6N Subs. : AM6O	in gd repair as per subsec.	1,000		3 rd <u>5,000</u> Subs. <u>10,000</u>	
Admin. Code §22-252(A)	1 st : AM6P 2 nd : AM6Q 3 rd : AM6R Subs. : AM6S	Failure to obtain identification card as per subsec.	None	500 per day	2 nd <u>1,500</u> per day 3 rd <u>2,500</u> per day Subs. <u>5,000</u> per day	5,000 per day
Admin. Code §22-253(A)	1 st : AM6T 2 nd : AM6U Subs. : AM6V	Failure to register wholesale and/or market businesses, as per subsec.	None	1,000 per day	2 nd <u>2,500</u> per day Subs. <u>5,000</u> per day	5,000 per day
Admin. Code §22-262	1 st : AM6W Subs. : AM6X	Failure to surrender and/or cease using regis. certif. and/or number	None	5,000 per day	<u>Subs. 5,000</u> per day	5,000 per day
Admin. Code §22-262	1 st : AM6Y 2 nd : AM6Z Subs. : AM7A	Failure to surrender and/or cease using identification card	None	1,500 per day	2 nd <u>2,500</u> per day Subs. <u>5,000</u> per day	5,000 per day

TITLE 22 NYC ADMINISTRATIVE CODE CHAPTER 1-B: OTHER PUBLIC MARKETS

RULE SECTION	ECB COMPUTER CODE	VIOLATION DESCRIPTION		1st OFFENSE PENALTY	REPEAT PENALTY	DEFAULT PENALTY
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Admin. Code 22-252(a)	1 st : AM2T Subs: AM7G	Daily failure to obtain identification card as per subsec. (between 11 and 30 days)		2500	<u>Subs. 5000</u>	5000
Admin. Code 22-253(a)	1 st : AM2U 2 nd : AM7H Subs: AM7I	Daily failure to register wholesale and/or market businesses, as per subsec. (single day)		1000	<u>2nd 2500</u> <u>Subs. 5000</u>	5000
Admin. Code 22-253(a)	1 st : AM2V Subs: AM7J	Daily failure to register wholesale and/or market businesses, as per subsec. (between 2 and 10 days)		2500	<u>Subs. 5000</u>	5000
Admin. Code 22-253(a)	1 st : AM2W Subs: AM7K	Daily failure to register wholesale and/or market businesses, as per subsec. (between 11 and 30 days)		3500	<u>Subs. 5000</u>	5000
Admin. Code 22-262	1 st : AM2X Subs: AM7L	Daily failure to surrender and/or cease using regis. certif. and/or number (single day)		2500	<u>Subs. 5000</u>	5000
Admin. Code 22-262	1 st : AM2Y Subs: AM7M	Daily failure to surrender and/or cease using regis. certif. and/or number (between 2 and 10 days)		3500	<u>Subs. 5000</u>	5000
Admin. Code 22-262	1 st : AM2Z Subs: AM7N	Daily failure to surrender and/or cease using regis. certif. and/or number (between 11 and 30 days)		5000	<u>Subs. 5000</u>	5000
Admin. Code 22-262	1 st : AM3A 2 nd : AMI5 Subs: AM7O	Daily failure to surrender and/or cease using identification card (single day)		1000	<u>2nd 2500</u> <u>Subs. 5000</u>	5000

Admin. Code 22-262	1 st : AM3B Subs: AM7P	Daily failure to surrender and/or cease using identification card (between 2 and 10 days)		2500	<u>Subs. 5000</u>	5000
Admin. Code 22-262	1 st : AM3C Subs: AM7Q	Daily failure to surrender and/or cease using identification card (between 11 and 30 days)		3500	<u>Subs. 5000</u>	5000
Admin. Code 22-252(a)	1 st : AM2T Subs: AM7G	Daily failure to obtain identification card as per subsec. (between 11 and 30 days)		2500	<u>Subs. 5000</u>	5000

TITLE 17 RCNY CHAPTER 1 SUBCHAPTER B: FULTON FISH MARKET

RULE SECTION	ECB COMPUTER CODE	VIOLATION DESCRIPTION		1st OFFENSE PENALTY	REPEAT PENALTY	DEFAULT PENALTY
17 RCNY §12-04(a)	1 st : AM01 2 nd : AM7R 3 rd : AM7S Subs: AM7T	Failed to obtain identification card as per subsec.		350	<u>2nd 750</u> <u>3rd 1,500</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-04(f)	1 st : AM02 2 nd : AM7U 3 rd : AM7V Subs: AM7W	Failed to display identification car as per subsec.		250	<u>2nd 500</u> <u>3rd 750</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-06(a)	1 st : AM10 2 nd : AM7X Subs: AM7Y	Operated an unloading business without a license		2,500	<u>2nd 5,000</u> <u>Subs. 7,500</u>	10,000

17 RCNY §12-06(b)	1 st : AM11 2 nd : AM7Z Subs: AM8A	Operated a loading business without a license		2,500	<u>2nd 5,000</u> <u>Subs. 7,500</u>	10,000
17 RCNY §12-10(a)	1 st : AM13 2 nd : AM8B 3 rd : AM8C Subs: AM8D	Failed to maintain required insurance		500	<u>2nd 750</u> <u>3rd 1,500</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-10(b)	1 st : AM03 2 nd : AM8E Subs: AM8F	Unauthorized transfer of license		1,500	<u>2nd 3,000</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-11	1 st : AM20 2 nd : AM8G 3 rd : AM8H Subs: AM8I	Failed to comply with conditions in unloading license		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 2,500</u>	10,000
17 RCNY §12-11(a)(3)(b)(1)	1 st : AM22 2 nd : AM8J 3 rd : AM8K Subs: AM8L	Unloaded outside approved, designated and/or assigned areas		100	<u>2nd 250</u> <u>3rd 500</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-11(b)(3)	1 st : AM21 2 nd : AM8M 3 rd : AM8N Subs: AM8O	Failed to unload in required order, as per subsec		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 2,500</u>	10,000
17 RCNY §12-11(b)(4)	1 st : AM23 2 nd : AM8P 3 rd : AM8Q Subs: AM8R	Refused to unload trucks in approved or assigned unloading area		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 2,500</u>	10,000

17 RCNY §12-11(c)(1)	1 st : AM24 2 nd : AM8S 3 rd : AM8T Subs: AM8U	Charged rates in excess of those specified in unloading license (Unloader)		1,000	<u>2nd 1,500</u> <u>3rd 2,000</u> Subs. 3,000	10,000
17 RCNY §12-11(c)(1)	1 st : AM06 2 nd : AM8V 3 rd : AM8W Subs: AM8X	Failed to post rates in appropriate locations (Unloader)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-11(c)(2)	1 st : AM25 2 nd : AM8Y 3 rd : AM8Z Subs: AM9A	Failed to verify bill of lading/obtain signature/record license # (Unloader)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-11(c)(3)	1 st : AM26 2 nd : AM9B 3 rd : AM9C Subs: AM9D	Failed to keep/make available weekly records (Unloader)		500	<u>2nd 1,000</u> <u>3rd 1,500</u> Subs. 3,000	10,000
17 RCNY §12-11(d)(1)	1 st : AM07 2 nd : AM9E 3 rd : AM9F Subs: AM9G	Unloader engaged in business/activity interfering with unloading business		1,000	<u>2nd 1,500</u> <u>3rd 2,500</u> Subs. 5,000	10,000
17 RCNY §12-11(d)(2)	1 st : AM28 2 nd : AM9H Subs: AM9I	Interfered with market manager as per subsec. (Unloader)		1,500	<u>2nd 3,000</u> Subs. 5,000	10,000
17 RCNY §12-11(d)(2)	1 st : AM27 2 nd : AM9J Subs: AM9K	Obstructed unloading process as per subsec. (Unloader)		1,500	<u>2nd 3,000</u> Subs. 5,000	10,000

17 RCNY §12-11(d)(3)	1 st : AM29 2 nd : AM9L 3 rd : AM9M Subs: AM9N	Requested/accepted unauthorized fees and/or gratuities (Unloader)		500	<u>2nd 750</u> <u>3rd 1,000</u> Subs. 3,000	10,000
17 RCNY §12-11(d)(3)	1 st : AM08 2 nd : AM9O 3 rd : AM9P Subs: AM9Q	Charged unauthorized fees (Unloader)		500	<u>2nd 750</u> <u>3rd 1,000</u> Subs. 3,000	10,000
17 RCNY 12-11 (d)(5)(xi)	1 st : AM30 2 nd : AM9R Subs.: AM9S	Unloader Violated/failed to comply w/ order/directive of Commission		500	<u>2nd: 750</u> Subs. 1,000	
17 RCNY §12-12	1 st : AM40 2 nd : AM9T 3 rd : AM9U Subs: AM9V	Failed to comply with loading license conditions (Loader)		500	<u>2nd 1,000</u> <u>3rd 1,500</u> Subs. 3,000	10,000
17 RCNY §12-12(a)(1)	1 st : AM41 2 nd : AM9W 3 rd : AM9X Subs: AM9Y	Failed to post copies of rates (Loader)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-12(a)(2)	1 st : AM42 2 nd : AM9Z 3 rd : AMA1 Subs: AMA2	Charged rates in excess of those specified in loading license (Loader)		500	<u>2nd 1,000</u> <u>3rd 1,500</u> Subs. 3,000	10,000
17 RCNY §12-12(b)(1)	1 st : AMH3 2 nd : AMA3	Unauthorized use of loading area (Loader)		250	<u>2nd 500</u> <u>3rd 750</u>	10,000

	3 rd : AMA4 Subs: AMA5				<u>Subs. 1,000</u>	
17 RCNY §12-12(b)(2)	1 st : AM09 2 nd : AMA6 3 rd : AMA7 Subs: AMA8	Loaded outside designated hours (Loader)		250	<u>2nd 500</u> <u>3rd 750</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-12(c)(3)	1 st : AM44 2 nd : AMA9 3 rd : AMB1 Subs: AMB2	Charged fees not specified in license (Loader)		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-12(c)(3)	1 st : AM37 2 nd : AMB3 3 rd : AMB4 Subs: AMB5	Solicited or accepted unauthorized gratuities (Loader)		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-12(c)(5)	1 st : AM46 2 nd : AMB6 3 rd : AMB7 Subs: AMB8	Refused to perform loading services when space is available (Loader)		250	<u>2nd 500</u> <u>3rd 750</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-12(c)(6)	1 st : AM47 2 nd : AMB9 3 rd : AMC1 Subs: AMC2	Forced another to use or prevented another from using loading services (Loader)		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-12(c)(6)	1 st : AM16 2 nd : AMC3 3 rd : AMC4 Subs: AMC5	Solicited, threatened and/or agreed to refuse loading services (Loader).		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000

17 RCNY §12-12(c)(7)	1 st : AM48 2 nd : AMC6 3 rd : AMC7 Subs: AMC8	Moved or interfered with any vehicle, as per subsec. (Loader)		250	<u>2nd 500</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-12(c)(9)(xi)	1 st : AM49 2 nd : AMC9 Subs: AMD2	Loader Violate/Fail to comply w/ order/directive of Commission		500	<u>2nd 750</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-13(a)(b)	1 st : AM55 2 nd : AMD3 Subs: AMD5	Operated wholesale seafood or seafood delivery business without registration from Comm'r		2,500	<u>2nd 5,000</u> <u>Subs. 7,500</u>	10,000
17 RCNY §12-15(b)(2)	1 st : AM63 2 nd : AMD6 3 rd : AMD7 Subs: AMD8	Failed to affix and prominently display name and/or reg.number (Wholesaler)		250	<u>2nd 500</u> <u>3rd 750</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-15(c)(1)	1 st : AM64 2 nd : AMD9 3 rd : AME1 Subs: AME2	Failed to keep and/or make available records, bills, etc. as per subsec. (Wholesaler)		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-15(d)	1 st : AM18 2 nd : AME3 3 rd : AME4 Subs: AME5	Failed to submit proof of worker's comp. coverage as per subsec. (Wholesaler)		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000
17 RCNY §12-15(g)	1 st : AM66 2 nd : AME6 3 rd : AME7 Subs: AME8	Failed to procure/maintain payment bond (Wholesaler)		500	<u>2nd 750</u> <u>3rd 1,000</u> <u>Subs. 3,000</u>	10,000

17 RCNY §12-16(a)	1 st : AM80 2 nd : AME9 3 rd : AMF1 Subs: AMF2	Operated a seafood delivery operation in non-designated area (Deliverer)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-16(b)(1)	1 st : AM81 2 nd : AMF3 3 rd : AMF4 Subs: AMF5	Failed to possess valid driver's license as req by VTL (Deliverer)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-16(b)(2)	1 st : AM82 2 nd : AMF6 3 rd : AMF7 Subs: AMF8	Operated vehicle without registration, inspection sticker and/or insurance card (Deliverer)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-16(b)(2)	1 st : AM83 2 nd : AMF9 3 rd : AMG1 Subs: AMG2	Failed to display sticker and/or decal on vehicle, as per subsec. (Deliverer)		250	<u>2nd 500</u> <u>3rd 750</u> Subs. 1,000	10,000
17 RCNY §12-16(e)/(f)	1 st : AM65 2 nd : AMG3 3 rd : AMG4 Subs: AMG5	Failed to maintain required liability insurance (Wholesale/Deliverer)		500	<u>2nd 750</u> <u>3rd 1,000</u> Subs. 3,000	10,000
17 RCNY §12-16(c)	1 st : AM84 2 nd : AMG6 Subs: AMG8	Offered seafood for resale to public without wholesale registration (Deliverer)		1,500	<u>2nd 2,500</u> Subs. 5,000	10,000
17 RCNY §12-17(a)	1 st : AM33 2 nd : AMG9 Subs: AMH1	Failed to comply with order of market manager regarding safety/order/health in market area		1,500	<u>2nd 2,500</u> Subs. 5,000	10,000

17 RCNY §12-18(a)(1)	1 st : AMH2 2 nd : AMH4 Subs: AMH5	Committed an act prohibited in the seafood distribution area		1,000	<u>2nd 2,500</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-19	1 st : AMH6 2 nd : AMH7 Subs: AMH8	Committed act prohibited to wholesalers/deliverers in seafd dist area		1,500	<u>2nd 3,000</u> <u>Subs. 7,500</u>	10,000
17 RCNY §12-19(a)	1 st : AM67 2 nd : AMH9 3 rd : AMI1 Subs: AMI2	Solicited unloader to unload out of order (Wholesaler)		250	<u>2nd 500</u> <u>3rd 750</u> <u>Subs. 1,000</u>	10,000
17 RCNY §12-19(c)	1 st : AM69 2 nd : AMI3 Subs: AMI4	Authorized another to use business name (Wholesaler)		1,500	<u>2nd 3,000</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-19(c)	1 st : AM60 2 nd : AMG7 Subs: AMI6	Unauthorized transfer of registration number (Wholesaler)		1,000	<u>2nd 2,500</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-19(f)	1 st : AM72 2 nd : AMI7 Subs: AMI8	Conducted business under unregistered name (Wholesaler)		1,500	<u>2nd 3,000</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-19(d)	1 st : AM70 2 nd : AMI9 Subs: AMJ1	Authorized another to use registration number (Wholesaler)		1,500	<u>2nd 3,000</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-	1 st : AM71	Subleased or allowed use of premises			<u>2nd 3,000</u>	10,000

19(e)	2 nd : AMJ2 Subs: AMJ3	by unregistered person (Wholesaler)		1,500	<u>Subs. 5,000</u>	
17 RCNY §12-19(e)	1 st : AM62 2 nd : AMJ4 Subs: AMJ5	Allowed another to place seafood in stand space (Wholesaler)		1,000	<u>2nd 2,500</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-19(g)	1 st : AM73 2 nd : AMJ6 Subs: AMJ7	Discarded seafood contrary to requirements of subsec. (Wholesaler)		1,500	<u>2nd 3,000</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-19(o)	1 st : AM74 2 nd : AMJ8 Subs: AMJ9	Violated or failed to comply with any order or directive of the Commission (Wholesaler/Deliverer)		500	<u>2nd: 750</u> <u>Subs.: 1000</u>	10,000
17 RCNY §12-21(a)	1 st : AM56 2 nd : AMK1 3 rd : AMK2 Subs: AMK3	Failed to notify Comm'r of changes in registration information. (Wholesaler/Deliverer)		500	<u>2nd 1,000</u> <u>3rd 2,500</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-21(a)/(b)	1 st : AM14 2 nd : AMK4 3 rd : AMK5 Subs: AMK6	Failed to notify Comm'r of material change in license info. (Licensee)		1,000	<u>2nd 1,500</u> <u>3rd 2,500</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-21(c)	1 st : AM12 2 nd : AMK7 3 rd : AMK8 Subs: AMK9	Licensee failed to provide notice of addition of principal		1,000	<u>2nd 2,000</u> <u>3rd 3,000</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-21(C)	1 st : AM7B 2 nd : AML1	Failed to notify Comm'r of proposed addition of principal		1,000	<u>2nd 1,500</u> <u>3rd 2,500</u>	10,000

	3 rd : AML2 Subs: AML3				<u>Subs. 5,000</u>	
17 RCNY §12-23(c)	1 st : AM04 Subs.: AML4	Failed to surrender license upon suspension or revocation		2,500	<u>Subs. 5,000</u>	10,000
17 RCNY §12-23(c)	1 st : AM17 2 nd : AML5 Subs: AML6	Failed to surrender identification card upon suspension/revocation of registration (Wholesaler/Deliverer)		1,000	<u>2nd 2,500</u> <u>Subs. 5,000</u>	10,000
17 RCNY §12-23(d)	1 st : AM7C 2 nd : AML7 3rd: AML8	Failed to surrender photo identification as per subsec		1,000	<u>2nd 2,500</u> <u>3rd. 5,000</u>	10,000
17 RCNY §12-30(a)	1 st : AM90 2 nd : AML9 3rd: AMM1 Subs.: AMM2	Fail to obey/comply with traffic direction		250	<u>2nd 500</u> <u>3rd. 750</u> <u>Subs. : 1,000</u>	10,000
17 RCNY §12-30(b)	1 st : AM91 2 nd : AMM3 3rd: AMM4 Subs.: AMM5	Obstr traffic w/in or stopped vehicle/convey in non-designated place		250	<u>2nd 500</u> <u>3rd. 750</u> <u>Subs. : 1,000</u>	10,000
17 RCNY §12-30(c)	1 st : AM92 2 nd : AMM6 3rd: AMM7 Subs.: AMM8	Failure to remove disable vehicle as per subsec.		250	<u>2nd 500</u> <u>3rd. 750</u> <u>Subs. : 1,000</u>	10,000
17 RCNY §13-04(a)	1 st : AM93 2 nd : AMM9 Subs.: AMN1	Operated seafood wholesale business outside mkt w/o reg		2500	<u>2nd 5,000</u> <u>Subs. : 7,500</u>	10,000

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