

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
1 RCNY-Misc, RS-Misc	B179	Class 1	Miscellaneous violations.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
1 RCNY-Misc, RS-Misc	B279	Class 2	Miscellaneous violations.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
1 RCNY-Misc, RS-Misc	B379	Class 3	Miscellaneous violations.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500
1 RCNY 27-03	B159	Class 1	Prohibited sign on sidewalk shed or construction fence.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 9-01	B144	Class 1	Licensed Rigger designated an unqualified foreman.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
1 RCNY 9-01	B244	Class 2	Licensed Rigger designated an unqualified foreman.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
1 RCNY 9-03	B145	Class 1	Licensed Rigger failed to ensure scaffold worker met minimum req.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
1 RCNY 9-03	B245	Class 2	Licensed Rigger failed to ensure scaffold worker met minimum req.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
27-185 & BC 3007.1	B262	Class 2	Operation of an elevator without equipment use permit or service equipment Certificate of Compliance.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-228.5	B242	Class 2	Failure to file an Architect/Engineer report certifying exit/directional signs are connected to emergency power source/storage battery equipment.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
27-369 & BC 1020.2	B127	Class 1	Failure to provide unobstructed exit passageway.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000
27-371 & BC 715.3.7	B252	Class 2	Exit door not self-closing.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-382 & BC 1006.3	B237	Class 2	Failure to provide power for emergency exit lighting.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-383(b) & BC 403.16	B134	Class 1	Failure to install photoluminescent exit path marking in a high-rise building.	No	No	\$4,800	Yes	\$24,000	\$12,000	\$25,000	\$24,000
27-391 & BC 3002.3	B234	Class 2	Emergency signs at elevator call stations missing, defective or non-compliant with section requirements.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-393 & BC 1019.1.7	B235	Class 2	Stair identification signs missing and/or defective.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-509 & BC 3111.1	B307	Class 3	Fence exceeds permitted height.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
27-528 & BC 1024.1.3	B219	Class 2	Approved Place of Assembly plans not available for inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-901(z)(1) & PC 301.6	B270	Class 2	Piping installed in elevator/counterweight hoistway.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
27-904 & FGC 406.6.2	B156	Class 1	Gas being supplied to building without inspection and certification by DOB.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
27-904 & FGC 406.6.2	B256	Class 2	Gas being supplied to building without inspection and certification by DOB.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-921(a) & PC 107.3	B158	Class 1	Failure to have new or altered plumbing system tested.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
27-921(a) & PC 107.3	B258	Class 2	Failure to have new or altered plumbing system tested.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-972(h) & BC 907.2.12.3	B240	Class 2	Failure to install an acceptable two-way voice communication system with central station connection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
27-Misc, 28-Misc, BC –Misc	B106	Class 1	Miscellaneous violations.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
27-Misc, 28-Misc, BC –Misc	B206	Class 2	Miscellaneous violations.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
27-Misc, 28-Misc, BC -Misc	B306	Class 3	Miscellaneous violations.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500
28-104.2.2	B210	Class 2	Failure to provide approved/accepted plans at job site at time of inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-105.1	B250	Class 2	Failed to obtain a temporary construction permit prior to installation/use of sidewalk shed.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-105.1	B101	Class 1	Work without a permit.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
28-105.1	B201	Class 2	Work without a permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-105.1	B301	Class 3	Work without a permit.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
28-105.1	B207	Class 2	Work without a permit: Expired permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-105.1	B113	Class 1	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
28-105.1	B213	Class 2	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500
28-105.1	B121	Class 1	Demolition work without required demolition permit	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000
28-105.1	B157	Class 1	Plumbing work without a permit in manufacturing district for residential use.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
28-105.1	B257	Class 2	Plumbing work without a permit in manufacturing district for residential use.	No	Yes	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-105.1	B274	Class 2	Outdoor sign on display structure without a permit.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000
28-105.1	B160	Class 1	Outdoor Ad Co sign on display structure without a permit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
28-105.12.2	B282	Class 2	Work does not conform to approved construction documents and/or approved amendments.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-105.12.2	B382	Class 3	Work does not conform to approved construction documents and/or approved amendments.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
28-105.12.2	B114	Class 1	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000
28-105.12.2	B214	Class 2	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000
28-105.12.2	B125	Class 1	Place of Assembly contrary to approved construction documents.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-105.12.2	B225	Class 2	Place of Assembly contrary to approved construction documents.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-105.12.2	B161	Class 1	Outdoor Ad Co sign is contrary compliance with construction documents.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
28-117.1	B122	Class 1	Operation of a Place of Assembly without a current Certificate of Operation.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
28-117.1	B222	Class 2	Operation of a Place of Assembly without a current Certificate of Operation.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-118.2	B107	Class 1	New building or open lot occupied without a valid certificate of occupancy.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-118.3	B108	Class 1	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-118.3	B208	Class 2	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-118.3	B124	Class 1	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-118.3	B224	Class 2	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-118.3.2	B103	Class 1	Occupancy contrary to that allowed by the Certificate of Occupancy or Building Department records.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
28-118.3.2	B203	Class 2	Occupancy contrary to that allowed by the Certificate of Occupancy or Building Department records.	Yes	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000
28-118.3.2	B303	Class 3	Occupancy contrary to that allowed by the Certificate of Occupancy or Building Department records.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500
28-202.1	B172	Class 1	Additional daily penalty for Class 1 violation of 28-210.1 - 1 or 2 family converted to greater than 4 family.	No	No	1,000/day	No	\$25,000	NA	NA	NA
28-202.1	B298	Class 2	Additional monthly penalty for continued violation of 28-210.1	No	No	\$250/month	No	\$10,000	NA	NA	NA
28-202.1	B299	Class 1	Additional daily civil penalties for continued violations.	No	No	\$1,000/day	No	\$25,000	NA	NA	NA
28-202.1	B273	Class 2	Additional monthly civil penalties for continued violations.	No	No	\$250/month	No	\$10,000	NA	NA	NA
28-202.1	B297	Class 2	Additional monthly penalty for continued violation of 28-210.2	No	No	\$250/month	No	\$10,000	NA	NA	NA
28-204.4	B263	Class 2	Failure to comply with the commissioner's order to file a certificate of correction with the Department of Buildings.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-207.2.2	B112	Class 1	Unlawfully continued work while on notice of a stop work order.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000
28-210.1	B105	Class 1	Residence altered for occupancy as a dwelling from 1 or 2 families to greater than 4 families.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
28-210.1	B200	Class 2	Residence altered for occupancy as a dwelling for more than the legally approved number of families	No	No	\$1,200	No	\$6,000	\$3,000	\$10,000	\$6,000

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-210.2	B216	Class 2	Maintain or permit conversion of industrial/manufacturing bldg to residential use w/out C of O/code compliance	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000
28-210.2	B271	Class 2	Plumbing work contrary to approved app'n/plans that assists/maintains convers'n of indust/manuf occupancy for resid use	No	Yes	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500
28-211.1	B153	Class 1	Filed a certificate, form, application etc., containing a material false statement(s).	No	No	\$4,800	Yes	\$24,000	\$12,000	\$25,000	\$24,000
28-301.1	B102	Class 1	Failure to maintain building in code-compliant manner.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B202	Class 2	Failure to maintain building in code-compliant manner.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B302	Class 3	Failure to maintain building in code-compliant manner.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
28-301.1	B126	Class 1	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B226	Class 2	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B132	Class 1	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1;27-381.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B232	Class 2	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1;27-381.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B133	Class 1	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7;27-392	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B233	Class 2	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7;27-392	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-301.1	B136	Class 1	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1;27-383.1.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
28-301.1	B236	Class 2	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1;27-383.1.	Yes	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000
28-301.1	B137	Class 1	Failure to maintain building in code-compliant manner: lack of emergency power or storage battery connection to exit signs per BC 1011.5.3; 27-384 (c).	No	No	\$4,800	Yes	\$24,000	\$12,000	\$25,000	\$24,000
28-301.1	B138	Class 1	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B238	Class 2	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B249	Class 2	Failure to maintain building in code-compliant manner: failure to provide non-combustible proscenium curtain per BC410.3.5; 27-546.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B139	Class 1	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B239	Class 2	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B140	Class 1	Failure to maintain building in code-compliant manner: Improper exit/exit access doorway arrangement per BC 1014.2;27-361.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000
28-301.1	B151	Class 1	Failure to maintain building in code-compliant manner: service equipment – elevator per BC 3001.2;27-987.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-301.1	B251	Class 2	Failure to maintain building in code-compliant manner: service equipment – elevator per BC 3001.2;27-987.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B351	Class 3	Failure to maintain building in code-compliant manner: service equipment – elevator per BC 3001.2;27-987.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
28-301.1	B154	Class 1	Failure to maintain building in code-compliant manner: service equipment – boiler.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B254	Class 2	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B354	Class 3	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
28-301.1	B155	Class 1	Failure to maintain building in code-compliant manner: lack of a system of	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-301.1	B255	Class 2	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B266	Class 2	Fail to maintain building in code-compliant manner re: installation/maintenance of plumbing materials/ equipment per PC102.3;27-902.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B267	Class 2	Failure to maintain building in code-compliant manner: Gas vent reduced or undersized as per FGC 504.2;27-887.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B268	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for water supply system per PC 602.3;27-908(c).	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B269	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for drainage system per PC 702.1;27-911.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-301.1	B273	Class 2	Failure to maintain building in code-compliant manner: Plumbing fixture(s) not trapped and/or vented per PC 916.1 & PC 1002.1; 27-901(o).	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-301.1	B163	Class 1	Failure to maintain building in code-compliant manner: Misc sign violation by Outdoor Ad Co as per 27-498 through 27-508 & BC H103.1.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
28-301.1	B278	Class 2	Failure to maintain sign in accordance w Tit.27;Tit.28;ZR;RCNY	No	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-302.1	B104	Class 1	Failure to maintain building wall(s) or appurtenances.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
28-302.1	B204	Class 2	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-302.1	B304	Class 3	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500
28-302.4	B230	Class 2	Failure to submit a required report of critical examination documenting condition of exterior wall and appurtenances.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-302.5	B227	Class 2	Failure to file an amended report acceptable to this Department indicating correction of unsafe conditions.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-303.7	B265	Class 2	Failure to file a complete boiler inspection report	No	No	\$500	No	\$2,500	\$1,250	\$5,000	\$2,500
28-401.16	B264	Class 2	Held self out as licensed, certified, registered etc., to perform work requiring a DOB license w/o obtaining such license.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-401.9	B147	Class 1	Failure to file evidence of liability &/or property damage insurance.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
28-401.9	B148	Class 1	Failure to file evidence of compliance with Workers Comp, law and/or disability benefits law.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250
28-404.1	B141	Class 1	Supervision or use of rigging equipment without a Rigger's license.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000
28-404.4.1	B259	Class 2	Licensed Master/Special Rigger failed to place appropriate "Danger" sign while using rigging equipment.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-405.1	B143	Class 1	Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
28-405.1	B243	Class 2	Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
28-408.1	B272	Class 2	Performing unlicensed plumbing work without a master plumber license.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
28-502.6	B162	Class 1	Misc sign viol'n by outdoor ad co of Tit.27;Tit.28;ZR;or BC	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
BC 1016.2	B221	Class 2	Failure to maintain building in code-compliant manner: provide required corridor width per BC 1016.2;27-369	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
BC 3010.1 & 27-1006	B152	Class 1	Failure to promptly report an elevator accident involving personal injury requiring the services of a physician or damage to property.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
BC 3301.2 & 27-1009(a)	B109	Class 1	Failure to safeguard all persons and property affected by construction operations.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
BC 3301.2 & 27-1009(a)	B209	Class 2	Failure to safeguard all persons and property affected by construction operations.	No	No	\$1,200	No	\$6,000	\$3,000	\$10,000	\$6,000
BC 3301.2 & 27-1009(a)	B115	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No guard rails	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000
BC 3301.2 & 27-1009(a)	B118	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No handrails.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
BC 3301.2 & 27-1009(a)	B120	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No handrails.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
BC 3301.9 & 27-1009 (c)	B217	Class 2	Failure to provide/post sign(s) at job site pursuant to subsection.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
BC 3303.3 & 27-1020	B218	Class 2	Failure to post D.O.T. permit for street/sidewalk closing.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500
BC 3303.4 & 27-1018	B212	Class 2	Failure to maintain adequate housekeeping per section requirements.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
BC 3304.3 & 1 RCNY 52-01(a)	B111	Class 1	Failure to notify the Department prior to the commencement of earthwork.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000
BC 3304.3 & 1 RCNY 52-01(b)	B215	Class 2	Failure to notify the Department prior to the cancellation of earthwork .	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
BC 3304.4 & 27-1032	B110	Class 1	Failure to provide protection at sides of excavation.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
BC 3306 & 27-1039	B117	Class 1	Failure to carry out demolition operations as required by section.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000
BC 3306.2.1	B176	Class 1	Failure to provide safety zone for demolition operations.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
BC 3306.3& 27-195	B116	Class 1	Failure to provide required notification prior to the commencement of demolition.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000
BC 3306.5	B175	Class 1	Mechanical demolition without plans on site.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
BC 3307.3.1& 27-1021(a)	B131	Class 1	Failure to provide sidewalk shed where required.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000
BC 3307.6 & 27-1021	B223	Class 2	Sidewalk shed does not meet code specifications.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000
BC 3307.7 & 27-1021(c)	B211	Class 2	Job site fence not constructed pursuant to subsection.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
BC 3309.4 & 27-1031	B123	Class 1	Failure to protect adjoining structures during excavation operations.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
BC 3310.5 & 27-1009(d)	B119	Class 1	Failure to have Site Safety Manager or Coordinator present as required.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000
BC 3314.2 & 27-1042	B130	Class 1	Erected or installed supported scaffold 40 feet or higher without a permit.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000
BC 3314.1.1 & 27-1050.1	B261	Class 2	Failed to notify Department prior to use/inst. off C-hooks/outrigger beams in connection with Suspended Scaffold	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
BC 3314.4.3.1 & 27-1045	B149	Class 1	Failure to perform safe/proper inspection of suspended scaffold.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000
BC 3314.4.3.1 & 27-1045(b)	B150	Class 1	No record of daily inspection of Suspended Scaffold performed by authorized person at site.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
BC 3314.4.5 & 26-204.1 (a)	B129	Class 1	Erected, dismantled repaired, maintained, modified or removed supported scaffold without a scaffold certificate of completion.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000
BC 3314.4.5 & 26-204.1 (a)	B229	Class 2	Erected, dismantled repaired, maintained, modified or removed supported scaffold without a scaffold certificate of completion.	No	No	\$1,200	No	\$6,000	\$3,000	\$10,000	\$6,000
BC 3314.4.6 & 26-204.1 (c)	B128	Class 1	Use of supported scaffold without a scaffold user certificate.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
BC 3314.4.6 & 26-204.1 (c)	B228	Class 2	Use of supported scaffold without a scaffold user certificate.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
BC 3314.6.3 & 27-1009	B146	Class 1	Failure to provide/use lifeline while working on scaffold.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
BC 3314.6.3 & 27-1009	B246	Class 2	Failure to provide/use lifeline while working on scaffold.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
BC 3316.2 & BC 3319.1& 27-1054	B142	Class 1	Inadequate safety measures: Oper'n of crane/ derrick/hoisting equip in unsafe manner)	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000
BC 3319.3	B177	Class 1	Operation of a crane/derrick without a Certificate of Operation.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000
BC 3319.3 & 27-1057(b)	B260	Class 2	Operation of crane/derrick without Certificate of Approval/Certificate of Operation.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000
BC 3319.3 & 27-1057(d)	B253	Class 2	Operation of a crane/derrick without a Certificate of Onsite Inspection.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000
PC-Misc, FGC-Misc, MC-Misc	B180	Class 1	Miscellaneous violations.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000
PC-Misc, FGC-Misc, MC-Misc	B280	Class 2	Miscellaneous violations.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
PC-Misc, FGC-Misc, MC-Misc	B380	Class 3	Miscellaneous violations.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500
RS 6-1	B135	Class 1	Failure to file affidavits and/or comply with other requirements set forth for photoluminescent exit path marking.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000
ZR 42-543	B170	Class 1	Outdoor Ad Co sign in M Dist exceeds height limit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-00	B205	Class 2	Illegal use in residential district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
ZR 22-32	B164	Class 1	Outdoor Ad Co has impermissible advertising sign in an R Dist.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-342	B168	Class 1	Outdoor Ad Co sign in R Dist exceeds height limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 25-41	B283	Class 2	Violation of parking regulations in a residential district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
ZR 25-41	B383	Class 3	Violation of parking regulations in a residential district.	Yes	No	\$300	Yes	\$500	\$500	\$500	\$500
ZR 32-00	B247	Class 2	Illegal use in a commercial district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
ZR 32-63	B165	Class 1	Outdoor Ad Co advertising sign not permitted in specified C Dist.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-64	B276	Class 2	Sign(s) in specified C Dist exceed(s) surface area restrictions.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000

DOB Eff 01-08-09

Section of Law	ECB Computer Code	Class	Violation Description	Cure	Stipulation	Standard Penalty	Mitigated Penalty	Default Penalty	Aggravated I Penalty	Aggravated I Default Penalty	Aggravated II Penalty
ZR 32-64	B166	Class 1	Outdoor Ad Co sign(s) in specified C Dist exceed surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-652	B277	Class 2	Sign in specified C Dist extends beyond street line limitation.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000
ZR 32-653	B275	Class 2	Prohibited sign on awning, canopy, or marquee in C Dist.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000
ZR 32-655	B169	Class 1	Outdoor Ad Co sign exceeds permitted height for specified C Dist.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 42-00	B248	Class 2	Illegal use in a manufacturing district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
ZR 42-52	B171	Class 1	Outdoor Ad Sign not permitted in M Dist.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR 42-53	B167	Class 1	Outdoor Ad sign in M Dist exceeds surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR-Misc	B284	Class 2	Miscellaneous violations of the Zoning Resolution.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000
ZR-Misc	B384	Class 3	Miscellaneous violations of the Zoning Resolution.	Yes	No	\$300	Yes	\$500	\$500	\$500	\$500
ZR-Misc.	B178	Class 1	Misc sign violation under the Zoning Resolution by an Outdoor Ad Co	No	Yes	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000
ZR-Misc.	B281	Class 2	Misc sign violation under the Zoning Resolution	No	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000

Aggravated II Default – Max Penalty
\$25,000
\$10,000
\$500
\$25,000
\$25,000
\$10,000
\$25,000
\$10,000
\$10,000
\$10,000
\$25,000
\$10,000
\$10,000
\$25,000
\$10,000
\$10,000
\$500
\$10,000
\$10,000

Aggravated II Default – Max Penalty
\$25,000
\$10,000
\$25,000
\$10,000
\$10,000
\$25,000
\$10,000
\$500
\$10,000
\$10,000
\$25,000
\$10,000
\$500
\$10,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000

Aggravated II Default – Max Penalty
\$10,000
\$25,000
\$10,000
\$500
\$25,000
\$10,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000

Aggravated II Default – Max Penalty
\$25,000
\$10,000
\$25,000
\$10,000
\$500
NA
\$10,000
\$25,000
\$25,000
\$10,000

Aggravated II Default – Max Penalty
\$10,000
\$10,000
\$25,000
\$25,000
\$10,000
\$500
\$25,000
\$10,000
\$25,000
\$10,000
\$25,000
\$10,000

Aggravated II Default – Max Penalty
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000
\$10,000
\$25,000
\$10,000
\$25,000
\$25,000

Aggravated II Default – Max Penalty
\$25,000
\$10,000
\$25,000
\$10,000
\$500
\$10,000
\$10,000
\$10,000
\$10,000
\$25,000
\$25,000
\$25,000
\$10,000
\$25,000

Aggravated II Default – Max Penalty
\$10,000
\$10,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000
\$25,000
\$25,000
\$25,000
\$10,000
\$10,000
\$10,000
\$25,000
\$10,000

Aggravated II Default – Max Penalty
\$25,000
\$25,000
\$25,000
\$25,000
\$25,000
\$25,000
\$10,000
\$10,000
\$25,000
\$25,000
\$25,000
\$10,000
\$25,000
\$25,000
\$25,000
\$10,000
\$25,000

Aggravated II Default – Max Penalty
\$10,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000
\$10,000
\$25,000
\$10,000
\$500
\$25,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000
\$500
\$10,000
\$25,000
\$10,000

Aggravated II Default – Max Penalty
\$25,000
\$10,000
\$10,000
\$25,000
\$10,000
\$25,000
\$25,000
\$10,000
\$500
\$25,000
\$10,000