

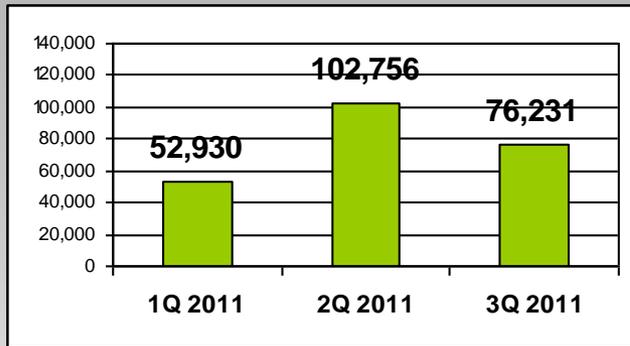


# Quarterly Review for Board Members

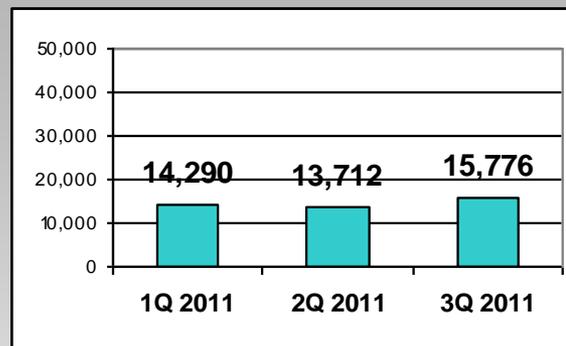
(3Q CY2011)

# Violations Received by ECB Trend for CY2011

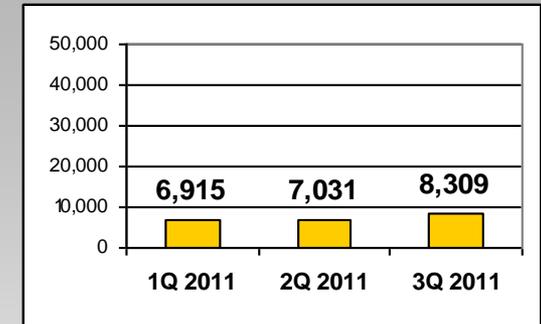
**DSNY** (26% decrease)



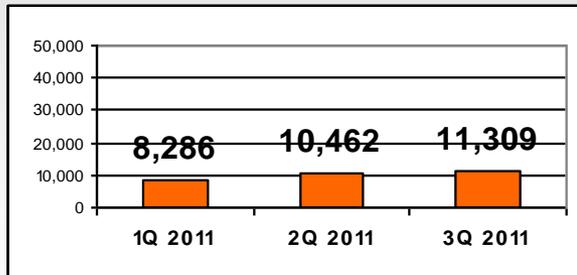
**DOB** (15% increase)



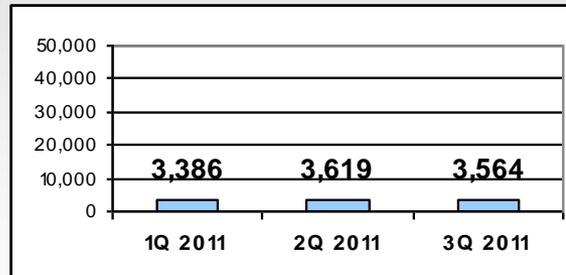
**DOT** (18% increase)



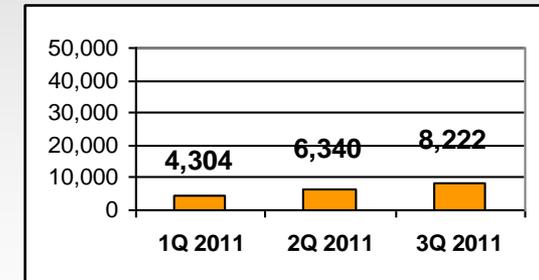
**FDNY** (8% increase)



**DEP** (2% decrease)



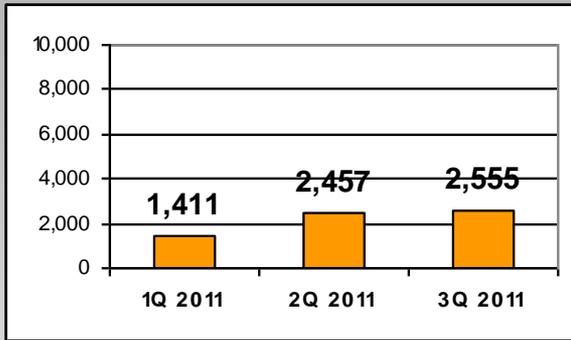
**DOHMH** (30% increase)



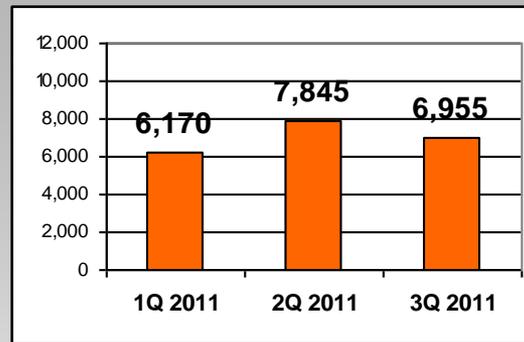
\*1Q (Jan-Mar 2011); 2Q (Apr-Jun 2011); 3Q (Jul-Sept 2011); % = 2Q 2011 vs 3Q 2011

# Violations Received by ECB Trend for CY2011

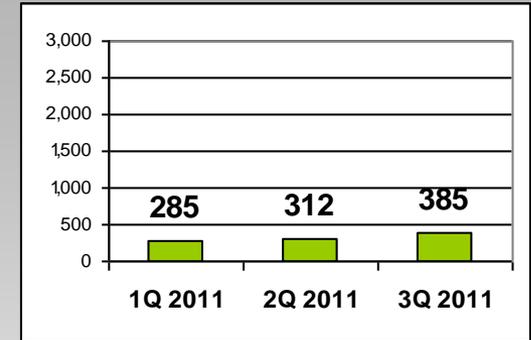
**DPR** (4% increase)



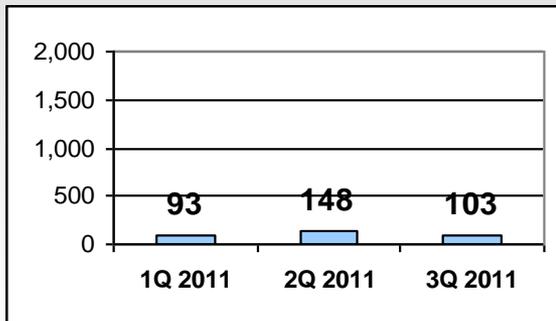
**NYPD** (11% decrease)



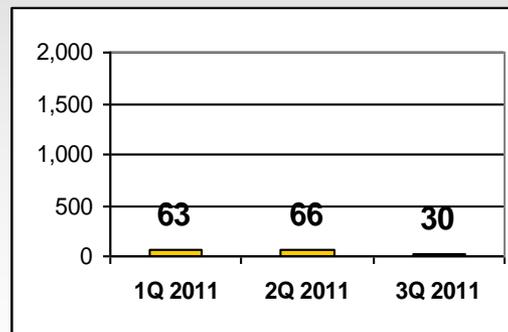
**DOITT** (23% increase)



**BIC** (30% decrease)



**LPC** (55% decrease)



Agency Group	3Q FY2011 vs 4Q FY2011 (% change)
DSNY	-26%
DOB	15%
DOT	18%
FDNY	8%
DEP	-2%
DOHMH	30%
DPR	4%
NYPD	-11%
DOITT	23%
BIC	-30%
LPC	-55%

\*1Q (Jan-Mar 2011); 2Q (Apr-Jun 2011); 3Q (Jul-Sept 2011); % = 2Q 2011 vs 3Q 2011

# Violations Received by ECB Comparison

<b>Agency</b>	<b>3Q CY2010 (July-Sept)</b>	<b>3Q CY2011 (July-Sept)</b>
<b>DSNY</b>	<b>108,486</b>	<b>76,231</b>
<b>DOB</b>	<b>20,221</b>	<b>15,776</b>
<b>FDNY</b>	<b>11,267</b>	<b>11,309</b>
<b>NYPD</b>	<b>7,496</b>	<b>6,955</b>
<b>DOT</b>	<b>7,331</b>	<b>8,309</b>
<b>DEP</b>	<b>4,805</b>	<b>3,564</b>
<b>DPR</b>	<b>3,529</b>	<b>2,555</b>
<b>DOHMH</b>	<b>2,661</b>	<b>8,222</b>
<b>DOITT</b>	<b>307</b>	<b>385</b>
<b>Agency Code Missing</b>	<b>161</b>	<b>113</b>
<b>Misc</b>	<b>84</b>	<b>112</b>
<b>LPC</b>	<b>53</b>	<b>30</b>
<b>BIC</b>	<b>47</b>	<b>103</b>
<b>DCA</b>	<b>8</b>	<b>16</b>
<b>Total</b>	<b>166,456</b>	<b>133,680</b>

# Total Violations Heard

<b>Agency</b>	<b>3Q CY2010 (July-Sept)</b>	<b>3Q CY2011 (July-Sept)</b>
<b>DSNY</b>	<b>26,550</b>	<b>34,944</b>
<b>DOB</b>	<b>21,203</b>	<b>21,056</b>
<b>DOT</b>	<b>7,277</b>	<b>2,679</b>
<b>NYPD</b>	<b>6,676</b>	<b>4,421</b>
<b>DEP</b>	<b>3,240</b>	<b>3,040</b>
<b>FDNY</b>	<b>2,992</b>	<b>3,623</b>
<b>DOHMH</b>	<b>2,231</b>	<b>3,530</b>
<b>Misc</b>	<b>1,092</b>	<b>557</b>
<b>DPR</b>	<b>496</b>	<b>777</b>
<b>DOITT</b>	<b>324</b>	<b>319</b>
<b>Agency Code Missing</b>	<b>48</b>	<b>39</b>
<b>LPC</b>	<b>28</b>	<b>17</b>
<b>BIC</b>	<b>12</b>	<b>25</b>
<b>DCA</b>	<b>5</b>	<b>0</b>
<b>Total</b>	<b>72,174</b>	<b>75,027</b>

# Decisions Rendered

<b>Agency</b>	<b>3Q CY2010 (July-Sept)</b>	<b>3Q CY2011 (July-Sept)</b>
<b>DSNY</b>	<b>26,761</b>	<b>15,451</b>
<b>DOB</b>	<b>12,005</b>	<b>12,706</b>
<b>NYPD</b>	<b>3,931</b>	<b>3,382</b>
<b>DOT</b>	<b>2,971</b>	<b>1,866</b>
<b>FDNY</b>	<b>2,121</b>	<b>2,760</b>
<b>DEP</b>	<b>1,989</b>	<b>1,883</b>
<b>DOHMH</b>	<b>1,881</b>	<b>2,897</b>
<b>DPR</b>	<b>553</b>	<b>681</b>
<b>DOITT</b>	<b>198</b>	<b>141</b>
<b>Agency Code Missing</b>	<b>36</b>	<b>32</b>
<b>LPC</b>	<b>24</b>	<b>8</b>
<b>Misc</b>	<b>14</b>	<b>79</b>
<b>BIC</b>	<b>9</b>	<b>21</b>
<b>DCA</b>	<b>1</b>	<b>0</b>
<b>Total</b>	<b>52,494</b>	<b>41,907</b>

# Hearing to Decision

(Elapsed Time from Last Hearing to Decision Date)

CY2011

	1Q CY2011 (Jan-Mar 2011)	2Q CY2011 (Apr-Jun 2011)	3Q CY2011 (Jul-Sept 2011)
< 3 mo	98.4%	99.8%	99.6%
3 to 6 mo	0.9%	0.1%	0.4%
6 to 12 mo	0.6%	0.0%	0.0%
> 12 mo	0.1%	0.1%	0.0%

	3Q CY2010 (July-Sept 2010)	3Q CY2011 (July-Sept 2011)
< 3 mo	94.8%	99.6%
3 to 6 mo	1.4%	0.4%
6 to 12 mo	3.0%	0.0%
> 12 mo	0.8%	0.0%

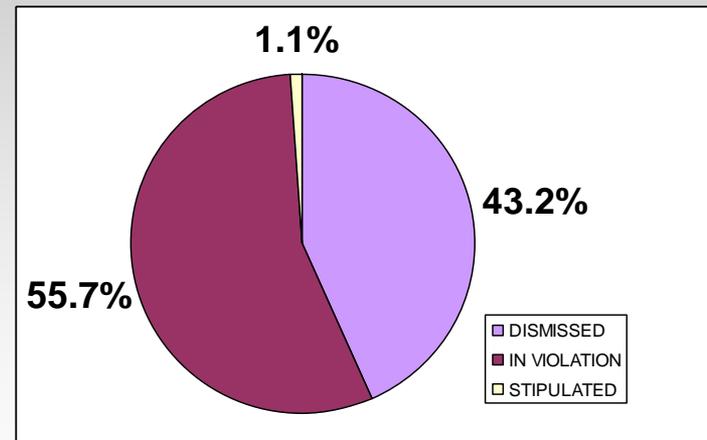
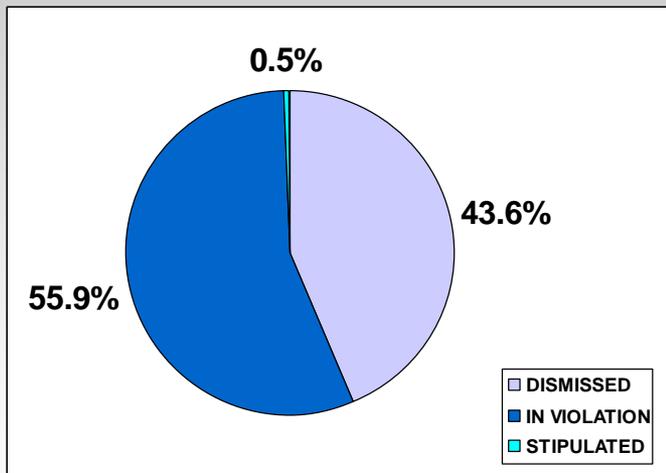
# Decisions Rendered after Hearings

3Q CY2010 vs 3Q CY2011

(In Violation/Dismissed/Stipulated)

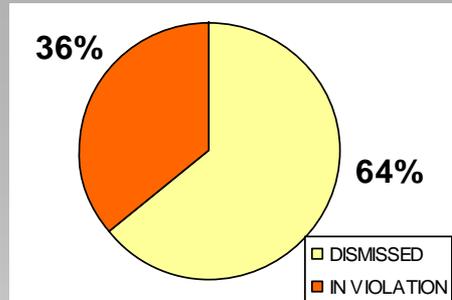
	3Q CY2010 (July-Sept 2010)
DISMISSED	22,871
IN VIOLATION	29,336
STIPULATED	287
TOTAL	52,494

	3Q CY2011 (July-Sept 2010)
DISMISSED	18,122
IN VIOLATION	23,327
STIPULATED	458
TOTAL	41,907

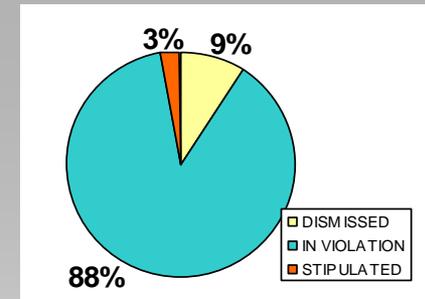


# Decisions Rendered after Hearings (By Agency – 3Q CY2011)

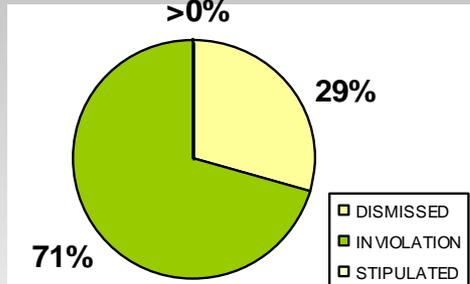
DSNY	3Q CY2011
DISMISSED	9,881
IN VIOLATION	5,570
TOTAL	15,451



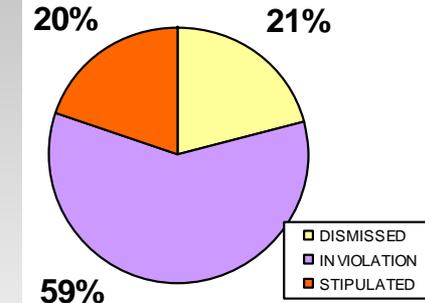
FDNY	3Q CY2011
DISMISSED	253
IN VIOLATION	2,433
STIPULATED	74
TOTAL	2,760



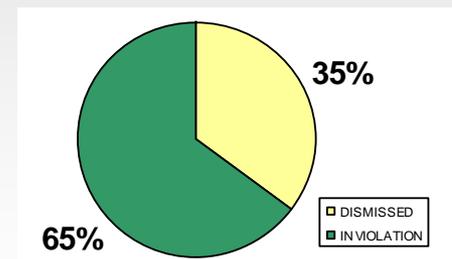
DOB	3Q CY2011
DISMISSED	3,575
IN VIOLATION	9,121
STIPULATED	10
TOTAL	12,706



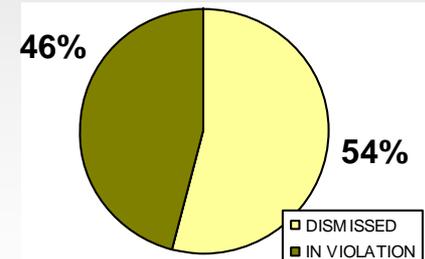
DEP	3Q CY2011
DISMISSED	398
IN VIOLATION	1,112
STIPULATED	373
TOTAL	1,883



DOT	3Q CY2011
DISMISSED	658
IN VIOLATION	1,208
TOTAL	1,866



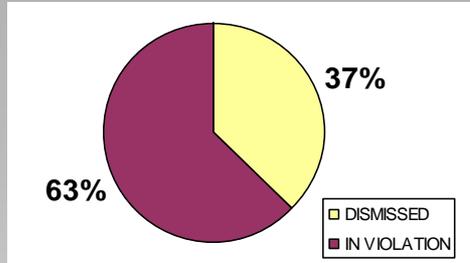
NYPD	3Q CY2011
DISMISSED	1,824
IN VIOLATION	1,558
TOTAL	3,382



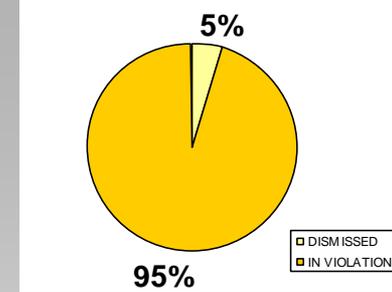
\*July, August & September 2011

# Decisions Rendered after Hearings (By Agency – 3Q CY2011)

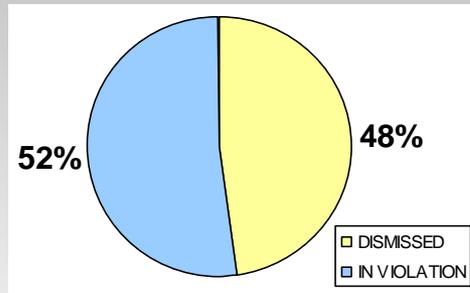
<b>DOHMH</b>	<b>3Q CY2011</b>
DISMISSED	1,078
IN VIOLATION	1,819
<b>TOTAL</b>	<b>2,897</b>



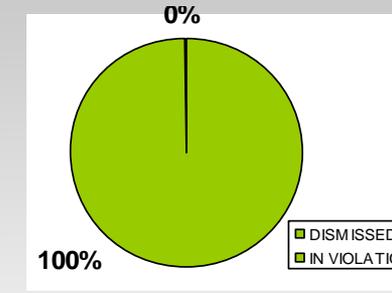
<b>BIC</b>	<b>3Q CY2011</b>
DISMISSED	1
IN VIOLATION	20
<b>TOTAL</b>	<b>21</b>



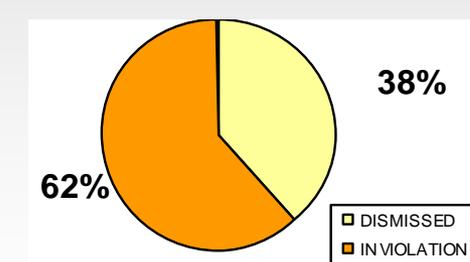
<b>DPR</b>	<b>3Q CY2011</b>
DISMISSED	326
IN VIOLATION	355
<b>TOTAL</b>	<b>681</b>



<b>LPC</b>	<b>3Q CY2011</b>
DISMISSED	0
IN VIOLATION	8
<b>TOTAL</b>	<b>8</b>



<b>DOITT</b>	<b>3Q CY2011</b>
DISMISSED	54
IN VIOLATION	87
<b>TOTAL</b>	<b>141</b>



\*July, Aug & September 2011

# Most Commonly Issued Violations By Agency (3Q CY2011)

<b>DOT</b>
FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS
USE/OPENING OF STREET W/O PERMIT
STREET CLOSING WITHOUT PERMIT

<b>DOB</b>
UNLAWFUL ACTS.FAILURE TO COMPLY WITH AN ORDER OF THE COMMISSIONER
FAIL MAINTAIN BLDG IN COMPLIANT MANNER: SERVICE EQUIPMENT
WORK WITHOUT A PERMIT

<b>NYPD</b>
IN BUS STOP, OR 10 FT. OF DRIVE, SUBWAY, CROSSWALK, ETC.
ITEMS NOT IN OR UNDER VEHICLE (EXCEPT WASTE CONTAINER)
FAIL TO DISPLAY LICENSE AND/OR PLATE

<b>DSNY</b>
ILLEGAL POSTING OF HANDBILL/NOTICE
IMPROPER DISPOSAL BEDDING 1ST OFFENSE
DIRTY SIDEWALK/DIRTY AREA

\*July, August & September 2011

# Most Commonly Issued Violations By Agency (3Q CY2011)

DEP
OPERATING EMISSION SOURCE WITH EXPIRED REGISTRATION
FAILED TO FILE A COMPLETED FACILITY INVENTORY FORM
IMPROPER SETTING OF METER

DOHMH
FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO RODENT-DEBRIS, VEGITATION 1ST
FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO PESTS, GARBAGE CANS/SPILLAGE

FDNY
PERMITS
INSPECTION AND TESTING
GENERAL MAINTENANCE

LPC
WORK W/O OR VIOL. OF CERT. OF APPROP/NO EFFECT-ALT EXT ARCHIT FEAT. TYPE A
WORK W/O OR VIOL OF CERT. OF APPROP/NO EFFECT (TYPE C) FLAG, SIGN, BANR, AWNG
WORK W/O OR VIOL OF PERMIT FOR MINOR WORK-ALTER EXT ARCHIT FEAT-TYPE A

\*July, August & September 2011

# Most Commonly Issued Violations By Agency (3Q CY2011)

DOITT
FAILURE TO PROVIDE WORKING PUBLIC PAY TELEPHONE & OPERATOR SERVICES
FAILED TO CLEAN/MAINTAIN PUBLIC PAY TELEPHONE AS PER REQMNTS OF SUBSEC
REQUIRED SIGN MISSING/IMPERMISSIBLE AS PER REQUIREMENTS OF SUBSECTION

BIC
IDLING OF MOTOR VEHICLE ENGINE OVER THREE MINUTES (DIESEL FUEL)
FAILURE TO REGISTER WHOLSALE AND/OR MARKET BUSINESS, AS PER SUBSE.
CHARGED RATES IN EXCESS OF THOSE SPECIFIED IN UNLOADING LICENSE(UNLOAD

DPR
UNAUTHORIZED VENDING
FAILURE TO COMPLY WITH PEDICAB RESTRICTIONS
UNAUTHORIZED CONSUMPTION/POSSESSION OF ALCOHOLIC BEVERAGE

\*July, August & September 2011

# Appealed Violations by Issuing Agency (3Q CY2011)

Issuing Agency	# of Violations
DOB	650
DSNY	252
DOHMH	92
FDNY	68
DEP	41
DOT	40
NYPD	31
DPR	12
LPC	4
DOITT	2
Misc Agencies	1
BIC	-
<b>Total</b>	<b>1193</b>

\*July, August & September 2011

# Defaults (3Q CY2011)

**Total # of Defaults** (Jul-Sept 2011) =

**69,169**

<b>Issuing Agency</b>	<b># of Defaults</b>
<b>DSNY</b>	<b>39,798</b>
<b>DOB</b>	<b>5,989</b>
<b>DOT</b>	<b>4,769</b>

<b>Most Commonly Issued Violations</b>
ILLEGAL POSTING OF HANDBILL/NOTICE
DIRTY SIDEWALK- 2ND OFFENSE
IMPROPER DISPOSAL BEDDING 1ST OFFENSE