

§ 3-108 FULTON FISH MARKET/OTHER SEAFOOD MARKETS/PUBLIC WHOLESALE MARKETS VIOLATIONS

Note: All penalties are in dollar amounts.

Repeat penalties apply for violations of the same subsection penalty schedule description.

2nd, 3rd, 4th, 5th and subsequent (subs.) violations are defined as a violation by the same respondent with a date of occurrence within five years of the date of occurrence of the previous violation.

***Mail-in penalties are only available for a first offense. Where a mail-in penalty exists in this penalty schedule for the cited violation, a respondent may admit to the violation charged and pay the penalty by mail in the manner and time directed by the notice of violation. Payment in full is deemed an admission of liability and no further hearing or appeal will be allowed.**

Pursuant to 48 RCNY section 3-81 (b), where respondent is permitted to admit and pay by mail but fails to do so before the original hearing date written on the violation, the respondent will have the option of paying the mail in penalty, plus a late admit fee of \$30.00, within 30 days of the mailing date of the default order issued against respondent.

TITLE 17 RCNY CHAPTER 2 SUBCHAPTER A: PUBLIC WHOLESALE MARKETS

CODE/RULE SECTION	DESCRIPTION	1 st OFFENSE			DEFAULT PENALT Y
		MAIL-IN PENALT Y	REPEAT PENALTY	REPEAT PENALTY	
17 RCNY §11-06(a)* 1 st : AM2E 2 nd : AM3E 3 rd : AM3F 4 th : AM3G Subs.: AM3H	Failed to obtain identification card as per subsec.	500	750	2 nd <u>1,000</u> 3 rd <u>2,500</u> 4 th <u>5,000</u> Subs. <u>10,000</u>	10,000

17 RCNY §11-06(c)* 1 st : AM3I 2 nd : AM3J 3 rd : AM3K 4 th : AM3L 5 th : AM7D Subs.: AM3M	Failed to produce ID card upon demand	250	500	<u>2nd</u> <u>500</u> <u>3rd</u> <u>1,000</u> <u>4th</u> <u>2,500</u> <u>5th</u> <u>5,000</u> <u>Subs</u> <u>10,000</u>	10,000
17 RCNY §11-09(a)* 1 st : AM3N Subs.: AM3O	Improper transfer of registration number	3,000	5,000	<u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-09(b)* 1 st : AM3P 2 nd : AM3Q 3 rd : AM3R 4 th : AM3S Subs.: AM3T	Failed to affix & prominently display registration certificate	500	1000	<u>2nd</u> <u>1,500</u> <u>3rd</u> <u>2,500</u> <u>4th</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-09(c)* 1 st : AM3U 2 nd : AM3V 3 rd : AM3W Subs.: AM3X	Failed to maintain books, records, etc., as per subsec.	1,500	2,500	<u>2nd</u> <u>3,000</u> <u>3rd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-09(c)* 1 st : AM3Y 2 nd : AM3Z 3 rd : AM4A 4 th : AM4B Subs.: AM4C	Failed to retain books, records, etc., and make available for inspection	500	750	<u>2nd</u> <u>1,000</u> <u>3rd</u> <u>2,500</u> <u>4th</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000

17 RCNY §11-15(a)* 1 st : AM4D 2 nd : AM4E Subs.: AM4F	Fail to notify Comm'r of material changes of info submitted in app	1,500	2,500	<u>2nd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-15(b)* 1 st : AM4G 2 nd : AM4H Subs.: AM4I	Failed to notify Commission of ownership changes as per subsec.	1,500	2,500	<u>2nd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-16(c)* 1 st : AM7E Subs.: AM7F	Unauthorized sublease of registration number, premises and/or business	3,000	5,000	<u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19(a)(1)* 1 st : AM4J Subs.: AM4K	Interfered with lawful duties of Market Manager or his staff	7,500	10,000	<u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19(a)(1)* 1 st : AM4L Subs.: AM4M	Interfered with/obstructed orderly function of Market	3,000	5,000	<u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19(a)(2)* 1 st : AM4N 2 nd : AM4O 3 rd : AM4P Subs.: AM4Q	Interfered with/obstructed any operation, etc., of mkt. registrant	750	1,000	<u>2nd</u> <u>2,500</u> <u>3rd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000

17 RCNY §11-19(a)(10)* 1 st : AM4R 2 nd : AM4S 3 rd : AM4T Subs.: AM4U	Misrepresentation of merchandise offered for sale	500	1,000	<u>2nd</u> <u>2,500</u> <u>3rd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19 (b)(3)* 1 st : AM4V Subs.: AM4W	Conducted business using unregistered name	3,000	5,000	<u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19 (b)(4)* 1 st : AM4X 2 nd : AM4Y 3 rd : AM4Z Subs.: AM5A	Violated any applicable federal, state or city law or regulation	1,000	1,500	<u>2nd</u> <u>2,500</u> <u>3rd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19 (b)(8)* 1 st : AM5B 2 nd : AM5C 3 rd : AM5D Subs.: AM5E	Threatened or attempted to intimidate any customer	1,500	3,000	<u>2nd</u> <u>5,000</u> <u>3rd</u> <u>7,500</u> <u>Subs.</u> <u>10,000</u>	10,000
17 RCNY §11-19 (b)(11)* 1 st : AMD1 Subs.: AMD4	Employed individuals without approved ID cards	3,000	5,000	<u>Subs.</u> <u>10,000</u>	10,000

<p>17 RCNY §11-19 (b)(12)*</p> <p>1st: AM5F 2nd: AM5G 3rd: AM5H 4th: AM5I Subs.: AM5J</p>	<p>Use of unregistered/uninsured vehicle</p>	<p>500</p>	<p>750</p>	<p><u>2nd</u> <u>1,000</u> <u>3rd</u> <u>2,500</u> <u>4th</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u></p>	<p>10,000</p>
<p>17 RCNY §11-19 (b)(13)*</p> <p>1st: AM5K 2nd: AM5L 3rd: AM5M Subs: AMN2</p>	<p>Engaged in an unfair labor practice as per subsec.</p>	<p>1,000</p>	<p>1,500</p>	<p><u>2nd</u> <u>2,500</u> <u>3rd</u> <u>5,000</u> <u>SUBS.</u> <u>10,000</u></p>	<p>10,000</p>
<p>17 RCNY §11- 19(b)(15)*</p> <p>1st: AM5N 2nd: AM5O Subs.: AM5P</p>	<p>Violated/failed to comply w/ order or directive of the Commission</p>	<p>375</p>	<p>500</p>	<p><u>2nd</u> <u>750</u> <u>Subs</u> <u>1000</u></p>	<p>10,000</p>
<p>17 RCNY §11- 25(a)*</p> <p>1st: AM5Q 2nd: AM5R 3rd: AM5S 4th: AM5T Subs.: AM5U</p>	<p>Failed to obey and/or comply w/traffic directions</p>	<p>250</p>	<p>500</p>	<p><u>2nd</u> <u>1,000</u> <u>3rd</u> <u>2,500</u> <u>4th</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u></p>	<p>10,000</p>

<p>17 RCNY §11-25(b)* 1st: AM5V 2nd: AM5W 3rd: AM5X 4th: AM5Y 5th: AM5Z Subs.: AM6A</p>	<p>Obstruction of Traffic</p>	<p>250</p>	<p>500</p>	<p><u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>4th</u> <u>2,500</u> <u>5th</u> <u>5,000</u> <u>Subs</u> <u>10,000</u></p>	<p>10,000</p>
<p>17 RCNY §11-25(c)* 1st: AM6B 2nd: AM6C 3rd: AM6D 4th: AM6E 5th: AM6F Subs.: AM6G</p>	<p>Failure to remove disabled vehicle as per subsec.</p>	<p>250</p>	<p>500</p>	<p><u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>4th</u> <u>2,500</u> <u>5th</u> <u>5,000</u> <u>Subs</u> <u>10,000</u></p>	<p>10,000</p>
<p>17 RCNY §11-25(e)* 1st: AM6H 2nd: AM6I 3rd: AM6J Subs.: AM6K</p>	<p>Failed to comply with traffic laws</p>	<p>500</p>	<p>1,000</p>	<p><u>2nd</u> <u>2,500</u> <u>3rd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u></p>	<p>10,000</p>
<p>17 RCNY §11-26(b)* 1st: AM6L 2nd: AM6M 3rd: AM6N Subs.: AM6O</p>	<p>Failed to maintain bldg., stores, etc., in gd repair as per subsec.</p>	<p>1,000</p>	<p>2,500</p>	<p><u>2nd</u> <u>3,000</u> <u>3rd</u> <u>5,000</u> <u>Subs.</u> <u>10,000</u></p>	<p>10,000</p>

ADMIN. CODE §22-252(A) 1 st : AM6P 2 nd : AM6Q 3 rd : AM6R Subs. : AM6S	Failure to obtain identification card as per subsec.	None	500 per day	<u>2nd 1,500</u> per day <u>3rd 2,500</u> per day <u>Subs. 5,000</u> per day	5,000 per day
ADMIN. CODE §22-253(a) 1 st : AM6T 2 nd : AM6U Subs. : AM6V	Failure to register wholesale and/or market businesses, as per subsec.	None	1,000 per day	<u>2nd 2,500</u> per day <u>Subs. 5,000</u> per day	5,000 per day
ADMIN. CODE §22-262 1 st : AM6W Subs. : AM6X	Failure to surrender and/or cease using regis. certif. and/or number	None	5,000 per day	<u>SUBS. 5,000</u> <u>PER DAY</u>	5,000 per day
ADMIN. CODE §22-262 1 st : AM6Y 2 nd : AM6Z Subs. : AM7A	Failure to surrender and/or cease using identification card	None	1,500 per day	<u>2nd 2,500</u> per day <u>Subs. 5,000</u> per day	5,000 per day

TITLE 22 NYC ADMINISTRATIVE CODE CHAPTER 1-B: OTHER PUBLIC MARKETS

CODE/RULE	DESCRIPTION	1 st OFFENSE	REPEAT	DEFAULT
SECTION		PENALTY	PENALTY	PENALTY

Admin. Code 22-252(a) 1 st : AM2T Subs: AM7G	Daily failure to obtain identification card as per subsec. (between 11 and 30 days)	2500	<u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-253(a) 1 st : AM2U 2 nd : AM7H Subs: AM7I	Daily failure to register wholesale and/or market businesses, as per subsec. (single day)	1000	<u>2nd</u> <u>2500</u> <u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-253(a) 1 st : AM2V Subs: AM7J	Daily failure to register wholesale and/or market businesses, as per subsec. (between 2 and 10 days)	2500	<u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-253(a) 1 st : AM2W Subs: AM7K	Daily failure to register wholesale and/or market businesses, as per subsec. (between 11 and 30 days)	3500	<u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-262 1 st : AM2X Subs: AM7L	Daily failure to surrender and/or cease using regis. certif. and/or number (single day)	2500	<u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-262 1 st : AM2Y Subs: AM7M	Daily failure to surrender and/or cease using regis. certif. and/or number (between 2 and 10 days)	3500	<u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-262 1 st : AM2Z Subs: AM7N	Daily failure to surrender and/or cease using regis. certif. and/or number (between 11 and 30 days)	5000	<u>Subs.</u> <u>5000</u>	5000

Admin. Code 22-262 1 st : AM3A 2 nd : AMI5 Subs: AM7O	Daily failure to surrender and/or cease using identification card (single day)	1000	<u>2nd</u> <u>2500</u> <u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-262 1 st : AM3B Subs: AM7P	Daily failure to surrender and/or cease using identification card (between 2 and 10 days)	2500	<u>Subs.</u> <u>5000</u>	5000
Admin. Code 22-262 1 st : AM3C Subs: AM7Q	Daily failure to surrender and/or cease using identification card (between 11 and 30 days)	3500	<u>Subs. 5000</u>	5000

TITLE 66 RCNY CHAPTER 1 SUBCHAPTER B: FULTON FISH MARKET

17 RCNY §12-04(a) 1 st : AM01 2 nd : AM7R 3 rd : AM7S Subs: AM7T	Failed to obtain identification card as per subsec.	350	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,500</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-04(f) 1 st : AM02 2 nd : AM7U 3 rd : AM7V Subs: AM7W	Failed to display identification car as per subsec.	250	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12-06(a) 1 st : AM10 2 nd : AM7X	Operated an unloading business without a license	2,500	<u>2nd</u> <u>5,000</u> <u>Subs.</u>	10,000

Subs: AM7Y			<u>7,500</u>	
17 RCNY §12-06(b) 1 st : AM11 2 nd : AM7Z Subs: AM8A	Operated a loading business without a license	2,500	<u>2nd</u> <u>5,000</u> <u>Subs.</u> <u>7,500</u>	10,000
17 RCNY §12-10(a) 1 st : AM13 2 nd : AM8B 3 rd : AM8C Subs: AM8D	Failed to maintain required insurance	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,500</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-10(b) 1 st : AM03 2 nd : AM8E Subs: AM8F	Unauthorized transfer of license	1,500	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-11 1 st : AM20 2 nd : AM8G 3 rd : AM8H Subs: AM8I	Failed to comply with conditions in unloading license	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>2,500</u>	10,000
17 RCNY §12- 11(a)(3)(b)(1) 1 st : AM22 2 nd : AM8J 3 rd : AM8K Subs: AM8L	Unloaded outside approved, designated and/or assigned areas	100	<u>2nd</u> <u>250</u> <u>3rd</u> <u>500</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12-	Failed to unload in required order, as per		<u>2nd</u>	10,000

11(b)(3)	subsec	500	<u>750</u>	
1 st : AM21			<u>3rd</u>	
2 nd : AM8M			<u>1,000</u>	
3 rd : AM8N			<u>Subs.</u>	
Subs: AM8O			<u>2,500</u>	
17 RCNY §12-11(b)(4)	Refused to unload trucks in approved or assigned unloading area	500	<u>2nd</u>	10,000
1 st : AM23			<u>750</u>	
2 nd : AM8P			<u>3rd</u>	
3 rd : AM8Q			<u>1,000</u>	
Subs: AM8R			<u>Subs.</u>	
			<u>2,500</u>	
17 RCNY §12-11(c)(1)	Charged rates in excess of those specified in unloading license (Unloader)	1,000	<u>2nd</u>	10,000
1 st : AM24			<u>1,500</u>	
2 nd : AM8S			<u>3rd</u>	
3 rd : AM8T			<u>2,000</u>	
Subs: AM8U			<u>Subs.</u>	
			<u>3,000</u>	
17 RCNY §12-11(c)(1)	Failed to post rates in appropriate locations (Unloader)	250	<u>2nd</u>	10,000
1 st : AM06			<u>500</u>	
2 nd : AM8V			<u>3rd</u>	
3 rd : AM8W			<u>750</u>	
Subs: AM8X			<u>Subs.</u>	
			<u>1,000</u>	
17 RCNY §12-11(c)(2)	Failed to verify bill of lading/obtain signature/record license # (Unloader)	250	<u>2nd</u>	10,000
1 st : AM25			<u>500</u>	
2 nd : AM8Y			<u>3rd</u>	
3 rd : AM8Z			<u>750</u>	
Subs: AM9A			<u>Subs.</u>	
			<u>1,000</u>	
17 RCNY §12-11(c)(3)	Failed to keep/make available weekly	500	<u>2nd</u>	10,000
			<u>1,000</u>	

1 st : AM26 2 nd : AM9B 3 rd : AM9C Subs: AM9D	records (Unloader)		<u>3rd</u> <u>1,500</u> <u>Subs.</u> <u>3,000</u>	
17 RCNY §12-11(d)(1) 1 st : AM07 2 nd : AM9E 3 rd : AM9F Subs: AM9G	Unloader engaged in business/activity interfering with unloading business	1,000	<u>2nd</u> <u>1,500</u> <u>3rd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-11(d)(2) 1 st : AM28 2 nd : AM9H Subs: AM9I	Interfered with market manager as per subsec. (Unloader)	1,500	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-11(d)(2) 1 st : AM27 2 nd : AM9J Subs: AM9K	Obstructed unloading process as per subsec. (Unloader)	1,500	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-11(d)(3) 1 st : AM29 2 nd : AM9L 3 rd : AM9M Subs: AM9N	Requested/accepted unauthorized fees and/or gratuities (Unloader)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-11(d)(3) 1 st : AM08 2 nd : AM9O 3 rd : AM9P Subs: AM9Q	Charged unauthorized fees (Unloader)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000

17 RCNY 12-11 (d)(5)(xi) 1 st : AM30 2 nd : AM9R Subs.: AM9S	Unloader Violated/failed to comply w/ order/directive of Commission	500	<u>2nd.</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	
17 RCNY §12-12 1 st : AM40 2 nd : AM9T 3 rd : AM9U Subs: AM9V	Failed to comply with loading license 500 conditions (Loader)		<u>2nd</u> <u>1,000</u> <u>3rd</u> <u>1,500</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12- 12(a)(1) 1 st : AM41 2 nd : AM9W 3 rd : AM9X Subs: AM9Y	Failed to post copies of rates (Loader) 250		<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12- 12(a)(2) 1 st : AM42 2 nd : AM9Z 3 rd : AMA1 Subs: AMA2	Charged rates in excess of those specified in loading license 500 (Loader)		<u>2nd</u> <u>1,000</u> <u>3rd</u> <u>1,500</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12- 12(b)(1) 1 st : AMH3 2 nd : AMA3 3 rd : AMA4 Subs: AMA5	Unauthorized use of loading area (Loader) 250		<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12- 12(b)(2)	Loaded outside designated hours 250		<u>2nd</u> <u>500</u>	10,000

1 st : AM09 2 nd : AMA6 3 rd : AMA7 Subs: AMA8	(Loader)		<u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	
17 RCNY §12-12(c)(3) 1 st : AM44 2 nd : AMA9 3 rd : AMB1 Subs: AMB2	Charged fees not specified in license (Loader)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-12(c)(3) 1 st : AM37 2 nd : AMB3 3 rd : AMB4 Subs: AMB5	Solicited or accepted unauthorized gratuities (Loader)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-12(c)(5) 1 st : AM46 2 nd : AMB6 3 rd : AMB7 Subs: AMB8	Refused to perform loading services when space is available (Loader)	250	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12-12(c)(6) 1 st : AM47 2 nd : AMB9 3 rd : AMC1 Subs: AMC2	Forced another to use or prevented another from using loading services (Loader)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-12(c)(6) 1 st : AM16	Solicited, threatened and/or agreed to refuse loading services	500	<u>2nd</u> <u>750</u> <u>3rd</u>	10,000

2 nd : AMC3 3 rd : AMC4 Subs: AMC5	(Loader).		<u>1,000</u> <u>Subs.</u> <u>3,000</u>	
17 RCNY §12- 12(c)(7) 1 st : AM48 2 nd : AMC6 3 rd : AMC7 Subs: AMC8	Moved or interfered with any vehicle, as per 250 subsec. (Loader)		<u>2nd</u> <u>500</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12- 12(c)(9)(xi) 1 st : AM49 2 nd : AMC9 Subs: AMD2	Loader Violate/Fail to comply w/ 500 order/directive of Commission		<u>2nd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12- 13(a)(b) 1 st : AM55 2 nd : AMD3 Subs: AMD5	Operated wholesale seafood or seafood 2,500 delivery business without registration from Comm'r		<u>2nd</u> <u>5,000</u> <u>Subs.</u> <u>7,500</u>	10,000
17 RCNY §12- 15(b)(2) 1 st : AM63 2 nd : AMD6 3 rd : AMD7 Subs: AMD8	Failed to affix and prominently display 250 name and/or reg.number (Wholesaler)		<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12- 15(c)(1) 1 st : AM64 2 nd : AMD9 3 rd : AME1 Subs: AME2	Failed to keep and/or make available records, 500 bills, etc. as per subsec. (Wholesaler)		<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000

17 RCNY §12-15(d)	Failed to submit proof of worker's comp. coverage as per subsec. (Wholesaler)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
1 st : AM18 2 nd : AME3 3 rd : AME4 Subs: AME5				
17 RCNY §12-15(g)	Failed to procure/maintain payment bond (Wholesaler)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
1 st : AM66 2 nd : AME6 3 rd : AME7 Subs: AME8				
17 RCNY §12-16(a)	Operated a seafood delivery operation in non-designated area (Deliverer)	250	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
1 st : AM80 2 nd : AME9 3 rd : AMF1 Subs: AMF2				
17 RCNY §12-16(b)(1)	Failed to possess valid driver's license as req by VTL (Deliverer)	250	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
1 st : AM81 2 nd : AMF3 3 rd : AMF4 Subs: AMF5				
17 RCNY §12-16(b)(2)	Operated vehicle without registration, inspection sticker and/or insurance card (Deliverer)	250	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
1 st : AM82 2 nd : AMF6 3 rd : AMF7 Subs: AMF8				

17 RCNY §12-16(b)(2) 1 st : AM83 2 nd : AMF9 3 rd : AMG1 Subs: AMG2	Failed to display sticker and/or decal on vehicle, as per subsec. (Deliverer)	250	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12-16(e)/(f) 1 st : AM65 2 nd : AMG3 3 rd : AMG4 Subs: AMG5	Failed to maintain required liability insurance (Wholesale/Deliverer)	500	<u>2nd</u> <u>750</u> <u>3rd</u> <u>1,000</u> <u>Subs.</u> <u>3,000</u>	10,000
17 RCNY §12-16(c) 1 st : AM84 2 nd : AMG6 Subs: AMG8	Offered seafood for resale to public without wholesale registration (Deliverer)	1,500	<u>2nd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-17(a) 1 st : AM33 2 nd : AMG9 Subs: AMH1	Failed to comply with order of market manager regarding safety/order/health in market area	1,500	<u>2nd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-18(a)(1) 1 st : AMH2 2 nd : AMH4 Subs: AMH5	Committed an act prohibited in the seafood distribution area	1,000	<u>2nd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19 1 st : AMH6 2 nd : AMH7 Subs: AMH8	Committed act prohibited to wholesalers/deliverers in seaafd dist area	1,500	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>7,500</u>	10,000

17 RCNY §12-19(a) 1 st : AM67 2 nd : AMH9 3 rd : AMI1 Subs: AMI2	Solicited unloader to unload out of order 250 (Wholesaler)	<u>2nd</u> <u>500</u> <u>3rd</u> <u>750</u> <u>Subs.</u> <u>1,000</u>	10,000
17 RCNY §12-19(c) 1 st : AM69 2 nd : AMI3 Subs: AMI4	Authorized another to use business name 1,500 (Wholesaler)	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19(c) 1 st : AM60 2 nd : AMG7 Subs: AMI6	Unauthorized transfer of registration number 1,000 (Wholesaler)	<u>2nd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19(f) 1 st : AM72 2 nd : AMI7 Subs: AMI8	Conducted business under unregistered 1,500 name (Wholesaler)	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19(d) 1 st : AM70 2 nd : AMI9 Subs: AMJ1	Authorized another to use registration number 1,500 (Wholesaler)	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19(e) 1 st : AM71 2 nd : AMJ2 Subs: AMJ3	Subleased or allowed use of premises by 1,500 unregistered person (Wholesaler)	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19(e) 1 st : AM62 2 nd : AMJ4	Allowed another to place seafood in stand 1,000 space (Wholesaler)	<u>2nd</u> <u>2,500</u> <u>Subs.</u>	10,000

Subs: AMJ5			<u>5,000</u>	
17 RCNY §12-19(g) 1 st : AM73 2 nd : AMJ6 Subs: AMJ7	Discarded seafood contrary to requirements of subsec. (Wholesaler)	1,500	<u>2nd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-19(o) 1 st : AM74 2 nd : AMJ8 Subs: AMJ9	Violated or failed to comply with any order or directive of the Commission (Wholesaler/Deliverer)	500	<u>2nd.</u> <u>750</u> <u>Subs.:</u> <u>1000</u>	10,000
17 RCNY §12-21(a) 1 st : AM56 2 nd : AMK1 3 rd : AMK2 Subs: AMK3	Failed to notify Comm'r of changes in registration information. (Wholesaler/Deliverer)	500	<u>2nd</u> <u>1,000</u> <u>3rd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12- 21(a)/(b) 1 st : AM14 2 nd : AMK4 3 rd : AMK5 Subs: AMK6	Failed to notify Comm'r of material change in license info. (Licensee)	1,000	<u>2nd</u> <u>1,500</u> <u>3rd</u> <u>2,500</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-21(c) 1 st : AM12 2 nd : AMK7 3 rd : AMK8 Subs: AMK9	Licensee failed to provide notice of addition of principal	1,000	<u>2nd</u> <u>2,000</u> <u>3rd</u> <u>3,000</u> <u>Subs.</u> <u>5,000</u>	10,000
17 RCNY §12-21(C) 1 st : AM7B	Failed to notify Comm'r of proposed	1,000	<u>2nd</u> <u>1,500</u>	10,000

2 nd : AML1	addition of principal		<u>3rd</u>	
3 rd : AML2			<u>2,500</u>	
Subs: AML3			<u>Subs.</u>	
			<u>5,000</u>	
17 RCNY §12-23(c)	Failed to surrender			10,000
1 st : AM04	license upon	2,500	<u>Subs.</u>	
Subs.: AML4	suspension or		<u>5,000</u>	
	revocation			
17 RCNY §12-23(c)	Failed to surrender		<u>2nd</u>	10,000
1 st : AM17	identification card upon	1,000	<u>2,500</u>	
2 nd : AML5	suspension/revocation		<u>Subs.</u>	
Subs: AML6	of registration		<u>5,000</u>	
	(Wholesaler/Deliverer)			
17 RCNY §12-23(d)	Failed to surrender		<u>2nd</u>	10,000
1 st : AM7C	photo identification as	1,000	<u>2,500</u>	
2 nd : AML7	per subsec		<u>3rd.</u>	
3 rd : AML8			<u>5,000</u>	
17 RCNY §12-30(a)	Fail to obey/comply		<u>2nd</u>	10,000
1 st : AM90	with traffic direction	250	<u>500</u>	
2 nd : AML9			<u>3rd.</u>	
3 rd : AMM1			<u>750</u>	
Subs.: AMM2			<u>Subs. : 1,000</u>	
17 RCNY §12-30(b)	Obstr traffic w/in or		<u>2nd</u>	10,000
1 st : AM91	stopped vehicle/	250	<u>500</u>	
2 nd : AMM3	convey in non-		<u>3rd.</u>	
3 rd : AMM4	designated place		<u>750</u>	
Subs.: AMM5			<u>Subs. : 1,000</u>	
17 RCNY §12-30(c)	Failure to remove		<u>2nd</u>	10,000
1 st : AM92	disable vehicle as per	250	<u>500</u>	
2 nd : AMM6	subsec.		<u>3rd.</u>	

3rd: AMM7
Subs.: AMM8

750
Subs.:
1,000

17 RCNY §13-04(a) Operated seafood
1st: AM93 wholesale business 2500
2nd: AMM9 outside mkt w/o reg
Subs.: AMN1

2nd
5,000
Subs.:
7,500

10,000