

**Grantee: New York City, NY**

**Grant: B-13-MS-36-0001**

**July 1, 2014 thru September 30, 2014 Performance Report**

---



**Grant Number:**

B-13-MS-36-0001

**Obligation Date:****Award Date:****Grantee Name:**

New York City, NY

**Contract End Date:**

08/15/2015

**Review by HUD:**

Original - In Progress

**Grant Award Amount:**

\$3,219,820,000.00

**Grant Status:**

Active

**QPR Contact:**

Calvin Johnson

**LOCCS Authorized Amount:**

\$425,000,000.00

**Estimated PI/RL Funds:**

\$7,650,000.00

**Total Budget:**

\$3,227,470,000.00

**Disasters:****Declaration Number**

FEMA-4085-NY

**Narratives****Disaster Damage:**

Hurricane Sandy hit New York City on October 29, 2012. Over the course of 48 hours, wind, rain, and water destroyed approximately 300 homes across Brooklyn, Queens, and Staten Island, and damaged thousands more, left hundreds of thousands of New Yorkers without power, damaged critical public and private infrastructure, and left many New Yorkers vulnerable with limited access to food, drinking water, healthcare, and other critical lifesaving functions. The City of New York's immediate preparation and response to Hurricane Sandy was one of the largest mobilizations of City services in the City's history.

The power and strength with which the storm hit and the destruction it left in its wake resulted from a worst-case scenario combination of weather patterns: Sandy's arrival coincided with a full moon that gave rise to astronomical high tides approximately 5% higher than normal; a rare "leftward hook" that changed the course of the storm and put NYC in its northwest quadrant which had the strongest winds. These factors led to the massive storm surge that hit many waterfront neighborhoods from the Rockaways, to Midland Beach and other communities on Staten Island's East and South shores, to Coney Island, Hamilton Beach, Gerritsen Beach, Orchard Beach, and the South Street Seaport in Lower Manhattan. Water levels at the Battery reached an unprecedented 14 feet - a scenario that the Federal Emergency Management Agency (FEMA) estimated had a less than 1% chance of happening in any given year. Tragically, 44 New Yorkers lost their lives in the storm. Hurricane Sandy impacted a broad cross-section of New Yorkers. According to 2010 Census data, approximately 10.3% of New York City's population (846,056 persons) resided in the Inundation Area. The impact varied across geography. In terms of absolute population, Brooklyn had the highest number of persons impacted (310,227), followed by Manhattan (230,742), Queens (188,444), Staten Island (75,651), and the Bronx (40,992).

In terms of percentage within a specific borough, Staten Island, which has the smallest portion of the City's overall population, had the highest percentage of its residents impacted (approximately 16.0%). Manhattan had 14.5% of its residents impacted, Brooklyn 12.4%, Queens 8.4%, and the Bronx 3.0%.

**The Bronx**

The Inundation Area in the Bronx includes portions of major industrial areas, including Port Morris and Hunts Point along the East River, Zerega along Westchester Creek, and Eastchester along the Hutchinson River. It also includes low-density residential communities in the Soundview, Throgs Neck, and Country Club neighborhoods.

Of the approximately 1,385,100 persons who reside in the Bronx, just 3.0% were located within the Inundation Area (approximately 41,000 persons).

Within the Bronx's Inundation Area, 44.1% of the housing units are in one- and two-family buildings, 20.5% are in mixed-use residential/commercial buildings, and 35.3% are in multi-family buildings.

A significant percentage of the borough's housing stock is pre-1980 construction (90.1%). Of the housing stock within its Inundation Area, 78.2% of the units were constructed prior to 1980.

## **Brooklyn**

Beginning with Community District 1 in Greenpoint/Williamsburg, the Inundation Area encompasses largely industrial areas along the south side of Newtown Creek and the English Kills, a Federally-designated Superfund site, as well as the East River waterfront, largely rezoned in the past decade to permit mid- to high-rise residential redevelopment. Moving south along the East River, the Inundation Area includes the Brooklyn Navy Yard industrial and business park and the mixed residential and commercial DUMBO area, dominated by converted industrial loft buildings. Beyond Brooklyn Heights, the Inundation Area includes the Red Hook container port and the mixed-use neighborhood of Red Hook, including older residential buildings, converted industrial lofts, the Red Hook public housing development, and commercial and industrial businesses. It also includes the mixed-use areas along the Gowanus Canal, a Federally-designated Superfund site. South of the Gowanus Canal, the Inundation Area includes portions of the Sunset Park industrial area.

Due to changes in topography, the Inundation Area is limited in extent until it reaches the low-lying areas of southern Brooklyn. There, it includes all of the beachfront neighborhoods of Coney Island, Brighton Beach, and Manhattan Beach. These include the low-density Seagate neighborhood to the west; the Coney Island neighborhood dominated by high-rise public housing as well as other publicly-assisted housing, with the beach, New York Aquarium, minor league baseball stadium and amusements to the south; and the medium-density Brighton Beach neighborhood and the mainly low-density Manhattan Beach neighborhood, including Kingsborough Community College, to the east. Also inundated were portions of the Gravesend and Sheepshead Bay neighborhoods, including commercial and low- to mid-density residential areas, the Coney Island subway yards, and Coney Island Hospital.

Moving east from Sheepshead Bay, the Brooklyn shoreline is dominated by finger inlets adjacent to low-density residential communities that were inundated. These include Gerritsen Beach, Mill Island, Bergen Beach, Paerdegat Basin, and portions of Canarsie.

The borough of Brooklyn had the highest total number of residents impacted by the storm (310,227 persons). This represents 12.4% of the borough's total population.

Within its Inundation Area, 37.5% of the housing units are in multi-family elevator buildings, which is 9.3 percentage points higher than for the borough. One- and two-family buildings represented a higher percentage of housing units impacted than its percentage of Brooklyn's total housing stock (32.6% versus 25.5%, respectively).

In terms of year the structures were built, a significant percentage of Brooklyn's housing stock is pre-1980 construction (89.2%). Of the housing stock within its Inundation Area, 88.9% were constructed prior to 1980.

## **Manhattan**

In Community District 1 in Lower Manhattan, the Inundation Area includes the Water Street corridor, an important high-rise office district, as well as upland areas that include a mix of commercial office and residential uses and the South Street Seaport Historic District. On the west side of Lower Manhattan, the Inundation Area runs along the Route 9A corridor and includes mixed-use areas including portions of TriBeCa, the West Village, and Chelsea. Along the East Side, the Inundation Area includes residential portions of the East Village, Con Edison facilities, and north of 14th Street, the mid-rise residential developments of Stuyvesant Town and Peter Cooper Village. North of 23rd Street, the Inundation Area includes the important medical corridor that contains the Veterans Administration, Bellevue, and NYU Langone hospitals. To the north, the Inundation Area includes residential portions of East Harlem and areas of northern Manhattan including the Dyckman Houses public housing development and the 207th Street subway yards.

According to 2010 Census data, there are 1,585,873 persons living in Manhattan. Of those, 14.5% reside in the Inundation Area (230,742 persons). The borough's Inundation Area contains 13.9% of Manhattan's occupied housing units, with 50.6% of these units in multi-family elevator buildings (53,555 units). Approximately 48,800 housing units (46.1%) are in mixed-use residential/commercial buildings. A significant percentage of Manhattan's housing stock is pre-1980 construction (84.6%). However, of the housing stock within its Inundation Area, the percentage constructed prior to 1980 is 74.9%.

## **Queens**

The Queens Inundation Area has two distinct components: a northern area along the East River and a southern area bordering Jamaica Bay and the Atlantic Ocean. Beginning at Community District 2 in the north, the Inundation Area includes the industrial northern shore of Newtown Creek and areas bordering the Dutch Kills in Maspeth and Long Island City. Moving north along the East River, the Inundation Area includes the Queens West development in Long Island City and the peninsula that includes the Astoria Houses public housing development.

Moving east of the Robert F. Kennedy Bridge, the Inundation Area includes the northern Astoria waterfront dominated by power generating facilities and LaGuardia Airport. It also includes much of Flushing Meadows-Corona Park, Citi Field, two subway yards, and the Willets Point industrial area. Farther to the east, it includes much of the College Point industrial park and shoreline areas of low-density residential communities including College Point, Whitestone, Bay Terrace, Bayside, Douglaston, and Little Neck.

In the south, the Inundation Area includes most of the Rockaway peninsula, lying between the Atlantic Ocean and Jamaica Bay. Beginning in the west, the peninsula includes the Breezy Point cooperative, comprised of individual homes with private streets. To the east are the low-density communities of Neponsit and Belle Harbor. Moving farther east, the peninsula is served by the subway and is more developed. Rockaway Park and Rockaway Beach have commercial areas oriented towards local residents and summer visitors. Residential areas are a mix of single-family homes and multi-family housing. The eastern portion of the peninsula includes several public housing developments and other high-rise publicly-assisted housing.

As in Brooklyn, the Queens perimeter of Jamaica Bay is low-density. It includes the Howard Beach residential communities of Old Howard Beach, New Howard Beach, and Hamilton Beach, Ramblersville, and Lindenwood. To the east are John F. Kennedy International Airport and the communities of Brookville and Rosedale, bordering Nassau County. Within Jamaica Bay is the low-density residential community of Broad Channel.

Of the 2,230,722 persons who reside in Queens, approximately 188,400 reside in its Inundation Area.

Within Queens' Inundation Area, 33.2% of the housing units are in multi-family elevator buildings, which is 8.1 percentage points lower than for the borough overall. Additionally, 13.2% of impacted units are located within multi-family walk-up buildings, which is 10.8 percentage points lower than for the borough overall.

In contrast, units within one- and two-family buildings represent a higher percentage of housing units impacted relative to its percentage of Queens' total housing stock (45.7% versus 41.9%, respectively).

A significant percentage of Queens' housing stock is pre-1980 construction (89.8%). Of the housing stock within its Inundation Area, 80.2% was constructed prior to 1980.

## **Staten Island**

Beginning at the St. George Ferry Terminal and moving south, the Inundation Area includes the Bay Street Landing mid-rise residential development and the vacant former Navy base on the Stapleton waterfront. South of the Verrazano-Narrows Bridge, the Inundation Area encompasses large areas of one- and two-family homes in the communities of South Beach, Midland Beach, New Dorp Beach, and Oakwood Beach. Farther south, it includes Great Kills harbor, an area dominated by marinas, and portions of the waterfront developed with single-family homes.

On the West Shore of Staten Island, the Inundation Area includes vacant land, natural areas, and parks, as well as some industrial businesses and the New York Container Terminal at Howland Hook. On the North Shore, the Inundation Area includes the waterfront, which is largely industrial or vacant, as well as portions of upland low-density residential communities.

Staten Island's population is 468,730 based on the 2010 Census. The total number of Staten Islanders within the borough's Inundation Area is 75,651, or 16.1% of its total population. As stated previously, this represents the highest percentage of people impacted relative to the borough's overall population

Regarding the units located in the borough's Inundation Area, the percentage of units within a particular type of structure reflected Staten Island's overall housing profile. Slightly more than 78% of the impacted units are in one- and two-family buildings (22,375 units). Multi-family elevator buildings accounted for 9.6% (2,732 units) and multi-family walk-up buildings 8.8% (2,516) of the units.

Approximately 63% of Staten Island's housing stock was constructed prior to 1980. Within its Inundation Area, the percentage is 56.7%.

## **Recovery Needs**

### **Impact to Public Housing**

While no NYCHA buildings sustained permanent structural damage due to the storm, many buildings' systems essential for supporting the living conditions for tens of thousands of New Yorkers served by NYCHA were significantly impacted.

Over 400 buildings in Brooklyn, Queens, and Manhattan, with 35,000 residential units housing roughly 80,000 residents, were affected significantly by Sandy. Of the over 400 buildings, 402 lost power and, with it, elevator and compactor service. 386 buildings lost heat and hot water.

-In Coney Island, 4 buildings - home to 8,882 residents - were impacted.

-In the Rockaways, 60 buildings - home to 10,100 residents - were impacted.

-In Red Hook, 32 buildings - home to 6,173 residents - were impacted.

-In Manhattan, 176 buildings - home to 41,513 residents - were impacted.

NYCHA developments in Coney Island were especially impacted due to substantial sand and saltwater infiltration. The systems damage in other developments was due mostly to flooding.

An additional 356 NYCHA buildings at 97 developments in all five boroughs sustained moderate damage, mostly due to wind damage to roofs and façades.

#### Public Housing Unmet Need

As publicly-owned properties, NYCHA facilities are eligible for FEMA's Public Assistance Grant Program. Mandatory rehabilitation, and a portion of the resilience improvement measures necessary for damaged buildings, should be covered by a combination of the National Flood Insurance Program (NFIP), existing commercial policies, and FEMA's Public Assistance Program, less the non-Federal cost share.

NYCHA insurance coverage is capped at approximately \$440 million. However, it cannot be assumed that NYCHA will receive 100% of all claims made against these NFIP and commercial policies.

FEMA Public Assistance funds should cover a percentage of the remaining costs associated with repairs, rehabilitations, replacements, resilience and mitigation.

Funding has not yet been allocated towards the Hazard Mitigation Grant Program, a FEMA program that awards grants for resilience measures that can meet a cost-benefit hurdle, NYCHA has aggressively pursued this funding however, at this time it cannot be assumed that there will be any revenue from this source in the calculation of unmet need.

Potential available funding from insurance and FEMA to address NYCHA's needs is estimated at approximately \$515 million to \$530 million (depending on the local cost share percentage). There is a gap in funding some of the mandatory rehabilitation. NYCHA is also left with a significant gap in resilience and rehabilitation efforts for damaged buildings. Finally, no funding has been identified for the resiliency efforts in undamaged, but vulnerable buildings.

Of the over \$1.8 billion required to rebuild and rehabilitate NYCHA buildings, approximately \$895 million is anticipated to be funded by existing or identified programs and financial resources, leaving \$930 million in unmet need for rehabilitation and reconstruction costs. This figure takes into account the first and second round of CDBG-DR allocation.

#### Impact to Housing (excluding public housing)

Based on the analyses conducted, the City estimates that more than 69,000 residential units have been impacted by physical damage as a result of Hurricane Sandy.

The City's analysis shows that there are three main categories of housing damage, excluding the NYCHA housing stock described previously:

-Severe damage (Reconstruction required): More than 800 buildings (more than 900 units) were destroyed or became structurally unsound. More than 95% of these buildings are one- or two-family homes.

-Major damage: Approximately 1,700 buildings (more than 20,000 units) suffered major damage, of which approximately 1,400 are one- or two-family homes. Major damage typically corresponds to flooding of basements and ground floor living spaces.

-Moderate damage: Approximately 16,000 buildings (more than 42,000 units) suffered moderate damage, of which approximately 15,000 are one- or two-family homes. Moderate damage typically corresponds to basement flooding with little or no impact to ground floor living spaces.

#### Housing (excluding public housing) Unmet Need

Of the \$2.5 billion required to rebuild and rehabilitate non-NYCHA buildings, approximately \$700 million is anticipated to be funded by existing or identified programs and financial resources, leaving \$1.8 billion in unmet need for rehabilitation and reconstruction costs. The City estimates that there will be approximately \$400 million of unmet need after the first and second allocation of CDBG DR funds for Build it Back are applied.

#### Economic Needs

According to the revised Moody's figures, the region suffered total net economic losses of \$25 billion, which included direct private losses. Using a combination of insured loss estimates from multiple insurance and risk management companies and estimates of past storm ratios of insured-to-uninsured losses, initial cost estimates following Hurricane Sandy placed private direct losses, both commercial and residential, at \$8.6 billion, \$3.8 billion of which was insured and \$4.8 billion of which was uninsured. Internal analysis based on industry sources estimated the commercial share of private uninsured losses to range from 40 to 50% or from \$1.9 to \$2.4 billion. While NYC's Economic Development Corporation (EDC) and NYC's Small Business Services Agency (SBS) acted quickly to make capital available to impacted businesses immediately following the storm, it is clear that there is a significant unmet commercial need, especially amongst the significant amount of business owners, SMEs, and industrial companies that lacked business continuity or flood insurance to help weather the storm.

Additionally, approximately 23,400 businesses were located in Inundation Areas, many outside FEMA's 100-year flood zone. The revised FEMA Preliminary Work Maps have nearly doubled the number of NYC buildings located in the 100-year flood zone, suggesting that approximately 67,000 buildings could be at risk for wave action or flooding in future storms. Sea level rise will further expand vulnerable areas, and unchecked storm surges in the future could cause damage equal to or greater than Hurricane Sandy.

Overall, the City of New York had incurred an estimated \$10.3 billion in business and economic losses. After subtracting the insured losses of \$4.1 billion and the SBA loans of \$478.8 million, the City of New York is left with an unmet business and economic need of \$5.7 billion. Of the 22,042 applications received by SBA as of early March 2013, 8,218 have been approved. The City anticipates that this unmet need will continue to grow as additional details and data is available and updated insurance, SBA, and other subsidy data becomes available.

#### Infrastructure and Public Service Needs

Hurricane Sandy caused significant damage to City infrastructure and facilities. Damaged facilities that provide essential services, such as police stations, fire stations, sanitation garages, and educational facilities, were among those hardest hit. Despite efforts to protect City-owned infrastructure, facilities, and other assets, damage to such property was extensive. The estimated impact to City facilities is \$3.35 billion.

The NYC Health and Hospitals Corporation (HHC) had ten large hospitals damaged, including extensive damage to Bellevue Hospital Center, Coney Island Hospital, Coler-Goldwater Memorial Hospital, and Metropolitan Hospital. HHC also experienced damage to five smaller healthcare facilities as well as to one of its administrative office spaces. Two hospitals and one community clinic were evacuated and displaced. Temporary administrative offices also had to be leased, built-out, and supplied with computers and telephones.

The New York City Police Department (NYPD) sustained storm-related damage to more than 20 of their facilities including station houses, warehouse/storage facilities, boat docks, tow pounds, an aircraft hangar, and the Department's firing range and bomb squad training buildings.

Seventy-one school buildings sustained damage from Hurricane Sandy. Damages to these school buildings included severe salt-water flooding, destroyed boilers and oil tanks, damaged electrical and computer/home cabling and equipment, oil spills and the resulting contamination, sink holes, roof leaks, and ruined gym and auditorium flooding. Extensive upgrades, including the replacement of temporary boilers with permanent systems, are required to bring buildings back to their pre-storm condition.

The City had damage to approximately 400 Parks sites, in addition to the displacement of more than 3 million cubic yards of sand from the City's beaches.

Twenty-nine Fire Department facilities were damaged due to the storm; this includes 16 Firehouses, 6 EMS stations, 5 Marine facilities and 2 support facilities (Paigde Avenue and Fort Totten). There was widespread damage to apparatus doors (after being hit by a high quantity of seawater), basements (which filled to the top with water), electrical and heating systems (including pipes), and various structural aspects. Marine facilities suffered damage to piers, piles, electrical systems and transformers, as well as the wave attenuator at Marine 9, which is intended to reduce wave height in order to provide safe berthing for vessels. FDNY also suffered losses of information technology equipment, communications networks and infrastructure, fire apparatus, and ambulances.

The Department of Sanitation (DSNY) sustained damage at 61 of its facilities throughout the City, and needed to evacuate 14 of its facilities also suffered damage to its vehicle fleet including 9 light/medium duty vehicles and 34 heavy duty vehicles that require repairs after being damaged by salt water. DSNY also manages the former Fresh Kills landfill which sustained damage to its pollution control infrastructure.

The Department of Correction (DOC) sustained damage along the northern shoreline of Rikers Island, losing an estimated four acres of land. All trailers located along the eroded north shore will need to be replaced and relocated. One facility's roof was significantly damaged. The electrical substation for the City's only jail barge, located in the Hunts Point section of the Bronx, will now need to be raised to meet FEMA's floodplain standards.

The Department of Transportation (DOT) determined that hundreds of lane miles of streets will require resurfacing and/or full reconstruction due to storm damage. Street lights, traffic signals, and underground wiring were damaged by floodwaters, and in some cases, backed up sewage. High wind speeds further caused extensive damage to the existing street fixtures and traffic equipment. Floodwaters severely damaged the Battery Park and West Street underpasses in Lower Manhattan, and repairs are also necessary for 20 moveable bridges. The mechanical and electrical systems at the Whitehall (Manhattan) and St. George (Staten Island) Ferry Terminals incurred significant damages. In addition, ferry piers and other ferry facilities suffered damage. Finally, the Department's administrative offices were flooded and contents, including technological equipment, were irreparably lost.

Ten of the City's 14 Wastewater Treatment Plants were adversely affected by Hurricane Sandy. Rockaway, the smallest wastewater facility by capacity, was the most severely affected. Most of the damage was to electrical systems including substations, motors, control panels, junction boxes, and instrumentation. Power outages required many DEP facilities to operate on their emergency generators for up to two weeks. Of the 96 DEP pumping stations, 42 were impacted by the storm.

The New York City Department of Environmental Protection ensured that the City's drinking water remained safe during and after the storm despite the fact that all of the City's water pollution control plants (WPCPs) experienced some degree of damage as a result of Hurricane Sandy. Power was lost at many facilities that compose the City's drinking water supply system, including a dam and several reservoir control stations. Power was lost at a number of water

supply shafts, and fencing and security equipment was lost at several facilities. In addition, a water tunnel replacement project between Brooklyn and Staten Island has been delayed due to damage caused by the storm, and critical equipment at several landfills was damaged.

The City also suffered damage to its extensive array of public cultural institutions including museums, the New York Aquarium, the City's public library systems, the Brooklyn Navy Yard (a critical small business industrial park), historic buildings on Gero's Island, and new public space facilities along the Brooklyn waterfront.

In addition, the City undertook a massive preparation effort several days before Hurricane Sandy made landfall and activated a sophisticated emergency response network after the storm had hit which included dozens of city agencies to provide emergency services to citizens including:

- emergency response coordination,
- sandbagging wastewater treatment plants,
- staffing hospitals,
- providing police and fire services in the affected areas,
- providing food and water,
- debris removal services,
- tying down equipment or relocating it,
- operating evacuation centers,
- stockpiling medical and shelter supplies,
- outreach to provide up-to-date preparedness and recovery information,
- operating 911 and 311 services,
- providing Rapid Repair services to homes impacted by Hurricane Sandy,
- evacuating impacted hospitals,
- pumping out water from transportation, water treatment, and other critical systems,
- setting up light towers in areas without power,
- transporting vulnerable citizens, and
- assessing properties for threats to health and safety.

#### Resilience Needs

According to the analysis presented in *A Stronger, More Resilient New York*, the storm surge and flooding that affected different parts of the city generally occurred in three ways. First, floodwaters came directly from the ocean, with water surging over beaches and bulkheads. Crashing waves brought destruction to ocean-facing areas of southern Brooklyn, the southernmost part of Queens, and the East and South Shores of Staten Island. Second, Sandy's floodwaters also came via a less direct channel: The storm surge from the ocean pushed into many bays, creeks, and inlets, and these "backdoor" channels overflowed onto land. For example, most of the floodwaters in Southern Brooklyn came not over the Atlantic beaches but instead via Coney Island Creek and Sheepshead Bay. Likewise while ocean waves crashed into the Rockaway Peninsula from the south, the surge also elevated water levels in Jamaica Bay, which flooded the Peninsula from the north side. Finally, a third source of flooding along the coast was the city's extensive array of shoreline drainage infrastructure. Although this piping network normally drains water from land and into the area's waterways, Sandy's surge overwhelmed this infrastructure, reversing water direction in these pipes, and channeling floodwaters into neighborhoods.

Though Sandy's surge generally devastated all areas that it touched, some coastal measures provided protection against waves and flooding. For example, dunes (reinforced sand mounds, usually found at the back end of a beach) and nourished beaches (where large mounds of sand had been added to widen and elevate beaches) served to absorb the destructive energy of wave and flood waters, in many cases buffering inland neighborhoods. Along other waterways, armor stone revetments-massive rocks, also known as rip-rap-hardened vulnerable shorelines and thus protected adjacent areas. Elsewhere, bulkheads- vertical retaining walls-were able to break waves and reduce the destructive energy of the storm surge. Elevated development sites, too, helped

raise buildings and infrastructure up out of harm's way. Finally, drainage systems that implemented best practices guarded against spillover from the pipes. Because these coastal protection measures were effective during Sandy, they were among the options that SIRR considered during its analysis of measures that might be implemented in New York City to protect vulnerable areas from damage in the future. According to federal flood maps and climate projections, these areas and facilities will be at increasing risk from future climate events if protective measures are not taken. Therefore, it is essential to invest in neighborhoods that have been damaged by Sandy before severe flooding happens again.

#### South Shore of Staten Island

The South Shore is separated from the ocean in places by red clay bluffs, and even before Hurricane Sandy, ocean waves had eroded these bluffs over time, threatening homes and businesses in some locations. During the storm, powerful wind-driven waves running almost parallel to the coast carved away at the area's bluffs, completely shattering houses near the shoreline and in some cases leaving behind only their foundations.

#### Coney Island Creek in Southern Brooklyn

Based on extensive analysis done during the SIRR research and planning process, the City believes that installing armor stone revetments along the South Shore of Staten Island and Coney Island Creek would have helped limit the damage done during Sandy and will help avert similar devastation in the future. Revetments are a proven coastal protection technique in New York City, and experience has demonstrated that they require minimal maintenance, and that their shallow slopes can provide near-shore habitat for marine organisms and vegetation. In evaluating revetments as a risk-reduction measure for Coney Island Creek and the South Shore of Staten Island, SIRR examined the geomorphology of both areas—the natural landforms, underlying geological conditions, and existing built conditions. It also employed sophisticated storm surge modeling to assess what level of protection revetments at this location would provide; evaluated the cost-effectiveness of this approach, considering both upfront construction costs and long-term maintenance costs to calculate total lifecycle expenses; and evaluated the proposed measures in light of other important public considerations, such as impact on waterfront access, environmental impact, effect on neighborhood character, and protection offered for vulnerable populations such as low- and moderate-income people.

#### Other Vulnerable Low-Lying Areas Citywide

Based on extensive analysis done during the SIRR research and planning process, and as described in *A Stronger, More Resilient New York*, the City believes that repairing, installing, and raising bulkheads in vulnerable areas throughout the city could have averted flooding of adjacent areas during Sandy and will help prevent similar impacts from coastal storms in the future as well as protect against tidal inundation as sea levels rise. Bulkheads, typically made of stone or concrete, are a proven coastal protection technique in New York City. In evaluating the construction, repair, and elevation of bulkheads as a risk-reduction measure for vulnerable areas throughout the city, SIRR pursued the same rigorous level of research and method of evaluation, as discussed above, to determine bulkheads were the right coastal protection intervention.

#### Hospital Row in Southern Manhattan

Based on extensive analysis done during the SIRR research and planning process, and as described in *A Stronger, More Resilient New York*, the City believes that installing an integrated flood protection system at Hospital Row would have averted flooding of these critical healthcare facilities during Sandy and will help avert similar impacts in the future. Integrated flood protection systems have been demonstrated around the world—including in the Netherlands, the United Kingdom, and parts of the United States Midwest—to be effective at reducing flood risk. These systems may be composed of a variety of elements that can be combined and customized in areas where critical infrastructure requires a high level of flood protection. These systems could include passive floodwalls (that float into place in response to rising waters), permanent floodwalls, temporary features like deployable floodwalls (which can be erected in advance of a storm event and removed thereafter), and other localized measures where appropriate to integrate the system. The City would use such a system to provide protection to Bellevue Hospital, integrating it with protection provided by neighboring institutions.

The risk of storm surge combined with sea level rise is likely to present the greatest climate threat to New York City's building stock. This is demonstrated by FEMA's recently released Preliminary FIRMs, which expand New York City's 100-year floodplain so that it now includes nearly 68,200 buildings. These buildings, encompassing approximately 534 million square feet of space, are home to approximately 398,000 residents and 271,000 jobs.

As vulnerable as New York's building stock may be today, it is likely to become more vulnerable in the future. According to projections on sea level rise from the NPCC, the number of buildings in the floodplain could increase to 88,800 by the 2020s and 114,000 by the 2050s.

This expansion of the floodplain not only indicates that buildings will face greater risks of flooding, but it will also place significant financial pressure on hundreds of thousands of New Yorkers who own homes or businesses in the floodplain. Property owners whose buildings are in the floodplain and who have federally backed mortgages may face new requirements for the purchase of flood insurance. Owners in the floodplain may also be subject to new requirements to alter ground-level and below-grade spaces to comply with national flood-resistant construction standards.

Based on Federal and City research about how Sandy impacted New York City's building stock and on the best available information on techniques that provide flood protection for buildings and their systems, the City proposes a Building Mitigation Incentive Program, detailed in *A Stronger, More Resilient New York*. This program, which will cost approximately \$1.2 billion, aims to rebuild and fortify buildings and building systems in vulnerable neighborhoods. The program discussed herein is 10 percent of the total need. The City plans to pursue additional federal assistance to fulfill the remaining need.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$304,521,977.00
Total Budget	(\$37,481,422.00)	\$304,521,977.00
Total Obligated	\$9,247,632.20	\$209,755,874.12
Total Funds Drawdown	\$9,210,556.38	\$209,718,798.30
Program Funds Drawdown	\$9,184,475.36	\$209,669,330.63
Program Income Drawdown	\$26,081.02	\$49,467.67
Program Income Received	\$26,081.02	\$49,467.67
Total Funds Expended	\$34,970,315.43	\$279,129,793.15
Match Contributed	\$0.00	\$0.00

## Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		88.37%
Overall Benefit Percentage (Actual)		96.41%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$482,973,000.00	\$183,961,916.60
Limit on Admin/Planning	\$643,964,000.00	\$5,911,979.32
Limit on State Admin	\$0.00	\$5,867,874.32

## Progress Toward Activity Type Targets

## Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$1,609,910,000.00	\$226,242,500.00

### Overall Progress Narrative:

This Quarterly Progress Report (QPR) describes CDBG-DR program activities taking place from July 1, 2014 to September 30, 2014 (Q3 2014). The City of New York has allocated CDBG-DR funds to four major program areas: Housing, Business, Resiliency, and Infrastructure and Other City Services.

The City's PL 113-2 Contract Reporting Template can be found under A003/A601-OMB-OMBADMIN-NA ("OMB Admin").

The Total Budget for This Report Period is negative as a result of reallocations between the Hurricane Sandy Business Loan and Grant Program activity budgets. All associated activity budgets with zero budgets have been reallocated into the economic development activities that begin with A200. All prior obligations and expenditures for these activities have been reconciled accordingly for streamlining reporting metrics. Please refer to the individual activities for additional information.

### Housing

The City of New York has designed several programs to assist residents whose permanent homes have been impacted by Hurricane Sandy. The Build it Back program will cover the rehabilitation, reconstruction and reimbursement for repairs of residential structures damaged by Hurricane Sandy. Build it Back consists of two programs: (1) Single Family Rehabilitation, Reconstruction, and Reimbursement and (2) Multi-Family Rehabilitation and Reimbursement. On June 3, 2013, registration opened for the Build it Back program. As of October 31, 2013 the closing date for registration, the program received registrations for more than 20,000 buildings comprising over 60,000 residential units.

The City has also allocated funding to the New York City Housing Authority (NYCHA) to repair and rehabilitate impacted public housing facilities. Finally, the City has used CDBG-DR funding to set up the Temporary Disaster Assistance Program (TDAP), a rental subsidy program serving low-income households displaced by Hurricane Sandy.

Tier I Environmental Reviews were completed for the housing programs as follows:

-Single Family Houses on July 15, 2013 (re-evaluation completed January 2014)

-Multi-Family Buildings on August 6, 2013

-Public Housing Rehabilitation and Resilience on July 15, 2013

### **Build It Back Single Family**

The Build it Back Single Family Program is designed to assist owners of properties with one to four units affected by Hurricane Sandy. Homeowners may be eligible for one or more of the Program's pathways, including Repair, Repair with Elevation, Rebuild, Reimbursement, and Acquisition for Redevelopment.

The Build it Back program operations ramped up considerably during the first half of 2014. The first home repairs began in late March and the first reimbursement checks were sent out early April. As of September 30, 2014, the City conducted intake for over 17,000 participants and presented over 6,000 homeowners with an award pathway. As of September 30, 2014, over 600 reimbursement checks were sent out and nearly 500 home repairs, elevations, and rebuilds scheduled.

With the approval of Action Plan Amendment 5B, an additional \$641 million of funding was allocated to the Single Family program, bringing total funding to \$1.022 billion. The amendment also includes updated information on the reimbursement and acquisition for redevelopment pathways.

For additional information on the status of this program, please refer to Activities A100-DEP-SFREHAB-LMH-T1-R01 and A101-HPD-SFRECON-LMH-T1-R01 later in this report.

### **Build It Back Multi-Family**

The Build it Back Multi-Family Program is designed to assist owners of properties with five or more units or owners of individual condominium or cooperative units located in multi-family structures. Financial assistance will be provided as a forgivable loan or grant to cover unmet need for rehabilitation of buildings that sustained damage as a result of Hurricane Sandy. The program also intends to reimburse property owners for the cost of repairs completed after the storm.

As of September 30, 2014, intake had been completed for 89% of 732 active registrants. The multi-family program has closed repair loans at 6 buildings and 114 individual condo/co-op unit owners. Construction is underway for all 120 projects. Reimbursement checks have been issued to 2 buildings and 17 individual condo/co-op unit owners. Tier II environmental reviews have been completed for 399 projects in the multi-family pipeline. The City anticipates closing on an additional 20 units and 20 building repair loans by the end of the Q4 2014.

Please note that this activity does not yet appear in the activity level reporting below. More information will be provided in an upcoming Quarterly Progress Report.

### **Temporary Disaster Assistance Program (TDAP)**

The City has created a rental subsidy program, Temporary Disaster Assistance Program (TDAP), to serve households displaced by Hurricane Sandy for up to 24 months.

Action Plan Amendment 5A updated the eligibility criteria for TDAP to include eligible households at or below 50% of Area Median Income (AMI) which relocated following Sandy and which now pay more than 40% of their income in rent. This amendment, approved on April 18, 2014, updated eligibility criteria allowing the program to meet the recently emerging needs of renters who had originally found housing right after Sandy but are now experiencing a significant rent hardship.

For information on the status of expenditures and beneficiaries for this program, please refer to Activity A109-HPD-TDAP-LMH-T1-R01 later in this report.

### **Public Housing (NYCHA)**

The New York City Housing Authority (NYCHA) will use CDBG-DR funding to design and construct improvements to public housing directly impacted by Hurricane Sandy. The Subrecipient Agreement (SRA) between the City and NYCHA was executed in January 2014 for the installation of emergency back-up generators at storm-damaged housing developments with the first tranche of \$108 million. With Amendment 5B to the City's Action Plan approved by HUD in May 2014, NYCHA has been allocated an additional \$200 million of funding for public housing activities, bringing its total allocation to \$308 million. Amendment 5B provides for use of CDBG-DR funds as local match to grants awarded by FEMA for permanent repairs, mitigation, and resiliency measures at hurricane-damaged facilities.

Also, CDBG-DR allocations will be assigned to cover those repair, mitigation, and resiliency costs not covered by insurance proceeds or FEMA assistance. Pending final determination of unmet needs for necessary repair work, NYCHA will prioritize those resiliency projects to be covered by the remaining CDBG-DR funds.

Due to the expanded scope of NYCHA's program, primarily funded with FEMA grants, NYCHA proceeded to work with FEMA's to complete Environmental and Historical Preservation Reviews of its proposed construction projects. Upon completion, the Environmental Review documents will be submitted to HUD for adoption to allow the use of CDBG-DR funds.

As of September 30, 2014, NYCHA has 35 coastal zone housing developments targeted to benefit from permanent repairs, mitigation, and resiliency measures that include installation of emergency back-up generators. Architectural/Engineering (A/E) firms have progressed significantly towards finalizing 100% design specifications and drawings for 19 category I and II damaged developments. The A/E design work for another 10 category III damaged developments were assigned during Q2, while the A/E firms to design specifications for the 6 most damaged developments will be procured during Q4. Construction contractor procurement commenced during the second quarter and construction work is scheduled to begin during the fourth quarter 2014.

Please note that this activity does not yet appear in the Activity Level reporting.

## **Business**

### **Business Resiliency Investment Program (BRIP)**

In July 2013, the New York City Economic Development Corporation (NYCEDC) released an RFP for consultant services to assist in designing this program and structuring a forthcoming Program Management Office (PMO) to manage its implementation. The selected consultant assisted in developing and releasing Request for Proposals (RFP) for the PMO and the drafting of the program's Policies and Procedures. The RFP for PMO services was released in January 2014 with submissions due in March 2014. NYCEDC is currently reviewing proposals.

EDC and the City are currently evaluating Business Recovery programs to determine next steps, including next steps for the Business Resiliency Investment Program. The City anticipates announcing any programmatic changes and/or award decisions for the Program Management Office RFP in the coming weeks.

### **Neighborhood Game Changer Investment Competition**

In June 2013, the New York City Economic Development Corporation (NYCEDC) released an RFP to identify and fund transformational investments in five areas impacted by Hurricane Sandy: the East and South Shores of Staten Island, Southern Manhattan, Southern Brooklyn, the Brooklyn-Queens Waterfront, and South Queens. The response period closed in September 2013 and NYCEDC, along with various citywide stakeholders representing all five impact areas, is currently reviewing proposals.

EDC and the City are currently evaluating Business Recovery programs to determine next steps, including next steps for the Neighborhood Game Changer Investment Competition. The City anticipates announcing any programmatic changes and/or award decisions in the coming weeks.

### **Resiliency Innovations for a Stronger Economy (RISE: NYC Competition)**

In April 2013, the New York City Economic Development Corporation (NYCEDC) released an RFP for consultant services to assist in structuring the RISE: NYC Competition. The selected consultant assisted with designing the program, developing Policies and Procedures and a corresponding Request for Proposals (RFP) to solicit proposals for technologies or solutions that help small businesses impacted by Hurricane Sandy by improving the resiliency of their building systems and infrastructure networks.

Proposals in response to the program's RFP were due in March 2014. NYCEDC received over 200 applications, including technologies from over 20 different countries and submissions from small businesses across all five boroughs of New York City. The most promising technologies were invited to move forward, with 27 finalists submitting detailed proposals in August 2014 for the competition's final stage.

EDC expects to select winning proposals in Q4 2014 or Q1 2015.

## **Infrastructure and Other City Services**

The cost-share reimbursement for Infrastructure and Other City Services (IOCS) programs will cover the CDBG-DR eligible unmet needs not fully reimbursed by FEMA Public Assistance. As per the Hurricane Sandy cost sharing agreement, New York City will provide a 90% FEMA Public Assistance share and a 10% CDBG-DR cost-share.

Thus far, the City has successfully provided critical CDBG-DR assistance to the Health and Hospitals Corporation (HHC) to ensure the continued operations of two important public benefit hospitals, Bellevue and Coney Island Hospitals, which suffered damage after Sandy. Approximately \$119 million for Bellevue Hospital and \$64 million for Coney Island Hospital has been reimbursed for operational readiness of its facilities through CDBG-DR funding.

The City is currently working on reviewing FEMA Project Worksheets to identify eligible activities that can be covered by CDBG-DR funds. In order for the CDBG-DR claim amounts to be determined, FEMA must approve the respective Project Worksheet and determine the final payout amount to the City. The City's expenditures then have to be reconciled with FEMA. Once all financials have been reconciled, a CDBG-DR claim can be made for the eligible activity. It is important to note that other costs that are not covered by FEMA may also be eligible for CDBG-DR funding.

## **Resilience**

### **Coastal Protection Program**

During Q3 2014, the Mayor's Office of Recovery and Resiliency (ORR) and the New York City Economic Development Corporation (NYCEDC) released three Requests for Proposals (RFP) related to coastal protection: 1) Raise Shorelines Study; 2) Waterfront Inspection Manual Update; and 3) Waterfront Mapping Study.

## Residential Building Mitigation Program

The Residential Building Mitigation Program will be administered by the New York City Department of Housing Preservation and Development (HPD) through the Build it Back Multi-Family program and offer loans and/or grants to owners of residential flood-impacted and vulnerable properties for the incremental cost of resiliency measures. These funds are not duplicative of Build it Back repairs.

During Q3 2014, HPD and ORR worked on developing policies and procedures which will be used to implement the program. It is expected that funds will initially be disbursed in 2014 and continue through the fall of 2015 or until funds are exhausted.

## Resilience Planning and Administration

Please note that the funding for these Planning and Administration activities are part of the allocation for Citywide Administration and Planning costs referred to in Section XI of the City's Action Plan.

During Q3 2014, ORR and coordinating Resilience program agencies continued to work on developing Policies and Procedures manuals.

New York City Department of Information Technology & Telecommunications

The Department of Information, Technology, and Telecommunications has completed hiring for resiliency planning.

New York City Department of City Planning

The Department of City Planning (DCP) launched the "Resilient Neighborhoods" program. DCP met with elected officials and community members to discuss flood risks, the upcoming changes to the FEMA Flood Insurance Rate Maps (FIRMs), and implications of changes to the National Flood Insurance Program. Through the Resilient Neighborhoods program, DCP will work with communities to identify changes to zoning as well as other actions, including infrastructure investments, to both help residents and businesses withstand and recover quickly from future storm and climate events as well as support the long-term vitality of neighborhoods.

## Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	\$0.00	\$1,347,820,000.00	\$0.00
Admin/Planning-R1, Administration and Planning	\$3,198,695.80	\$50,000,000.00	\$5,862,511.65
Business-R1, Business	\$1,186,310.80	\$57,650,000.00	\$2,240,039.03
Housing-R1, Housing	\$4,799,468.76	\$75,000,000.00	\$18,566,779.95
IOCS-R1, Infrastructure and Other City Services	\$0.00	\$250,000,000.00	\$183,000,000.00
Resilience-R1, Resilience	\$0.00	\$0.00	\$0.00

## Activities

**Project # / Title:** Admin/Planning-R1 / Administration and Planning

**Grantee Activity Number:** A003/A601-OMB-ADMIN-NA

**Activity Title:** OMB - Admin

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

New York City Office of Management and Budget

**Overall**

**Total Projected Budget from All Sources**

**Jul 1 thru Sep 30, 2014**

N/A

**To Date**

\$4,200,000.00

**Total Budget**

\$0.00

\$4,200,000.00

**Total Obligated**

\$497,669.12

\$1,987,586.12

**Total Funds Drawdown**

\$497,669.12

\$1,987,586.12

**Program Funds Drawdown**

\$471,588.10

\$1,938,118.45

**Program Income Drawdown**

\$26,081.02

\$49,467.67

**Program Income Received**

\$0.00

\$0.00

**Total Funds Expended**

\$668,694.28

\$2,169,885.31

New York City Office of Management and Budget

\$668,694.28

\$2,169,885.31

**Match Contributed**

\$0.00

\$0.00

**Activity Description:**

OMB Administration Salaries - Duties include monitoring New York City's allocation of Community Development Block Grant - Disaster Recovery program and funds. Responsibilities include compliance monitoring, DRGR reporting, providing technical assistance to agencies, conducting program and budget analysis, and reviewing program guidelines. The FY13, FY14, FY15 salaries include Fringe rates of 46%, 51%, and 48.1% respectively.

OMB Administration OTPS - Related to administrative and support services for the management and citizen participation necessary to formulate, implement, and evaluate the City's CDBG-DR Program.

**Location Description:**

255 Greenwich Street New York, NY 10007, 8th floor

**Activity Progress Narrative:**



In Q3 2014 the City drew down \$471,588.10 in program funds and \$26,081.02 in program income for a total of \$497,669.12. These draws covered staffing costs for OMB, including FY15 fringe rate of 48.10% (27.33% for overtime), and OTPS costs related to OMB's document management system.

### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

### Activity Supporting Documents

Document Name: PL 113-2 Contract Reporting Template.xlsx

---



**Grantee Activity Number:** A500-DEP-SFPLAN-NA

**Activity Title:** DEP - Planning - SF

**Activity Category:**

Planning

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Survey)

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Environmental Protection

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$2,350,000.00
<b>Total Budget</b>	\$0.00	\$2,350,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$85,675.85	\$11,413,379.33
Department of Environmental Protection	\$85,675.85	\$11,413,379.33
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This activity represents planning costs for the Build it Back Single Family Program. In coordination with the Mayor's Office of Housing Recovery Operations, the Single Family Program is being administered by staff from the Department of Environmental Protection.

**Location Description:**

250 Broadway, New York, NY 10007

**Activity Progress Narrative:**

This activity represents planning costs related to the Build it Back Single Family program. This includes payroll costs for staff working on planning activities including data gathering, analysis and research, environmental studies, and other preparation work needed to inform policy and management decisions in support of the City's housing recovery programs.

>  
>"Total Funds Expended" represents \$11,413,379.33 of city expenditures for planning costs during Q3 2014.



### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A500-DPR-DPRPLAN-NA  
**Activity Title:** Planning - Parks - Beaches

**Activity Category:**

Planning

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Parks and Recreation

Overall	Jul 1 thru Sep 30, 2014	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$10,000,000.00
<b>Total Budget</b>	\$0.00	\$10,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$573,000.00	\$5,194,000.00
Parks and Recreation	\$573,000.00	\$5,194,000.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The City's DPR Program is designed to design boardwalks, seawalls, dunes and other protective structures along 4.7 miles of shoreline in the Rockaways. The design shall provide for protective structures that are more resilient and able to withstand storm and tidal forces that may impact the coastline in future years. Design shall include boardwalks containing new furniture, plumbing and electrical fixtures and railings. The project site is located along the shoreline from approximately Beach 20th to approximately Beach 126th in Rockaway, Queens. Planning and design work will not be expanded to include sections of the Rockaways east of Beach 20th, west of Beach 126th, sections of the Coney Island Boardwalk and Staten Island without the explicit written authorization of OMB, DPR, and EDC and related agreements amended. The scope of the program will be consistent with eligibility under HUD CDBG-DR Allocation Rules and Regulations, and HUD CDBG Rules and Regulations.

**Location Description:**

**Activity Progress Narrative:**

This activity represents planning costs related to the design of the Rockaway Beach Boardwalk. The design and planning work of the Rockaway Beach Boardwalk site involve procurement for engineering, design and related consultant services. During Q4 2013, the City registered a consultant to lead design and engineering services for this activity for approximately \$8,100,000. The scope of services for this vendor includes leading a design team to provide civil, marine, environmental, landscape, architecture, cost estimating, permitting, and other planning services for this Rockaway Boardwalk project. Other tasks these funds are allocated for include: data gathering and analysis, shorefront investigation, technical surveys,



analysis of bids, and environmental assessment. During Q1 2014, the environmental review for this activity was completed. A Request for Qualifications for subcontractors was also issued during Q1 2014 and the application period closed during Q2 2014. The respondent applications to the Request for Qualifications are currently being evaluated by the project team to determine qualified subcontractors for bidding the work.

### Accomplishments Performance Measures

**No Accomplishments Performance Measures found.**

### Beneficiaries Performance Measures

**No Beneficiaries Performance Measures found.**

### Activity Locations

**No Activity Locations found.**

### Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

#### Other Funding Sources

**Amount**

No Other Funding Sources Found

Total Other Funding Sources

---

**Grantee Activity Number:** A500-HPD-MFPLAN-NA

**Activity Title:** HPD - Planning - MF

**Activity Category:**

Planning

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Survey)

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$1,650,000.00
<b>Total Budget</b>	\$0.00	\$1,650,000.00
<b>Total Obligated</b>	\$0.00	\$37,075.82
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$380,726.32	\$2,928,122.99
Housing and Preservation Development	\$380,726.32	\$2,928,122.99
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This activity represents planning costs related to the Multi-Family Program. In coordination with the Mayor's Office of Housing Recovery Operations, these activities are being administered by staff from the Department of Housing Preservation and Development.

**Location Description:**

100 Gold Street New York, NY 10038

**Activity Progress Narrative:**

This activity represents planning costs related to the Multi-Family Rehabilitation Program. This includes payroll costs for staff working on planning activities including data gathering, analysis and research, environmental studies, and other preparation work needed to inform policy and management decisions in support of the City's housing recovery programs. "Total Funds Expended" represents \$2,928,122.99 of city expenditures for planning costs during Q3 2014.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---

**Grantee Activity Number:** A500-TranslationServices-NA

**Activity Title:** Translation Services

**Activity Category:**

Planning

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

New York City Office of Management and Budget

**Overall**

	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$221,640.00
<b>Total Budget</b>	\$0.00	\$221,640.00
<b>Total Obligated</b>	\$0.00	\$44,105.00
<b>Total Funds Drawdown</b>	\$0.00	\$44,105.00
<b>Program Funds Drawdown</b>	\$0.00	\$44,105.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$24,668.00	\$68,773.00
LanguageLine Solutions	\$24,668.00	\$68,773.00
New York City Office of Management and Budget	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Translation services for CDBG-DR related material.

**Location Description:**

255 Greenwich Street, 8th floor New York, NY 10007

**Activity Progress Narrative:**

The City did not carry out any draws for this activity in Q3 2014.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A501-CITYHALLADMIN-NA

**Activity Title:** Cityhall Admin - Staffing

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

New York City Office of Management and Budget

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$810,000.00
<b>Total Budget</b>	\$0.00	\$810,000.00
<b>Total Obligated</b>	\$0.00	\$47,179.18
<b>Total Funds Drawdown</b>	\$0.00	\$47,179.18
<b>Program Funds Drawdown</b>	\$0.00	\$47,179.18
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$293,039.69	\$354,957.28
New York City Office of Management and Budget	\$293,039.69	\$354,957.28
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

City Hall administrative and support services that are necessary in implementing the City's CDBG-DR program. These activities have included and will include:

- FY13 Salaries plus FY13 Fringe Rate of 46%; FY14 Salaries plus FY14 Fringe Rate of 51%; FY15 Salaries plus FY15 Fringe Rate of 48.1%
- Supporting Citizen participation
- Coordinating with various city agencies

**Location Description:**

250 Broadway, Manhattan

**Activity Progress Narrative:**

The City did not carry out any draws for this activity in Q3 2014.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A501-HPD-SFPLAN-NA

**Activity Title:** HPD - Planning SF

**Activity Category:**

Planning

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$1,000,000.00
<b>Total Budget</b>	\$0.00	\$1,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Housing and Preservation Development	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This activity represents planning costs related to the Single-Family Program. In coordination with the Mayor's Office of Housing Recovery Operations, these activities are being administered by staff from the Department of Housing Preservation and Development.

**Location Description:**

**Activity Progress Narrative:**

This activity represents planning costs related to the reconstruction pathway within the Build it Back Single Family program. This includes payroll costs for staff working on planning activities including data gathering, analysis and research, environmental studies, and other preparation work needed to inform policy and management decisions in support of the City's housing recovery programs.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A510-QA-QC-IA-NA-T1-R01

**Activity Title:** QA-QC and Internal Audit

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

New York City Office of Management and Budget

**Overall**

	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$3,000,000.00
<b>Total Budget</b>	\$0.00	\$3,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
New York City Office of Management and Budget	\$0.00	\$0.00
WithumSmith Brown, PC	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Quality Control/Quality Assurance and Internal Audit services

**Location Description:**

255 Greenwich Street 8th floor New York NY 10007

**Activity Progress Narrative:**

The City did not carry out any draws for this activity in Q3 2014.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---

<b>Grantee Activity Number:</b>	<b>A512-Consulting-NA-T1-R01</b>
<b>Activity Title:</b>	<b>Disaster Recovery Consulting Services</b>

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

New York City Office of Management and Budget

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$15,000,000.00
<b>Total Budget</b>	\$0.00	\$15,000,000.00
<b>Total Obligated</b>	\$0.00	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Hagerty Consulting, Inc.	\$0.00	\$0.00
New York City Office of Management and Budget	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Technical assistance for City of New York on disaster recovery related matters

**Location Description:**

255 Greenwich Street, 8th floor  
New York, NY 10007

**Activity Progress Narrative:**

The City did not carry out any draws for this activity in Q3 2014.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A600-DEP-SFADMIN-NA  
**Activity Title:** DEP - Administration - SF

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Environmental Protection

Overall	Jul 1 thru Sep 30, 2014	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$3,000,000.00
<b>Total Budget</b>	\$0.00	\$3,000,000.00
<b>Total Obligated</b>	\$1,951,409.85	\$1,951,409.85
<b>Total Funds Drawdown</b>	\$1,951,409.85	\$1,951,409.85
<b>Program Funds Drawdown</b>	\$1,951,409.85	\$1,951,409.85
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$5,795,200.17	\$9,628,433.24
Department of Environmental Protection	\$5,795,200.17	\$9,628,433.24
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This activity represents administrative costs for the Build it Back Single Family Program. In coordination with the Mayor's Office of Housing Recovery Operations, the Single Family Program is being administered by staff from the Department of Environmental Protection.

**Location Description:**

250 Broadway, New York, NY 10007

**Activity Progress Narrative:**

This activity represents administrative costs related to the Build it Back Single Family program. This includes payroll costs for staff working on legal, compliance, data reporting, and budget functions.

>  
 >"Total Funds Expended" represents \$9,628,433.84 of city expenditures for administration costs during Q3 2014

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A600-HPD-MFADMIN-NA  
**Activity Title:** HPD - Administration - MF

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

Overall	Jul 1 thru Sep 30, 2014	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$2,000,000.00
<b>Total Budget</b>	\$0.00	\$2,000,000.00
<b>Total Obligated</b>	\$363,136.94	\$688,811.99
<b>Total Funds Drawdown</b>	\$326,061.12	\$688,811.99
<b>Program Funds Drawdown</b>	\$326,061.12	\$688,811.99
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$1,126,790.42	\$2,148,284.72
Housing and Preservation Development	\$1,126,790.42	\$2,148,284.72
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This activity represents administrative costs related to the Multi-Family Program. In coordination with the Mayor's Office of Housing Recovery Operations, these activities are being administered by staff from the Department of Housing Preservation and Development.

**Location Description:**

100 Gold Street, New York, NY 10038

**Activity Progress Narrative:**

This activity represents administrative costs related to the Multi-Family Rehabilitation program. This includes payroll costs for staff hired to work on general grant management, including budget analysts and management positions.

- >
- >"Total Funds Drawdown" reflects a draw of \$688,811.99 for this activity.
- >
- >"Total Funds Expended" represents \$2,148,284.72 of city expenditures for administration costs during Q3 2014.



### Accomplishments Performance Measures

No Accomplishments Performance Measures found.

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

#### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A600-ORR-ORRADMIN-NA

**Activity Title:** ORR-Admin-Staffing

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Mayoralty (Mayors Office)

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$2,200,000.00
<b>Total Budget</b>	\$0.00	\$2,200,000.00
<b>Total Obligated</b>	\$334,003.78	\$995,569.78
<b>Total Funds Drawdown</b>	\$334,003.78	\$995,569.78
<b>Program Funds Drawdown</b>	\$334,003.78	\$995,569.78
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$256,238.63	\$1,000,030.99
Mayoralty (Mayors Office)	\$256,238.63	\$1,000,030.99
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Mayor's Office of Recovery and Resiliency administrative and support services that are necessary in implementing the City's CDBG-DR program. These activities have included and will include:

- FY13 Salaries plus FY13 Fringe Rate of 46%; FY14 Salaries plus FY14 Fringe Rate of 51%; FY15 Salaries plus FY15 Fringe Rate of 48.1%
- Supporting Citizen participation
- Coordinating with various city agencies

**Location Description:**

253 Broadway, Manhattan

**Activity Progress Narrative:**

In Q3 2014 the City drew \$334,003.78 to cover ORR staffing costs including FY 15 fringe rate of 48.10%.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**

## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---

**Grantee Activity Number:** A600-SBS-ADMIN-NA-T1-R01

**Activity Title:** SBS - Admin

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$1,084,087.00
<b>Total Budget</b>	\$0.00	\$1,084,087.00
<b>Total Obligated</b>	\$0.00	\$65,177.85
<b>Total Funds Drawdown</b>	\$0.00	\$65,177.85
<b>Program Funds Drawdown</b>	\$0.00	\$65,177.85
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$287,815.83	\$453,162.83
Department of Small Business Services	\$287,815.83	\$453,162.83
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This program may be administered by the City's Department of Small Business Services (SBS). NYC Business Solutions Centers are managed by SBS and may conduct activities related to this program such as application intake and packaging.

Staff are available to assist applicants in multiple languages. The operator of the current program, New York Business Development Corporation, may continue to operate the program as a subrecipient, but a Community Development Financial Institution (CDFI) or other allowable entity may be chosen to operate the program as well.

**Location Description:**

110 William Street New York, NY 10038, 7th floor

**Activity Progress Narrative:**

SBS Administration staffing costs include the hiring of additional positions for Grant Management, such as budget and payable accounts personnel as well as other executives.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures found.**



## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---



**Grantee Activity Number:** A601-HPD-SFADMIN-NA

**Activity Title:** HPD - Administration SF

**Activity Category:**

Administration

**Project Number:**

Admin/Planning-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

N/A

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration and Planning

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$2,000,000.00
<b>Total Budget</b>	\$0.00	\$2,000,000.00
<b>Total Obligated</b>	\$115,632.95	\$132,139.55
<b>Total Funds Drawdown</b>	\$115,632.95	\$132,139.55
<b>Program Funds Drawdown</b>	\$115,632.95	\$132,139.55
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$182,496.76	\$305,414.85
Housing and Preservation Development	\$182,496.76	\$305,414.85
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

This activity represents administrative costs related to the Single-Family Program. In coordination with the Mayor's Office of Housing Recovery Operations, these activities are being administered by staff from the Department of Housing Preservation and Development.

**Location Description:**

**Activity Progress Narrative:**

This activity represents administrative costs related to the reconstruction pathway within the Build it Back Single Family program. This includes payroll costs for staff hired to work on general grant management, including budget analysts and management positions.

- >
- >"Total Funds Drawdown" reflects a draw of \$132,139.55 for this activity.
- >
- >"Total Funds Expended" represents \$305,414.85 of city expenditures for administration costs during Q3 2014.



## Accomplishments Performance Measures

No Accomplishments Performance Measures found.

## Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

### Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

---

## Project # / Title: Business-R1 / Business

**Grantee Activity Number:** A200-SBS-BLGP-LMA-T1-R01

**Activity Title:** SBS-Business Loan & Grant-LMA

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

### Overall

**Total Projected Budget from All Sources**

**Jul 1 thru Sep 30, 2014**

N/A

**To Date**

\$7,221,250.00

**Total Budget**

\$2,735,623.00

\$7,221,250.00

**Total Obligated**

\$92,075.63

\$92,925.51

**Total Funds Drawdown**

\$88,251.17

\$92,925.51

**Program Funds Drawdown**

\$88,251.17

\$92,925.51



<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$116.43	\$209.44
<b>Total Funds Expended</b>	\$2,312,813.04	\$2,796,381.58
Department of Small Business Services	\$2,312,813.04	\$2,796,381.58
<b>Match Contributed</b>	\$0.00	\$0.00

### Activity Description:

Expedited low-interest loans of up to \$150,000 on similar terms to the City's emergency loan program; and provide expedited grants of up to \$60,000 to eligible small businesses that serve Low-to-Moderate Income Service Areas. At the City's discretion, it may provide loans of up to \$1M and grants of up to \$100,000 to businesses that can demonstrate significant additional damage. Businesses that can demonstrate extreme hardship may be eligible to receive higher loan and grant amounts, grant-only funding in excess of \$100,000, as well as a grant without receiving a loan.

Program expenditures will also include activity delivery costs.

### Location Description:

### Activity Progress Narrative:

As of September 30, 2014, the Hurricane Sandy Business Loan and Grant Program has approved forty-two (42) awards under this Activity and partial award amounts have been disbursed to the businesses as reflected in "Total Funds Expended". For information on the status of the Hurricane Sandy Business Loan and Grant Program, refer to Activity A200-SBS-BLGP-LMJ-T1-R01

### Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	0/120

### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/118	0/0	0/118	100.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



**Other Funding Sources**

**Amount**

No Other Funding Sources Found

Total Other Funding Sources

---



<b>Grantee Activity Number:</b>	<b>A200-SBS-BLGP-LMJ-T1-R01</b>
<b>Activity Title:</b>	<b>SBS-Business Loan &amp; Grant-LMJ</b>

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Persons)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

Overall	Jul 1 thru Sep 30, 2014	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$7,221,250.00
<b>Total Budget</b>	(\$5,114,224.00)	\$7,221,250.00
<b>Total Obligated</b>	\$1,028,129.33	\$1,627,154.50
<b>Total Funds Drawdown</b>	\$725,277.61	\$1,627,154.50
<b>Program Funds Drawdown</b>	\$725,277.61	\$1,627,154.50
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$25,802.14	\$45,489.79
<b>Total Funds Expended</b>	\$2,770,982.44	\$4,599,513.65
Department of Small Business Services	\$2,770,982.44	\$4,599,513.65
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Expedited low-interest loans of up to \$150,000 on similar terms to the City's emergency loan program; and provide expedited grants of up to \$60,000 to eligible small businesses that create or retain jobs, at least 50% of which are LMI. At the City's discretion, it may provide loans of up to \$1M and grants of up to \$100,000 to businesses that can demonstrate significant additional damage. Businesses that can demonstrate extreme hardship may be eligible to receive higher loan and grant amounts, grant-only funding in excess of \$100,000, as well as a grant without receiving a loan.

Program expenditures will also include activity delivery costs.

**Location Description:**

**Activity Progress Narrative:**

As of September 30, 2014, the Hurricane Sandy Business Loan and Grant Program has 385 active applications since the program began accepting preliminary applications in May 2013. Of these 385 business applicants, 145 are in the process of intake, assessment, and packaging and 240 have been submitted to the program's primary lender, New York Local Development Corporation (NYLDC) for an underwriting decision. 87 businesses were approved for funding from the program as of September 30, 2014.

The City has decided to provide interim funding for grant and loan awards through this program from tax levy proceeds until it



has been confirmed that all funding has been spent on eligible expenses that are reimbursable by the Federal government. This interim funding is provided for three months of applicant costs and reconciled, after the three month period, with receipts, payrolls, and applicable costs incurred by the applicant. Once reconciled, these costs will be reimbursed by the Federal Government and be reflected in the City's Quarterly Progress Reports. As of September 30, 2014, six of the loan and grant awards have been partially or fully reimbursed through this grant.

"Total Funds Expended" for this and all of the following Hurricane Sandy Business Loan and Grant Activities represents all awards to applicants, program-related staff payroll, and associated costs paid as of September 30, 2014. "Total Funds Drawdown" for this and all of the following Hurricane Sandy Business Loan and Grant Activities reflect Federal reimbursements for program expenses as described above. All program costs to-date, excluding loan and grant awards are split using a weighted distribution among the Hurricane Sandy Business Loan and Grant Activities that follow, based on the amount budgeted for each Activity. Funding amounts budgeted for program activities represent 55/45 split between the National Objectives of Low- and Moderate-Income Job Creation/Retention and Urgent Need.

For this and all of the following Hurricane Sandy Business Loan and Grant Activities, the number of Permanent Jobs Created and the number of Permanent Jobs Retained will be reflected one (1) year after the issuance of an award to a business. As of yet, no awards have been in existence for a year and therefore there are no job statistics available to be reported at this time. The City anticipates that it will be able to report more information on jobs created and retained in future QPRs starting in Q4 2014.

For Q3 2014, the City has drawdown funds for (2) businesses reported under this activity. As of September 30, 2014, the Hurricane Sandy Business Loan and Grant Program has approved thirteen (13) awards under this Activity and partial award amounts has been disbursed to the businesses as reflected in "Total Funds Expended". During this quarter, the Total Budget for this activity is negative as a result of reallocations condensing activities for streamlining reporting metrics.

## Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Businesses	0	2/120

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/118	0/0	0/118	0

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/118	0/0	0/118	0

## Activity Locations

**No Activity Locations found.**

## Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	





**Grantee Activity Number:** A200-SBS-BLGP-UN-T1-R01  
**Activity Title:** SBS-Business Loan & Grant-UN

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Persons)

**National Objective:**

Urgent Need

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

Overall	Jul 1 thru Sep 30, 2014	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$13,207,500.00
<b>Total Budget</b>	(\$4,752,974.00)	\$13,207,500.00
<b>Total Obligated</b>	\$442,226.96	\$519,959.02
<b>Total Funds Drawdown</b>	\$372,782.02	\$519,959.02
<b>Program Funds Drawdown</b>	\$372,782.02	\$519,959.02
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$162.45	\$3,768.44
<b>Total Funds Expended</b>	\$3,586,692.43	\$4,452,727.04
Department of Small Business Services	\$3,586,692.43	\$4,452,727.04
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Expedited low-interest loans of up to \$150,000 on similar terms to the City's emergency loan program; and provide expedited grants of up to \$60,000 to eligible small businesses that meet the Urgent Need national objective. At the City's discretion, it may provide loans of up to \$1M and grants of up to \$100,000 to businesses that can demonstrate significant additional damage. Businesses that can demonstrate extreme hardship may be eligible to receive higher loan and grant amounts, grant-only funding in excess of \$100,000, as well as a grant without receiving a loan.

Program expenditures will also include activity delivery costs.

**Location Description:**

**Activity Progress Narrative:**

As of September 30, 2014, the Hurricane Sandy Business Loan and Grant Program has approved thirty-two (32) awards under this Activity, and partial award amounts have been disbursed to the businesses as reflected in "Total Funds Expended". During this quarter, the Total Budget for this activity is negative as a result of relocations condensing activities for streamlining reporting metrics.

For Q3 2014, the City has drawdown funds for four (4) business reported under this activity. For more information on the status of the Hurricane Sandy Business Loan and Grant Program, refer to Activity A200-SBS-BGLP-LMJ-T1-R01



## Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Businesses	0		4/196	

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/118	0/0	0/118	0

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/118	0/0	0/118	0

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A204-SBS-BGP-LMJ-T1-R01

**Activity Title:** SBS - Business Grant - LM

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Persons)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$5,625,000.00)	\$0.00
<b>Total Obligated</b>	(\$202,337.17)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses to create or retain jobs, at least 50% of which are LMI. These grants are available to eligible businesses impacted by Hurricane Sandy in all five boroughs. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMJ-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

	<b>This Report Period</b>	<b>Cumulative Actual Total / Expected</b>
	<b>Total</b>	<b>Total</b>
<b># of Businesses</b>	0	0/0



## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/0	0
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/0	0

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/0	0/0	0/0	0

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A207-SBS-BGPBK-LMA-T1-R01

**Activity Title:** SBS - Business Grant - LMA BK

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$2,045,455.00)	\$0.00
<b>Total Obligated</b>	(\$849.88)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted grants are available to eligible businesses impacted by Hurricane Sandy in Brooklyn. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Brooklyn

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period  
Total**

**Cumulative Actual Total / Expected  
Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/88	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/206	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A207-SBS-BGPBX-LMA-T1-R01

**Activity Title:** SBS - Business Grant - LMA BX

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$69,337.00)	\$0.00
<b>Total Obligated</b>	(\$28.81)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted grants are available to eligible businesses impacted by Hurricane Sandy in the Bronx. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Bronx

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period  
Total**

**Cumulative Actual Total / Expected  
Total**



# of Businesses

0

0/1

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/3	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/7	0.00

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

---



**Grantee Activity Number:** A207-SBS-BGPMH-LMA-T1-R01

**Activity Title:** SBS - Business Grant - LMA MH

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$1,880,778.00)	\$0.00
<b>Total Obligated</b>	(\$781.45)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted grants are available to eligible businesses impacted by Hurricane Sandy in Manhattan. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Manhattan

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period  
Total**

**Cumulative Actual Total / Expected  
Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/81	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/190	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

---



**Grantee Activity Number:** A207-SBS-BGPQS-LMA-T1-R01

**Activity Title:** SBS - Business Grant - LMA QS

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$918,721.00)	\$0.00
<b>Total Obligated</b>	(\$381.73)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted grants are available to eligible businesses impacted by Hurricane Sandy in Queens. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program.

Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Queens

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period**  
**Total**

**Cumulative Actual Total / Expected**  
**Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/40	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/93	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A207-SBS-BGPSI-LMA-T1-R01

**Activity Title:** SBS - Business Grant - LMA SI

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$710,709.00)	\$0.00
<b>Total Obligated</b>	(\$295.30)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted grants are available to eligible businesses impacted by Hurricane Sandy in Staten Island. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Staten Island

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period**  
**Total**

**Cumulative Actual Total / Expected**  
**Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/31	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/72	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A207-SBS-BLPBX-LMA-T1-R01

**Activity Title:** SBS - Business Loan - LMA BX

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$152,055.00)	\$0.00
<b>Total Obligated</b>	(\$28.81)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Loans up to \$150,000 (\$1,000,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted loans are available to eligible businesses impacted by Hurricane Sandy in the Bronx. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Bronx

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.



## Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Businesses	0		0/2	

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/6	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/13	0.00

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A207-SBS-BLPMH-LMA-T1-R01

**Activity Title:** SBS - Business Loan - LMA MH

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Activity Status:**

Under Way

**Project Number:**

Business-R1

**Project Title:**

Business

**Projected Start Date:**

08/16/2013

**Projected End Date:**

08/15/2015

**Benefit Type:**

Area Benefit (Census)

**Completed Activity Actual End Date:**

**National Objective:**

Low/Mod

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$4,124,496.00)	\$0.00
<b>Total Obligated</b>	(\$781.45)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Loans up to \$150,000 (\$1,000,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted loans are available to eligible businesses impacted by Hurricane Sandy in Manhattan. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period  
Total**

**Cumulative Actual Total / Expected  
Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/154	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/359	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

---



**Grantee Activity Number:** A207-SBS-BLPQS-LMA-T1-R01

**Activity Title:** SBS - Business Loan - LMA QS

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$2,014,731.00)	\$0.00
<b>Total Obligated</b>	(\$381.73)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Loans up to \$150,000 (\$1,000,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted loans are available to eligible businesses impacted by Hurricane Sandy in Queens. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program.

Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Queens

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period**  
**Total**

**Cumulative Actual Total / Expected**  
**Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/75	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/175	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A207-SBS-BLPSI-LMA-T1-R01

**Activity Title:** SBS - Business Loan - LMA SI

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Area Benefit (Census)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$1,558,565.00)	\$0.00
<b>Total Obligated</b>	(\$295.30)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Loans up to \$150,000 (\$1,000,000 in special circumstances) to eligible small businesses that serve an primarily residential area with residents that are at least 51% LMI. This activity's budgeted loans are available to eligible businesses impacted by Hurricane Sandy in Staten Island. Because the volume of applications by borough is currently unknown, the budget is approximated based on the borough's relative demand for the SBA's Economic Injury Disaster Loan Program. Budgets may change to reflect actual demand for assistance through this program. Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

Staten Island

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-LMA-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

**This Report Period  
Total**

**Cumulative Actual Total / Expected  
Total**



### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/0	0/0	0/58	0.00
# of Permanent Jobs Retained	0	0	0	0/0	0/0	0/136	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A208-SBS-BGP-UN-T1-R01

**Activity Title:** SBS - Business Grant - UN

**Activity Category:**

Econ. development or recovery activity that creates/retains jobs

**Project Number:**

Business-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Persons)

**National Objective:**

Urgent Need

**Activity Status:**

Under Way

**Project Title:**

Business

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Small Business Services

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$0.00
<b>Total Budget</b>	(\$11,250,000.00)	\$0.00
<b>Total Obligated</b>	(\$169,959.49)	\$0.00
<b>Total Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Funds Drawdown</b>	\$0.00	\$0.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$0.00
Department of Small Business Services	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Grants up to \$60,000 (\$100,000 in special circumstances) to eligible small businesses that were impacted by Hurricane Sandy in all five boroughs.

Program expenditures include activity delivery costs such as laptops for the intake solution centers.

**Location Description:**

**Activity Progress Narrative:**

During this quarter, the Total Budget for this activity is negative and its To Date budget has been zeroed out as a result of reallocation into A200-SBS-BLGP-UN-T01-R01. All prior obligations and expenditures have been condensed into stated activity for streamlining reporting metrics.

**Accomplishments Performance Measures**

	<b>This Report Period</b>	<b>Cumulative Actual Total / Expected</b>
	<b>Total</b>	<b>Total</b>
<b># of Businesses</b>	0	0/129



## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Created	0	0	0	0/485	0/0	0/485	0
# of Permanent Jobs Retained	0	0	0	0/1133	0/0	0/1133	0

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/1618	0/0	0/1618	0

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

## Project # / Title: Housing-R1 / Housing

**Grantee Activity Number:** A100-DEP-SFREHAB-LMH

**Activity Title:** DEP - SF Rehab LMI

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Project Number:**

Housing-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Households)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Housing

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Environmental Protection

Overall

Jul 1 thru Sep 30, 2014

To Date



<b>Total Projected Budget from All Sources</b>	N/A	\$17,000,000.00
<b>Total Budget</b>	\$0.00	\$17,000,000.00
<b>Total Obligated</b>	\$2,204,971.38	\$10,426,966.96
<b>Total Funds Drawdown</b>	\$2,204,971.38	\$10,342,151.13
<b>Program Funds Drawdown</b>	\$2,204,971.38	\$10,342,151.13
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$3,907,976.90	\$27,243,922.93
Department of Environmental Protection	\$3,619,537.14	\$14,068,498.93
Dewberry	\$0.00	\$0.00
E. Fitzgerald Co. Inc.	\$0.00	\$0.00
IBTS	\$0.00	\$0.00
Perez	\$0.00	\$0.00
Public Financial Management	\$288,439.76	\$13,175,424.00
Rockaway	\$0.00	\$0.00
Volmar Construction, Inc.	\$0.00	\$0.00
<b>Match Contributed</b>	\$0.00	\$0.00

### Activity Description:

The Build it Back Single-Family Program is designed to assist owners of properties with one to four units affected by Hurricane Sandy. Under the program's rehabilitation pathway, homeowners will be receive grants towards the repair of damage to their homes. For these purposes, the City defines homes as single-family homes with one to four units that are either owner-occupied or occupied by a year-round tenant.

The program may also provide reimbursement to homeowners who have completed Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements.

### Location Description:

### Activity Progress Narrative:

As of September 30, 2014 the Build it Back program has presented over 6,000 participants with award pathways, with over 1,000 of those offers made during Q3 2014. More than 3,200 participants have accepted offerings and are moving through the first stages of their award paths. This represents over 1,200 participants accepting offers since June 30, 2014. Included in that number are over 1,000 repair participants who are in the process of having their scope of work prepared, and over 150 homes with rebuild and elevation design process underway. The first repair projects began in late March 2014. As of September 30, 2014 nearly 500 home repairs were scheduled. Additionally, over 600 reimbursement checks were sent out to participants who spent their own funds to repair their homes.

>  
>This activity represents the portion of funds from the Build it Back Single Family program dedicated to the repair pathway for households meeting the low-to-moderate income national objective.

>  
>"Total Funds Drawdown" reflects reimbursement by the Federal government for \$10,342,151.13 of activity delivery costs for low-to-moderate income applicants during Q3 2014. The City drew down \$3,811,030.10 for 267 reimbursement checks issued to low-to-moderate income households. The remaining \$6,531,121.03 reflects payments to the City's case management vendor for start-up costs and applicant intake since the program began in June 2013.

>  
>"Total Funds Expended" represents \$\$27,243,922.93 of city expenditures for program operational costs, case management and pre-construction contract costs, and reimbursement checks for applicants during Q3 2014.



## Accomplishments Performance Measures

	This Report Period Total	Cumulative Actual Total / Expected Total
# of Substantially Rehabilitated Units	0	0/0

	This Report Period Total	Cumulative Actual Total / Expected Total
# of Housing Units	31	267/2209
# of Singlefamily Units	31	267/2209

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	17	14	31	143/2209	124/0	267/2209	100.00

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

**Grantee Activity Number:** A100-HPD-SFRECON-LMH

**Activity Title:** HPD - SF Recon LMI

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Project Number:**

Housing-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Households)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Housing

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$7,000,000.00
<b>Total Budget</b>	\$0.00	\$7,000,000.00
<b>Total Obligated</b>	\$0.00	\$467,992.62
<b>Total Funds Drawdown</b>	\$0.00	\$467,992.62
<b>Program Funds Drawdown</b>	\$0.00	\$467,992.62
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$5,439,572.76	\$6,317,188.85
Housing and Preservation Development	\$5,439,572.76	\$6,317,188.85
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The Build it Back Single-Family Program is designed to assist owners of properties with one to four units affected by Hurricane Sandy. Under the program's reconstruction pathway, assistance will be provided to owners of residential property that has been destroyed or is determined to be more feasible to reconstruct than to rehabilitate. Assistance will take the form of a forgivable loan. For these purposes, the City defines homes as single-family homes with one to four units that are either owner-occupied or occupied by a year-round tenant.

For reconstruction, homeowners may work with qualified developers that will be selected by the City to reconstruct their homes with pre-designed homes approved by the City; or homeowners may also select their own architect and contractor and seek direct approval from the City on proposed designs, budget, and reconstruction of their home.

**Location Description:**

**Activity Progress Narrative:**

As of September 30, 2014 the Build it Back program has presented over 6,000 participants with award pathways, with over 1,000 of those offers made during Q3 2014. More than 3,200 participants have accepted offerings and are moving through the first stages of their award paths. This represents over 1,200 participants accepting offers since June 30, 2014. Included in that number are over 1,000 repair participants who are in the process of having their scope of work prepared, and over 150 homes with rebuild and elevation design process underway. The first repair projects began in late March 2014. As of September 30, 2014 nearly 500 home repairs were scheduled. Additionally, over 600 reimbursement checks were sent out to participants who



spent their own funds to repair their homes.

>

>This activity represents the portion of funds from the Build it Back Single Family program dedicated to the reconstruction pathway. Costs include City and vendor staff working on program design and implementation, case management/customer intake, environmental work, pre-construction services, and architectural scoping. As of September 30, 2014, over 180 applicants have selected the reconstruction pathway and cleared Quality Control review with the Housing Recovery Office, and are working with the Development Teams. The City closed on the first reconstruction project in Queens on May 9, 2014. The City completed its first reconstruction project on August 25, 2014 and as of September 30, 2014 has 11 reconstruction projects scheduled.

>

>"Total Funds Drawdown" reflects reimbursement by the Federal government for \$467,992.62 of activity delivery costs for low-to-moderate income applicants. These drawdowns reflect payments to the City's case management vendor for start-up costs and applicant intake since the program began in June 2013.

>

>"Total Funds Expended" represents \$6,317,188.85 of city expenditures for program operational costs and closing costs during Q3 2014.

## Accomplishments Performance Measures

	This Report Period Total	Cumulative Actual Total / Expected Total
# of Substantially Rehabilitated Units	0	0/0

	This Report Period Total	Cumulative Actual Total / Expected Total
# of Housing Units	0	0/116
# of Singlefamily Units	0	0/116

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	0	0/116	0/0	0/116	0

## Activity Locations

**No Activity Locations found.**

## Other Funding Sources Budgeted - Detail

**No Other Match Funding Sources Found**

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A101-DEP-SFREHAB-UN

**Activity Title:** DEP - SF Rehab UN

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Project Number:**

Housing-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Households)

**National Objective:**

Urgent Need

**Activity Status:**

Under Way

**Project Title:**

Housing

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Department of Environmental Protection

Overall	Jul 1 thru Sep 30, 2014	To Date
<b>Total Projected Budget from All Sources</b>	N/A	\$11,306,250.00
<b>Total Budget</b>	\$0.00	\$11,306,250.00
<b>Total Obligated</b>	\$2,594,497.38	\$6,363,390.46
<b>Total Funds Drawdown</b>	\$2,594,497.38	\$6,448,206.29
<b>Program Funds Drawdown</b>	\$2,594,497.38	\$6,448,206.29
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$3,125,021.58	\$8,831,514.64
Department of Environmental Protection	\$3,125,021.58	\$8,831,514.64
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The Build it Back Single-Family Program is designed to assist owners of properties with one to four units affected by Hurricane Sandy. Under the program's rehabilitation pathway, homeowners will be receive grants towards the repair of damage to their homes. For these purposes, the City defines homes as single-family homes with one to four units that are either owner-occupied or occupied by a year-round tenant.

The program may also provide reimbursement to homeowners who have completed Sandy-related rehabilitation work with personal resources, subject to restrictions in line with applicable laws, regulations, and the program requirements.

**Location Description:**

**Activity Progress Narrative:**

This activity represents the allocation of funds for the Build it Back Single Family rehabilitation pathway for households meeting the Urgent Need national objective.

>Please see the Activity Progress Narrative under Activity A100-DEP-SFREHAB-LMH-T1-R01 for an overall update on the repair and rehabilitation pathway.

>

>"Total Funds Drawdown" reflects reimbursement by the Federal government for \$6,448,206.29 of activity delivery costs for urgent need applicants during Q3 2014. The City drew down \$1,153,828.61 for 64 reimbursement checks issued to urgent need households. The remaining \$5,294,377.68 reflects payments to the City's case management vendor for start-up costs and



applicant intake since the program began in June 2013.

>  
>"Total Funds Expended" represents \$8,831,514.64 of city expenditures for program operational costs and reimbursement checks for applicants.

### Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Substantially Rehabilitated Units	0		0/0	

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Housing Units	37		64/736	
# of Singlefamily Units	37		64/736	

### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	37	0/736	0/0	64/736	0.00

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



**Grantee Activity Number:** A101-HPD-SFRECON-UN

**Activity Title:** HPD - SF Recon UN

**Activity Category:**

Rehabilitation/reconstruction of residential structures

**Project Number:**

Housing-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Households)

**National Objective:**

Urgent Need

**Activity Status:**

Under Way

**Project Title:**

Housing

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$5,250,000.00
<b>Total Budget</b>	\$0.00	\$5,250,000.00
<b>Total Obligated</b>	\$0.00	\$346,513.31
<b>Total Funds Drawdown</b>	\$0.00	\$346,513.31
<b>Program Funds Drawdown</b>	\$0.00	\$346,513.31
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$3,787,415.60	\$4,146,342.87
Housing and Preservation Development	\$3,787,415.60	\$4,146,342.87
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The Build it Back Single-Family Program is designed to assist owners of properties with one to four units affected by Hurricane Sandy. Under the program's reconstruction pathway, assistance will be provided to owners of residential property that has been destroyed or is determined to be more feasible to reconstruct than to rehabilitate. Assistance will take the form of a forgivable loan. For these purposes, the City defines homes as single-family homes with one to four units that are either owner-occupied or occupied by a year-round tenant.

For reconstruction, homeowners may work with qualified developers that will be selected by the City to reconstruct their homes with pre-designed homes approved by the City; or homeowners may also select their own architect and contractor and seek direct approval from the City on proposed designs, budget, and reconstruction of their home.

**Location Description:**

**Activity Progress Narrative:**

This activity represents the allocation of funds for the Build it Back Single Family reconstruction pathway for households meeting the Urgent Need national objective.

>Please see the Activity Progress Narrative under Activity A100-HPD-SFRECON-LMH-T1-R01 for an overall update on the reconstruction pathway.

>

>"Total Funds Drawdown" reflects reimbursement by the Federal government for \$346,513.30 of activity delivery costs for



urgent need applicants. These drawdowns reflect payments to the City's case management vendor for start-up costs and applicant intake since the program began in June 2013.

>  
>"Total Funds Expended" represents \$4,146,342.87 of city expenditures for program operational costs and closing costs during Q3 2014

### Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Substantially Rehabilitated Units	0		0/0	

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Housing Units	0		0/39	
# of Singlefamily Units	0		0/39	

### Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total Low/Mod%	
# of Households	0	0	0	0/39	0/0	0/39	0

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



<b>Grantee Activity Number:</b>	<b>A109-HPD-TDAP-LMH</b>
<b>Activity Title:</b>	<b>HPD - Rental Assistance TDAP</b>

**Activity Category:**

Public services

**Project Number:**

Housing-R1

**Projected Start Date:**

08/16/2013

**Benefit Type:**

Direct Benefit (Households)

**National Objective:**

Low/Mod

**Activity Status:**

Under Way

**Project Title:**

Housing

**Projected End Date:**

08/15/2015

**Completed Activity Actual End Date:**

**Responsible Organization:**

Housing and Preservation Development

<b>Overall</b>	<b>Jul 1 thru Sep 30, 2014</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$4,800,000.00
<b>Total Budget</b>	\$0.00	\$4,800,000.00
<b>Total Obligated</b>	\$0.00	\$961,916.60
<b>Total Funds Drawdown</b>	\$0.00	\$961,916.60
<b>Program Funds Drawdown</b>	\$0.00	\$961,916.60
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$365,494.73	\$2,077,757.05
Housing and Preservation Development	\$365,494.73	\$2,077,757.05
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

The City will use CDBG-DR funds to create a rental subsidy program, Temporary Disaster Assistance Program (TDAP), to serve households displaced by Hurricane Sandy for up to 24 months. The City will assist households in finding apartments in the existing affordable housing portfolio, or participants may identify their own apartment. Clients will sign leases directly with the property owners, and will be responsible for paying up to 30% of income in rent. The City will use CDBG-DR to cover the gap between the contract rent and tenant share. To the extent practical, the program will be modeled to follow the regulations and procedures of Section 8 (units must pass Housing Quality Standards, etc.).

**Location Description:**

**Activity Progress Narrative:**

As of September 30, 2014 the program had received 565 applications. Of these, 148 households are currently receiving rental assistance vouchers (through September 2014). An additional 116 have been approved for vouchers and are awaiting lease-up. New York City Housing Preservation & Development (HPD) received expedited permission from HUD in April 2014 to expand TDAP eligibility criteria to include previously ineligible applicants who were still experiencing a significant rent burden. As of September 2014, HPD has reported the following additional outcomes due to the expanded eligibility criteria: out of a calling list of 936 previously identified potentially eligible applicants, TDAP program staff were able to reach an additional 215 applicants (23%) to offer assistance. The City held 8 additional application workshops and a total of 75 applicants were submitted for verification and determination for eligibility for coupon briefings (Note: there were a total of 196 applicants who



declined assistance since starting this additional outreach).

>  
 >"Total Funds Drawdown" reflects reimbursement by the Federal government for program staffing costs for December 2013 and January 2014 totaling \$320,268.84. The remaining \$211,485 represents reimbursement for rental voucher payments released in January. The beneficiary number associated with this draw is 65 households.

>  
 >"Total Funds Expended" represents \$2,077,757 of city expenditures for program operational costs and rental assistance vouchers during Q3 2014.

## Accomplishments Performance Measures

	This Report Period		Cumulative Actual Total / Expected	
	Total		Total	
# of Public Facilities	0		0/0	
# of Businesses	0		0/0	
# of Non-business Organizations	0		0/0	

## Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Cases opened	0	0	0	0/0	0/0	0/0	0
# of Cases closed	0	0	0	0/0	0/0	0/0	0

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Households	0	0	0	65/600	0/0	65/600	100.00

## Activity Locations

No Activity Locations found.

## Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

## Monitoring, Audit, and Technical Assistance

Event Type	This Report Period	To Date
Monitoring, Audits, and Technical Assistance	0	24
Monitoring Visits	0	0



Audit Visits	0	0
Technical Assistance Visits	0	24
Monitoring/Technical Assistance Visits	0	0
Report/Letter Issued	0	0

