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Executive Director's Monthly Report

March 2016
(Statistics for February 2016)

Contents

Executive Summary

The Civilian Complaint Review Board ("CCRB") is an independent municipal agency that investigates complaints of NYPD misconduct. Every month, the CCRB prepares an Executive Director report for its public meeting. This month's report illustrates that some of the trends noted in the CCRB's recent Semi-Annual Report continue - Investigations are being conducted more efficiently than any period in the Agency's history. The raw number of substantiations and percentage of cases being substantiated are at historic levels. Video evidence is playing a crucial role in the outcome of cases. Data for February 2016 included the following highlights:

1) The CCRB continues to close its cases more efficiently. Of the cases that remain in the CCRB active docket, 95% have been open for four months or less, and a record 99% have been open for seven months or less (page 10). In February, the CCRB opened 357 new cases (page 4), and currently has a docket of 1,010 cases (page 11).

2) In February 2016, the CCRB substantiated allegations in 29% of its fully investigated cases which marks the eleventh straight month the CCRB has substantiated more than 20% of its cases (page 19). In this same month, the CCRB substantiated 16% of its allegations (page 17).

3) In February 2016, the CCRB fully investigated 52% of the cases it closed, and resolved (fully investigated, mediated or mediation attempted) 63% of the cases it closed. With a truncation rate of 37% in February 2016, the Agency had the lowest truncation rate since July 2015 (page 12).

4) For February, investigations using video evidence resulted in substantiated allegations in 42% of cases – compared to 23% of substantiated cases in which video was not available (page 19).

5) The Monthly Report includes a breakdown of complaints and substantiations by NYPD precinct and borough of occurrence (pages 5-6).

6) In February, the Police Commissioner finalized penalty decisions against 24 officers; 17 of these were guilty verdicts won by the CCRB's Administrative Prosecution Unit (APU) which prosecutes the most serious allegations of misconduct (page 30).

Finally, the Monthly Report contains a Table of Contents, Glossary, and Appendix, all meant to assist readers in navigating this report. The CCRB is committed to producing monthly reports that are valuable to the public, and welcome feedback on how to make our data more accessible.

Glossary

In this glossary we have included a list of terms that regularly appear in our reports.

Allegation: An allegation is a specific act of misconduct. The same "complaint" can have multiple allegations – excessive force and discourteous language, for example. Each allegation is reviewed separately during an investigation.

APU: The Administrative Prosecution Unit is the division of the CCRB that has prosecuted "charges" cases since April 2013, after the signing of a 2012 Memorandum of Understanding between the CCRB and NYPD.

Board Panel: The "Board" of the CCRB has 13 members appointed by the mayor. Of the 13 members, five are chosen by the Mayor, five are chosen by the City Council, and three are chosen by the Police Commissioner. Following a completed investigation by the CCRB staff, three Board members, sitting as a Board Panel, will make a finding on whether misconduct occurred and will make a recommendation on what level of penalty should follow.

Case/Complaint: For the purposes of CCRB data, a "case" or "complaint" is defined as any incident within the Agency's jurisdiction, brought to resolution by the CCRB. Cases/ Complaints thus include truncations, fully investigated or ongoing cases, mediations, and completed investigations pending Board Panel review.

Disposition: The Board's finding as to the outcome of a case (i.e. if misconduct occurred).

FADO: Under the City Charter, the CCRB has jurisdiction to investigate the following categories of police misconduct: Force, Abuse of Authority, Discourtesy, and Offensive Language, collectively known as "FADO".

Intake: CCRB's Intake team initially handles complaints from the public. Intake takes complaints that come via live phone calls, voicemails, an online complaint form, or in-person.

Investigation: CCRB investigators gather evidence and interview witnesses to prepare reports on misconduct allegations. An investigation ends when a closing report is prepared detailing the evidence and a legal analysis, and the case is given to the Board for disposition.

Mediation: A complainant may mediate his or her case with the subject officer, in lieu of an investigation, with the CCRB providing a neutral, third-party mediator.

Truncation: If a case is not fully investigated due to the victim's lack of interest or availability, the case is closed and is considered "truncated."

Complaints Received

The CCRB's Intake team processes misconduct complaints from the public and referrals from the NYPD. Under the New York City Charter, the CCRB's jurisdiction is limited to allegations of misconduct related to Force, Abuse of Authority, Discourtesy and Offensive Language. All other complaints are referred to the appropriate agency. Figure 1 refers to all complaints that CCRB receives and Figures 2 and 3 refer to new cases that remain with the Agency. In February 2016, the CCRB initiated 357 new complaints.

Figure 1: Total Intake by Month (January 2015 - February 2016)

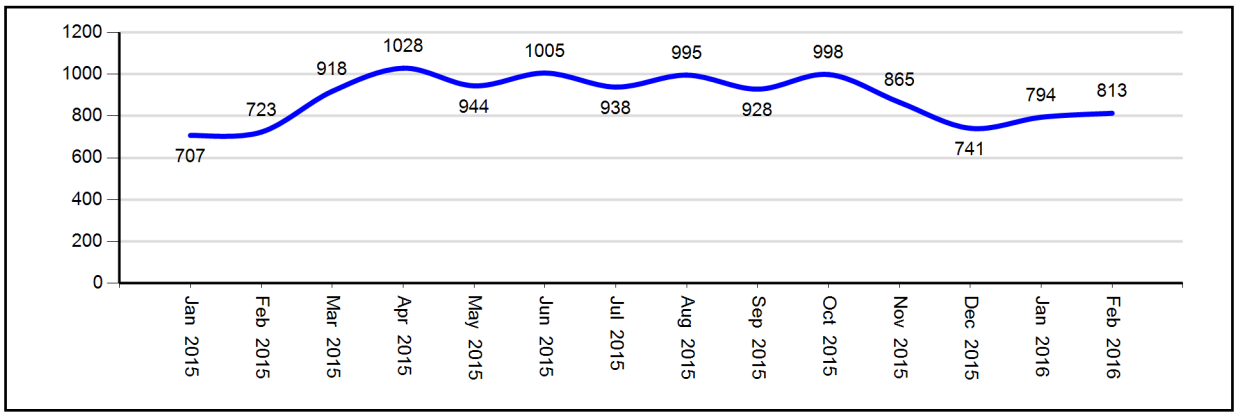


Figure 2: New CCRB Complaints by Month (January 2015 - February 2016)

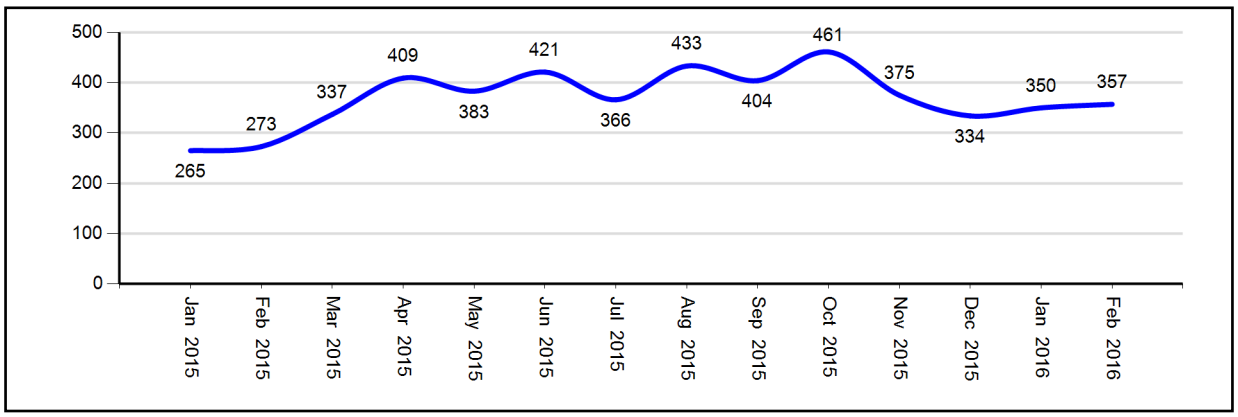
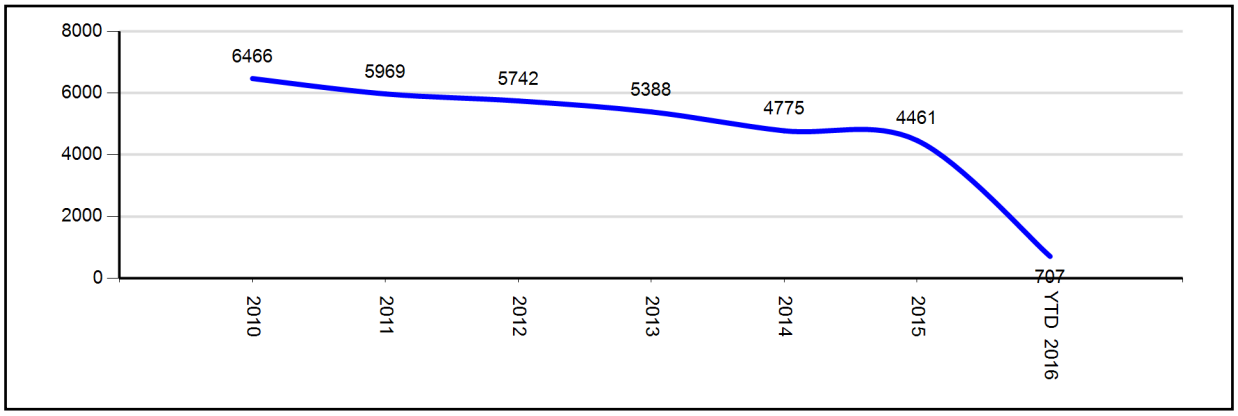


Figure 3: New CCRB Complaints by Year (2010 - YTD 2016)



CCRB Cases Received by Borough and Precinct

Of the five boroughs, the largest number of misconduct complaints stemmed from incidents occurring in Brooklyn, followed closely by Manhattan. The largest number of incidents (21) took place in the 75th Precinct which includes Cypress Hills, Starrett City, and City Line.

Figure 4: CCRB Complaints Received By Borough of Occurrence (February 2016)

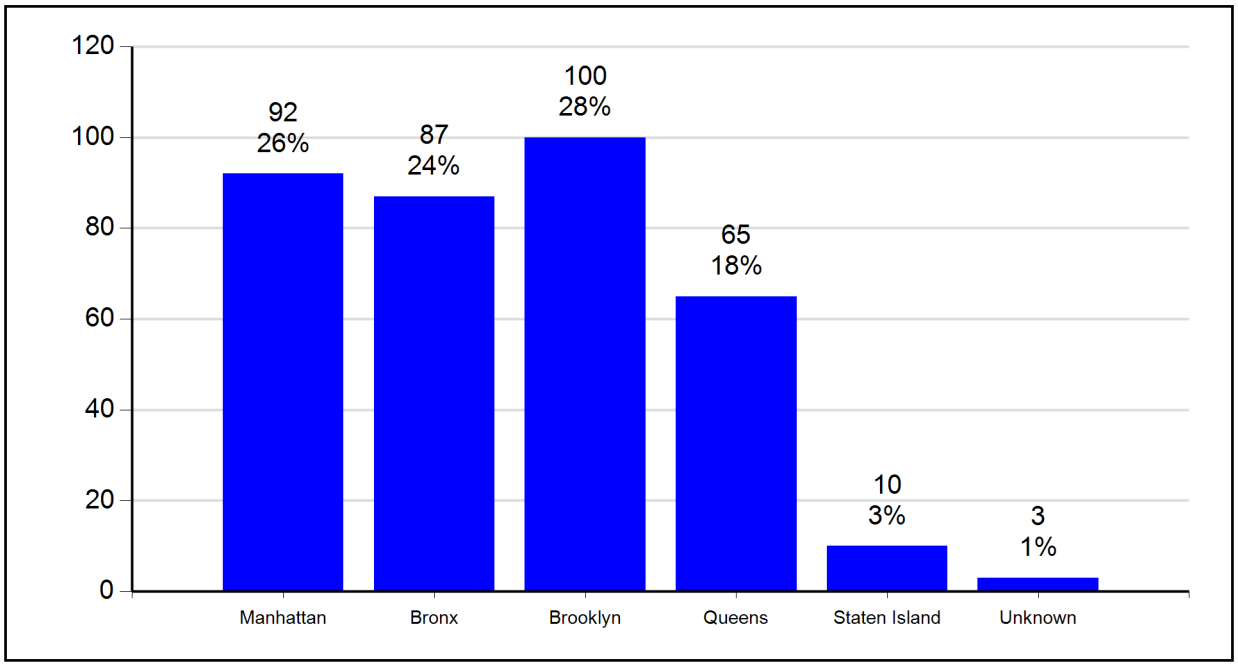


Figure 5: CCRB Complaints Received By Borough of Occurrence (YTD 2016)

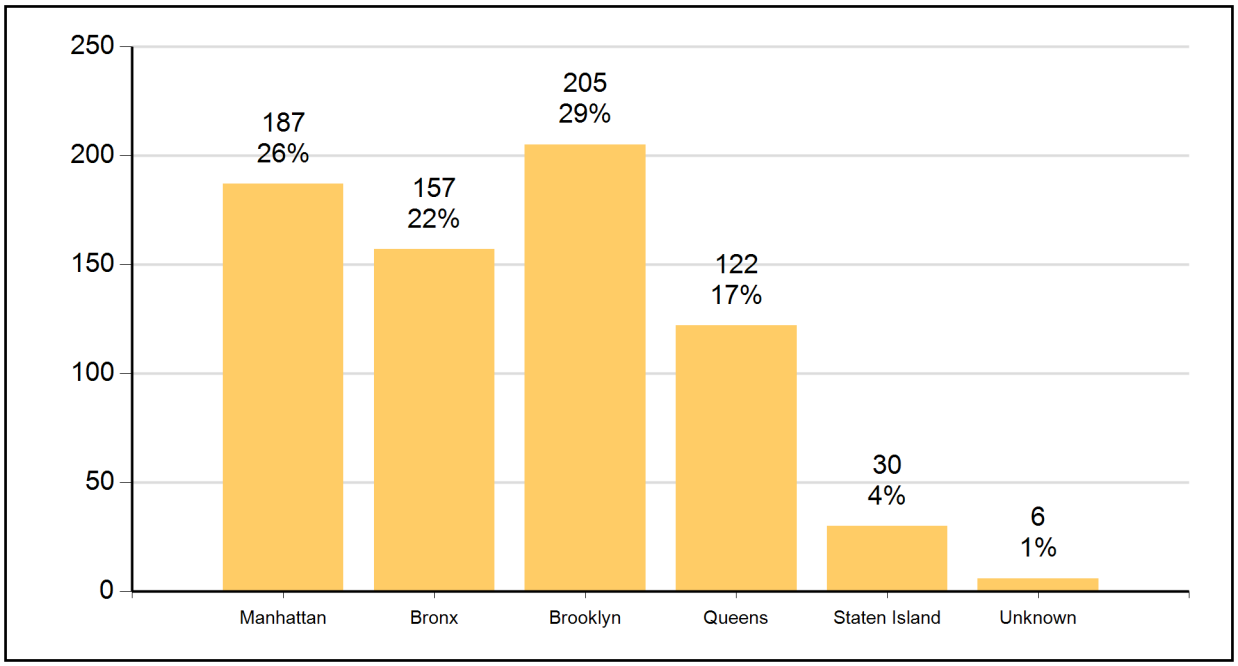


Figure 6: CCRB Complaints Received By Precinct of Occurrence (February 2016)

NYPD Precinct of Occurrence*	Number of Complaints
1	5
5	7
6	4
7	1
9	6
10	4
13	8
14	8
17	1
18	7
19	5
20	4
23	9
24	4
25	11
26	3
28	3
30	5
32	4
33	5
34	11
40	17
41	8
42	10
43	4
44	4
45	2
46	12
47	9
48	6
49	8
50	3
52	8
60	5
61	1
62	2
63	4
66	3

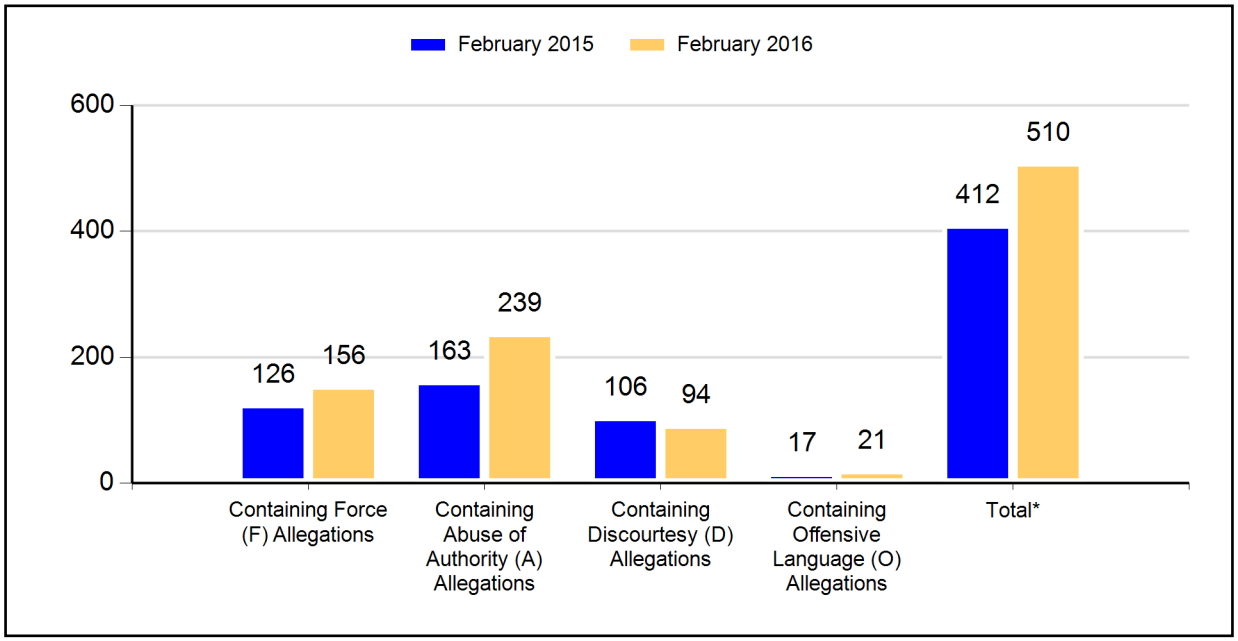
NYPD Precinct of Occurrence*	Number of Complaints
67	14
68	3
69	8
70	4
71	10
72	3
73	18
75	21
76	2
77	9
78	4
79	12
81	7
83	7
84	7
88	8
90	4
94	2
100	2
101	4
102	1
103	6
104	4
105	13
106	4
107	5
108	2
109	4
110	3
111	2
112	2
113	8
114	6
115	4
120	6
121	6
122	1
123	4
Unknown	10

*These figures track where an incident occurred, not necessarily the Command of the officer. For example, a complaint filed against officers assigned to a Narcotics unit working in East New York would be counted as occurring in the 75th Precinct.

Allegations Received

As described in the previous section, the CCRB has jurisdiction over four categories of NYPD misconduct. In comparing February 2015 to February 2016, the number of complaints that have at least one Force, Offensive Language or Abuse of Authority allegation are up from a year ago, compared to complaints that have at least one Discourtesy allegation that are down from a year ago. The total number of complaints is up 31% from February 2015, and the total number of allegations is up 24% from February 2015. It is important to note that December 2014 to mid-January 2015 was around the time of the officer slowdown which continued to be noticeable into February 2015.

Figure 7: CCRB Complaints Received By Type of Allegation (February 2015 vs. February 2016)



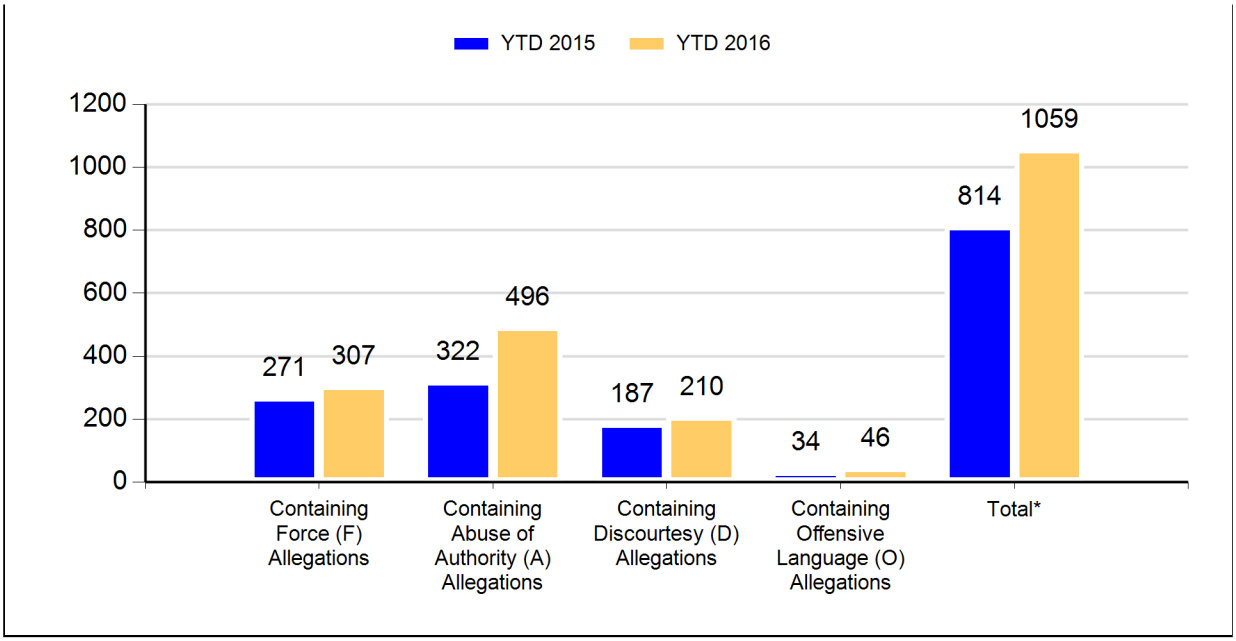
*This is the total of distinct FADO allegation types in complaints received.

Figure 8: CCRB Complaints Received By Type of Allegation (% of Complaints)

	February 2015		February 2016			
	Count	% of Total Complaints	Count	% of Total Complaints	Change	% Change
Force (F)	126	46%	156	44%	30	24%
Abuse of Authority (A)	163	60%	239	67%	76	47%
Discourtesy (D)	106	39%	94	26%	-12	-11%
Offensive Language (O)	17	6%	21	6%	4	24%
Total FADO Allegations	412		510		98	24%
Total Complaints	273		357		84	31%

Note: the number of allegations in recently received complaints typically grows somewhat as the complaints are investigated.

Figure 9: CCRB Complaints Received By Type of Allegation (YTD 2015 vs. YTD 2016)



*This is the total of distinct FADO allegation types in complaints received.

Figure 10: CCRB Complaints Received By Type of Allegation YTD (% of Complaints)

	YTD 2015		YTD 2016			
	Count	% of Total Complaints	Count	% of Total Complaints	Change	% Change
Force (F)	271	50%	307	43%	36	13%
Abuse of Authority (A)	322	60%	496	70%	174	54%
Discourtesy (D)	187	35%	210	30%	23	12%
Offensive Language (O)	34	6%	46	7%	12	35%
Total FADO Allegations	814		1059		245	30%
Total Complaints	538		707		169	31%

Note: the number of allegations in recently received complaints typically grows somewhat as the complaints are investigated.

Figure 11: Total Allegations (% of Total Allegations)

	February 2015		February 2016			
	Count	%of Total Allegations	Count	%of Total Allegations	Change	% Change
Force (F)	203	27%	264	27%	61	30%
Abuse of Authority (A)	388	51%	571	58%	183	47%
Discourtesy (D)	146	19%	131	13%	-15	-10%
Offensive Language (O)	17	2%	21	2%	4	24%
Total Allegations	754		987		233	31%
Total Complaints	273		357		84	31%

Figure 12: Total Allegations YTD (% of Total Allegations)

	YTD 2015		YTD 2016			
	Count	%of Total Allegations	Count	%of Total Allegations	Change	% Change
Force (F)	450	30%	577	25%	127	28%
Abuse of Authority (A)	765	51%	1342	59%	577	75%
Discourtesy (D)	245	16%	297	13%	52	21%
Offensive Language (O)	38	3%	48	2%	10	26%
Total Allegations	1498		2264		766	51%
Total Complaints	538		707		169	31%

The number of allegations in recently received complaints typically grows as the complaints are investigated.

CCRB Docket

Ninety-five percent of active CCRB cases are fewer than five months old, and 99% of active cases have been open for fewer than eight months. This is an agency record.

Figure 13: Age of Active Cases Based on Received Date (February 2016)

	Count	% of Total
Cases 0-4 Months	941	94.8%
Cases 5-7 Months	42	4.2%
Cases 8-11 Months	7	0.7%
Cases 12-18 Months	0	0.0%
Cases Over 18 Months**	3	0.3%
Total	**993**	**100%**

** Over 18 Months: 2 cases that were reopened; 1 case that was on DA Hold.

Figure 14: Age of Active Cases Based on Incident Date (February 2016)

	Count	% of Total
Cases 0-4 Months	891	89.7%
Cases 5-7 Months	75	7.6%
Cases 8-11 Months	13	1.3%
Cases 12-18 Months	10	1.0%
Cases Over 18 Months	4	0.4%
Total	**993**	**100%**

The number of active cases on the CCRB docket has decreased dramatically during the past year due to increased investigative efficiency. An active case is one in which the facts are still being investigated. A case pending board review has already completed its investigation and is awaiting a recommendation from the Board.

Figure 15: Number of Active Investigations (January 2015 - February 2016)

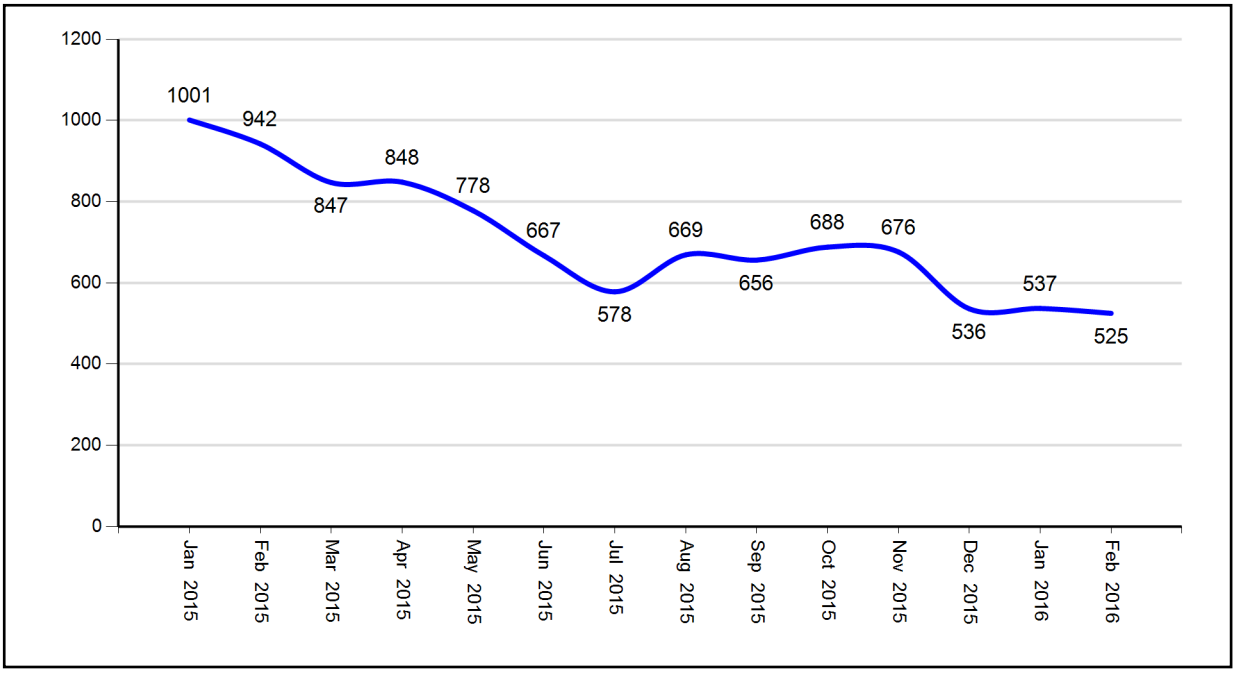


Figure 16: Open Docket Analysis

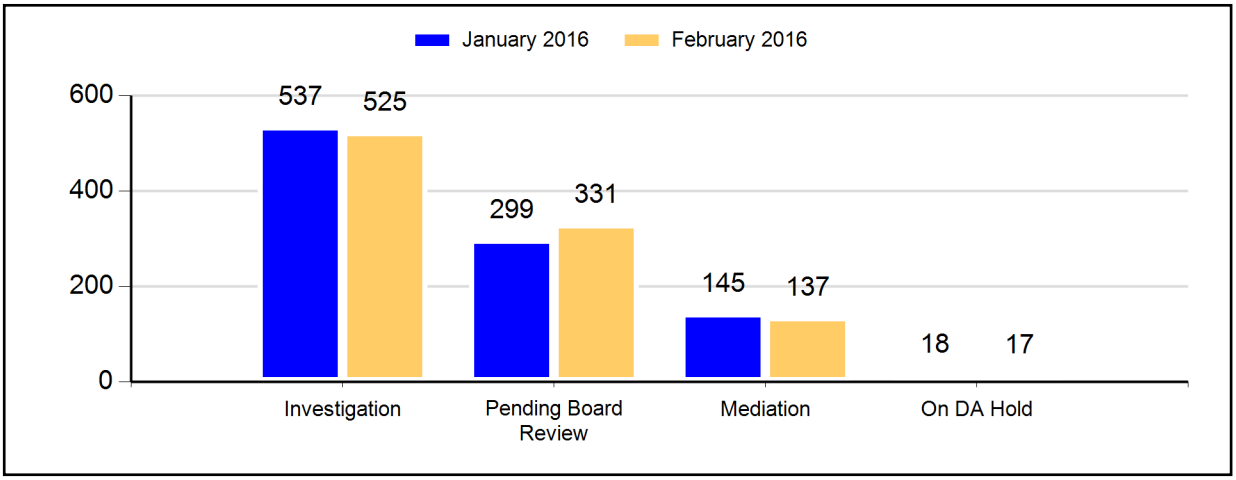


Figure 17: Open Docket Analysis with % Change

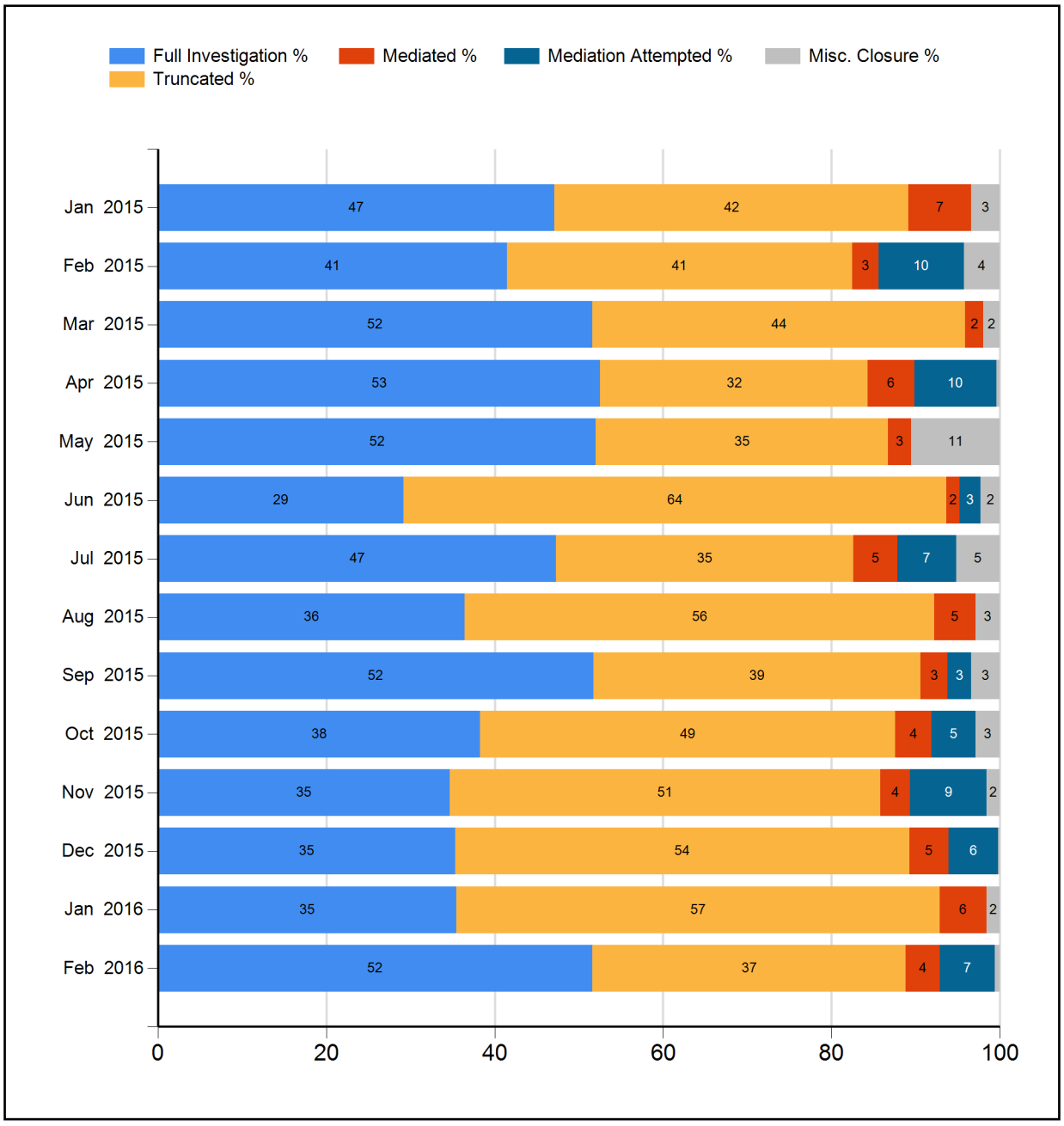
	January 2016		February 2016			
	Count	% of Total	Count	% of Total	Change	% Change
Investigations	537	54%	525	52%	-12	-2%
Pending Board Review	299	30%	331	33%	32	11%
Mediation	145	15%	137	14%	-8	-6%
On DA Hold	18	2%	17	2%	-1	-6%
Total	999		1010		11	1%

Closed Cases

Resolving Cases

In February 2016, the CCRB fully investigated 52% of the cases it closed, and resolved (fully investigated, mediated or mediation attempted) 63% of the cases it closed. With a truncation rate of 37% in February 2016, the Agency had the lowest truncation rate since July 2015.

Figure 18: Case Resolutions (January 2015 - February 2016) (%)



Dispositions

Cases fully investigated by the CCRB generally receive one of five outcomes:

- If the allegations of misconduct are found to be improper, based on the preponderance of the evidence, the allegation is **substantiated**.
- If there is not enough evidence to determine whether or not misconduct occurred, the allegation is **unsubstantiated**.
- If the preponderance of the evidence suggests that the event or alleged act did not occur, the allegation is **unfounded**.
- If the event did occur, but was not improper, by a preponderance of evidence, the allegation is **exonerated**.
- If the CCRB was unable to identify any of the officers accused of misconduct, the case is closed as **officer unidentified**.

Additionally, a case might be **mediated**, with the subject officer and complainant discussing the incident in the presence of a neutral third-party moderator. Finally, a case that cannot be fully investigated due to victim/complainant unavailability or lack of cooperation is **truncated**.

Case Abstracts

The following case abstracts are taken from complaints closed this month and serve as examples of what the different CCRB dispositions mean in practice:

1. Substantiated
A man was sitting in a park in Brooklyn when three officers issued him a summons for violating a posted sign which prohibited access to that section of the park after dusk. The man asked for one of the officer's name and shield number, and the officer responded, "Officer C —sucker." In his CCRB interview, the officer said he responded that way because the man had called him "Officer C—sucker" first, among other combative phrases. Because the officer used offensive language while interacting with the man, the Board "Substantiated" the allegation for offensive language.

2. Unsubstantiated
A boy was walking with his friend to his house in Manhattan when they were stopped by two officers. The stops were substantiated, along with a frisk allegation. While he was being frisked, the boy claimed that he asked the officer for his name and shield number twice without a response. His friend did not recall what the boy said to the officers during the interaction. The officer claimed he immediately provided his name and shield number upon arrival and did not recall if he was asked for it during the incident. The other officer stated that both officers responded with their information when the boy asked for it. Due to the conflicting statements, the Board "Unsubstantiated" the allegation for refusal to provide name and shield number.

3. Unfounded
A man drove to a parking lot in the Bronx after receiving a call from his friend, who was being summonsed for illegally parking in a handicapped space. Upon arrival, the man claimed an officer told him to "get the f—k back" when he began recording the incident on his phone. The man claimed he was told to "get the f—k inside" his car if he did not want to go to jail, and he was pushed back by an officer. The man provided the video from his cell phone in which no profanity was heard from the officers at any point. No officer threatened to arrest or send him to jail, and no officer appeared to push him back like he alleged. Because of the officers' generally consistent testimonies as well as the video evidence, the Board "Unfounded" the

threat of arrest, and force allegations mentioned above.

4. Exonerated
Officers responded to an apartment in the Bronx after a City Marshal attempted to execute a warrant of eviction and the resident threatened him with a meat cleaver. Upon arrival, an officer had his gun pointed at the man while another officer had his Taser pointed at the man. The officers entered the apartment to escort the man out and to search for the weapon, which was retrieved. The man was arrested for menacing with a weapon and criminal possession of a weapon with intent to use. Because the officers had a reasonable expectation that the man would be armed or attempt to arm himself with a weapon, the Board "Exonerated" the allegations for the pointed gun, pointed Taser, entry, and search of the apartment.

5. Officer Unidentified
While in custody, a man was escorted out of a hospital in Staten Island by two officers and claimed the officers punched and kicked him multiple times after throwing him to the ground for walking too slowly. The man described one of the officers as the partner of the officer who issued him a summons on a previous date, and he could not describe the other officer beyond being a male in uniform. Medical Treatment of Prisoner forms from multiple dates did not elicit conclusive information of officers that matched the man's provided descriptions. Because of the inability to determine the incident date from the man's statement or NYPD documentation along with the inconsistencies in regards to any potential subject officers, the Board closed the case as "Officer Unidentified."

Dispositions - Full Investigations

Figure 19: Disposition Counts of Full Investigations (February 2016)

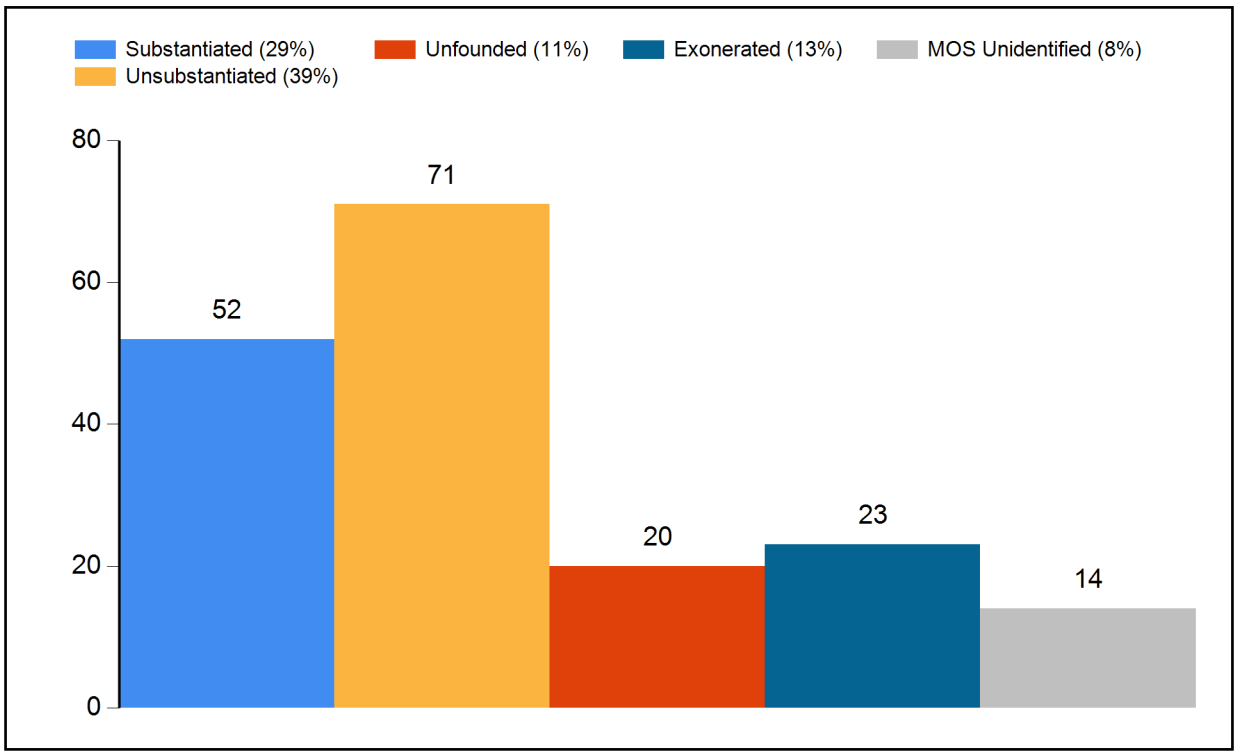
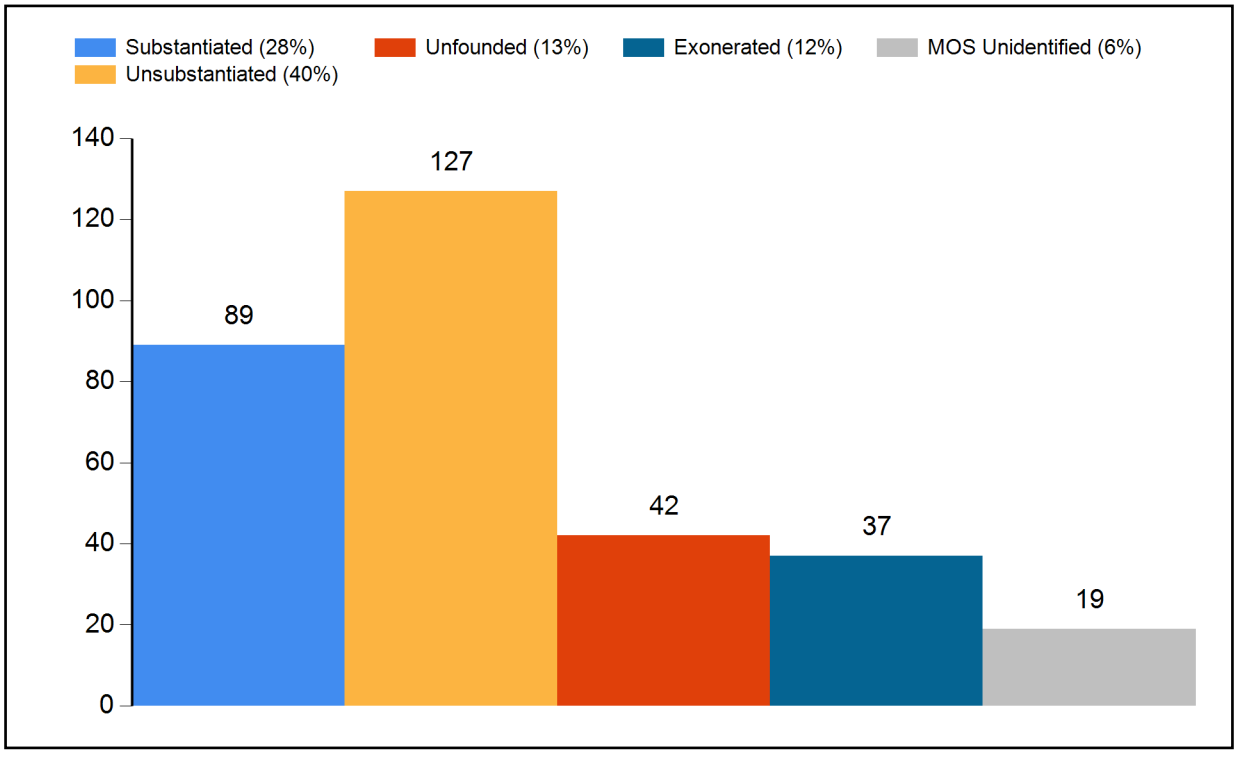


Figure 20: Disposition Counts of Full Investigations (YTD 2016)



Dispositions - All CCRB Cases

In addition to full investigations, CCRB cases can also be closed through mediation and truncation. The following table lists all the CCRB case closures for the current month and year-to-date.

Figure 21: Disposition of Cases (2015 vs 2016)

	Feb 2015		Feb 2016		YTD 2015		YTD 2016	
Full Investigations	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Substantiated	36	20%	52	29%	60	19%	89	28%
Exonerated	22	12%	23	13%	45	14%	37	12%
Unfounded	8	4%	20	11%	16	5%	42	13%
Unsubstantiated	100	54%	71	39%	178	55%	127	40%
MOS Unidentified	18	10%	14	8%	24	7%	19	6%
Total - Full Investigations	184		180		323		314	
Mediation Closures	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Mediated	14	24%	14	38%	36	44%	35	60%
Mediation Attempted	45	76%	23	62%	45	56%	23	40%
Total - ADR Closures	59		37		81		58	
Resolved Case Total	243	55%	217	62%	404	55%	372	51%
Truncations / Other Closures	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Complaint withdrawn	1	0%	28	21%	3	1%	68	19%
Complainant/Victim/Witness uncooperative	138	69%	73	55%	226	67%	198	56%
Complainant/Victim/Witness unavailable	38	19%	27	20%	72	21%	74	21%
Victim unidentified	5	2%	2	2%	5	1%	7	2%
Miscellaneous	0	0%	0	0%	0	0%	0	0%
Administrative closure*	19	9%	2	2%	29	9%	8	2%
Total - Other Case Dispositions	201		132		335		355	
Total - Closed Cases	444		349		739		727	

*Administrative closure is a special category that deals with NYPD's Internal Affairs Bureau-referred cases or spin off cases with no complainant/victim, and in which CCRB attempts to locate or identify a complainant/victim has yielded no results.

Dispositions - Allegations

"Allegations" are different than "cases". A case or complaint is based on an incident and may contain one or more allegations of police misconduct. The allegation substantiation rate is 16% for the month of February 2016, and the allegation substantiation rate is 16% year to date. The type of allegation the CCRB is most likely to substantiate is Abuse of Authority – substantiating 24% of such allegations during February 2016, and 25% for the year.

Figure 22: Disposition of Allegations (2015 vs 2016)

	Feb 2015		Feb 2016		YTD 2015		YTD 2016	
Fully Investigated Allegations	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Substantiated	97	13%	138	16%	146	11%	236	16%
Unsubstantiated	325	43%	330	39%	576	45%	550	38%
Unfounded	61	8%	103	12%	111	9%	180	12%
Exonerated	161	21%	207	25%	281	22%	359	25%
MOS Unidentified	112	15%	65	8%	170	13%	120	8%
Total - Full Investigations	756		843		1284		1445	
Mediation Closures	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Mediated	24	22%	27	42%	68	45%	91	71%
MediationAttempted	84	78%	37	58%	84	55%	37	29%
Total - ADR Closures	108		64		152		128	
Truncations / Other Closures	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Complaint withdrawn	3	1%	55	16%	5	1%	135	15%
Complainant/Victim/Witness uncooperative	353	79%	227	67%	579	78%	557	63%
Complainant/Victim/Witness unavailable	60	13%	49	14%	107	14%	161	18%
Victim unidentified	5	1%	2	1%	10	1%	19	2%
Miscellaneous	2	0%	5	1%	4	1%	8	1%
Administrative closure	26	6%	2	1%	38	5%	9	1%
Total - Other Case Dispositions	449		340		743		889	
Total - Closed Allegations	1366		1334		2281		2606	

Figure 23: Disposition of Allegations By FADO Category (February 2016)

	Substantiated	Unsubstantiated	Exonerated	Unfounded	Officers Unidentified	Total
Force	4	54	68	39	16	181
	2%	30%	38%	22%	9%	100%
Abuse of Authority	121	179	134	36	31	501
	24%	36%	27%	7%	6%	100%
Discourtesy	11	75	5	22	18	131
	8%	57%	4%	17%	14%	100%
Offensive Language	2	21	0	6	0	29
	7%	72%	0%	21%	0%	100%
	138	329	207	103	65	842
Total	16%	39%	25%	12%	8%	100%

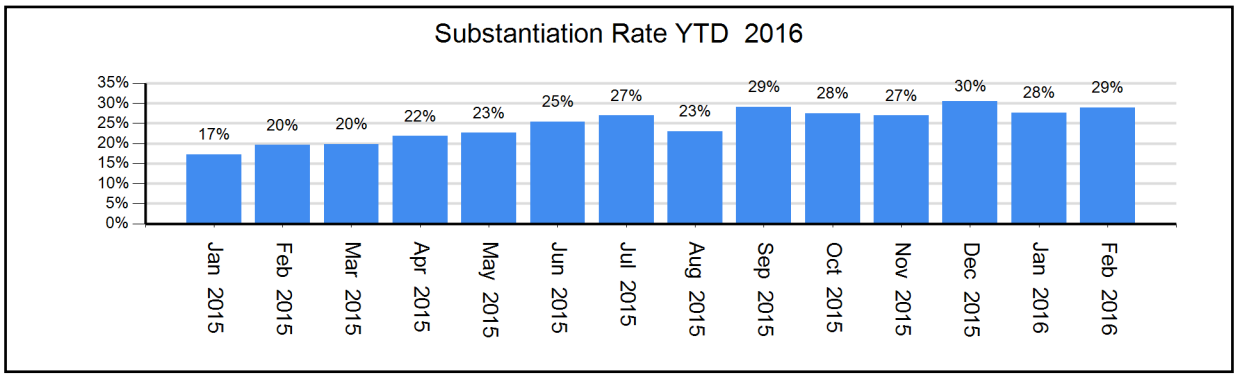
Figure 24: Disposition of Allegations By FADO Category (YTD 2016)

	Substantiated	Unsubstantiated	Exonerated	Unfounded	Officers Unidentified	Total
Force	12	109	135	74	39	369
	3%	30%	37%	20%	11%	100%
Abuse of Authority	199	276	217	58	51	801
	25%	34%	27%	7%	6%	100%
Discourtesy	22	135	7	36	30	230
	10%	59%	3%	16%	13%	100%
Offensive Language	3	29	0	12	0	44
	7%	66%	0%	27%	0%	100%
	236	549	359	180	120	1444
Total	16%	38%	25%	12%	8%	100%

Substantiation Rates

The February case substantiation rate was 29%, marking the eleventh straight month that the CCRB has substantiated more than 20% of cases it fully investigates. Prior to 2015, substantiation rates rarely surpassed 20% for even a single month.

Figure 25: Percentage of Cases Substantiated (January 2015 - February 2016)



Substantiation Rates and Video

Investigations relying on video evidence from security cameras or personal devices result in much higher substantiation rates.

Figure 26: Substantiation Rates for Full Investigations without Video (Jan 2016 - Feb 2016) (% substantiated shown)

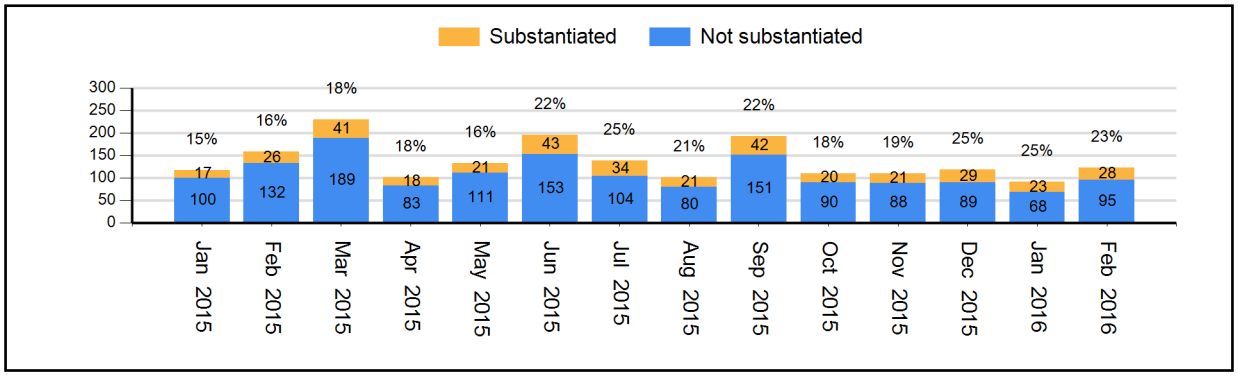
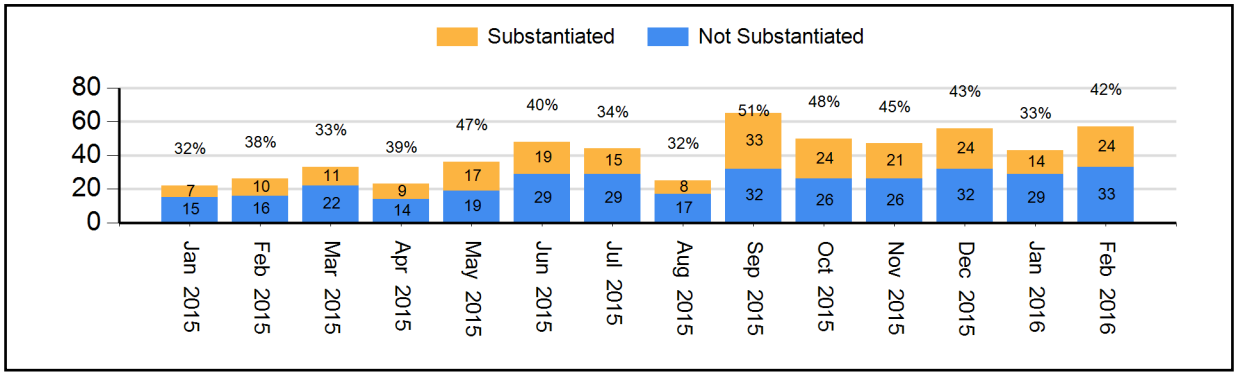


Figure 27: Substantiation Rates for Full Investigations with Video (Jan 2016 - Feb 2016) (% substantiated shown)



Board Discipline Recommendations for Substantiated Complaints

After a CCRB investigative team has completed its investigation and recommended the substantiation of a complaint against an officer, a panel of three Board members determines whether or not to substantiate the allegation and make a disciplinary recommendation.

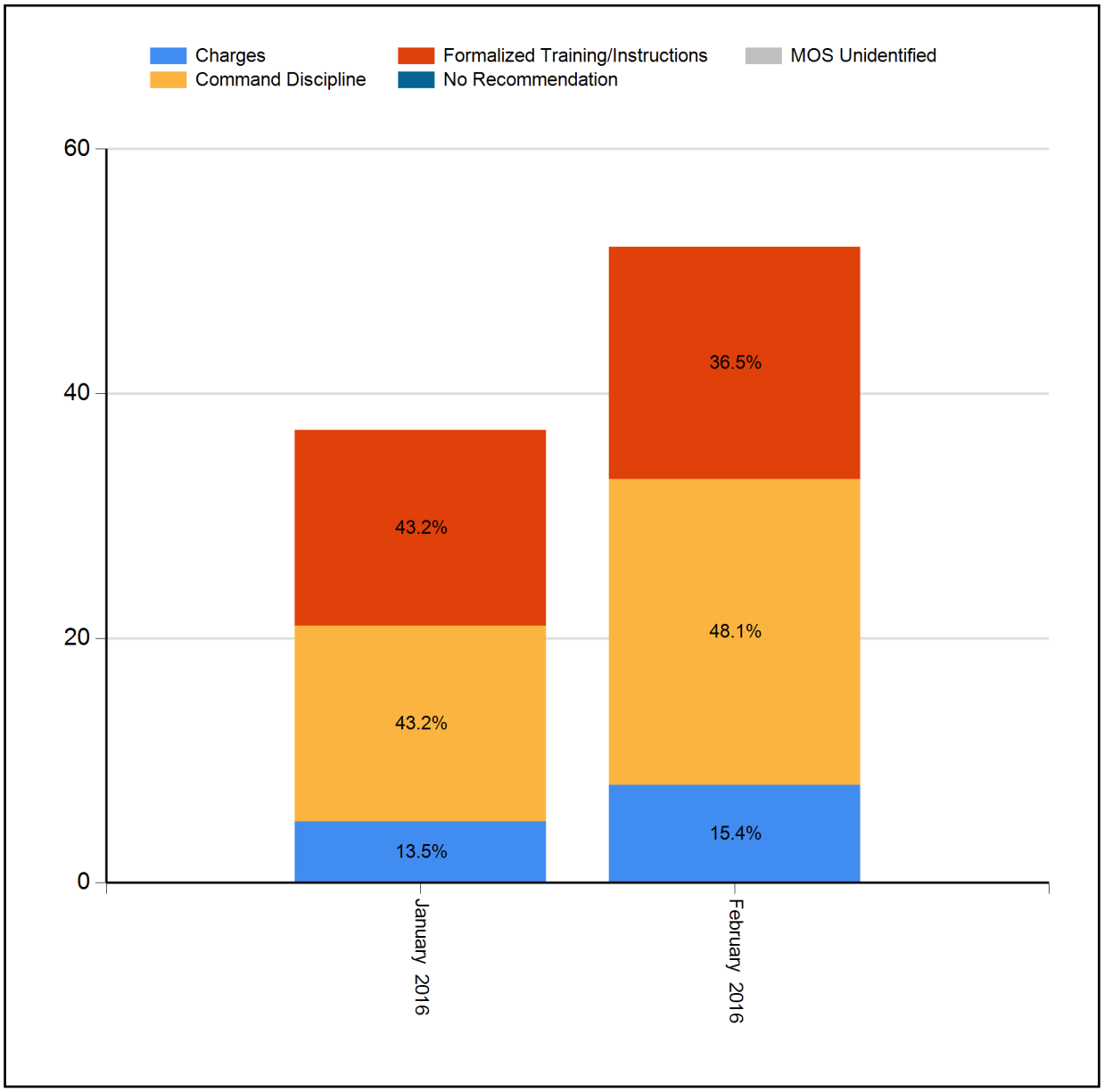
- "Charges and Specifications" are recommended for the most serious allegations of misconduct. Charges launch an administrative trial in the NYPD Trial Room. An officer may lose vacation days, be suspended, or terminated if he is found guilty.
- "Instructions" or "Formalized Training" are the least severe discipline, often recommended for officers who misunderstand a policy. This determination results in training at the command level (Instructions) or training at the Police Academy or NYPD Legal Bureau (Formalized Training).
- "Command Discipline" is recommended for misconduct that is more problematic than poor training, but does not rise to the level of Charges. An officer can lose up to ten vacation days as a result of a Command Discipline.
- When the Board has recommended Instructions, Formalized Training or Command Discipline, the case is sent to the NYPD Commissioner to impose training and/or other penalties, while cases where the Board recommends charges are prosecuted by the CCRB's Administrative Prosecution Unit.

Figure 28: Board Discipline Recommendations For Substantiated Complaints* (Feb 2015, Feb 2016, YTD 2015, YTD 2016)

	February 2015		February 2016		YTD 2015		YTD 2016	
Disposition	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Charges	7	19%	8	15%	16	27%	13	15%
Command Discipline	21	58%	25	48%	27	45%	41	46%
Formalized Training	7	19%	17	33%	12	20%	33	37%
Instructions	1	3%	2	4%	5	8%	2	2%
MOS Unidentified	0	0%	0	0%	0	0%	0	0%
Total	36		52		60		89	

* A complaint containing a number of substantiated allegations against a number of different officers will typically generate a variety of different disciplinary recommendations. To determine the disciplinary recommendation associated with the complaint as a whole, the CCRB uses the most severe disciplinary recommendation made. The order of severity is: 1) Charges 2) Command Discipline 3) Formalized Training 4) Instructions.

Figure 29: Board Discipline Recommendations For Substantiated Complaints* (2016)



* A complaint containing a number of substantiated allegations against a number of different officers will typically generate a variety of different disciplinary recommendations. To determine the disciplinary recommendation associated with the complaint as a whole, the CCRB uses the most severe disciplinary recommendation made. The order of severity is: 1) Charges 2) Command Discipline 3) Formalized Training 4) Instructions.

Board Discipline Recommendations for Substantiated Allegations

A substantiated CCRB complaint may generate multiple substantiated allegations against multiple officers. Each substantiated allegation will carry its own discipline recommendation from the CCRB Board.

The following table presents the number of officers against whom discipline recommendations have been made as a result of a substantiated CCRB complaint. Where there are multiple substsantiated allegations with multiple disciplinary recommendations for an officer in a complaint, the most severe disciplinary recommendation is used to determine the overall recommendation for that officer.

**Figure 30: Board Discipline Recommendations For Substantiated Allegations*
(Feb 2015, Feb 2016, YTD 2015, YTD 2016)**

	February 2015		February 2016		YTD 2015		YTD 2016	
Disposition	Count	%of Total	Count	%of Total	Count	%of Total	Count	%of Total
Charges	13	22%	14	18.4%	28	30.4%	25	18.4%
Command Discipline	35	59.3%	36	47.4%	42	45.7%	60	44.1%
Formalized Training	10	16.9%	24	31.6%	17	18.5%	49	36%
Instructions	1	1.7%	2	2.6%	5	5.4%	2	1.5%
MOS Unidentified	0	0%	0	0%	0	0%	0	0%
Total	59		76		92		136	

* The counts in this table reflect the number of distinct MOS.

Figure 31: Substantiated Allegations By Borough and NYPD Precinct (February2016)

The figures in this table reflect all substantiated allegations for each MOS.

Board Disposition	FADO Category	Allegation	Precinct of Occurence	Borough of Occurence
Substantiated (Command Discipline B)	Abuse of Authority	Retaliatory summons	6	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Retaliatory summons	6	Manhattan
Substantiated (Charges)	Abuse of Authority	Retaliatory summons	6	Manhattan
Substantiated (Charges)	Abuse of Authority	Stop	6	Manhattan
Substantiated (Charges)	Discourtesy	Action	6	Manhattan
Substantiated (Formalized Training)	Discourtesy	Action	6	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Strip-searched	23	Manhattan
Substantiated (Charges)	Abuse of Authority	Premises entered and/or searched	23	Manhattan
Substantiated (Charges)	Abuse of Authority	Threat of arrest	23	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Refusal to process civilian complaint	23	Manhattan
Substantiated (Charges)	Abuse of Authority	Other	23	Manhattan
Substantiated (Command Lvl Instructions)	Abuse of Authority	Property damaged	24	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	30	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Stop	30	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Stop	30	Manhattan
Substantiated (Command Discipline A)	Abuse of Authority	Refusal to provide name/shield number	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Refusal to provide name/shield number	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Refusal to provide name/shield number	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Refusal to provide name/shield number	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	33	Manhattan
Substantiated (Command Discipline A)	Abuse of Authority	Frisk	33	Manhattan
Substantiated (Command Discipline A)	Abuse of Authority	Frisk	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Search (of person)	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Stop	33	Manhattan
Substantiated (Command Discipline B)	Abuse of Authority	Stop	33	Manhattan
Substantiated (Command Discipline A)	Abuse of Authority	Stop	33	Manhattan
Substantiated (Command Discipline A)	Abuse of Authority	Stop	33	Manhattan
Substantiated (Charges)	Abuse of Authority	Strip-searched	40	Bronx
Substantiated (Formalized Training)	Abuse of Authority	Refusal to provide name/shield number	40	Bronx
Substantiated (Charges)	Abuse of Authority	Refusal to obtain medical treatment	40	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Threat of force (verbal or physical)	42	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Other	42	Bronx
Substantiated (Command Discipline B)	Discourtesy	Word	42	Bronx
Substantiated (Formalized Training)	Abuse of Authority	Vehicle search	46	Bronx
Substantiated (Formalized Training)	Abuse of Authority	Vehicle search	46	Bronx
Substantiated (Command Discipline A)	Abuse of Authority	Refusal to provide name/shield number	46	Bronx
Substantiated (Command Discipline A)	Abuse of Authority	Other	46	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Other	46	Bronx
Substantiated (Formalized Training)	Abuse of Authority	Frisk	46	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Search (of person)	46	Bronx

Board Disposition	FADO Category	Allegation	Precinct of Occurence	Borough of Occurence
Substantiated (Command Discipline B)	Abuse of Authority	Vehicle search	47	Bronx
Substantiated (Formalized Training)	Discourtesy	Word	47	Bronx
Substantiated (Formalized Training)	Abuse of Authority	Premises entered and/or searched	48	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	48	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	48	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Search (of person)	48	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Stop	48	Bronx
Substantiated (Command Discipline B)	Abuse of Authority	Vehicle search	60	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Threat of arrest	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Threat of arrest	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Retaliatory summons	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Other	60	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Other	60	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Other	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Search (of person)	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Search (of person)	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Search (of person)	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	60	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	60	Brooklyn
Substantiated (Command Discipline B)	Discourtesy	Word	60	Brooklyn
Substantiated (Command Lvl Instructions)	Abuse of Authority	Threat of arrest	63	Brooklyn
Substantiated (Command Discipline A)	Discourtesy	Word	63	Brooklyn
Substantiated (Command Discipline A)	Discourtesy	Action	63	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Refusal to obtain medical treatment	67	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Refusal to obtain medical treatment	67	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Frisk	67	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Frisk	67	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Frisk	67	Brooklyn
Substantiated (Command Discipline A)	Discourtesy	Word	68	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Failure to show search warrant	69	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Failure to show search warrant	69	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Failure to show search warrant	69	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Failure to show search warrant	69	Brooklyn
Substantiated (Command Discipline A)	Abuse of Authority	Frisk	69	Brooklyn
Substantiated (Formalized Training)	Offensive Language	Gender	69	Brooklyn
Substantiated (Charges)	Abuse of Authority	Frisk	73	Brooklyn

Board Disposition	FADO Category	Allegation	Precinct of Occurence	Borough of Occurence
Substantiated (Charges)	Abuse of Authority	Frisk	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Search (of person)	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Search (of person)	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Search (of person)	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Search (of person)	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Stop	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Stop	73	Brooklyn
Substantiated (Charges)	Abuse of Authority	Stop	73	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Premises entered and/or searched	75	Brooklyn
Substantiated (Command Discipline A)	Abuse of Authority	Refusal to process civilian complaint	75	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Stop	75	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Stop	75	Brooklyn
Substantiated (Command Discipline A)	Discourtesy	Word	75	Brooklyn
Substantiated (Command Discipline A)	Discourtesy	Word	75	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Frisk	77	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Stop	77	Brooklyn
Substantiated (Formalized Training)	Offensive Language	Sexual orientation	78	Brooklyn
Substantiated (Command Discipline A)	Abuse of Authority	Premises entered and/or searched	83	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Premises entered and/or searched	84	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Premises entered and/or searched	84	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Premises entered and/or searched	84	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Other	84	Brooklyn
Substantiated (Formalized Training)	Abuse of Authority	Other	84	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Premises entered and/or searched	88	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Other	88	Brooklyn
Substantiated (Command Discipline B)	Abuse of Authority	Other	88	Brooklyn
Substantiated (Command Discipline A)	Abuse of Authority	Premises entered and/or searched	90	Brooklyn
Substantiated (Charges)	Abuse of Authority	Vehicle search	103	Queens
Substantiated (Charges)	Abuse of Authority	Property damaged	103	Queens
Substantiated (Charges)	Abuse of Authority	Refusal to provide name/shield number	103	Queens
Substantiated (Charges)	Abuse of Authority	Refusal to provide name/shield number	103	Queens
Substantiated (Charges)	Abuse of Authority	Refusal to provide name/shield number	103	Queens
Substantiated (Charges)	Abuse of Authority	Refusal to provide name/shield number	103	Queens
Substantiated (Command Discipline A)	Abuse of Authority	Frisk	103	Queens
Substantiated (Charges)	Abuse of Authority	Search (of person)	103	Queens
Substantiated (Charges)	Abuse of Authority	Search (of person)	103	Queens
Substantiated (Charges)	Abuse of Authority	Search (of person)	103	Queens
Substantiated (Command Discipline A)	Abuse of Authority	Stop	103	Queens
Substantiated (Command Discipline A)	Abuse of Authority	Stop	103	Queens
Substantiated (Charges)	Force	Physical force	103	Queens
Substantiated (Charges)	Abuse of Authority	Threat of force (verbal or physical)	104	Queens
Substantiated (Charges)	Abuse of Authority	Other	104	Queens
Substantiated (Charges)	Force	Hit against inanimate object	104	Queens

Board Disposition	FADO Category	Allegation	Precinct of Occurence	Borough of Occurence
Substantiated (Charges)	Force	Physical force	104	Queens
Substantiated (Charges)	Abuse of Authority	Frisk	105	Queens
Substantiated (Charges)	Abuse of Authority	Search (of person)	105	Queens
Substantiated (Charges)	Abuse of Authority	Stop	105	Queens
Substantiated (Command Discipline A)	Discourtesy	Word	105	Queens
Substantiated (Charges)	Force	Physical force	113	Queens
Substantiated (Formalized Training)	Abuse of Authority	Vehicle search	115	Queens
Substantiated (Command Discipline B)	Abuse of Authority	Refusal to process civilian complaint	115	Queens
Substantiated (Formalized Training)	Abuse of Authority	Question	115	Queens
Substantiated (Command Discipline B)	Abuse of Authority	Premises entered and/or searched	121	Staten Island
Substantiated (Formalized Training)	Abuse of Authority	Refusal to provide name/shield number	121	Staten Island
Substantiated (Command Discipline B)	Abuse of Authority	Stop	121	Staten Island

Truncations

A “truncation” is a case that is not fully investigated, either because the complainant/victim withdraws the complaint; is uncooperative with the investigation; is not available for the investigative team to interview; or is never identified. The CCRB constantly seeks to lower the number of truncations.

Figure 32: Truncated Allegations (February 2016)

	Withdrawn	Uncooperative	Unavailable	Civilian Unidentified	Total
Force	18	74	19	1	112
Abuse of Authority	29	111	22	1	163
Discourtesy	7	40	6	0	53
Offensive Language	1	2	2	0	5
Total	55	227	49	2	333

Figure 33: Truncated CCRB Complaints (February 2016)

	Withdrawn	Uncooperative	Unavailable	Civilian Unidentified	Total
Total	28	73	27	2	130

Figure 34: Truncated Allegations (YTD 2016)

	Withdrawn	Uncooperative	Unavailable	Civilian Unidentified	Total
Force	35	183	65	3	286
Abuse of Authority	72	267	71	14	424
Discourtesy	20	94	19	1	134
Offensive Language	8	13	6	1	28
Total	135	557	161	19	872

Figure 35: Truncated CCRB Complaints (YTD 2016)

	Withdrawn	Uncooperative	Unavailable	Civilian Unidentified	Total
Total	68	198	74	7	347

Mediation Unit

Whenever mediation between a complainant/victim and subject officer is suitable, it is offered by CCRB investigators. If the complainant/victim and subject officer both agree to participate, a neutral, third-party mediator facilitates a conversation between the parties. "Mediations Attempted" refers to truncations that take place during the mediation stage, such as a complainant becoming unavailable. The chart below indicates the number of mediations and attempted mediations closed in February and this year.

Figure 36: Mediated Complaints Closed

	February 2016			YTD 2016		
	Mediated	Mediation Attempted	Total	Mediated	Mediation Attempted	Total
Mediated Complaints	14	23	37	35	23	58

Figure 37: Mediated FADO Allegations Closed

	February 2016			YTD 2016		
	Mediated	Mediation Attempted	Total	Mediated	Mediation Attempted	Total
Force	4	6	10	7	6	13
Abuse of Authority	18	23	41	64	23	87
Discourtesy	4	6	10	15	6	21
Offensive Language	1	2	3	5	2	7
Total	27	37	64	91	37	128

Figure 38: Mediated Complaints By Borough (February 2016)

	Mediations
Bronx	0
Brooklyn	2
Manhattan	8
Queens	3
Staten Island	1

Figure 39: Mediated Allegations By Borough (February 2016)

	Mediations
Bronx	0
Brooklyn	3
Manhattan	16
Queens	7
Staten Island	1

Figure 40: Mediated Complaints By Precinct (Feb 2016 - YTD 2016)

Precinct	Feb 2016	YTD 2016	Precinct	Feb 2016	YTD 2016
1	2	2	46	0	1
5	0	1	49	0	1
6	0	1	60	0	1
9	2	2	69	0	1
10	0	2	71	0	1
17	0	1	73	1	3
23	0	1	75	0	1
25	0	1	79	0	1
26	1	1	88	1	1
28	1	1	90	0	1
30	1	1	100	1	1
33	0	1	105	1	1
34	1	1	109	0	1
40	0	1	111	1	1
41	0	1	122	1	1

Figure 41: Mediated Allegations By Precinct (Feb 2016 - YTD 2016)

Precinct	Feb 2016	YTD 2016	Precinct	Feb 2016	YTD 2016
1	3	3	46	0	3
5	0	1	49	0	2
6	0	3	60	0	1
9	2	2	69	0	1
10	0	4	71	0	2
17	0	1	73	2	11
23	0	1	75	0	5
25	0	6	79	0	1
26	7	7	88	1	1
28	2	2	90	0	3
30	1	1	100	1	1
33	0	3	105	2	2
34	1	1	109	0	3
40	0	2	111	4	4
41	0	13	122	1	1

Administrative Prosecution Unit

The CCRB's Administrative Prosecution Unit (APU) prosecutes police misconduct cases, when the Board has recommended charges, in the NYPD Trial Room. The APU is also able to offer pleas to officers who admit guilt rather than going to trial. Following a plea agreement or the conclusion of a disciplinary trial, cases are sent to the Police Commissioner for final penalties.

Figure 42: Administrative Prosecution Unit Case Closures

Disposition Category	Prosecution Disposition	Feb 2016	YTD 2016
Disciplinary Action	Not guilty after trial but Discipline Imposed	0	0
	Guilty after trial	14	19
	Trial verdict dismissed by PC, Comm. Disc. A imposed	0	0
	Trial verdict dismissed by PC, Comm. Disc. B imposed	0	0
	Trial verdict dismissed by PC, Formalized Training imposed	0	0
	Trial verdict dismissed by PC, Instructions imposed	0	0
	Trial verdict reversed by PC, Final verdict Guilty	0	0
	Resolved by plea	4	5
	Plea set aside, Comm. Disc. B	0	0
	Plea set aside, Comm. Disc. A	0	0
	Plea set aside, Formalized Training	0	0
	Plea set aside, Instructions	0	0
	*Retained, with discipline	1	1
	Disciplinary Action Total	**19**	**25**
No Disciplinary Action	Not guilty after trial	6	8
	Trial verdict reversed by PC, Final verdict Not Guilty	0	0
	Plea set aside, Without discipline	0	0
	**Retained, without discipline	0	0
	Dismissed by APU	0	0
	SOL Expired in APU	0	0
	No Disciplinary Action Total	**6**	**8**
Not Adjudicated	Charges not filed	0	0
	Deceased	0	0
	Other	0	0
	***Previously adjudicated, with discipline	0	0
	***Previously adjudicated, without discipline	0	0
	†Reconsidered by CCRB Board	1	3
	Retired	0	0
	SOL Expired prior to APU	0	0
	Not Adjudicated Total	**1**	**3**
	Total Closures	**26**	**36**

*Retained cases are those where the Department kept jurisdiction pursuant to Section 2 of the April 2, 2012 Memorandum of Understanding between the NYPD and the CCRB.
** When the Department keeps jurisdiction pursuant to Section 2 and does not impose any discipline on the officer, it is the equivalent of a category referred to as DUP.
*** In some case, the Department conducts their own investigation and prosecution prior to the completion of the CCRB's investigation. In those cases, the APU does not conduct a second prosecution.
† Under the Board's reconsideration process, an officer who has charges recommended as the penalty for a substantiated allegation may have the recommended penalty changed to something other than charges or have the allegation disposition changed to something other than substantiated. In those cases, the APU ceases its prosecution.

NYPD Discipline

Under the New York City Charter, the Police Commissioner makes the final decision regarding discipline and the outcome of disciplinary trials.

The first chart reflects NYPD-imposed discipline for cases brought by the APU (Charges).

The chart on the following page reflects cases referred to the Police Commissioner where the Board recommended Command Discipline, Formalized Training or Instructions.

Figure 43: NYPD Discipline Imposed for Adjudicated APU Cases

Discipline*	February 2016	YTD 2016
Terminated	0	0
Suspension for or loss of vacation time of 31 or more days and/or Dismissal Probation	0	0
Suspension for or loss of vacation time of 21 to 30 days	1	1
Suspension for or loss of vacation time of 11 to 20 days	4	4
Suspension for or loss of vacation time of 1 to 10 days	11	15
Command Discipline B	0	0
Command Discipline A	0	0
Formalized Training**	0	0
Instructions***	1	1
Warned & admonished/Reprimanded	2	4
Disciplinary Action† Total	**19**	**25**
No Disciplinary Action†	6	8
Adjudicated Total	**25**	**33**
Discipline Rate	**76%**	**76%**
Not Adjudicated† Total	1	3
Total Closures	**26**	**36**

*Where more than one penalty is imposed on a respondent, it is reported under the more severe penalty.
** Formalized training is conducted by the Police Academy, the NYPD Legal Bureau, or other NYPD Unit.
*** Instructions are conducted at the command level.
† The case closure types that define the "Disciplinary Action", "No Disciplinary Action" and "Not Adjudicated" categories are listed in Figure 42 on the previous page.

Figure 44: NYPD Discipline Imposed for Non-APU Cases

Disposition	**Disposition Type***	February 2016	YTD 2016
Disciplinary Action	Terminated	0	0
	Suspension for or loss of vacation time of 31 or more days and/or Dismissal Probation	0	0
	Suspension for or loss of vacation time of 21 to 30 days	0	0
	Suspension for or loss of vacation time of 11 to 20 days	0	0
	Suspension for or loss of vacation time of 1 to 10 days	0	0
	Command Discipline B	0	1
	Command Discipline A	11	27
	Formalized Training**	21	47
	Instructions***	7	15
	Warned & admonished/Reprimanded	0	0
	Total	**39**	**90**
No Disciplinary Action	Not Guilty	1	1
	Filed ††	1	1
	SOL Expired	0	2
	Department Unable to Prosecute†††	4	7
	Total	**6**	**11**
	Discipline Rate	**87%**	**89%**
	DUP Rate	**9%**	**7%**

***Where the respondent is found guilty of charges, and the penalty imposed would fall into more than one of the above liste categories, it is reported under the more severe penalty.
** Formalized training is conducted by the Police Academy, the NYPD Legal Bureau, or other NYPD Unit.
*** Instructions are conducted at the command level.
† This verdict relates to a trial conducted by DAO on a case decided by the Board prior to the activation of the APU.
†† "Filed" is a term used when the police department is not required to take action against the subject officer because the officer has resigned or retired from the department, or has been terminated.
††† When the department decides that it will not discipline an officer against whom the Board recommended discipline other than charges, those cases are referred to as "Department Unable to Prosecute," or DUP.

Figure 45: NYPD Discipline Imposed for Allegations - Non-APU Cases (February 2016)

Board Disposition	FADO Type	Allegation	Precinct	Borough	NYPD Discipline
Substantiated (Command Discipline A)	D	Word	9	Manhattan	Command Discipline A
Substantiated (Instructions)	A	Refusal to provide name/shield number	13	Manhattan	Instructions
Substantiated (Formalized Training)	A	Search (of person)	14	Manhattan	Formalized Training
Substantiated (Command Discipline A)	A	Other	23	Manhattan	Command Discipline A
Substantiated (Formalized Training)	A	Other	25	Manhattan	Formalized Training
Substantiated (Formalized Training)	A	Refusal to provide name/shield number	30	Manhattan	Instructions
Substantiated (Command Discipline A)	A	Refusal to provide name/shield number	41	Bronx	Command Discipline A
Substantiated (Command Discipline A)	A	Search (of person)	41	Bronx	Command Discipline A
Substantiated (Command Discipline A)	A	Stop	41	Bronx	Command Discipline A
Substantiated (Formalized Training)	A	Premises entered and/or searched	42	Bronx	Formalized Training
Substantiated (Formalized Training)	A	Premises entered and/or searched	43	Bronx	Formalized Training
Substantiated (Formalized Training)	A	Premises entered and/or searched	43	Bronx	Formalized Training
Substantiated (Formalized Training)	A	Property damaged	43	Bronx	Formalized Training
Substantiated (Formalized Training)	A	Premises entered and/or searched	44	Bronx	Formalized Training
Substantiated (Command Discipline B)	A	Premises entered and/or searched	45	Bronx	No Penalty
Substantiated (Formalized Training)	A	Threat to notify ACS	46	Bronx	Formalized Training
Substantiated (Formalized Training)	A	Gun Drawn	47	Outside NYC	No Penalty
Substantiated (Formalized Training)	A	Gun Drawn	47	Outside NYC	No Penalty
Substantiated (Command Discipline B)	F	Pepper spray	47	Outside NYC	No Penalty
Substantiated (Command Discipline B)	F	Physical force	47	Outside NYC	No Penalty
Substantiated (Formalized Training)	A	Stop	47	Outside NYC	Command Discipline A
Substantiated (Command Discipline A)	A	Strip-searched	52	Bronx	Retire
Substantiated (Formalized Training)	A	Search (of person)	60	Brooklyn	Formalized Training
Substantiated (Formalized Training)	A	Frisk	61	Brooklyn	Formalized Training
Substantiated (Formalized Training)	A	Retaliatory summons	63	Brooklyn	Formalized Training
Substantiated (Formalized Training)	D	Action	63	Brooklyn	Instructions
Substantiated (Formalized Training)	D	Word	67	Brooklyn	Instructions
Substantiated (Command Discipline A)	A	Frisk	67	Brooklyn	Formalized Training
Substantiated (Command Discipline B)	A	Strip-searched	70	Brooklyn	Formalized Training
Substantiated (Command Discipline B)	A	Vehicle search	70	Brooklyn	Formalized Training

Board Disposition	FADO Type	Allegation	Precinct	Borough	NYPD Discipline
Substantiated (Command Discipline B)	A	Vehicle search	70	Brooklyn	Formalized Training
Substantiated (Command Discipline B)	A	Vehicle search	70	Brooklyn	Formalized Training
Substantiated (Command Discipline B)	A	Frisk	70	Brooklyn	Formalized Training
Substantiated (Command Discipline B)	A	Stop	71	Brooklyn	Command Discipline A
Substantiated (Command Discipline B)	A	Stop	71	Brooklyn	Command Discipline A
Substantiated (Command Discipline B)	A	Stop	71	Brooklyn	Command Discipline A
Substantiated (Formalized Training)	A	Frisk	73	Brooklyn	Formalized Training
Substantiated (Command Discipline)	A	Search (of person)	73	Brooklyn	No Penalty
Substantiated (Formalized Training)	A	Threat of arrest	77	Brooklyn	Formalized Training
Substantiated (Command Discipline B)	A	Refusal to process civilian complaint	79	Brooklyn	Command Discipline A
Substantiated (Command Discipline B)	A	Refusal to process civilian complaint	79	Brooklyn	Command Discipline A
Substantiated (Formalized Training)	D	Word	81	Brooklyn	Instructions
Substantiated (Command Discipline A)	A	Stop	84	Brooklyn	Formalized Training
Substantiated (Command Discipline A)	A	Stop	84	Brooklyn	Formalized Training
Substantiated (Command Discipline)	F	Physical force	90	Brooklyn	No Penalty
Substantiated (Formalized Training)	A	Threat of arrest	90	Brooklyn	Formalized Training
Substantiated (Formalized Training)	D	Word	90	Brooklyn	Formalized Training
Substantiated (Formalized Training)	D	Word	100	Queens	Instructions
Substantiated (Formalized Training)	D	Word	105	Queens	Instructions
Substantiated (Formalized Training)	A	Vehicle search	113	Queens	Formalized Training
Substantiated (Command Discipline A)	A	Vehicle search	121	Staten Island	Command Discipline A
Substantiated (Formalized Training)	A	Refusal to process civilian complaint	122	Staten Island	Formalized Training

Figure 46: NYPD Discipline Imposed for Allegations - APU Cases (February 2016)

Board Disposition	FADO Type	Allegation	Precinct	Borough	NYPD Discipline
Substantiated (Charges)	A	Vehicle stop	6	Manhattan	Forfeit vacation 30 day(s)
Substantiated (Charges)	A	Threat of summons	6	Manhattan	Forfeit vacation 30 day(s)
Substantiated (Charges)	A	Threat of arrest	6	Manhattan	Forfeit vacation 30 day(s)
Substantiated (Charges)	D	Word	6	Manhattan	Forfeit vacation 30 day(s)
Substantiated (Charges)	D	Action	6	Manhattan	Forfeit vacation 30 day(s)
Substantiated (Charges)	E	Other	6	Manhattan	Forfeit vacation 30 day(s)
Substantiated (Charges)	F	Physical force	23	Manhattan	No Penalty (Not guilty after trial)
Substantiated (Charges)	F	Physical force	23	Manhattan	No Penalty (Not guilty after trial)
Substantiated (Charges)	A	Premises entered and/or searched	41	Bronx	Reprimand
Substantiated (Charges)	A	Premises entered and/or searched	41	Bronx	Reprimand
Substantiated (Charges)	A	Stop	41	Bronx	Reprimand
Substantiated (Charges)	A	Stop	41	Bronx	Reprimand
Substantiated (Charges)	F	Physical force	44	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	F	Physical force	46	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Threat of arrest	46	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	D	Action	46	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Frisk	46	Bronx	Forfeit vacation 2 day(s)
Substantiated (Charges)	A	Frisk	46	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Search (of person)	46	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Stop	46	Bronx	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Premises entered and/or searched	47	Bronx	Forfeit vacation 2 day(s)
Substantiated (Charges)	A	Premises entered and/or searched	47	Bronx	No Penalty (Not guilty after trial)
Substantiated (Charges)	F	Nonlethal restraining device	69	Brooklyn	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Refusal to obtain medical treatment	69	Brooklyn	Forfeit vacation 15 day(s)
Substantiated (Charges)	E	Gender	69	Brooklyn	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Frisk	69	Brooklyn	Forfeit vacation 7 day(s)
Substantiated (Charges)	A	Search (of person)	69	Brooklyn	Forfeit vacation 7 day(s)
Substantiated (Charges)	A	Search (of person)	69	Brooklyn	Forfeit vacation 7 day(s)
Substantiated (Charges)	A	Stop	69	Brooklyn	Forfeit vacation 7 day(s)
Substantiated (Charges)	F	Physical force	75	Brooklyn	Forfeit vacation 10 day(s)
Substantiated (Charges)	A	Premises entered and/or searched	79	Brooklyn	Forfeit vacation 5 day(s)

Board Disposition	FADO Type	Allegation	Precinct	Borough	NYPD Discipline
Substantiated (Charges)	A	Property damaged	79	Brooklyn	Forfeit vacation 5 day(s)
Substantiated (Charges)	F	Chokehold	81	Brooklyn	Instructions
Substantiated (Charges)	F	Chokehold	102	Queens	Forfeit vacation 15 day(s)
Substantiated (Charges)	F	Physical force	102	Queens	Forfeit vacation 15 day(s)
Substantiated (Charges)	A	Vehicle search	103	Queens	Forfeit vacation 3 day(s)
Substantiated (Charges)	A	Vehicle search	103	Queens	Forfeit vacation 3 day(s)
Substantiated (Charges)	A	Vehicle stop	110	Queens	No Penalty (Not guilty after trial)
Substantiated (Charges)	A	Vehicle search	110	Queens	Forfeit vacation 7 day(s)
Substantiated (Charges)	A	Vehicle search	110	Queens	Forfeit vacation 7 day(s)
Substantiated (Charges)	A	Vehicle search	110	Queens	Forfeit vacation 3 day(s)
Substantiated (Charges)	D	Word	110	Queens	No Penalty (Not guilty after trial)
Substantiated (Charges)	A	Frisk	110	Queens	Forfeit vacation 3 day(s)
Substantiated (Charges)	A	Frisk	110	Queens	Forfeit vacation 7 day(s)
Substantiated (Charges)	A	Frisk	110	Queens	Forfeit vacation 3 day(s)
Substantiated (Charges)	A	Frisk	110	Queens	No Penalty (Not guilty after trial)

Appendix

Over the years, the CCRB has made many types of data publicly available. In reorganizing the Monthly Report, we do not intend to remove any valuable information from the public domain. However, the Agency believes that some information is essential to place in the main body of the Monthly Report, while more granular charts and figures are better suited to the Appendix. We welcome you to contact the CCRB at www.nyc.gov or 212-912-7235 if you are having difficulty finding information on CCRB data that was formerly available.

Figure 46: CCRB Open Docket - Age of CCRB Cases Based On Incident Date

	February 2016		January 2016			
	Count	% of Total	Count	% of Total	Change	% Change
Cases 0-4 Months	885	89.1%	864	88.1%	21	2.4%
Cases 5-7 Months	75	7.6%	82	8.4%	-7	-8.5%
Cases 8 Months	4	0.4%	7	0.7%	-3	-42.9%
Cases 9 Months	5	0.5%	3	0.3%	2	66.7%
Cases 10 Months	3	0.3%	1	0.1%	2	200.0%
Cases 11 Months	1	0.1%	3	0.3%	-2	-66.7%
Cases 12 Months	3	0.3%	2	0.2%	1	50.0%
Cases 13 Months	2	0.2%	1	0.1%	1	100.0%
Cases 14 Months	1	0.1%	0	0.0%	1	NA
Cases 15 Months	1	0.1%	2	0.2%	-1	-50.0%
Cases 16 Months	3	0.3%	1	0.1%	2	200.0%
Cases 17 Months	0	0.0%	0	0.0%	0	NA
Cases 18 Months	0	0.0%	1	0.1%	-1	NA
Cases Over 18 Months	10	1.0%	14	1.4%	-4	-28.6%
NA	0	0.0%	0	0.0%	0	NA
Total	**993**	**100.0%**	**981**	**100.0%**	**12**	**1.2%**

Figure 47: CCRB Open Docket - Age of CCRB Cases Based On CCRB Received Date

	February 2016		January 2016			
	Count	% of Total	Count	% of Total	Change	% Change
Cases 0-4 Months	941	94.8%	917	93.5%	24	2.6%
Cases 5-7 Months	42	4.2%	55	5.6%	-13	-23.6%
Cases 8 Months	3	0.3%	3	0.3%	0	0.0%
Cases 9 Months	3	0.3%	2	0.2%	1	50.0%
Cases 10 Months	1	0.1%	0	0.0%	1	NA
Cases 11 Months	0	0.0%	0	0.0%	0	NA
Cases 12 Months	0	0.0%	0	0.0%	0	NA
Cases 13 Months	0	0.0%	0	0.0%	0	NA
Cases 14 Months	0	0.0%	0	0.0%	0	NA
Cases 15 Months	0	0.0%	0	0.0%	0	NA
Cases 16 Months	0	0.0%	0	0.0%	0	NA
Cases 17 Months	0	0.0%	0	0.0%	0	NA
Cases 18 Months	0	0.0%	0	0.0%	0	NA
Cases Over 18 Months	3	0.3%	4	0.4%	-1	-25.0%
NA	0	0.0%	0	0.0%	0	NA
Total	**993**	**100.0%**	**981**	**100.0%**	**12**	**1.2%**

Figure 48: CCRB Investigations Docket - Age of CCRB Cases Based On Incident Date

	February 2016		January 2016			
	Count	% of Total	Count	% of Total	Change	% Change
Cases 0-4 Months	483	92.0%	491	91.4%	-8	-1.6%
Cases 5-7 Months	25	4.8%	29	5.4%	-4	-13.8%
Cases 8 Months	3	0.6%	3	0.6%	0	0.0%
Cases 9 Months	0	0.0%	1	0.2%	-1	NA
Cases 10 Months	3	0.6%	1	0.2%	2	200.0%
Cases 11 Months	0	0.0%	2	0.4%	-2	NA
Cases 12 Months	3	0.6%	1	0.2%	2	200.0%
Cases 13 Months	1	0.2%	0	0.0%	1	NA
Cases 14 Months	0	0.0%	0	0.0%	0	NA
Cases 15 Months	1	0.2%	2	0.4%	-1	-50.0%
Cases 16 Months	2	0.4%	0	0.0%	2	NA
Cases 17 Months	0	0.0%	0	0.0%	0	NA
Cases 18 Months	0	0.0%	0	0.0%	0	NA
Cases Over 18 Months	4	0.8%	7	1.3%	-3	-42.9%
NA	0	0.0%	0	0.0%	0	NA
Total	**525**	**100.0%**	**537**	**100.0%**	**-12**	**-2.2%**

Figure 49: CCRB DA Hold Docket - Age of CCRB Cases Based On Incident Date

	February 2016	
	Count	% of Total
Cases 0-4 Months	3	17.6%
Cases 5-7 Months	3	17.6%
Cases 8 Months	2	11.8%
Cases 9 Months	0	0.0%
Cases 10 Months	1	5.9%
Cases 11 Months	1	5.9%
Cases 12 Months	1	5.9%
Cases 13 Months	0	0.0%
Cases 14 Months	1	5.9%
Cases 15 Months	1	5.9%
Cases 16 Months	0	0.0%
Cases 17 Months	1	5.9%
Cases 18 Months	0	0.0%
Cases Over 18 Months	3	17.6%
NA	0	0.0%
Total	**17**	**100.0%**

Figure 50: Disposition of Force Allegations (YTD 2016)

Force Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Count	%	Count	%	Count	%	Count	%	Count	%	Count	%
Gun Pointed	0	0%	18	81.8%	3	13.6%	1	4.5%	0	0%	0	0%
Gun fired	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Nightstick as club (incl asp & baton)	0	0%	9	64.3%	1	7.1%	4	28.6%	0	0%	0	0%
Gun as club	0	0%	0	0%	0	0%	1	100%	0	0%	0	0%
Radio as club	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Flashlight as club	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Police shield	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Vehicle	0	0%	0	0%	1	25%	3	75%	0	0%	0	0%
Other blunt instrument as a club	0	0%	0	0%	1	33.3%	2	66.7%	0	0%	0	0%
Hit against inanimate object	2	25%	1	12.5%	2	25%	3	37.5%	0	0%	0	0%
Chokehold	1	5%	0	0%	10	50%	5	25%	4	20%	0	0%
Pepper spray	0	0%	7	63.6%	2	18.2%	1	9.1%	1	9.1%	0	0%
Physical force	7	2.8%	94	38.1%	76	30.8%	46	18.6%	23	9.3%	1	0.4%
Handcuffs too tight	1	14.3%	0	0%	3	42.9%	3	42.9%	0	0%	0	0%
Nonlethal restraining device	0	0%	5	100%	0	0%	0	0%	0	0%	0	0%
Animal	0	0%	1	100%	0	0%	0	0%	0	0%	0	0%
Other	1	3.7%	0	0%	10	37%	5	18.5%	11	40.7%	0	0%
Total	12	3.2%	135	36.5%	109	29.5%	74	20%	39	10.5%	1	0.3%

Figure 51: Disposition of Abuse of Authority Allegations (YTD 2016)

Abuse of Authority Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Count	%	Count	%	Count	%	Count	%	Count	%	Count	%
Gun Drawn	0	0%	2	33.3%	3	50%	0	0%	1	16.7%	0	0%
Strip-searched	3	33.3%	1	11.1%	3	33.3%	1	11.1%	1	11.1%	0	0%
Vehicle stop	0	0%	35	63.6%	19	34.5%	0	0%	1	1.8%	0	0%
Vehicle search	10	25.6%	11	28.2%	13	33.3%	2	5.1%	3	7.7%	0	0%
Premises entered and/or searched	17	18.1%	57	60.6%	18	19.1%	1	1.1%	1	1.1%	0	0%
Threat of summons	0	0%	2	25%	4	50%	1	12.5%	1	12.5%	0	0%
Threat of arrest	6	10.3%	19	32.8%	24	41.4%	5	8.6%	4	6.9%	0	0%
Threat to notify ACS	0	0%	1	25%	2	50%	1	25%	0	0%	0	0%
Threat of force (verbal or physical)	3	6.7%	5	11.1%	25	55.6%	7	15.6%	5	11.1%	0	0%
Threat to damage/seize property	0	0%	3	30%	5	50%	1	10%	1	10%	0	0%
Property damaged	4	16%	4	16%	9	36%	4	16%	4	16%	0	0%
Refusal to process civilian complaint	4	44.4%	0	0%	5	55.6%	0	0%	0	0%	0	0%
Refusal to provide name/shield number	11	12.4%	0	0%	50	56.2%	22	24.7%	6	6.7%	0	0%
Retaliatory arrest	2	66.7%	1	33.3%	0	0%	0	0%	0	0%	0	0%
Retaliatory summons	8	100%	0	0%	0	0%	0	0%	0	0%	0	0%
Refusal to obtain medical treatment	6	28.6%	0	0%	7	33.3%	6	28.6%	2	9.5%	0	0%
Improper dissemination of medical info	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	19	45.2%	4	9.5%	16	38.1%	2	4.8%	1	2.4%	0	0%
Seizure of property	0	0%	4	57.1%	2	28.6%	1	14.3%	0	0%	0	0%
Failure to show search warrant	5	41.7%	0	0%	6	50%	1	8.3%	0	0%	0	0%
Frisk	28	51.9%	8	14.8%	13	24.1%	0	0%	5	9.3%	0	0%
Search (of person)	25	34.7%	8	11.1%	29	40.3%	2	2.8%	8	11.1%	0	0%
Stop	42	39.3%	41	38.3%	16	15%	1	0.9%	7	6.5%	0	0%
Question	6	25%	11	45.8%	7	29.2%	0	0%	0	0%	0	0%
Refusal to show arrest warrant	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Total	199	24.8%	217	27.1%	276	34.5%	58	7.2%	51	6.4%	0	0%

Figure 52: Disposition of Discourtesy Allegations (YTD 2016)

Discourtesy Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Count	%	Count	%	Count	%	Count	%	Count	%	Count	%
Word	18	9%	6	3%	114	57%	33	16.5%	28	14%	1	0.5%
Gesture	0	0%	0	0%	0	0%	0	0%	1	100%	0	0%
Demeanor/tone	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Action	4	13.8%	1	3.4%	20	69%	3	10.3%	1	3.4%	0	0%
Other	0	0%	0	0%	1	100%	0	0%	0	0%	0	0%
Total	22	9.5%	7	3%	135	58.4%	36	15.6%	30	13%	1	0.4%

Figure 53: Disposition of Offensive Language Allegations (YTD 2016)

Offensive Language Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Count	%	Count	%	Count	%	Count	%	Count	%	Count	%
Race	0	0%	0	0%	15	78.9%	4	21.1%	0	0%	0	0%
Ethnicity	0	0%	0	0%	3	75%	1	25%	0	0%	0	0%
Religion	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Gender	1	7.7%	0	0%	7	53.8%	5	38.5%	0	0%	0	0%
Sexual orientation	1	20%	0	0%	3	60%	1	20%	0	0%	0	0%
Physical disability	1	100%	0	0%	0	0%	0	0%	0	0%	0	0%
Other	0	0%	0	0%	1	50%	1	50%	0	0%	0	0%
Total	3	6.8%	0	0%	29	65.9%	12	27.3%	0	0%	0	0%

Figure 54: Administrative Prosecutions Unit Open Docket (February 2016)

Case Stage	Cases	Percent
Awaiting filing of charges	13	6%
Charges filed, awaiting service	53	24%
Charges served, CORD/SoEH/DCS pending	30	13%
Charges served, Conference Date Requested	11	5%
Calendered for court appearance	21	9%
Case Off Calendar - Subsequent Appearance Pending	4	2%
Trial scheduled	63	28%
Trial commenced	5	2%
Plea agreed - paperwork pending	25	11%
Total	**225**	**100%**

CORD is the CO's Report on MOS facing discipline.
SoEH is the Summary of Employment History.
DCS is the Disciplinary Cover Sheet.

Figure 55: Administrative Prosecutions Unit Cases Awaiting Final Disposition (February 2016)

Case Stage	Cases	Percent
Dispisition modified, awaiting final disp.	0	0%
Plea filed - awaiting approval by PC	60	47%
Verdict rendered - awaiting approval by PC	34	28%
Verdict rendered - Fogel response due	10	8%
Trial completed, awaiting verdict	22	17%
Total	**126**	**100%**

A Fogel response is a letter to the Trial Commissioner with comments from the CCRB on the Trial Commissioner's report and recommendation.