



The City of New York
BUSINESS INTEGRITY COMMISSION
100 Church Street · 20th Floor
New York · New York 10007
Tel. (212) 437-0500

BROKER CUSTOMER REGISTER CERTIFICATION

This certification must be completed by a current principal of the registrant before a notary public.

ANY MATERIAL FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS BROKER CUSTOMER REGISTER MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, _____, being duly sworn, state:
(Full Name)

That I am _____ of _____; and
(Title/Position) (Registrant Name)

I have read and understood the information provided in the broker customer register for the period _____ through _____, which consists of _____ records (each customer constitutes one record); and that to the best of my knowledge, the information submitted to the Business Integrity Commission is full, complete and truthful.

OR

I have read the customer register instruction sheet and hereby request exemption from filing a broker customer register for the above reporting period only because the above registrant did not provide trade waste brokering services to any customers located in New York City for the period _____ through _____

BY _____
(Signature of Principal)

(If corporation or partnership, state title)

Sworn to before me

This _____ day of _____, 20__

(Notary Public)