



DOB NOW: *Safety*

LOCAL LAW 97 OF 2019

Industry Session

Updated 4/27/21

- Ground Rules
- Local Law 97 of 2019 (LL97)
- Applications for LL97 Adjustments based on ...
 - Excess Emissions due to a Special Circumstance
 - Not-for-profit Hospitals and Healthcare Facilities
- Questions & Answers

At the end of this session, you will be able to:

- Understand when you can request a Local Law 97 Adjustment
- Request Adjustment for Excess Emissions due to a Special Circumstance
- Request Adjustment for Not-for-Profit Hospitals and Healthcare Facilities

BEST PRACTICES FOR THE VIRTUAL CLASSROOM

DOB
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Ask Questions



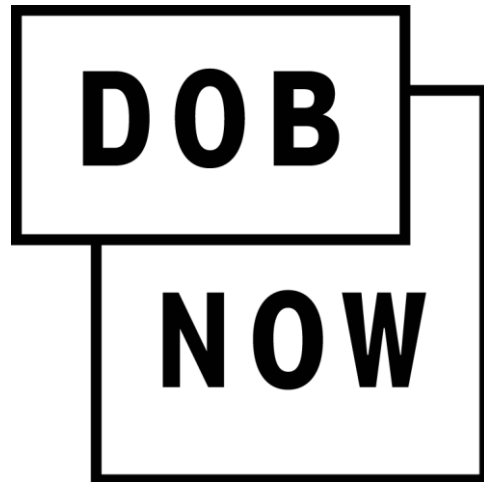
Muted Microphones



Feedback



Parking Lot



DOB NOW is an interactive, web-based portal that enables building Owners, Design Professionals, Filing Representatives, and Licensees to do all business with DOB online. Filing online allows the User to work from home or office and eliminates the need to travel to DOB to file applications.



Submit a Job Filing online

Customers do not have to travel to the DOB office for filings



Real time Job Filing information online

24/7 access to job status and information



Greater Transparency of the filing process

Job Filing status can be viewed at any time



Faster Processing time

Digital filing allows for quicker turnaround time for submitted Job Filings



LOCAL LAW 97 OVERVIEW

- **Local Law 97 of 2019** governs greenhouse gas emissions from buildings.
- The city has committed to a 40% reduction in emissions from covered buildings by 2030 compared to emissions in 2005.
- Owners can request an **adjustment** to the emissions limit from the Department of Buildings.
- Adjustments must be requested in DOB NOW by a **registered design professional**.
- Due dates to apply for adjustments are set by law:
 - **June 30, 2021 for Excess Emissions**
 - **July 21, 2021 for Not-for-profit Healthcare**



Additional Resources available on DOB web site:
www.nyc.gov/buildings

- Greenhouse Gas Emission Reporting
- Chapter 3 of the Administrative Code
- Service Notice for Building Emissions Adjustment Applications
- Adjustment Application Filing Guide
- EN97A Workbook and Instructions
- Questions should be sent to :
GHGEmissions@buildings.nyc.gov



April 9, 2021

SERVICE NOTICE

Local Law 97/2019: Building Emissions Adjustments Applications

Beginning April 12, 2021, the Department of Buildings will accept applications for adjustments to a building's annual emissions limits for calendar years 2024-2029 and for not-for-profit hospitals and healthcare facilities. Applications for adjustments must be submitted in [DOB NOW: Safety](#).

Excessive Emissions Due to a Special Circumstance

Applications for adjustments to annual building emissions limits for calendar years 2024-2029 for buildings with excess emissions of at least 40% more than the building's 2024 limit and due to a special circumstance, pursuant to New York City Administrative Code Section 28-320.8, must be submitted no later than June 30, 2021. The filing fee for these applications is \$2,450.

Not-for-Profit Hospitals and Healthcare Facilities

Applications for adjustments to annual building emissions limits for not-for-profit hospitals and healthcare facilities, pursuant to Administrative Code Section 28-320.9, must be submitted no later than July 21, 2021. The filing fee for these applications is \$335.

Access to DOB NOW: *Safety*

To log into DOB NOW, all users need an active eFiling account specific to their license/registration type, if applicable. To create an eFiling account, visit the [DOB NOW Registration Page](#) at www.nyc.gov/dobnowtips for assistance.

DOB NOW Training

The Department is conducting free training sessions to explain the process for submitting an adjustment request in DOB NOW: *Safety*. Visit the [DOB NOW Training Page](#) at www.nyc.gov/dobnowtraining to register for training and to access step-by-step guides, user manuals, and videos.

DOB NOW Inquiries and Support

To submit an inquiry about DOB NOW, visit the [DOB NOW Help Form](#) at www.nyc.gov/dobnowhelp.

Additional Guidance on Local Law 97

- Owners of covered buildings are encouraged to visit the Department's [Greenhouse Gas Emission Reporting](#) page for further details.
- For general information regarding building emissions adjustment requirements, including how to submit your application, review the content available at <https://www1.nyc.gov/site/sustainablebuildings/requirements/adjustments.page>
- Assistance with a building emissions application is available via the Building Emissions Unit by submitting an inquiry at www.nyc.gov/dobhelp and selecting the Sustainability module.

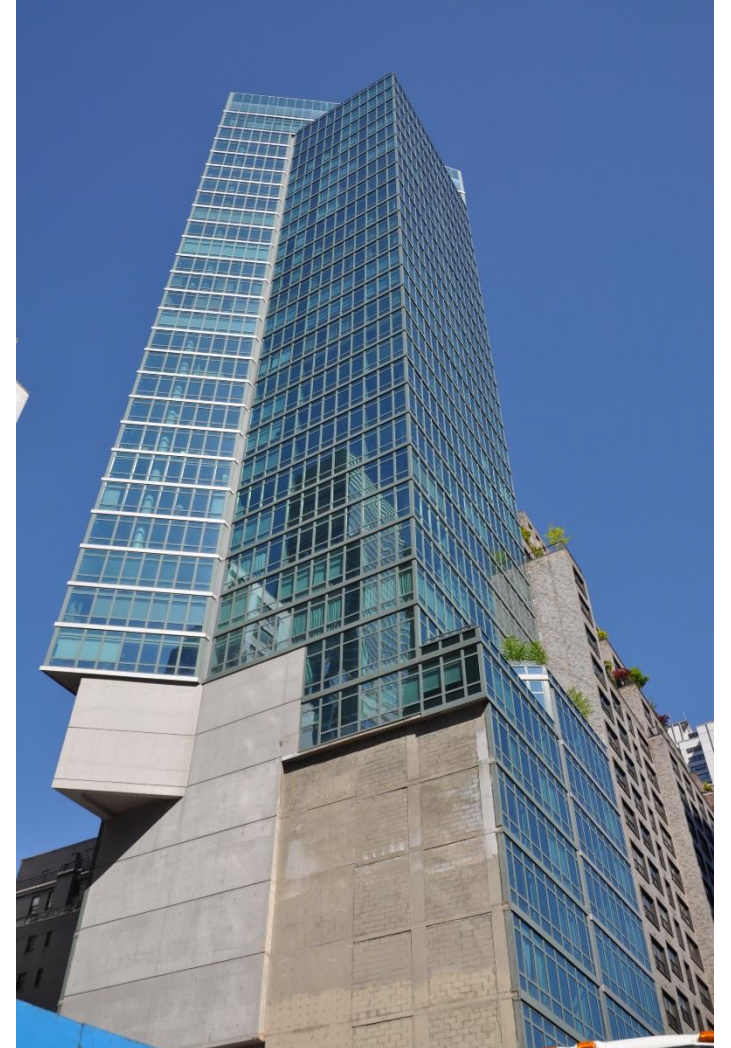
Melanie E. La Rocca, Commissioner
build safe | live safe

GHGEmissions@buildings.nyc.gov
nyc.gov/buildings



- Owners may request an adjustment if their emissions in calendar year 2018 exceed their building emissions limit by more than 40 percent and meet certain requirements:
 - Excess emissions are due to a Special Circumstance
 - The building performs equivalent to a similar building that complies with the 2014 NYC Energy Code
 - The owner has a plan to achieve compliance with their 2030 emissions limit
- The adjustment shall result in a required building emissions limit that is 70 percent of the calendar year 2018 building emissions for the covered building.
- This adjustment applies for reporting years 2025 through 2030.

- Not for-profit hospitals and healthcare facilities may also request an adjustment.
- For calendar years 2024 through 2029, the adjustment shall result in the covered building being subject to an emissions limit that is 85 percent of their calendar year 2018 building emissions.
- For calendar 2030-2034, the adjustment shall result in the covered building being subject to an emissions limit that is 70 percent of their calendar year 2018 building emissions





LOCAL LAW 97

ADJUSTMENTS BASED ON

EXCESS EMISSIONS DUE TO A SPECIAL

CIRCUMSTANCE

DOB NOW: *Safety* – LL97 ADJUSTMENTS PROCESS



- Begin by logging into DOB NOW at www.nyc.gov/dobnow. Enter your eFiling account email address and password.

The screenshot displays the DOB NOW website interface. At the top, there is a navigation bar with the NYC Buildings logo and a search bar. Below the navigation bar, the main content area is divided into several sections:

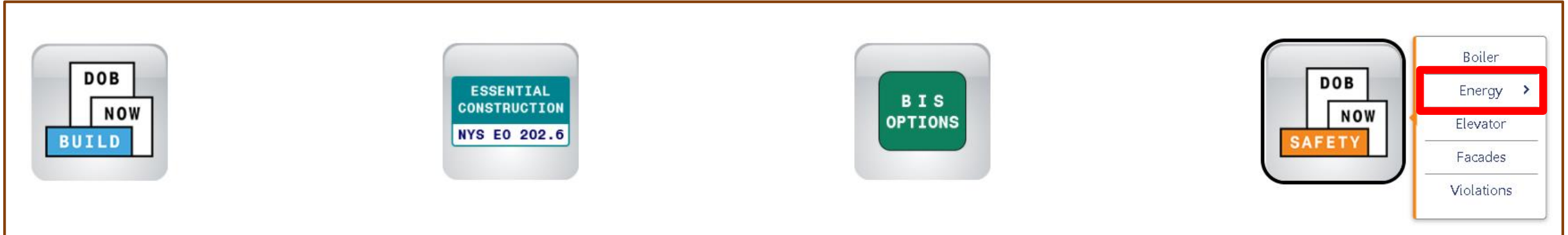
- ESSENTIAL CONSTRUCTION (NYS EO 202.6):** Submit the following transactions:
 - Submit Weekly Safety Inspection Reports as outlined in [Buildings Bulletin 2020-006](#).
- B I S OPTIONS:** Submit the following transactions for items found in [BIS](#):
 - [Certificate of Correction](#) Review Requests
 - Civil Penalty Review Requests (L2)
 - [License](#) Renewal Applications
 - Boiler (BL, FB, FS) Sign Off Request
- BUILD:** Submit in DOB NOW Jobs for:
 - New Building
 - Alteration-CO
 - Alteration
 - Limited Alteration
 - Place of Assembly
 - Cranes
 - BIS Schedule of Occupancy
 - Certificate of Occupancy
- SAFETY:** Currently cannot be submitted in DOB NOW:
 - Builders Pavement Plan
 - Full Demolition
 - Green Roof
 - Solar
 - Subdivision
 - Letter of No Objection
 - Alt-1: Affordable Housing/Fee Deferred/No Work
 - NB: Affordable Housing/Fee Deferred
- LICENSING:** Submit License filing for:
 - Boiler
 - Elevator
 - Facades
 - Welder
 - Journeyman
 - Gas Work Qualification Only

At the bottom of the page, there is a search bar for the Public Portal for Filings and Permits Submitted in. Below the search bar, there is a login form for eFiling or DOB NOW: Licensing account information. The login form is highlighted with a red box and contains the following fields:

- Email:**
- Password:**

- If you need to create an eFiling account, go to www.nyc.gov/efilingtips for instructions.

DOB NOW: *Safety* – LL97 ADJUSTMENTS PROCESS




- Hover over DOB NOW: *Safety* and choose **Energy**.
- Then select **Building Emissions**.



DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

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NYC Department of Buildings
Energy: Emissions Limit Adjustment

Welcome, PE TRAINER
TRAININGPERA@GMAIL.COM
Need Help?
Sign Out

+ Building Emission Adjustment Request + Determination Request + Appeal Request

Building Emission Adjustments | Determinations | Appeals

Refresh

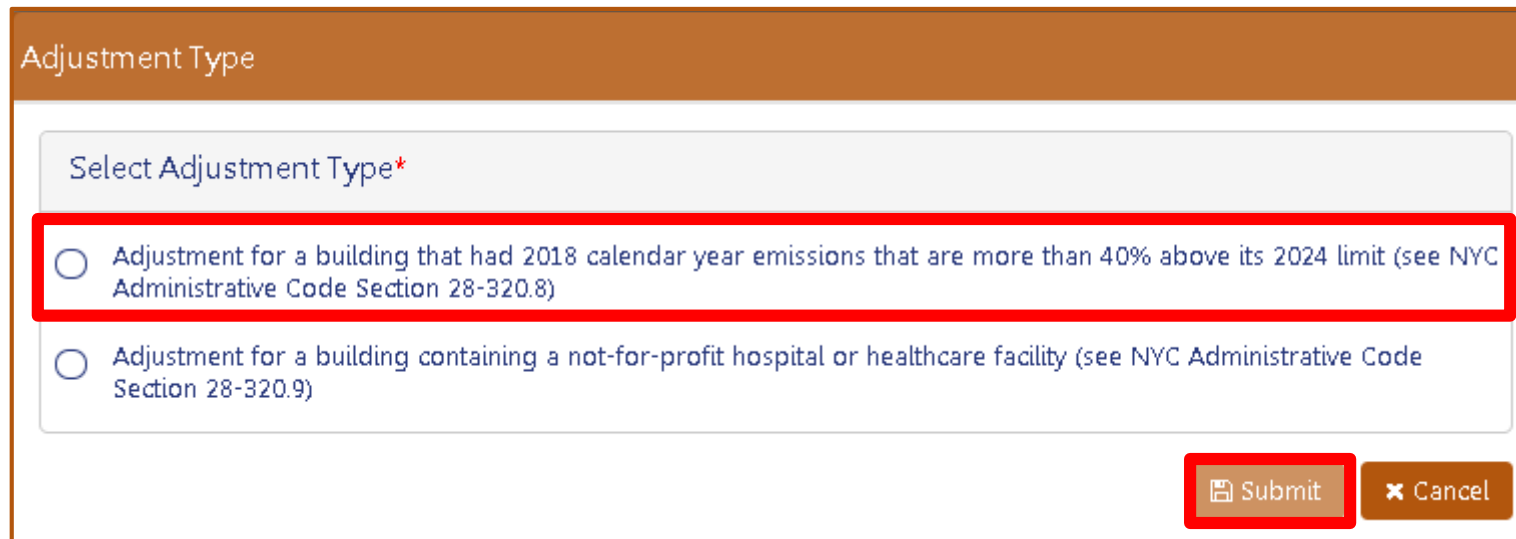
View	Actions	Tracking Number	Adjustment Type	Adjustment Status	Address	Borough	Applicant of Record	Owner	Payment Status
<input type="checkbox"/>	Select Action: ▼	97ADJ-000001103	28-320.8 Adjustment to applica...	Pending QA Assignment	991 8 AVENUE	MANHATTAN	BUILD 139	PE TRAINER	Paid
<input checked="" type="checkbox"/>	Select Action: ▼	97ADJ-000001100	28-320.8 Adjustment to applica...	Pre-filing	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER	Due
<input checked="" type="checkbox"/>	Select Action: ▼	97ADJ-000001095	28-320.9 Adjustment to applica...	Pending QA Assignment	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER	Exempted

Total Items: 3
1 / 1 | 10 Items Per Page

1 - 3 of 3 items

- To start a new request, click **+ Building Emissions Adjustment Request**.

- Select “Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8).”
- Then click **Submit**.



The screenshot shows a web form titled "Adjustment Type". It contains a dropdown menu with the text "Select Adjustment Type*". Below the dropdown are two radio button options. The first option is "Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)", which is highlighted with a red rectangular box. The second option is "Adjustment for a building containing a not-for-profit hospital or healthcare facility (see NYC Administrative Code Section 28-320.9)". At the bottom right of the form are two buttons: "Submit" and "Cancel", both of which are also highlighted with red rectangular boxes.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

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NYC Department of Buildings

Energy: Emissions Limit Adjustment

Welcome, PE TRAINER
TRAININGPERA@GMAIL.COM
[Need Help?](#)
[Sign Out](#)

[Save](#) [Preview to File](#)

97ADJ-000001100

- General Information
- Emissions Limit
- Actual Emissions
- Documents
- Statements & Signature

General Information

Location Information* >

Stakeholders* >

Adjustment Type

Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)

- Dashboard
- Request Highlights
- View Request
- History
- \$2,450.00 Pay Now

- The Adjustment Request starts with five tabs:

Save Preview to File

General Information

Emissions Limit

Actual Emissions

Documents

Statements & Signature

General Information

Location Information*

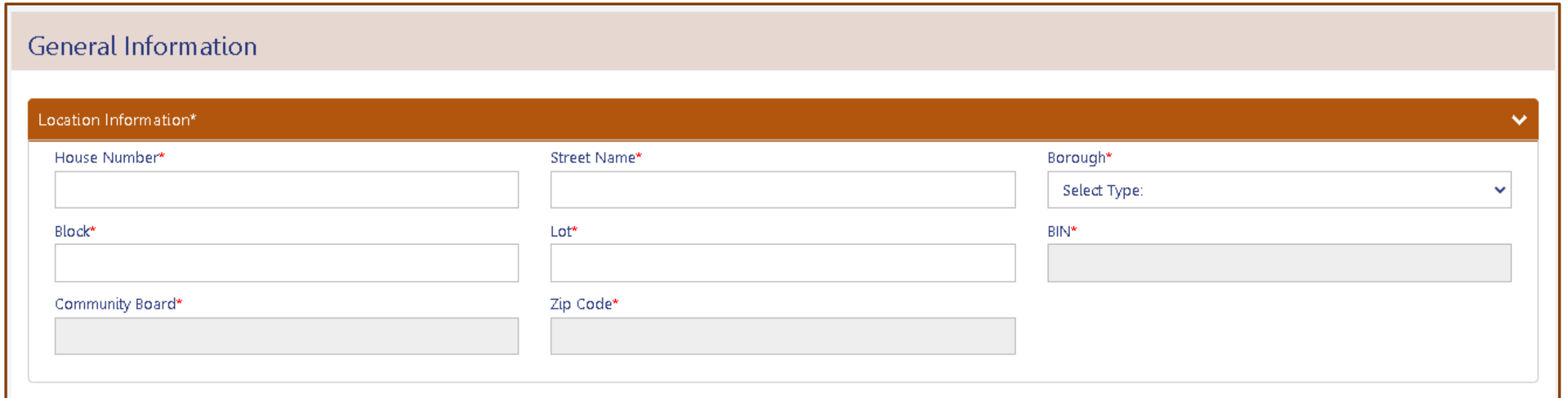
Stakeholders*

Gross Square Footage

Adjustment Type

28-320.8 Adjustment to applicable annual Building Emissions Limit for calendar years 2024-2029

- Begin the General Information tab by entering Location Information: House Number, Street Name, Borough, Block, and Lot.
- The BIN, Community Board, and Zip Code will be auto completed once the request is saved.



The screenshot displays the 'General Information' section of the application. A sub-section titled 'Location Information*' is highlighted with a brown header and a dropdown arrow. Below this header, there are seven input fields arranged in a grid:

House Number*	Street Name*	Borough*
<input type="text"/>	<input type="text"/>	<input type="text" value="Select Type:"/>
Block*	Lot*	BIN*
<input type="text"/>	<input type="text"/>	<input type="text"/>
Community Board*	Zip Code*	
<input type="text"/>	<input type="text"/>	

Stakeholders*

Applicant Information*

Email*	License Type*	License Number
<input type="text" value="Please enter email address"/>	Select Type: <input type="text"/>	<input type="text"/>
First Name	Middle Initial	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Business Name*	Business Telephone	Business Address
Select Type: <input type="text"/>	<input type="text"/>	<input type="text"/>
City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

Owner Information*

Email*	Owner Type*	First Name
<input type="text" value="Please enter email address"/>	Select Type: <input type="text"/>	<input type="text"/>
Middle Initial	Last Name	Title
<input type="text"/>	<input type="text"/>	<input type="text"/>
Business Name/Agency Name*	Street Address*	City*
<input type="text"/>	<input type="text"/>	<input type="text"/>
State*	Zip Code*	Telephone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>

- Next, enter **Stakeholders**.
- Applicant Information and Owner Information are required.
- A Filing Representative Class I/ Preparer may also be entered.
- Once the Request is saved, Additional Delegates may be added.

- The Adjustment Type will be listed at the bottom of the General Information tab.

Adjustment Type

Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)

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The screenshot displays a progress bar at the top with seven stages: Pre-filing (checked), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, and Approved. Below the progress bar, there are two buttons: 'Save' (highlighted with a red box) and 'Preview to File'. At the bottom left, the request number '97ADJ-00001121' is visible, and a 'General Information' tab is selected.

- You must **Save** before you can move to the next tab.
- The Request Number and the Status Bar will appear.
- The Request Number will consist of **97ADJ** (for a LL97 Adjustment) followed by a 9-digit number.
- The steps of the adjustment process reflected in the Status Bar are:
 - Pre-Filing
 - Pending QA Assignment
 - QA Review
 - Pending Auditor Assignment
 - Auditor Review
 - Auditor Supervisor Review
 - Approved

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Save Preview to File

97ADJ-000001100

Emissions Limit 320.8

General Information

Emissions Limit

Actual Emissions

Documents

Statements & Signature

Select Occupancy Code ▾			2024-2029 Annual Emissions Limits		2030-2034 Annual Emissions Limits	
Occupancy Group	Description	Gross Floor Area of Occupancy Group (GSF)	Building Emissions Intensity Limit (tCO2e/gsf)	320.8 2024-2029 Emissions limit per Occupancy Group (tCO2e)	Building Emissions Intensity Limit (tCO2e/gsf)	320.8 2030-2034 Emissions limit per Occupancy Group (tCO2e)
B	Business	2000000	0.00846	16920	0.00453	9060
M	Mercantile	1000000	0.01181	11810	0.00403	4030
	Aggregate GSF	3000000	2024 Aggregate Building Emissions Limit	28730	2030 Aggregate Building Emissions Limit	13090

Dashboard

Request Highlights

View Request

History

\$2,450.00 Pay Now

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- In the Emissions Limit tab, select the Occupancy Code(s) that apply to your building and click **Add**.

Emissions Limit 320.8

Floor Area of Occupancy Group (GSF)	2024-2029 Annual Emissions Limits		2030-2034 Annual Emissions Limits	
	Building Emissions Intensity Limit (tCO2e/gsf)	320.8 2024-2029 Emissions limit per Occupancy Group (tCO2e)	Building Emissions Intensity Limit (tCO2e/gsf)	320.8 2030-2034 Emissions limit per Occupancy Group (tCO2e)
	2024 Aggregate Building Emissions Limit	0	2030 Aggregate Building Emissions Limit	0

Select Occupancy Code -

- A: Assembly - Groups A-1, A-2, A-3, A-4 and A-5
- B: Business
- B*: Civic admin, non-prod lab, ambulatory health
- E: Educational
- F: Factory/Industrial
- H: Hazard - H-1, H-2, H-3, H-4, H-5
- I-2: Institutional
- I-3: Institutional
- I-4: Institutional
- I-I: Institutional (except I-2, I-3, I-4)
- M: Mercantile
- R-1: Residential, transient
- R-2: Residential, permanent
- S: Storage - S-1, S-2
- U: Utility

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



Emissions Limit 320.8

Select Occupancy Code ▾

Occupancy Group	Description	Gross Floor Area of Occupancy Group (GSF)	2024-2029 Annual Emissions Limits		2030-2034 Annual Emissions Limits	
			Building Emissions Intensity Limit (tCO ₂ e/gsf)	320.8 2024-2029 Emissions limit per Occupancy Group (tCO ₂ e)	Building Emissions Intensity Limit (tCO ₂ e/gsf)	320.8 2030-2034 Emissions limit per Occupancy Group (tCO ₂ e)
B	Business	100000	0.00846	846	0.00453	453
M	Mercantile		0.01181		0.00403	
R-2	Residential, permanent	1000000	0.00675	6750	0.00407	4070
	Aggregate GSF	1100000	2024 Aggregate Building Emissions Limit	7596	2030 Aggregate Building Emissions Limit	4523

- For each Occupancy Code, enter the **Gross Floor Area of the Occupancy Group (GSF)**.
- The system will calculate the **Emissions Limits** and the **Aggregate GSF**.
- Click **Save** before moving to the next Tab.

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Pre-filing
Pending QA Assignment
QA Review
Pending Auditor Assignment
Auditor Review
Auditor Supervisor Review
Approved

Save

Preview to File

97ADJ-000001100

General Information

Emissions Limit

Actual Emissions

Documents

Statements & Signature

Actual Emissions 320.8

Actual Emissions*
➔

Special Circumstance

Actual Green House Gas (GHG) of Special Circumstance	
Green House Gas (GHG) of Special Circumstance	11492
Does Green House Gas (GHG) of Special Circumstance meet minimum required?	Does Not Comply

Adjusted Emissions Limit Calculations

2024 Aggregate Building Emissions Limit	28730
ECC Equivalent Min. Green House Gas (GHG) Reduction Required	0
2018 Actual Emissions after subtracting ECC Equivalent	0
% Over	0.00
Does 2018 Actual Emissions exceed 2024 Limit by more than 40%?	Does Not Comply
Adjusted Building Emissions Limit for 2024-2029	

Dashboard

Request Highlights

View Request

History

\$2,450.00 Pay Now

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



Actual Emissions 320.8

Actual Emissions*

Actual Green House Gas (GHG) of Special Circumstance*

Do any of the meters being reported serve another building?*

Yes No

- Click on the **Actual Emissions** bar to open the section.
- Enter the **Actual Green House Gas (GHG) of Special Circumstances**.
- Answer **Yes** or **No** to “Do any of the meters being reported serve another building?”

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- By default, the system will list all Fuel Types reported to the City in 2018 (per LL84), along with the Actual Building Emissions per Fuel Type. *Users can revise the annual energy use as needed.*
- For each of those Fuel Types, enter the Number of Energy Meters in Building(s) and Number of Meters Reported. *(Reasons for differences should be explained in documents uploaded with the application.)*

2018 Actual Building Emissions					1683
Fuel Type	Number of Energy Meters in Building(s)	Number of Meters reported	Green House Gas (GHG) Emissions Factor metric tons of CO2 equivalent (tCO2e)	Total Annual Energy supplied to building by fuel type	Actual Building Emissions per Fuel Type (tCO2e)
Diesel Fuel			0.00007421	3161518	235
District Steam			0.00004493	14444200	649
Electric Grid			0.000288962	1439270	416
Kerosene			0.00007769	3283708	255
Natural Gas			0.00005311	1248864	66
Propane			0.00006425	962400	62

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Fuel Type	Number of Energy Meters in Building(s)	Number of Meters reported	Total Annual Energy supplied to building by fuel type	Action
Select Type: Diesel Fuel District Steam Electric Grid Fuel Oil #1 Fuel Oil #2 Fuel Oil #4 Fuel Oil #5/6 Kerosene Natural Gas Propane Other: Specify Type	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="button" value="Save"/> <input type="button" value="Cancel"/>
	Number of Energy Meters in Building(s)	Number of Meters reported	Green House Gas (GHG) Emissions Factor metric tons of CO2 equivalent (tCO2e)	Total Annual Energy s building by fuel type
	<input type="text"/>	<input type="text"/>	0.00007421	<input type="text"/>

- To add an additional Fuel Type, click on **+ Add Fuel Type**. Fuel Types can be deleted by clicking **Delete Fuel Type**.
- Select the Type from the drop-down menu and fill out the rest of the fields (Number of Energy Meters in Building(s); Number of Meters reported; and Total Annual Energy supplied to building by fuel type).
- Click **Save**.

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- Once all fields in the Tab are complete, save the Request.
- The system will determine, based on the information provided in the request so far, whether the building qualifies for an LL97 Adjustment, and if any emissions reduction is needed to establish equivalency with the 2014 NYCECC
- To qualify, the Actual Green House Gas of Special Circumstances must be more than 40% above the 2024 Building Emissions Limit.
- In addition, the 2018 Actual Emissions must exceed the calculated 2024 limit by more than 40%.

Special Circumstance		Adjusted Emissions Limit Calculations	
Actual Green House Gas (GHG) of Special Circumstance	500	2024 Aggregate Building Emissions Limit	921
Green House Gas (GHG) of Special Circumstance	368	ECC Equivalent Min. Green House Gas (GHG) Reduction Required	262
Does Green House Gas (GHG) of Special Circumstance meet minimum required?	Complies	2018 Actual Emissions after subtracting ECC Equivalent	1421
		% Over	54.29 %
		Does 2018 Actual Emissions exceed 2024 Limit by more than 40%?	Complies
		Adjusted Building Emissions Limit for 2024-2029	995

- If the Adjustment Request is determined to be compliant, three more tabs appear:
 - ECC Equivalency Summary *(if a reduction is required)*
 - Emissions Reduction Summary
 - Future Energy Use Breakdown Summary
- These tabs are where the Applicant can enter details about how they will meet the adjusted Emissions Limit they are requesting.

97ADJ-000001121
General Information
Emissions Limit
Actual Emissions
ECC Equivalency Summary
Emissions Reduction Summary
Future Energy Use Breakdown Summary
Documents
Statements & Signature

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

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Progress: Pre-filing (Active) | Pending QA Assignment | QA Review | Pending Auditor Assignment | Auditor Review | Auditor Supervisor Review | Approved

Save | Preview to File

97ADJ-000001100

- General Information
- Emissions Limit
- Actual Emissions
- ECC Equivalency Summary**
- Emissions Reduction Summary
- Future Energy Use Breakdown Summary
- Documents
- Statements & Signature

ECC Equivalency Summary

+ Add Strategy Type

ECC Equivalent Min. Green House Gas (GHG) Reduction Required	2391
Aggregate ECC Equivalent Green House Gas (GHG) Reduction Proposed	0
Does Proposed ECC Equivalency Reduction Plan meet min. required?	No

Action	Strategy Type	Primary System Type Affected	Strategy: Description	Energy Savings by Energy Type	GHG Savings (tCO2e)
No ECC Equivalency Summary found!					

- Dashboard
- Request Highlights
- View Request
- History
- \$2,450.00 Pay Now

- In the ECC Equivalency Summary tab, Applicants must enter the strategy or strategies they will be using to reduce their emissions to achieve equivalency with the 2014 NYCECC.
- Begin by clicking **+ Add Strategy Type**.

ECC Equivalency Summary

+ Add Strategy Type

ECC Equivalent Min. Green House Gas (GHG) Reduction Required		262
Aggregate ECC Equivalent Green House Gas (GHG) Reduction Proposed		0
Does Proposed ECC Eqivalency Reduction Plan meet min. required?		No

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type	GHG Savings (tCO2e)
No ECC Equivalency Summary found!					

Add Strategy Type and Primary System Type Affected

Strategy Type	Primary System Type Affected	Action
Select Type: ▼	Select Type ▼	Add

Save Cancel

- Use the Strategy Type drop-down to choose the relevant strategy.




- Then select the Primary System Type Affected from the Select Type drop-down list.
- Click **Add** to enter your selections.



Add Strategy Type and Primary System Type Affected

Strategy Type	Primary System Type Affected	Action
Select Type: ▼	Select Type ▼	Add

- Continue the process until all Strategy Types and Primary System Types have been entered.
- Click **Save**.

Add Strategy Type and Primary System Type Affected

Strategy Type	Primary System Type Affected	Action
Select Type: ▾	Select Type ▾	Add
ECM	Windows	
RCM / OMM	HVAC System	
CDER Generation	Power	





 Save  Cancel

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type	GHG Savings (tCO2e)
	ECM	Windows	<input type="text"/>	Click to see Fuel Types	0
	RCM/OMM	HVAC System	<input type="text"/>	Click to see Fuel Types	0
	CDER Generation	Power	<input type="text"/>	Click to see Fuel Types	0

- Enter a description for each Strategy Type/Primary System Type pair.
- Next, **Click to see Fuel Types** to enter savings by Fuel Type associated with each measure entered.
- By default, the Fuel Types listed on the Actual Emissions tab will appear. Other Fuel Types can be added.

+ Add Fuel Type

Diesel Fuel (kbtu) (0.00007421)		District Steam (kbtu) (0.00004493)		Electric Grid (kbtu) (0.000288962)		Kerosene (kbtu) (0.00007769)	
<input type="text"/>		<input type="text"/>		<input type="text"/>		<input type="text"/>	
Natural Gas (kbtu) (0.00005311)		Propane (kbtu) (0.00006425)					
<input type="text"/>		<input type="text"/>					

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type
	ECM	Windows	<input type="text" value="ECM Windows"/>	<input type="button" value="Update"/> <input type="button" value="Cancel"/>
<input type="button" value="+ Add Fuel Type"/>				
Diesel Fuel (kbtu) <small>(0.00007421)</small>		District Steam (kbtu) <small>(0.00004493)</small>		Electric Grid (kbtu) <small>(0.000288962)</small>
<input type="text" value="316151"/>		<input type="text"/>		<input type="text"/>
				Kerosene (kbtu) <small>(0.00007769)</small>
				<input type="text"/>

- Enter the Energy Savings (in KBTU) for each Fuel Type affected by the Strategy.
- Click **Update** to save your entries.
- The system will calculate the GHG savings.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- The system keeps a running tab of the Aggregate ECC Equivalent Green House Gas Reduction Proposed
- Once the minimum is met, an alert will show that the Adjustment Request complies with the ECC Equivalency Target.
- To see all Fuel Types by Strategy, click **Change View**.

Alert: ✓ COMPLIES with ECC Equivalency Target. ✕

[Save](#) [Preview to File](#)

97ADJ-000001121

General Information

Emissions Limit

Actual Emissions

ECC Equivalency Summary

Emissions Reduction Summary

Future Energy Use Breakdown Summary

Documents

Statements & Signature

ECC Equivalency Summary

[+ Add Strategy Type](#) [↕ Change View](#)

ECC Equivalent Min. Green House Gas (GHG) Reduction Required	262
Aggregate ECC Equivalent Green House Gas (GHG) Reduction Proposed	706
Does Proposed ECC Equivalency Reduction Plan meet min. required?	Yes

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type	GHG Savings (tCO2e)
	ECM	Windows	ECM Windows	Click to see Fuel Types	365
	RCM/OMM	HVAC System	RCM/OMM HVAC	Click to see Fuel Types	341

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



Save Preview to File

97ADJ-000001100

General Information

Emissions Limit

Actual Emissions

ECC Equivalency Summary

Emissions Reduction Summary

Future Energy Use Breakdown Summary

Documents

Statements & Signature

Emissions Reduction Summary

+ Add Strategy Type

2018 Actual Building Emissions	46121
2030-2034 Annual Building Emissions Limit	13090
Minimum GHG Reduction Required	33031
Aggregate Proposed GHG Reduction	0
Does Proposed Green House Gas (GHG) Reduction Plan meet min. required?	No

Action	Strategy Type	Primary System Type Affected	Strategy: Description	Energy Savings by Energy Type	GHG Savings (tCO2e)
No Emissions Reduction Summary found!					

Dashboard

Request Highlights

View Request

History

\$2,450.00
Pay Now

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



- The same process applies in the Emissions Reduction Summary tab.
- Begin by clicking **+ Add Strategy Type**.

Emissions Reduction Summary

+ Add Strategy Type

2018 Actual Building Emissions Limit	1683
2030-2034 Annual Building Emissions Limit	503
Minimum Green House Gas (GHG) Reduction Plan Required	1180
Aggregate Actual Green House Gas (GHG)	0
Does Proposed Green House Gas (GHG) Reduction Plan meet min. required?	No

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type	GHG Savings (tCO2e)
No Emissions Reduction Summary found!					

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Notification

Aggregate GHG Savings on the ECC Equivalency summary table will be added to the Emissions Reduction Summary table.

OK

- Click **OK** on the Notification.
- The ECC Equivalency data will appear in the strategy list.
- An Alert will also appear on the top of the screen indicating if the strategies listed comply with the 2030-2034 emissions limit.

Alert: ✓ COMPLIES with ECC Equivalency Target. ! DOES NOT COMPLY with 2030-2034 emissions limit.

Save Preview to File

97ADJ-000001121

General Information

Emissions Limit

Actual Emissions

ECC Equivalency Summary

Emissions Reduction Summary

Future Energy Use Breakdown Summary

Documents

Statements & Signature

Emissions Reduction Summary

+ Add Strategy Type Change View

2018 Actual Building Emissions Limit	1683
2030-2034 Annual Building Emissions Limit	503
Minimum Green House Gas (GHG) Reduction Plan Required	1180
Aggregate Actual Green House Gas (GHG)	706
Does Proposed Green House Gas (GHG) Reduction Plan meet min. required?	No

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type	GHG Savings (tCO2e)
	NYC ECC Eq	See NYC ECC Eq Summary	Aggregated Savings	Click to see Fuel Types	706

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Add Strategy Type and Primary System Type Affected

Strategy Type	Primary System Type Affected	Action
Select Type: ▼	Select Type ▼	Add

Save Cancel

- Use the Strategy Type drop-down to choose the relevant strategy.

- Then select the Primary System Type Affected from the Select Type drop-down list.
- Click **Add** to enter your selections.

Add Strategy Type and Primary System Type Affected

Strategy Type	Primary System Type Affected	Action
Select Type: ▼	Select Type ▼	Add

- Continue the process until all Strategy Types and Primary System Types have been entered.
- Click **Save**.

Add Strategy Type and Primary System Type Affected

Strategy Type	Primary System Type Affected	Action
Select Type: <input type="text"/>	Select Type <input type="text"/>	<input type="button" value="Add"/>
Fuel Cell	Fans & Ducts	<input type="button" value="Delete"/>
CDER Generation	Power	<input type="button" value="Delete"/>

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION






Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type	GHG Savings (tCO2e)
	NYC ECC Eq	See NYC ECC Eq Summary	Aggregated Savings	Click to see Fuel Types	4416151
	Fuel Cell	Fans & Ducts	<input type="text"/>	Click to see Fuel Types	0
	CDER Generation	Power	<input type="text"/>	Click to see Fuel Types	0

- Enter a description for each new Strategy Type/Primary System Type pair.
- Next, **Click to see Fuel Types** to enter savings by Fuel Type associated with each measure entered.
- By default, the Fuel Types listed on the Actual Emissions tab will appear. Other Fuel Types can be added.

+ Add Fuel Type

Diesel Fuel (kbtu) <small>(0.00007421)</small> <input style="width: 90%;" type="text"/>	District Steam (kbtu) <small>(0.00004493)</small> <input style="width: 90%;" type="text"/>	Electric Grid (kbtu) <small>(0.000288962)</small> <input style="width: 90%;" type="text"/>	Kerosene (kbtu) <small>(0.00007769)</small> <input style="width: 90%;" type="text"/>
Natural Gas (kbtu) <small>(0.00005311)</small> <input style="width: 90%;" type="text"/>	Propane (kbtu) <small>(0.00006425)</small> <input style="width: 90%;" type="text"/>		

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Action	Strategy Type	Primary System Type Affected	Strategy#: Description	Energy Savings by Fuel Type
	ECM	Windows	<input type="text" value="ECM Windows"/>	<input type="button" value="Update"/> <input type="button" value="Cancel"/>
<input type="button" value="+ Add Fuel Type"/>				
Diesel Fuel (kbtu) (0.00007421)  District Steam (kbtu) (0.00004493)  Electric Grid (kbtu) (0.000288962)  Kerosene (kbtu) (0.00007769) 				
<input type="text" value="316151"/> <input type="text"/> <input type="text"/> <input type="text"/>				

- Enter the Energy Savings (in KBTU) for each Fuel Type affected by the Strategy.
- Click **Update** to save your entries.
- The system will calculate the GHG savings.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



- The system keeps a running tab of the Aggregate Actual Green House Gas.
- Once the minimum is met, an alert will show that the Adjustment Request complies with the ECC Equivalency Target.
- To see all Fuel Types by Strategy, click **Change View**.

Alert : ✓ COMPLIES with ECC Equivalency Target. ✓ COMPLIES with 2030-2034 emissions limit.

Save Preview to File

97ADJ-000001121

General Information

Emissions Limit

Actual Emissions

ECC Equivalency Summary

Emissions Reduction Summary

Future Energy Use Breakdown Summary

Emissions Reduction Summary

+ Add Strategy Type

Change View

2018 Actual Building Emissions Limit	1683
2030-2034 Annual Building Emissions Limit	503
Minimum Green House Gas (GHG) Reduction Plan Required	1180
Aggregate Actual Green House Gas (GHG)	1180
Does Proposed Green House Gas (GHG) Reduction Plan meet min. required?	Yes

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

DOB
NOW

● Pre-filing

● Pending QA Assignment

● QA Review

● Pending Auditor Assignment

● Auditor Review

● Auditor Supervisor Review

● Approved

Save

Preview to File

97ADJ-000001100

- General Information
- Emissions Limit
- Actual Emissions
- ECC Equivalency Summary
- Emissions Reduction Summary
- Future Energy Use Breakdown Summary
- Documents
- Statements & Signature

Future Energy Use Breakdown Summary

Equals or less than 2030 Annual Emissions Limits?
Does Not Comply

2030-2034 Annual Emissions Limits
13090

+ Add Energy Type

↔ Change View

Action	Energy Type(s)	End Use	TOTAL Annual Future/Proposed by Energy Type	Total metric tons of CO2 equivalent (tCO2e)
	Electricity (kWh) 0.000288962	Enter End Use Details		
	District Steam (kBtu) 0.00004493	Enter End Use Details		
	Diesel Fuel (kBtu) 0.00007421	Enter End Use Details		
	Natural Gas (kBtu) 0.00005311	Enter End Use Details		

- Dashboard
- Request Highlights
- View Request
- History
- \$2,450.00
Pay Now

DOB NOW: Safety – EXCESS EMISSIONS ADJUSTMENT APPLICATION


Future Energy Use Breakdown Summary

Equals or less than 2030 Annual Emissions Limits?
Does Not Comply

2030-2034 Annual Emissions Limits
503

+ Add Fuel Type

⇌ Change View

Action	Fuel Type(s)	End Use	TOTAL Annual Future/Proposed by Fuel Type	Total metric tons of CO2 equivalent (tCO2e)
 	Diesel Fuel 0.00007421	Enter End Use Details		
 	District Steam 0.00004493	Enter End Use Details		
 	Electric Grid 0.000288962	Enter End Use Details		
 	Kerosene 0.00007769	Enter End Use Details		
 	Natural Gas 0.00005311	Enter End Use Details		
 	Propane 0.00006425	Enter End Use Details		

- The Future Energy Use Breakdown Summary tab displays each of the Fuel Types listed on the Actual Emissions tab. Click **Enter End Use Details**.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Update Future Energy Use Breakdown Summary

End Use (As applicable)	Basis for Reported Energy Use	Diesel Fuel (kbtu)
Dom. Hot Water	Select Type: <input type="button" value="v"/>	<input type="text"/>
Exterior Lighting	Select Type: Metered Manual Calculations Energy Model	<input type="text"/>
Heat Rejection	Select Type: <input type="button" value="v"/>	<input type="text"/>
Interior Lighting	Select Type: <input type="button" value="v"/>	<input type="text"/>
Misc. Equip.	Select Type: <input type="button" value="v"/>	<input type="text"/>
Pumps & Misc	Select Type: <input type="button" value="v"/>	<input type="text"/>
Space Cool	Select Type: <input type="button" value="v"/>	<input type="text"/>
Space Heat	Select Type: <input type="button" value="v"/>	<input type="text"/>
Vent Fans	Select Type: <input type="button" value="v"/>	<input type="text"/>
Vertical Transportation	Select Type: <input type="button" value="v"/>	<input type="text"/>

- Identify each applicable End Use by selecting the Basis for Reported Energy Use from the drop down menu:
 - Metered
 - Manual Calculations
 - Energy Model
- Enter the amount of Fuel used for that End Use in the units shown for that Fuel.
- Complete the Future Energy Use Breakdown Summary for each of the Fuel Types.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- The system keeps a running total of the Total Annual Emissions and displays an Alert if the Future Energy Use Breakdown Summary complies with the requirements.

Alert: ✓ COMPLIES with ECC Equivalency Target. ✓ COMPLIES with 2030-2034 emissions limit. ✓ COMPLIES with Future Energy Use Breakdown Summary.

Save Preview to File

97ADJ-000001121

General Information

Emissions Limit

Actual Emissions

ECC Equivalency Summary

Emissions Reduction Summary

Future Energy Use Breakdown Summary

Documents

Statements & Signature

Future Energy Use Breakdown Summary

Equals or less than 2030 Annual Emissions Limits? **Complies** 2030-2034 Annual Emissions Limits **503**

+ Add Fuel Type Change View

Action	Fuel Type(s)	End Use	TOTAL Annual Future/Proposed by Fuel Type	Total metric tons of CO2 equivalent (tCO2e)
	Diesel Fuel 0.00007421	Enter End Use Details	400000	30
	District Steam 0.00004493	Enter End Use Details	1000000	45
	Electric Grid 0.000288962	Enter End Use Details	1000000	289
	Kerosene 0.00007769	Enter End Use Details	250000	19
	Natural Gas 0.00005311	Enter End Use Details	1000000	53
	Propane 0.00006425	Enter End Use Details	1000000	64
				500

DDOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

DOB
NOW

Progress bar: Pre-filing (Active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, Approved

Buttons: Save, Preview to File

Application ID: 97ADJ-000001100

Left sidebar menu: General Information, Emissions Limit, Actual Emissions, ECC Equivalency Summary, Emissions Reduction Summary, Future Energy Use Breakdown Summary, Documents (Active), Statements & Signature

Documents section: + Add Document

Document Name	Document Type	Document Status	Actions
---------------	---------------	-----------------	---------

Page navigation: 1 / 1, 10 Items Per Page

Right sidebar menu: Dashboard, Request Highlights, View Request, History, \$2,450.00 Pay Now

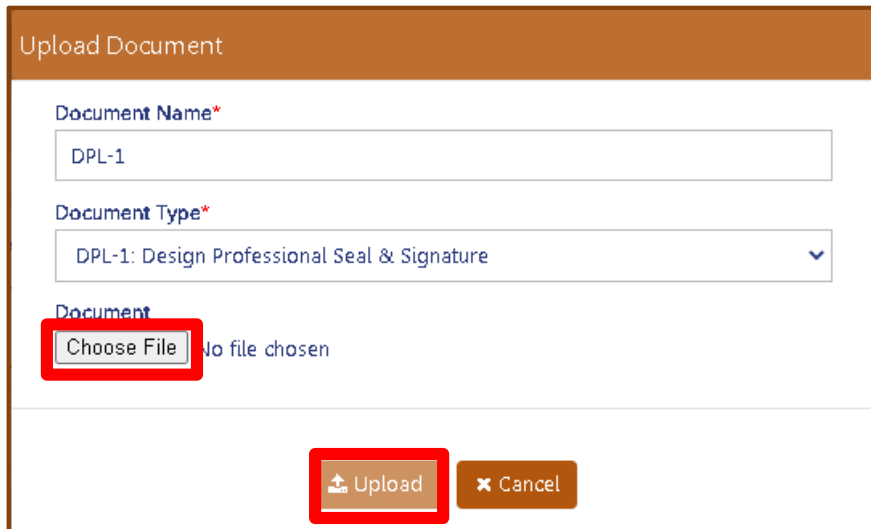
DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



The screenshot shows a 'Documents' tab with a '+ Add Document' button highlighted in a red box. Below the button is a 'Document Name' input field.

- In the Documents Tab, click **Add Documents** to upload documents.
- All required documents will be listed in the **Document Type** drop-down.
- For each document, enter a Document Name. More than one document may be uploaded for each Document Type.

- Click **Choose File** to select your document and then click **Upload**.



The screenshot shows the 'Upload Document' form. The 'Document Name*' field contains 'DPL-1'. The 'Document Type*' dropdown is set to 'DPL-1: Design Professional Seal & Signature'. The 'Document' section has a 'Choose File' button highlighted in a red box, with the text 'No file chosen' next to it. At the bottom, there are 'Upload' and 'Cancel' buttons, with the 'Upload' button highlighted in a red box.



The screenshot shows the 'Document Type*' dropdown menu. The dropdown is open, showing a list of options: 'Select:', 'DPL-1: Design Professional Seal & Signature', 'ECC Equivalency Report', 'Emissions Reduction Plan Report', 'EN97A', 'Energy Analysis Report', 'Energy Usage Documentation', 'Not Required: Other Documentation', and 'Stacking Diagram'. The 'DPL-1: Design Professional Seal & Signature' option is highlighted in blue. The entire dropdown menu is enclosed in a red box.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



Progress bar: Pre-filing (Active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, Approved

Buttons: Save, Preview to File

Application ID: 97ADJ-000001100

Left sidebar menu: General Information, Emissions Limit, Actual Emissions, ECC Equivalency Summary, Emissions Reduction Summary, Future Energy Use Breakdown Summary, Documents, Statements & Signature (Active)

Main content: Statements & Signatures

- Applicant of Record's Attestation* >
- Owner's Attestation* >

Right sidebar menu: Dashboard, Request Highlights, View Request, History, \$2,450.00 Pay Now

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- Both the Applicant of Record and the Owner must sign into DOB NOW and click the checkbox to Attest to the Adjustment Request.

Statements & Signatures

Applicant of Record's Attestation*

I hereby state the information in this application and associated forms is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I prepared or supervised the preparation of the application and supporting documents herewith submitted and to the best of my knowledge and belief, the energy documentation shown thereon complies with the provisions of the NYC Administrative Code and other applicable laws and rules. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted.

I have personally reviewed all information entered on each of the sections of this application and the associated supporting documents submitted herewith. I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand, and I further agree that an uploaded electronic image of my signature and professional seal that is part of this application is hereby applied to this signed statement and each document and statement listed herein as if I had personally signed and sealed these statements and documents by hand.

Name Date

Owner's Attestation*

I hereby certify that I am the owner of the property listed herein.

I hereby swear and affirm that the information on this application is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I have authorized the applicant to file this application and associated information and supporting documentation submitted herewith and all future amendments. I will not knowingly authorize any work that is not in compliance with all applicable laws, rules, and regulations.

I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand.

Name Date

- Fees (if any) must be paid before the Adjustment Request can be submitted.

Adjustment Request Type	Building Owner Type	Fee
Special Circumstance(28-320.8)	For Profit	\$2450
	Not For Profit	\$0

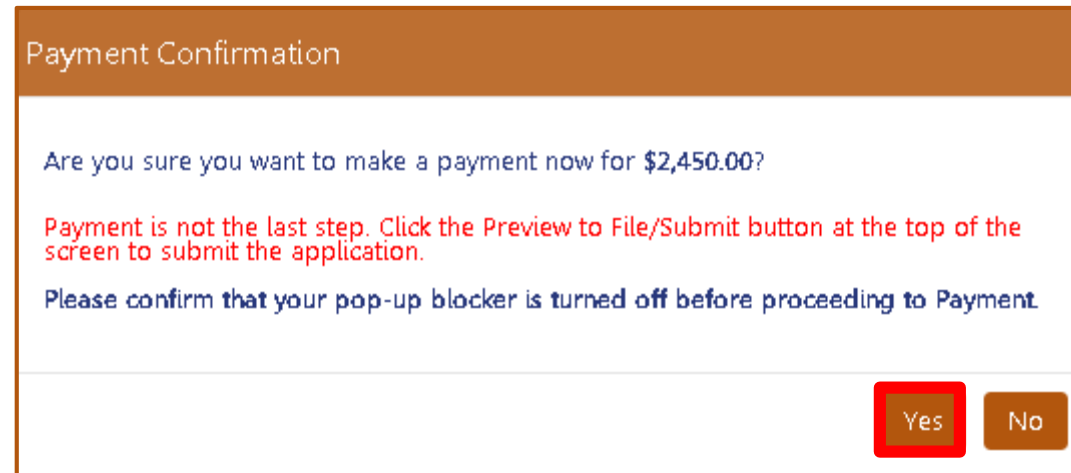
DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

DOB
NOW

- To make a payment, click on the **Pay Now** button at the right of the screen.

The screenshot displays the DOB NOW application interface for an Excess Emissions Adjustment Application. At the top, a progress bar shows seven stages: Pre-filing (active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, and Approved. Below the progress bar are 'Save' and 'Preview to File' buttons. The main content area is divided into a left sidebar with a navigation menu and a central panel. The sidebar menu includes 'General Information' (selected), 'Emissions Limit', 'Actual Emissions', 'Documents', and 'Statements & Signature'. The central panel, titled 'General Information', contains three sections: 'Location Information*' with a checkmark and arrow, 'Stakeholders*' with a checkmark and arrow, and 'Adjustment Type' with the text 'Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)'. On the right side, a vertical navigation menu includes 'Dashboard', 'Request Highlights', 'View Request', 'History', and a 'Pay Now' button (highlighted with a red box) showing a payment amount of '\$2,450.00'.

- A pop-up notification will remind you that payment is not the last step. After you make your payment, you will still need to submit your request. Click **Yes**.

A screenshot of a 'Payment Confirmation' pop-up notification. The title bar is brown with the text 'Payment Confirmation' in white. The main content area is white and contains the following text: 'Are you sure you want to make a payment now for \$2,450.00?' in blue. Below this is a red warning message: 'Payment is not the last step. Click the Preview to File/Submit button at the top of the screen to submit the application.' Underneath is another blue instruction: 'Please confirm that your pop-up blocker is turned off before proceeding to Payment.' At the bottom right, there are two buttons: a red 'Yes' button and a brown 'No' button.

Payment Confirmation

Are you sure you want to make a payment now for \$2,450.00?

Payment is not the last step. Click the Preview to File/Submit button at the top of the screen to submit the application.

Please confirm that your pop-up blocker is turned off before proceeding to Payment.

Yes No

- You will be brought to the CityPay site where you can make a payment by credit card or echeck.

Save Preview to File

97ADJ-000001121

- Fees (if any) must be paid before the Adjustment Request can be submitted.
- Once you click **Preview to File**, any missing information will be highlighted in red and with an exclamation point on the tab. Enter all missing information to submit the Request.

97ADJ-000001100

General Information

Emissions Limit

Actual Emissions

Documents

Actual Emissions 320.8

Actual Emissions*



Actual Green House Gas (GHG) of Special Circumstance *

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



Application Preview

◀ Previous **Next >** 🔍 Zoom 🔍 Zoom 100% ▾ Page: 1 / 12



Request Highlights

Location:	PEARL STREET MANHATTAN
BIN:	1001389
Tracking Number:	97ADJ-000001121
Adjustment Status:	Pre-filing
Adjustment Type:	28-320.8 Adjustment to applicable annual Building Emissions Limit for calendar years 2024-2029
Created On:	03/30/2021
Total Gross Square Footage:	130000
Owner Type:	Corporation

Payment Summary

Filing Fee	\$2,450.00
Amount Paid	\$2,450.00
Amount Due	\$0.00

[File](#) [Return to Filing View](#)

- Once all required information is entered, clicking Preview to File will load the Application Preview pop up.
- Page through the Application by clicking Next.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- Click the checkbox to Attest and sign the Application.
- Click **File** to submit the Request.

Application Preview « Previous Next » 🔍 Zoom 🔍 Zoom 100% Page: 12 / 12

Statements & Signatures

Applicant of Record's Attestation

I hereby state the information in this application and associated forms is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I prepared or supervised the preparation of the application and supporting documents herewith submitted and to the best of my knowledge and belief, the energy documentation shown thereon complies with the provisions of the NYC Administrative Code and other applicable laws and rules. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted.

I have personally reviewed all information entered on each of the sections of this application and the associated supporting documents submitted herewith. I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand, and I further agree that an uploaded electronic image of my signature and professional seal that is part of this application is hereby applied to this signed statement and each document and statement listed herein as if I had personally signed and sealed these statements and documents by hand.

Name	PE TRAINER (Electronically Signed)	Date	03/30/2021
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Owner's Attestation

I hereby certify that I am the owner of the property listed herein.

I hereby swear and affirm that the information on this application is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false

I understand and agree that by personally clicking on the box at left I am electronically signing this application and expressing my agreement with all of its terms. I understand that this electronic signature shall have the same validity and effect as a signature affixed by hand.

Name		Date	
------	--	------	--

File **Return to Filing View**

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



- By clicking File the application will be brought to **Pending QA Assignment** status
- The application will be assigned for **QA Review**:



DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- If an issue is raised by the QA Reviewer, the application will be marked **Incomplete** and an email will be sent to the Applicant and the Owner.

Building Emissions Adjustments | Determinations | Appeals | Refresh

View	Actions	Tracking Number	Adjustment Type	Adjustment Status	Address ▲	Borough	Applicant of Record	Owner
	Select Action: ▼	97ADJ-000001100	Adjustment for a building that h...	Pre-filing	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER
	Select Action: ▼	97ADJ-000001095	Adjustment for a building conta...	Incomplete	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER

- The details of what is needed will appear in the email. You may be asked to resubmit documents or enter updated data.

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



- After any issues are resolved, the QA reviewer will update the status to **Pending Auditor Assignment**.
- An auditor will be assigned and will complete a technical review. The status will update to **Auditor Review**.



DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- If an objection is raised by the Auditor, the application will be marked **Objections** and an email will be sent to the Applicant and the Owner.

Building Emissions Adjustments | Determinations | Appeals

Refresh

View	Actions	Tracking Number	Adjustment Type	Adjustment Status	Address ▲	Borough	Applicant of Record	Owner
	Select Action: ▼	97ADJ-000001100	Adjustment for a building that h...	Pre-filing	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER
	Select Action: ▼	97ADJ-000001095	Adjustment for a building conta.	Objections	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER

- A new Objections tab will appear in the request.

97ADJ-000001095

General Information

Emissions Limit

Actual Emissions

Documents

Objections

Statements & Signature

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

Objection	Code	Status	Action
▼ C-4.1. Actual Building Emissions - Energy Use	Building Emissions	Active	✎ Edit

- Click **Edit** to address the Objection.
- Change status to **Resolved**.
- Enter notes on **Justification** for the resolution.
- Click **Update**.

Objection	Code	Status	Action
▲ C-4.1. Actual Building Emissions - Energy Use	Building Emissions	Resolved	📄 Update

Code Type: Building Emissions Code Year: AC 2014 Created Date: 04/21/2021

Created By: Martha Fein

Details
Energy Use data is inconsistent with other information available for the building.

Objection Status*

Justification*

255 characters remaining

Objection History

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION

- The Applicant of Record will need to re-Attest before the application can be **Resubmitted**.

Save Resubmit

97ADJ-000001095

General Information

Emissions Limit

Actual Emissions

Documents

Statements & Signature

Statements & Signatures

Applicant of Record's Attestation*

I hereby state the information in this application and associated forms is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I prepared or supervised the preparation of the application and supporting documents herewith submitted and to the best of my knowledge and belief, the energy documentation shown thereon complies with the provisions of the NYC Administrative Code and other applicable laws and rules. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted.

I have personally reviewed all information entered on each of the sections of this application and the associated supporting documents submitted herewith. I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand, and I further agree that an uploaded electronic image of my signature and professional seal that is part of this application is hereby applied to this signed statement and each document and statement listed herein as if I had personally signed and sealed these statements and documents by hand.

Name

Date

DOB NOW: *Safety* – EXCESS EMISSIONS ADJUSTMENT APPLICATION



- Once the Application has been Approved or Denied, the Applicant and the Owner will receive emails.
- You can also view the status of the Application in the dashboard.

Navigation: [+ Building Emissions Adjustment Request](#) [+ Determination Request](#) [+ Appeal Request](#)

Building Emissions Adjustments | [Determinations](#) | [Appeals](#) [Refresh](#)

View	Actions	Tracking Number	Adjustment Type	Adjustment Status ▲	Address	Borough	Applicant of Record	Owner
	Select Action: ▼	97ADJ-000001095	Adjustment for a building conta...	Approved	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER
	Select Action: ▼	97ADJ-000001134	Adjustment for a building conta...	Auditor Review	375 PEARL STREET	MANHATTAN	PE TRAINER	BUILD 139
	Select Action: ▼	97ADJ-000001122	Adjustment for a building that h...	Denied	745 7 AVENUE	MANHATTAN	PE TRAINER	BUILD 139



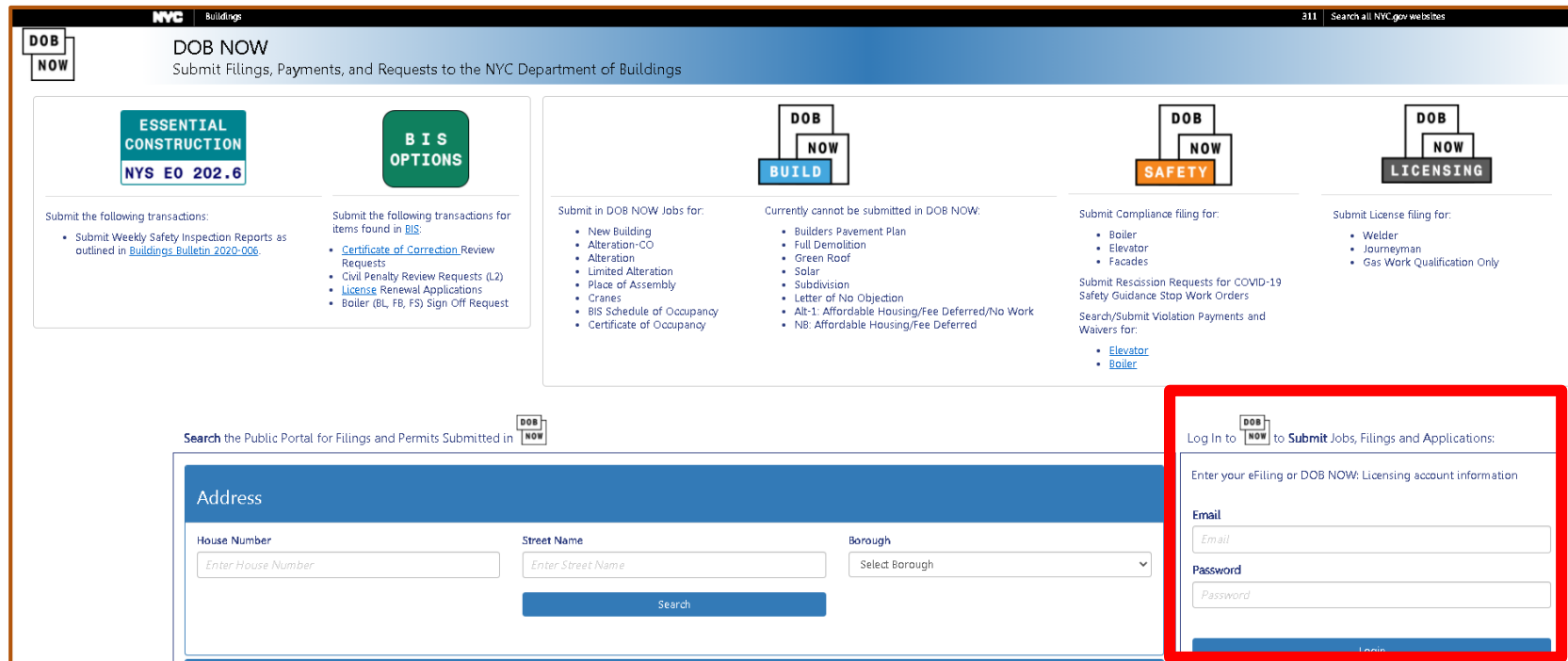
LOCAL LAW 97

ADJUSTMENTS BASED ON

NOT-FOR-PROFIT HOSPITALS AND HEALTHCARE

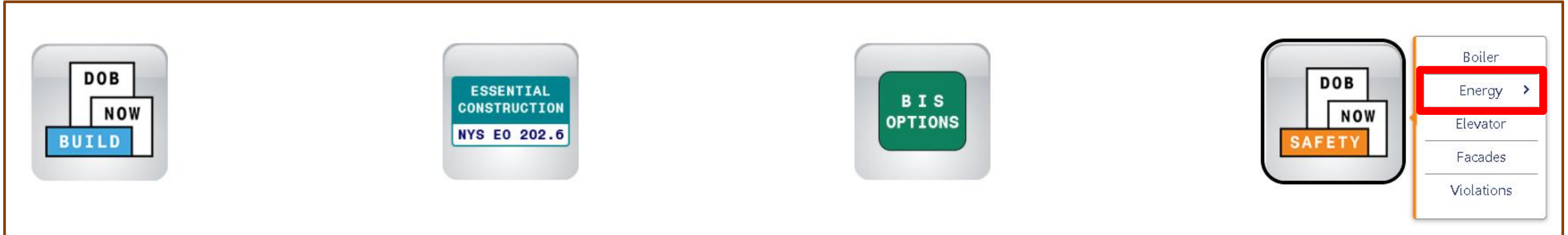
FACILITIES

- Begin by logging into DOB NOW at www.nyc.gov/dobnow. Enter your eFiling account email address and password.



- If you need to create an eFiling account, go to www.nyc.gov/efilingtips for instructions.

DOB NOW: *Safety* – LL97 ADJUSTMENTS PROCESS



- Hover over DOB NOW: *Safety* and choose **Energy**.
- Then select **Building Emissions**.



DOB NOW: Safety – LL97 ADJUSTMENTS PROCESS

DOB NOW SAFETY

NYC Department of Buildings
Energy: Emissions Limit Adjustment

Welcome, PE TRAINER
TRAININGPERA@GMAIL.COM
Need Help?
Sign Out

+ Building Emission Adjustment Request + Determination Request + Appeal Request

Building Emission Adjustments | Determinations | Appeals

Refresh

View	Actions	Tracking Number	Adjustment Type	Adjustment Status	Address	Borough	Applicant of Record	Owner	Payment Status
<input type="checkbox"/>	Select Action: ▼	97ADJ-000001103	28-320.8 Adjustment to applica...	Pending QA Assignment	991 8 AVENUE	MANHATTAN	BUILD 139	PE TRAINER	Paid
<input checked="" type="checkbox"/>	Select Action: ▼	97ADJ-000001100	28-320.8 Adjustment to applica...	Pre-filing	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER	Due
<input checked="" type="checkbox"/>	Select Action: ▼	97ADJ-000001095	28-320.9 Adjustment to applica...	Pending QA Assignment	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER	Exempted

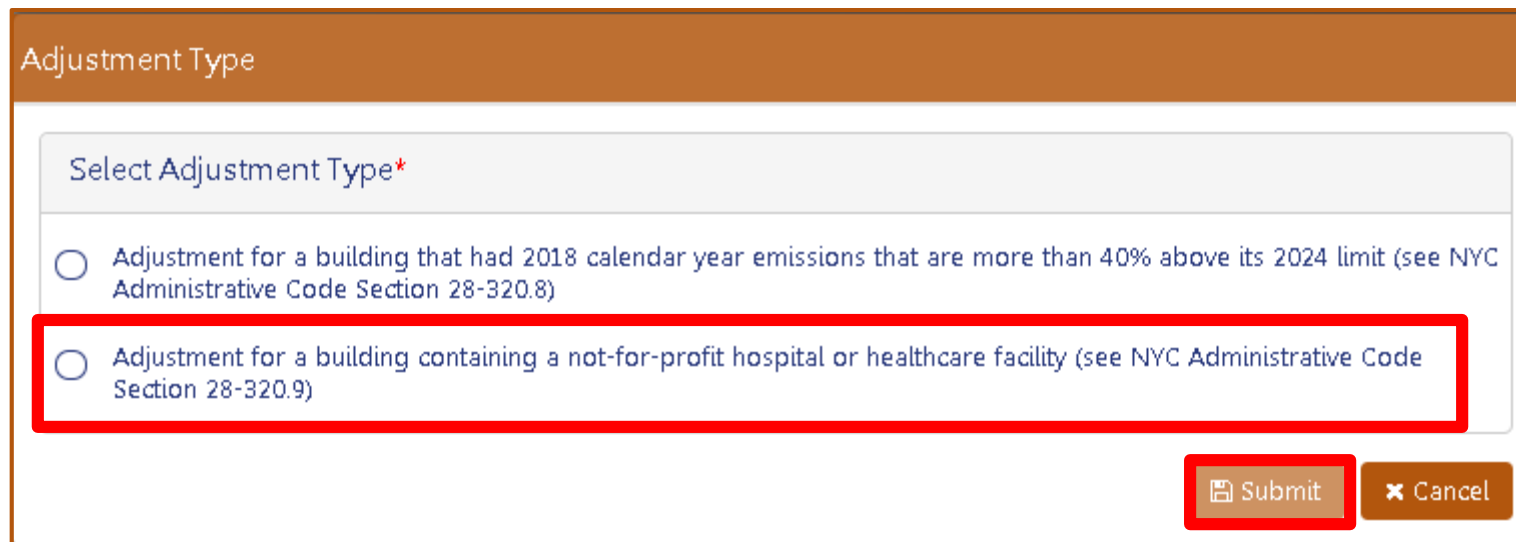
Total Items: 3

1 / 1 Items Per Page

1 - 3 of 3 items

- To start a new request, click **+ Building Emissions Adjustment Request**.

- Select “Adjustment for a building containing a **not-for-profit hospital or healthcare facility** (see NYC Administrative Code Section 28-320.9).”
- Then click **Submit**.

A screenshot of a web application dialog box titled "Adjustment Type". The dialog has a light gray header with the title. Below the header is a white area with a light gray border containing the text "Select Adjustment Type*". There are two radio button options. The first option is "Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)". The second option is "Adjustment for a building containing a not-for-profit hospital or healthcare facility (see NYC Administrative Code Section 28-320.9)". This second option is highlighted with a red rectangular border. At the bottom right of the dialog are two buttons: "Submit" and "Cancel". The "Submit" button is highlighted with a red rectangular border.

Adjustment Type

Select Adjustment Type*

Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)

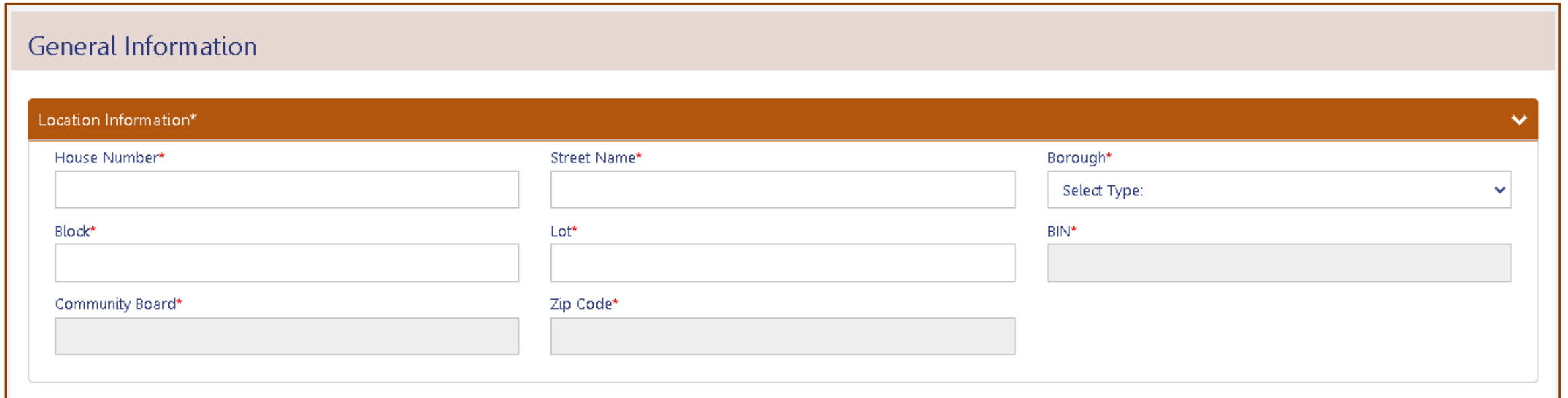
Adjustment for a building containing a not-for-profit hospital or healthcare facility (see NYC Administrative Code Section 28-320.9)

Submit Cancel

- The Adjustment Request starts with five tabs:

General Information	General Information
Emissions Limit	Location Information*
Actual Emissions	Stakeholders*
Documents	Gross Square Footage
Statements & Signature	Adjustment Type
	28-320.9 Adjustment to applicable annual Building Emissions Limit for Not-for-Profit Hospital and Healthcare Facilities

- Begin the General Information tab by entering Location Information: House Number, Street Name, Borough, Block, and Lot.
- The BIN, Community Board, and Zip Code will be auto completed once the request is saved.



The screenshot displays a web form titled "General Information". A sub-section titled "Location Information*" is highlighted with a brown header and a dropdown arrow. This section contains seven input fields arranged in a grid:

House Number*	Street Name*	Borough*
<input type="text"/>	<input type="text"/>	<input type="text" value="Select Type:"/>
Block*	Lot*	BIN*
<input type="text"/>	<input type="text"/>	<input type="text"/>
Community Board*	Zip Code*	
<input type="text"/>	<input type="text"/>	

Stakeholders*

Applicant Information*

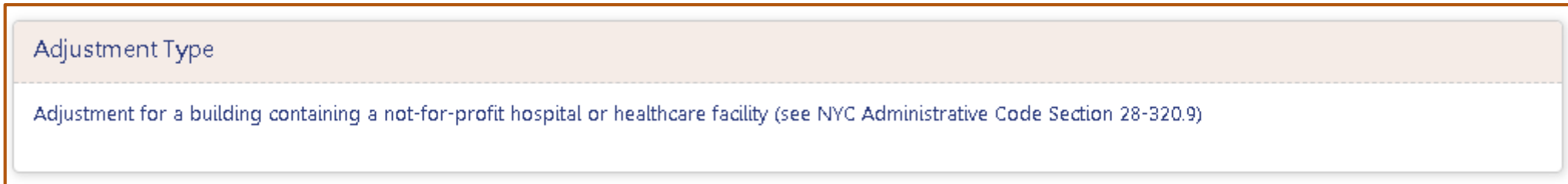
Email*	License Type*	License Number
<input type="text" value="Please enter email address"/>	Select Type: <input type="text"/>	<input type="text"/>
First Name	Middle Initial	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Business Name*	Business Telephone	Business Address
Select Type: <input type="text"/>	<input type="text"/>	<input type="text"/>
City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>

Owner Information*

Email*	Owner Type*	First Name
<input type="text" value="Please enter email address"/>	Select Type: <input type="text"/>	<input type="text"/>
Middle Initial	Last Name	Title
<input type="text"/>	<input type="text"/>	<input type="text"/>
Business Name/Agency Name*	Street Address*	City*
<input type="text"/>	<input type="text"/>	<input type="text"/>
State*	Zip Code*	Telephone Number
<input type="text"/>	<input type="text"/>	<input type="text"/>

- Next, enter **Stakeholders**.
- Applicant Information and Owner Information are required.
- A Filing Representative Class I/ Preparer may also be entered.
- Once the Request is saved, Additional Delegates may be added.

- The Adjustment Type will be listed at the bottom of the General Information tab.

A screenshot of a dropdown menu. The top bar is light gray and contains the text 'Adjustment Type'. Below this bar, the selected option is displayed in a white box with a light gray border. The text of the selected option is 'Adjustment for a building containing a not-for-profit hospital or healthcare facility (see NYC Administrative Code Section 28-320.9)'.

Adjustment Type

Adjustment for a building containing a not-for-profit hospital or healthcare facility (see NYC Administrative Code Section 28-320.9)

The screenshot shows a progress bar with seven stages: Pre-filing (checked), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, and Approved. Below the bar are two buttons: 'Save' (highlighted with a red box) and 'Preview to File'. At the bottom left, the request number '97ADJ-00001121' is displayed next to a 'General Information' tab.

- You must **Save** before you can move to the next tab.
- The Request Number and the Status Bar will appear.
- The Request Number will consist of **97ADJ** (for a LL97 Adjustment) followed by a 9-digit number.
- The steps of the adjustment process reflected in the Status Bar are:
 - Pre-Filing
 - Pending QA Assignment
 - QA Review
 - Pending Auditor Assignment
 - Auditor Review
 - Auditor Supervisor Review
 - Approved

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION



Save Preview to File

- 97ADJ-000001095
- General Information
- Emissions Limit**
- Actual Emissions
- Documents
- Statements & Signature

Emissions Limit 320.9

Select Occupancy Code ▾			2024-2029 Annual Emissions Limits		2030-2034 Annual Emissions Limits	
Occupancy Group	Description	Gross Floor Area of Occupancy Group (GSF)	Building Emissions Intensity Limit (tCO2e/gsf)	320.9 2024-2029 Emissions limit per Occupancy Group (tCO2e)	Building Emissions Intensity Limit (tCO2e/gsf)	320.9 2030-2034 Emissions limit per Occupancy Group (tCO2e)
Other/For Profit Area	Other/For Profit - portion of building	100000		0		0
B*	Civic admin, non-prod lab, ambulatory health	200000	0.02381	4762	0.01330	2660
	Aggregate GSF	300000	2024 Aggregate Building Emissions Limit	4762	2030 Aggregate Building Emissions Limit	2660

- Dashboard
- Request Highlights
- View Request
- History
- \$0.00 Pay Now

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION



- In the Emissions Limit tab, Other/For Profit will be auto-selected.
- Select the other Occupancy Code(s) that apply to your building and click **Add**.

Emissions Limit 320.9						
Select Occupancy Code ▾			2024-2029 Annual Emissions Limits		2030-2034 Annual Emissions Limits	
Occupancy Group	Description	Gross Floor Area of Occupancy Group (GSF)	Building Emissions Intensity Limit (tCO2e/gsf)	320.9 2024-2029 Emissions limit per Occupancy Group (tCO2e)	Building Emissions Intensity Limit (tCO2e/gsf)	320.9 2030-2034 Emissions limit per Occupancy Group (tCO2e)
Other/For Profit Area	Other/For Profit - portion of building					
	Aggregate GSF		2024 Aggregate Building Emissions Limit		2030 Aggregate Building Emissions Limit	0

Select Occupancy Code ▾

- A: Assembly - Groups A-1, A-2, A-3, A-4 and A-5
- B: Business
- B*: Civic admin, non-prod lab, ambulatory health
- E: Educational
- F: Factory/Industrial
- H: Hazard - H-1, H-2, H-3, H-4, H-5
- I-2: Institutional
- I-3: Institutional
- I-4: Institutional
- I-I: Institutional (except I-2, I-3, I-4)
- M: Mercantile
- R-1: Residential, transient
- R-2: Residential, permanent
- S: Storage - S-1, S-2
- U: Utility

Emissions Limit 320.9

Select Occupancy Code ▾

Occupancy Group	Description	Gross Floor Area of Occupancy Group (GSF)	2024-2029 Annual Emissions Limits		2030-2034 Annual Emissions Limits	
			Building Emissions Intensity Limit (tCO2e/gsf)	320.9 2024-2029 Emissions limit per Occupancy Group (tCO2e)	Building Emissions Intensity Limit (tCO2e/gsf)	320.9 2030-2034 Emissions limit per Occupancy Group (tCO2e)
Other/For Profit Area	Other/For Profit - portion of building	<input type="text"/>				
B*	Civic admin, non-prod lab, ambulatory health	<input type="text"/>	0.02381		0.01330	
	Aggregate GSF		2024 Aggregate Building Emissions Limit	0	2030 Aggregate Building Emissions Limit	0

- For each Occupancy Code, enter the **Gross Floor Area of the Occupancy Group (GSF)**.
- The system will calculate the **Emissions Limits** and the **Aggregate GSF**.
- Click **Save** before moving to the next Tab.

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION

DOB
NOW

Progress bar: Pre-filing (Active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, Approved

Buttons: Save, Preview to File

97ADJ-000001095

Actual Emissions 320.9

Actual Emissions*

Do any of the meters being reported serve another building?* Yes No

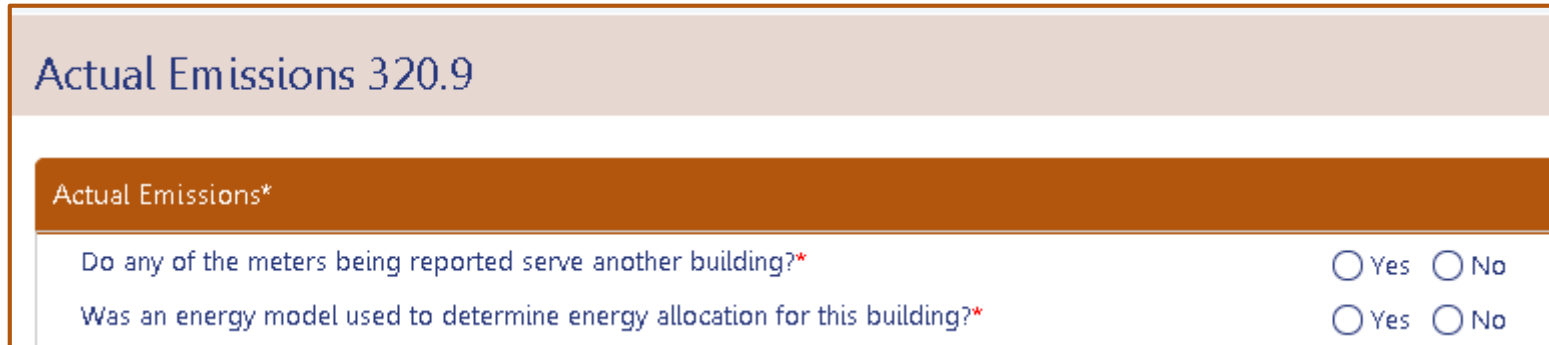
Was an energy model used to determine energy allocation for this building?* Yes No

+ Add Energy Type | Delete Energy Type

2018 Actual Building Emissions	62288
Adjusted Building Emissions Limit for 2024-2029	52945
Adjusted Building Emissions Limit for 2030-2034	43602

- Dashboard
- Request Highlights
- View Request
- History
- \$0.00 Pay Now

- In the Actual Emissions tab, begin by answering the two Yes/No questions:
 - Do any of the meters being reported serve another building?
 - Was an energy model used to determine energy allocation for this building?

A screenshot of a web application interface. At the top, a light blue header bar contains the text 'Actual Emissions 320.9'. Below this is a dark blue horizontal bar with the text 'Actual Emissions*'. Underneath, there are two rows of text, each followed by two radio button options labeled 'Yes' and 'No'. The first row asks 'Do any of the meters being reported serve another building?*' and the second row asks 'Was an energy model used to determine energy allocation for this building?*'.

+ Add Fuel Type
Delete Fuel Type ▾

2018 Actual Building Emissions						
Adjusted Building Emissions Limit for 2024-2029						
Adjusted Building Emissions Limit for 2030-2034						
Fuel Type	Number of Energy Meters in Building(s)	Number of Meters reported	Green House Gas (GHG) Emissions Factor metric tons of CO2 equivalent (tCO2e)	Total Annual Energy supplied to building by fuel type	NFP Healthcare - 320.9	Actual Building Emissions per Fuel Type (tCO2e)
Diesel Fuel			0.00007421	3161518		0
District Steam			0.00004493	14444200		0
Electric Grid			0.000288962	1439270		0
Kerosene			0.00007769	3283708		0
Natural Gas			0.00005311	1248864		0
Propane			0.00006425	962400		0

- The Fuel Types reported for the 2018 Actual Building Emissions (per LL84) will appear by default.
- Additional Fuel Types can be added by clicking **+ Add Fuel Type**.
- Fuel Types added by the user can be deleted by clicking **Delete Fuel Type**.

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION



- For each Fuel Type enter:
 - Number of Energy Meters in Building(s)
 - Number of Meters reported
 - Total Annual Energy supplied to building by fuel type (*Revise default values as needed.*)
 - NFP Healthcare – 320.9 (*Enter the total energy supplied to the not-for-profit healthcare portion of the building.*)

- The system will calculate the Actual Building Emissions per Fuel Type.

Fuel Type	Number of Energy Meters in Building(s)	Number of Meters reported	Green House Gas (GHG) Emissions Factor metric tons of CO2 equivalent (tCO2e)	Total Annual Energy supplied to building by fuel type	NFP Healthcare - 320.9	Actual Building Emissions per Fuel Type (tCO2e)
Diesel Fuel	<input type="text"/>	<input type="text"/>	0.00007421	3161518	<input type="text"/>	0
District Steam	<input type="text"/>	<input type="text"/>	0.00004493	14444200	<input type="text"/>	0

2018 Actual Building Emissions	3302
Adjusted Building Emissions Limit for 2024-2029	2807
Adjusted Building Emissions Limit for 2030-2034	2311

- Based on the information entered about actual energy use per Fuel Type, the system will calculate:
 - 2018 Actual Building Emissions
 - Adjusted Building Emissions Limit for 2024-2029
 - Adjusted Building Emissions Limit for 2030-2034

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION

DOB
NOW

Progress bar: Pre-filing (Active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, Approved

Buttons: Save, Preview to File

Application ID: 97ADJ-000001095

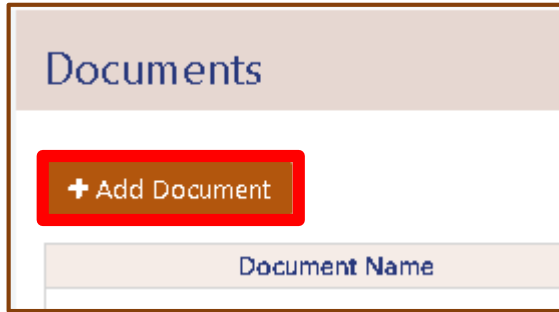
Left sidebar: General Information, Emissions Limit, Actual Emissions, Documents (Active), Statements & Signature

Documents

+ Add Document

Document Name	Document Type	Document Status	Actions
DPL-1	DPL-1: Design Professional Seal & Signature	Submitted	
EN97A	EN97A	Submitted	
Energy Analysis	Energy Analysis Report	Submitted	
Stacking	Stacking Diagram	Submitted	
NFP Proof	Not-For-Profit Status	Submitted	
Energy Usage Document	Energy Usage Documentation	Submitted	

Right sidebar: Dashboard, Request Highlights, View Request, History, \$0.00 Pay Now



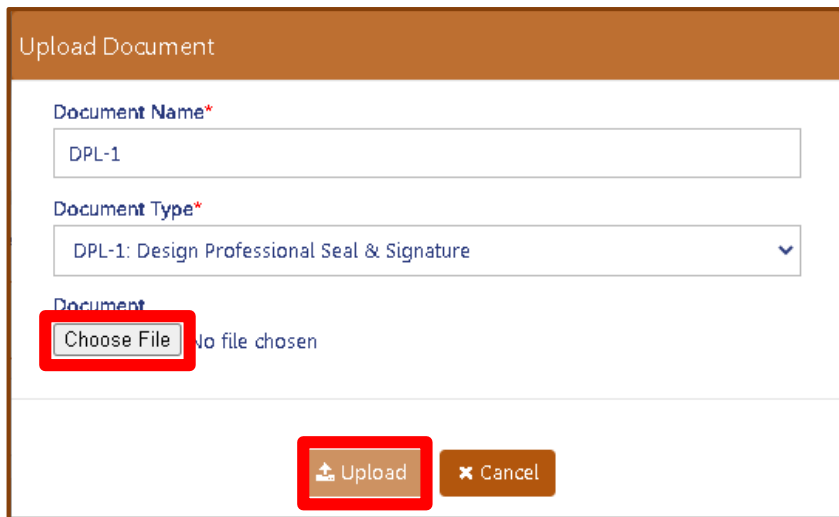
Documents

+ Add Document

Document Name

- In the Documents Tab, click **Add Documents** to upload documents.
- All required documents will be listed in the Document Type drop-down.
- For each document, enter a Document Name. More than one document may be uploaded for each document type.

- Click **Choose File** to select your document and then click **Upload**.



Upload Document

Document Name*

DPL-1

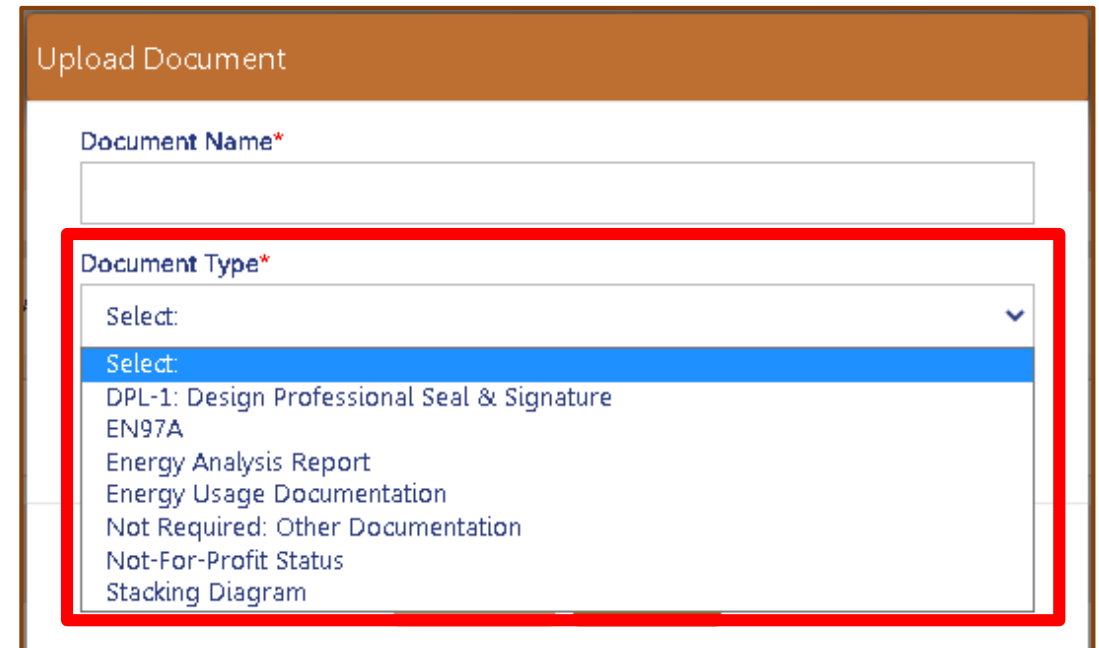
Document Type*

DPL-1: Design Professional Seal & Signature

Document

Choose File No file chosen

Upload Cancel



Upload Document

Document Name*

Document Type*

Select:

Select:

- DPL-1: Design Professional Seal & Signature
- EN97A
- Energy Analysis Report
- Energy Usage Documentation
- Not Required: Other Documentation
- Not-For-Profit Status
- Stacking Diagram

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION

DOB
NOW

The screenshot displays the DOB NOW application interface. At the top, a progress bar shows seven stages: Pre-filing (active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, and Approved. Below the progress bar are 'Save' and 'Preview to File' buttons. A left sidebar contains a menu with items: 97ADJ-000001095, General Information, Emissions Limit, Actual Emissions, Documents, and Statements & Signature (highlighted). The main content area is titled 'Statements & Signatures' and contains two rows: 'Applicant of Record's Attestation*' and 'Owner's Attestation*', each with a checkmark and a right-pointing arrow. A right sidebar contains navigation options: Dashboard, Request Highlights, View Request, History, and a 'Pay Now' button showing '\$0.00'.

- Both the Applicant of Record and the Owner must sign into DOB NOW and click the checkbox to Attest to the Adjustment Request.

Statements & Signatures

Applicant of Record's Attestation*

I hereby state the information in this application and associated forms is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I prepared or supervised the preparation of the application and supporting documents herewith submitted and to the best of my knowledge and belief, the energy documentation shown thereon complies with the provisions of the NYC Administrative Code and other applicable laws and rules. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted.

I have personally reviewed all information entered on each of the sections of this application and the associated supporting documents submitted herewith. I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand, and I further agree that an uploaded electronic image of my signature and professional seal that is part of this application is hereby applied to this signed statement and each document and statement listed herein as if I had personally signed and sealed these statements and documents by hand.

Name Date

Owner's Attestation*

I hereby certify that I am the owner of the property listed herein.

I hereby swear and affirm that the information on this application is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both. I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I have authorized the applicant to file this application and associated information and supporting documentation submitted herewith and all future amendments. I will not knowingly authorize any work that is not in compliance with all applicable laws, rules, and regulations.

I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand.

Name Date

- Fees (if any) must be paid before the Adjustment Request can be submitted.

Adjustment Request Type	Building Owner Type	Fee
Not-For-Profit Health Facility (28-320.9)	For Profit	\$335
	Not For Profit	\$0

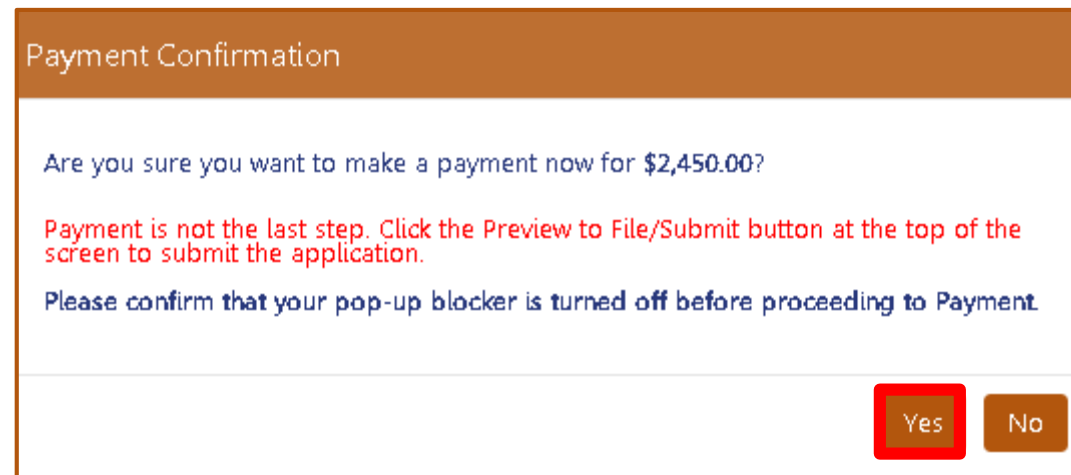
DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION

DOB
NOW

- To make a payment, click on the **Pay Now** button at the right of the screen.

The screenshot displays the DOB NOW application interface. At the top, a progress bar shows seven stages: Pre-filing (active), Pending QA Assignment, QA Review, Pending Auditor Assignment, Auditor Review, Auditor Supervisor Review, and Approved. Below the progress bar are 'Save' and 'Preview to File' buttons. The main content area is titled '97ADJ-000001100' and 'General Information'. It contains sections for 'Location Information*', 'Stakeholders*', and 'Adjustment Type' (with a description: 'Adjustment for a building that had 2018 calendar year emissions that are more than 40% above its 2024 limit (see NYC Administrative Code Section 28-320.8)'). On the right sidebar, there are buttons for 'Dashboard', 'Request Highlights', 'View Request', 'History', and a red-bordered 'Pay Now' button with a credit card icon and the amount '\$2,450.00'.

- A pop-up notification will remind you that payment is not the last step. After you make your payment, you will still need to submit your request. Click **Yes**.



- You will be brought to the CityPay site where you can make a payment by credit card or echeck.

Save Preview to File

97ADJ-000001121

- Fees (if any) must be paid before the Adjustment Request can be submitted.
- Once you click **Preview to File**, any missing information will be highlighted in red and with an exclamation point on the tab. Enter all missing information to submit the Request.

97ADJ-000001100

General Information

Emissions Limit

Actual Emissions

Documents

Actual Emissions 320.8

Actual Emissions*



Actual Green House Gas (GHG) of Special Circumstance *

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION



Application Preview

« Previous **Next »** 🔍 Zoom 🔍 Zoom 100% ▾ Page : 1 / 7



Request Highlights

Location:	PEARL STREET MANHATTAN
BIN:	1001389
Tracking Number:	97ADJ-000001134
Adjustment Status:	Pre-filing
Adjustment Type:	28-320.9 Adjustment to applicable annual Building Emissions Limit for Not-for-Profit Hospital and Healthcare Facilities
Created On:	03/31/2021
Total Gross Square Footage:	102500
Owner Type:	Non-Profit Organization Owned and Operated

Payment Summary

Filing Fee	\$0.00
Amount Paid	\$0.00
Amount Due	\$0.00

[File](#) [Return to Filing View](#)

- Once all required information is entered, clicking Preview to File will load the Application Preview pop up.
- Page through the Application by clicking Next.

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION

DOB
NOW

- Click the checkbox to Attest and sign the Application.
- Click **File** to submit the Request.

Application Preview ◀ Previous Next ▶ 🔍 Zoom 🔍 Zoom 100% ⌵ Page : 7 / 7

Statements & Signatures

Applicant of Record's Attestation

I hereby state the information in this application and associated forms is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I prepared or supervised the preparation of the application and supporting documents herewith submitted and to the best of my knowledge and belief, the energy documentation shown thereon complies with the provisions of the NYC Administrative Code and other applicable laws and rules. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted.

I have personally reviewed all information entered on each of the sections of this application and the associated supporting documents submitted herewith. I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand, and I further agree that an uploaded electronic image of my signature and professional seal that is part of this application is hereby applied to this signed statement and each document and statement listed herein as if I had personally signed and sealed these statements and documents by hand.

Name	PE TRAINER (Electronically Signed)	Date	03/31/2021
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Owner's Attestation

I hereby certify that I am the owner of the property listed herein.

I hereby swear and affirm that the information on this application is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

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I understand and agree that by personally clicking on the box at left I am electronically signing this application and expressing my agreement with all of its terms. I understand that this electronic signature shall have the same validity and effect as a signature affixed by hand.

Name	<input type="text"/>	Date	<input type="text"/>
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- By clicking File the application will be brought to **Pending QA Assignment** status
- The application will be assigned for **QA Review**:



- If an issue is raised by the QA Reviewer, the application will be marked **Incomplete** and an email will be sent to the Applicant and the Owner.

View	Actions	Tracking Number	Adjustment Type	Adjustment Status	Address	Borough	Applicant of Record	Owner
<input type="checkbox"/>	Select Action: ▾	97ADJ-000001100	Adjustment for a building that h...	Pre-filing	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER
<input checked="" type="checkbox"/>	Select Action: ▾	97ADJ-000001095	Adjustment for a building conta...	Incomplete	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER

- The details of what is needed will appear in the email. You may be asked to resubmit documents or enter updated data.

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION



- After any issues are resolved, the QA reviewer will update the status to **Pending Auditor Assignment**.
- An auditor will be assigned and will complete a technical review. The status will update to **Auditor Review**.



- If an objection is raised by the Auditor, the application will be marked **Objections** and an email will be sent to the Applicant and the Owner.

View	Actions	Tracking Number	Adjustment Type	Adjustment Status	Address ▲	Borough	Applicant of Record	Owner
	Select Action: ▼	97ADJ-000001100	Adjustment for a building that h...	Pre-filing	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER
	Select Action: ▼	97ADJ-000001095	Adjustment for a building conta.	Objections	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER

- A new Objections tab will appear in the request.

97ADJ-000001095

- General Information
- Emissions Limit
- Actual Emissions
- Documents
- Objections**
- Statements & Signature

Objection	Code	Status	Action
▼ C-4.1. Actual Building Emissions - Energy Use	Building Emissions	Active	✎ Edit

- Click **Edit** to address the Objection.
- Change status to **Resolved**.
- Enter notes on **Justification** for the resolution.
- Click **Update**.

Objection	Code	Status	Action
▲ C-4.1. Actual Building Emissions - Energy Use	Building Emissions	Resolved	📄 Update

Code Type: Building Emissions | Code Year: AC 2014 | Created Date: 04/21/2021

Created By: Martha Fein

Details: Energy Use data is inconsistent with other information available for the building.

Objection Status*

Justification*

255 characters remaining

Objection History

- The Applicant of Record will need to re-Attest before the application can be **Resubmitted**.

Save Resubmit

97ADJ-000001095

General Information

Emissions Limit

Actual Emissions

Documents

Statements & Signature

Statements & Signatures

Applicant of Record's Attestation*

I hereby state the information in this application and associated forms is correct and complete to the best of my knowledge. Falsification of any statement is a misdemeanor and is punishable by a fine or imprisonment, or both.

It is unlawful to give to a city employee, or for a city employee to accept, any benefit, monetary or otherwise, either as a gratuity for properly performing the job or in exchange for special consideration. Violation is punishable by imprisonment or fine or both.

I understand that if I am found after hearing to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this code or of a rule of any agency, I may be barred from filing further applications or documents with the Department.

I prepared or supervised the preparation of the application and supporting documents herewith submitted and to the best of my knowledge and belief, the energy documentation shown thereon complies with the provisions of the NYC Administrative Code and other applicable laws and rules. I acknowledge that I have read and complied with all instructions pertaining to this application and supplementary schedules submitted.

I have personally reviewed all information entered on each of the sections of this application and the associated supporting documents submitted herewith. I understand and agree that by personally clicking on the box at left I am electronically signing each document listed herein and expressing my agreement with the Statements and Signatures terms for such documents and all other statements herein. I understand that this electronic signature shall have the same validity and effect as a signature affixed to each document and statement by hand, and I further agree that an uploaded electronic image of my signature and professional seal that is part of this application is hereby applied to this signed statement and each document and statement listed herein as if I had personally signed and sealed these statements and documents by hand.

Name

Date

DOB NOW: *Safety* – NOT-FOR-PROFIT HOSPITAL AND HEALTHCARE APPLICATION



- Once the Application has been Approved or Denied, the Applicant and the Owner will receive emails.
- You can also view the status of the Application in the dashboard.

Home + Building Emissions Adjustment Request + Determination Request + Appeal Request

Building Emissions Adjustments | Determinations | Appeals Refresh

View	Actions	Tracking Number	Adjustment Type	Adjustment Status ▲	Address	Borough	Applicant of Record	Owner
	Select Action: ▼	97ADJ-000001095	Adjustment for a building conta...	Approved	110-31 MERRICK BOULEVA...	QUEENS	BUILD 139	PE TRAINER
	Select Action: ▼	97ADJ-000001134	Adjustment for a building conta...	Auditor Review	375 PEARL STREET	MANHATTAN	PE TRAINER	BUILD 139
	Select Action: ▼	97ADJ-000001122	Adjustment for a building that h...	Denied	745 7 AVENUE	MANHATTAN	PE TRAINER	BUILD 139



THANK YOU!

NO PAPER. NO LINES.